

Chairman Mary L. Schapiro  
Public Calendar  
2009

Tuesday, January 27, 2009

9:15 am	Swearing-in ceremony
11:00 am	Meeting with staff
1:00 pm	Interview job candidate
2:00 pm	Meeting with staff
2:50 pm	Meeting with staff
3:00 pm	Interview job candidate
3:30 pm	Introductory meeting with Commissioners
4:00 pm	Swearing-in ceremony with Vice President Joseph Biden, Jr. and other appointees

Wednesday, January 28, 2009

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Interview job candidate
11:15 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Interview job candidate
2:00 pm	Meeting with Commissioner and staff
4:45 pm	Interview job candidate

Thursday, January 29, 2009

8:30 am	Meeting with staff
9:00 am	Interview job candidate
10:00 am	Meeting with staff
11:00 am	Meeting with Mark Olson, Chairman, and Angela Desmond, Chief-of-Staff, PCAOB
1:30 pm	Official photograph of the Commission
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Commissioner

Friday, January 30, 2009

10:00 am	Interview job candidate
11:00 am	Meeting with staff
12:00 pm	Lunch with former SEC Chairman Chris Cox
2:00 pm	Interview job candidate
3:00 pm	Interview job candidate
4:00 pm	Meeting with staff
5:00 pm	Phone call with Commissioner
5:15 pm	Phone call with Commissioner
5:30 pm	Meeting with Commissioner
5:45 pm	Meeting with Commissioner

Monday, February 2, 2009

9:00 am	Meeting with staff
10:00 am	Meeting with Commissioner and staff
10:30 am	Phone call with job candidate
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
2:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, February 3, 2009

8:30 am	Meeting with staff
10:30 am	Official photograph
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Phone call with Michael Dunn, Acting Chairman, CFTC
1:00 pm	Meeting with job candidate
4:45 pm	Phone call with Congressman Tom Price (R-GA)
5:00 pm	Meeting with staff

Wednesday, February 4, 2009

10:00 am	Meeting with staff
11:00 am	Meeting with staff
2:00 pm	Closed Commission meeting
3:22 pm	Phone call with Congressman Gary Ackerman (D-NY)
4:00 pm	Commission briefing

Thursday, February 5, 2009

8:00 am	Phone call with job candidate
9:30 am	Meeting with Lloyd Blankfein, Chairman and Chief Executive Officer, Goldman, Sachs & Co.
10:30 am	Interview job candidate
11:00 am	Meeting with staff
1:25 pm	Meeting with staff
1:45 pm	Press interview and photo shoot with Nina Easton of <i>Fortune</i>
2:30 pm	Meeting with staff
3:30 pm	Meeting with the President's Working Group on Financial Markets, Department of Treasury
4:30 pm	Farewell reception for staff

Friday, February 6, 2009

9:15 am	Speak at the Practicing Law Institute's "SEC Speaks in 2009" Program, Ronald Reagan Building and International Trade Center, Washington, DC
11:00 am	Meeting with Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation; Terry Poley, President, Financial Accounting Foundation; and Bob Herz, Chairman, Financial Accounting Standards Board
12:00 pm	Meeting with staff

12:30 pm	Phone call with Xavier Briggs, PAD, OMB
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with John Paulson, Chairman, Paulson & Co., Inc.; Michael Waldorf, Senior Vice President, Paulson & Co., Inc.; and former SEC Commissioner Roel Campos, Partner, Cooley Godward Kronish
6:00 pm	Attend the 17 <sup>th</sup> Annual ASECA Dinner celebrating the SEC's 75 <sup>th</sup> anniversary, The Ronald Reagan Building and International Trade Center, Washington, DC

Monday, February 9, 2009

10:00 am	Meeting with Commissioner
11:00 am	Meeting with staff
2:00 pm	Interview job candidate
3:45 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff
5:15 pm	Meeting with staff

Tuesday, February 10, 2009

8:30 am	Meeting with staff and Commissioner
10:00 am	Meeting with Commissioner and staff
10:30 am	Meeting with Tom Donohue, President and Chief Executive Officer, U.S. Chamber of Commerce
11:30 am	Meeting with staff
11:56 am	Phone call with Senator Charles Schumer (D-NY)
12:30 pm	Meeting with Commissioner
4:00 pm	Interview job candidate
4:30 pm	Meeting with staff

Wednesday, February 11, 2009

10:00 am	Meeting with Phil Laskawy, Vice Chairman, International Accounting Standards Committee Foundation; Robert Glauber, Trustee, International Accounting Standards Committee Foundation; Sir David Tweedie, Chairman, International Accounting Standards Board; and Thomas Jones, Vice-Chairman, International Accounting Standards Board
11:00 am	Interview job candidate
12:30 pm	Lunch with IRS Commissioner Douglas Shulman
2:30 pm	Interview job candidate
4:00 pm	Meeting with Inspector General
4:15 pm	Meeting with the CME Group, Inc., including: Terrence Duffy, Executive Chairman; Craig Donohue, Chief Executive Officer; Charles Carey, Vice Chairman; Leo Melamed, Chairman Emeritus; and Jack Sandner, Retired Chairman

Thursday, February 12, 2009

9:30 am	Meeting with staff
10:00 am	Interview job candidate
10:30 am	Meeting with staff
11:30 am	Phone call with Senator Bob Corker (R-TN)

12:00 pm Town Hall meeting with SEC staff  
2:00 pm Closed Commission meeting  
4:30 pm Farewell reception for staff

Friday, February 13, 2009

9:00 am Meeting with staff  
10:00 am Press interview with Zack Goldfarb, *The Washington Post*  
1:30 pm Meeting with staff

Monday, February 16, 2009

SEC closed for Washington's Birthday

Travel to National Harbor, MD

7:00 pm Speak to delegates of the International Organization of Securities Commissions at a welcoming reception, Gaylord National Resort and Convention Center, National Harbor, MD

Travel to Washington, DC

Tuesday, February 17, 2009

10:00 am Meeting with Commissioner and staff  
11:00 am Meeting with Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France  
11:30 am Interview job candidate  
2:00 pm Press interview with Joanna Chung, *Financial Times*  
4:00 pm Meeting with staff

Wednesday, February 18, 2009

11:00 am Meeting with staff  
12:30 pm Luncheon hosted by New Zealand Ambassador Roy Fergusson, including: Jane Diplock, Chair, International Organization of Securities Commissions Executive Committee, Chairman, Securities Commission of New Zealand; Jane Cunliffe, Director of International Affairs, Securities Commission of New Zealand; and Greg Tanzer, Secretary General, International Organization of Securities Commissions  
2:00 pm Speak at the SEC's Women's History Month Program  
3:00 pm Meeting with staff  
3:20 pm Meeting with Lou Jiwei, Chairman, China Investment Corporation  
5:00 pm Interview job candidate

Thursday, February 19, 2009

8:30 am Meeting with Commissioner  
9:00 am Press interview with Stephen Labaton, *The New York Times*  
10:00 am Meeting with Tony D'Aloisio, Chairman, Australian Securities and Investments Commission  
11:00 am Interview job candidate

12:00 pm	Meeting with the Financial Planning Association, including: Richard Salmen, President; Marvin Tuttle, Jr., Executive Director; Daniel Barry, Director of Government Relations; and Duane Thompson, Managing Director of the Washington Office
12:30 pm	Lunch with Commissioner and staff
2:00 pm	Closed Commission meeting
4:30 pm	Meeting with Armando Bucelo, Jr., Chairman, Securities Investor Protection Corporation
5:30 pm	Interview job candidate

Friday, February 20, 2009

10:30 am	Meeting with Duncan Niederauer, Chief Executive Officer, NYSE Euronext
11:00 am	Meeting with Neil Barofsky, Special Inspector General for the Troubled Asset Relief Program, and Kevin Puvalowski, Chief-of-Staff to the Special Inspector General for the Troubled Asset Relief Program
12:00 pm	Meeting with Commissioner
12:30 pm	Meeting with staff
2:00 pm	Press interview with Rachelle Younglai, Thomson Reuters
3:15 pm	Meeting with Commissioner
3:30 pm	Meeting with staff
4:00 pm	Meeting with Mark Olson, Chairman, PCAOB
4:30 pm	Meeting with staff
5:00 pm	Phone call with Secretary Geithner

Monday, February 23, 2009

9:30 am	Interview job candidate
10:00 am	Press interview with Jesse Westbrook, Bloomberg News
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
2:00 pm	Meeting with staff
4:00 pm	Meeting with Colleen Kelley, President, National Treasury Employees Union
5:15 pm	Meeting with Florence Lombard, Chief Executive Officer, and Todd Groome, Chairman, Alternative Investment Management Association
5:30 pm	Tape remarks for the African American History Month celebration

Tuesday, February 24, 2009

8:30 am	Meeting with staff
9:15 am	Meeting with Commissioner and staff
10:00 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with Ambassador Andrew Young, speaker at the SEC's African American History Month celebration
1:00 pm	Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency
4:00 pm	Press interview with Floyd Norris, <i>The New York Times</i>
5:00 pm	Meeting with staff

Wednesday, February 25, 2009

9:00 am	Meeting with Inspector General and staff
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9:55 am	Meeting with staff
10:00 am	Meeting with Marsh Carter, Chairman, NYSE Euronext
10:30 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Meeting with Joel Seligman, President, University of Rochester
2:00 pm	Meeting with staff
4:15 pm	Financial Stability Oversight Board meeting, Department of the Treasury
6:15 pm	Press call with Keith Sharman, Associate Producer, <i>60 Minutes</i>

Thursday, February 26, 2009

9:00 am	Press interview with Marcy Gordon, The Associated Press
10:00 am	Meeting with Lynnette Hotchkiss, Executive Director, Municipal Securities Rulemaking Board
11:00 am	Meeting with William Brodsky, Chairman and Chief Executive Officer, and Lita Frazier, Executive Director, Government Relations, CBOE Holdings, Inc.
12:00 pm	Lunch with former SEC Chairman Richard Breeden, Chairman and Chief Executive Officer, Breeden Capital Management LLC
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:30 pm	Meeting with Chairman Paul Kanjorski (D-PA) and Ranking Member Scott Garrett (R-NJ), House Financial Services Subcommittee on Capital Markets
6:20 pm	Meeting with staff

Friday, February 27, 2009

8:30 am	Meeting with staff
9:30 am	Meeting with Standard & Poor's, including: Deven Sharma, President; Edward Smyth, Executive Vice President of Corporate Affairs; Rita Bolger, Senior Vice President and General Counsel; and Harold McGraw, President and Chief Executive Officer, McGraw-Hill Companies
11:00 am	Meeting with Larry Summers, Director, National Economic Council, White House
12:45 pm	Phone call with Senator Mark Pryor (D-AK)

Monday, March 2, 2009

8:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Phone call with Professor Zohar Goshen, Chairman, Israel Securities Authority
12:30 pm	Lunch with Charlie Niemeier, Board Member, PCAOB
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Tuesday, March 3, 2009

8:30 am	Meeting with staff
9:30 am	Press interview with Sarah Lynch, Dow Jones & Company
10:55 am	Phone call with Scott Polakoff, Senior Deputy Director and Chief Operating Officer, Office of Thrift Supervision

12:30 pm	Lunch with former SEC Chairman Arthur Levitt, Senior Advisor, The Carlyle Group
2:00 pm	Meeting with representatives from business development companies, including: Joan Sweeney, Chief Operating Officer, Allied Capital; Suzanne Sparrow, Executive Vice President and Chief Administrative Officer of Managed Funds, Allied Capital; Malon Wilkus, Chairman and Chief Executive Officer, American Capital; Sam Flax, General Counsel, American Capital; Michael Arougheti, President, Ares Capital; Patrick Dalton, President and Chief Operating Officer, Apollo Investment Corporation; Michael Levy, Policy Director, Brownstein Hyatt Farber Schreck, LLP; Steven Boehm, Partner, Sutherland Asbill and Brennan LLP; Cynthia Krus, Partner, Sutherland Asbill and Brennan LLP
3:15 pm	Phone call with Senator Mark Pryor (D-AK)
4:00 pm	Meeting with staff
5:00 pm	Interview job candidate
5:30 pm	Meeting with Commissioner

Wednesday, March 4, 2009

9:00 am	Press interview with Kara Scannell, <i>The Wall Street Journal</i>
10:30 am	Meeting with Tom Joyce, Chief Executive Officer and Chairman, and Len Amoruso, Senior Managing Director and General Counsel, Knight Capital Group, Inc.
11:00 am	Meeting with the Investment Advisers Association, including: David Tittsworth, Executive Director; Neil Simon, Vice President of Government Relations; Christine Carsman, Chief Regulatory Counsel of Affiliated Managers Group; and David Oestreicher, Chief Legal Counsel
11:30 am	Interview job candidate
1:00 pm	Lunch with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
5:30 pm	Meeting with Senator Charles Grassley (R-IA)

Thursday, March 5, 2009

10:00 am	Meeting with Mark Olson, Chairman, PCAOB
12:00 pm	Speak to the U.S. House of Representatives New Democrat Coalition, U.S. Capitol
2:00 pm	Meeting with staff
2:30 pm	Closed Commission meeting
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff

Friday, March 6, 2009

9:00 am	Interview job candidate
10:30 am	Meeting with Congressman Gary Ackerman (D-NY)
12:30 pm	Meeting with Congressman Barney Frank (D-MA), Chairman, U.S. House Committee on Financial Services
2:30 pm	Phone call with Senator David Vitter (R-LA)
3:00 pm	Interview job candidate with staff
4:30 pm	Meeting with the Investment Company Institute, including: Paul Stevens, Chief Executive Officer; Karrie McMillan, General Counsel; and Jack Brennan, Chairman, The Vanguard Group, Inc. and Chair, Investment Company Institute Working Group

Monday, March 9, 2009

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Interview job candidate  
2:30 pm Meeting with staff  
4:30 pm Meeting with Congressman Jose Serrano (D-NY), Chairman, U.S. House Subcommittee on Financial Services and General Government  
5:45 pm Meeting with staff

Tuesday, March 10, 2009

9:00 am Speak at the SEC's CCO Outreach Broker-Dealer Program, SEC Auditorium  
11:00 am Meeting with Harry Markopolos and his attorney, Dr. Gaytri Kachroo  
12:30 pm Lunch with former SEC Chairman William Donaldson, Chairman, Donaldson Enterprises  
2:30 pm Meeting with staff  
4:00 pm Meeting with Commissioner and Paul Boyle, Chief Executive Officer, Financial Reporting Council  
5:30 pm Meeting with Congresswoman Jo Ann Emerson (R-MO), Ranking Member, U.S. House Subcommittee on Financial Services and General Government

Wednesday, March 11, 2009

10:00 am Testify before the U.S. House Subcommittee on Financial Services and General Government on "SEC Action Relating to the Financial Crisis"  
1:00 pm Lunch with FDIC Chairman Sheila Bair; Melanie Senter Lubin, Securities Commissioner, State of Maryland; Kathryn McGrath, General Counsel, ICMA-RC; Alice Rivlin, Director, Board of Directors, NYSE Euronext; Barbara Roper, Director, Investor Protections, Consumer Federation of America; Marianne Smythe, Partner, Wilmer Cutler Pickering Hale and Dorr LLP; Ann Yerger, Executive Director, Council of Institutional Investors; Ellyn Brown, President, Brown & Associates; former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP; Rachel Robbins, Vice President, General Counsel, International Finance Corporation, The World Bank Group; Deborah Wince-Smith, President, Chief Executive Officer, Council on Competitiveness; Commissioner; and staff  
3:00 pm Meeting with staff  
4:15 pm Press interview with Kate McBride, *Wealth Manager*  
4:45 pm Meeting with the Apache Corporation, including: Roger Plank, President; Steve Farris, Chief Executive Officer, Chairman; and Sarah Teslik, Senior Vice President  
5:30 pm Meeting with Chuck Bowsher, former Comptroller General

Thursday, March 12, 2009

8:30 am Meeting with staff  
9:05 am Meeting with staff  
9:15 am Meeting with staff  
10:00 am Meeting with Patrick Parkinson, Director, Division of Banking Supervision and Regulation, The Federal Reserve Board; and Neal Wolin, Deputy Counsel to the

	President for Economic Policy, Executive Office of the President, Department of the Treasury
12:00 pm	Meeting with Congressman John Larson (D-CT), Chairman, U.S. House of Representatives Democratic Caucus
2:00 pm	Closed Commission meeting
3:00 pm	Meeting with staff
4:00 pm	Meeting with Commissioner and staff

Friday, March 13, 2009

8:30 am	Meeting with staff
9:30 am	Interview job candidate
9:43 am	Phone call with Secretary Geithner
10:30 am	Meeting with Commissioner
11:00 am	Meeting with Michael Zeldin, Principal, Forensic & Dispute Services, Deloitte and staff
12:30 pm	Lunch with former SEC Commissioner Cynthia Glassman
3:00 pm	Meeting with staff
4:30 pm	Meeting with representatives of the SEC Historical Society, including: Carla Rosati, Executive Director, SEC Historical Society and James Barratt, Managing Director, Alvarez & Marsal Holdings, LLC

Monday, March 16, 2009

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with Steve Bartlett, President, Chief Executive Officer, the Financial Services Roundtable
12:30 pm	Photo shoot with <i>Wealth Manager</i>
2:00 pm	Meeting with Damon Silvers, Assistant General Counsel, American Federation of Labor and Congress of Industrial Organizations; Dan Pedrotty, Director, Office of Investment, American Federation of Labor and Congress of Industrial Organizations; Rich Ferlauto, Director, Corporate Governance and Pension Investment, American Federal of State, County and Municipal Employees; John Sczur, Director of Investments, International Union of Operating Engineers Central Pension Fund; Bill Patterson, Executive Director, Investment Group, Change to Win; Per Olstad, Financial Initiatives Manager, Acting Legal Counsel, Change to Win; Glenn Perusale, Manager, Strategic Research, International Brotherhood of Electrical Workers; Louis Malizia, Assistant Director for Capital Strategies, International Brotherhood of Teamsters; Bill Dempsey, Director of Capital Stewardship Program, United Food and Commercial Workers; Richard Metcalf, Director of Corporate Affairs, Laborers' International Union of North America; Jennifer O'Dell, Assistant Director of Corporate Affairs, Laborers' International Union of North America; David White, Assistant Director, Strategic Resources Department, International Association of Machinists and Aerospace Workers; Rachel Quinn, Assistant Director, Research and Information Department, American Federation of Teachers; and Ed Durkin, Director of Corporate Affairs Department, United Brotherhood of Carpenters
4:00 pm	Meeting with Commissioner
5:45 pm	Meeting with Congressman Spencer Bachus (R-AL), Ranking Member, U.S. House Committee on Financial Services

Tuesday, March 17, 2009

8:30 am	Meeting with staff
9:30 am	Interview job candidate
10:00 am	Meeting with Commissioner and staff
11:00 am	Meeting with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.
1:30 pm	Phone call with job candidate
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Commission briefing

Wednesday, March 18, 2009

9:00 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Speak at the SEC's Women's History Month Program, SEC Auditorium
4:00 pm	Farewell reception for staff

Thursday, March 19, 2009

9:00 am	Meeting with Members of the European Parliament, including: Pervenche Berès, France; Ieke van den Burg, Netherlands; John Purvis, United Kingdom; Wolf Klinz, Germany; and Mariela Baeva, Bulgaria
10:30 am	Meeting with the President's Working Group on Financial Markets, Department of the Treasury
11:55 am	Financial Stability Oversight Board meeting, Department of the Treasury
12:25 pm	Telephone press conference with Andrew Cuomo, Attorney General, New York
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
3:30 pm	Commission briefing
5:30 pm	Meeting with Congressman Collin Peterson, Chairman, U.S. House Committee on Agriculture
6:40 pm	Phone call with Senator Robert Bennett (R-UT)

Friday, March 20, 2009

9:30 am	Phone call with Richard Baker, President, Chief Executive Officer, Managed Funds Association
10:30 am	Interview with job candidate
11:30 am	Meeting with staff
2:00 pm	Meeting with staff
4:30 pm	Phone call with Congressman Ed Perlmutter (D-CO)

Monday, March 23, 2009

Out of office

Tuesday, March 24, 2009

5:00 pm	Phone call with Senator Thomas Carper (D-DE)
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Wednesday, March 25, 2009

8:55 am Swearing-in ceremony for staff  
9:00 am Meeting with staff

Thursday, March 26, 2009

9:30 am Testify before the U.S. Senate Committee on Banking, Housing, and Urban Affairs on “Enhancing Investor Protection and the Regulation of Securities Markets – Part II”  
1:00 pm Meeting with staff  
1:45 pm Press interview with Zack Goldfarb, *The Washington Post*  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff

Friday, March 27, 2009

9:00 am Meeting with Jeffrey Lucy, Chairman, Australian Government Financial Reporting Council  
10:00 am Meeting with staff  
11:00 am Interview candidate  
11:30 am Meeting with staff  
12:00 pm Lunch with staff  
1:00 pm Meeting with Commissioner  
2:00 pm Meeting with John White, Partner, Cravath, Swaine & Moore LLP; former SEC Director, Division of Corporation Finance  
4:00 pm Meeting with Patrick Parkinson, Director, Division of Banking Supervision and Regulation, The Federal Reserve Board; Neal Wolin, Deputy Counsel to the President for Economic Policy, Executive Office of the President; Michael Barr, nominee for Assistant Secretary, Department of the Treasury; and Diana Farrell, Deputy Director, National Economic Council at the Department of the Treasury

Monday, March 30, 2009

9:00 am Meeting with Inspector General  
10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Town hall meeting with the Division of Enforcement  
2:00 pm Phone call with Joanna Chung, *Financial Times*  
3:30 pm Meeting with staff  
4:00 pm Meeting with Commissioner  
5:00 pm Interview job candidate  
5:30 pm Meeting with Commissioner and staff

Tuesday, March 31, 2009

Travel to London, England

Wednesday, April 1, 2009

7:00 am	Breakfast with the trustees of the International Accounting Standards Board, Renaissance London Chancery Court Hotel, London, England
1:00 pm	Meeting with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, Crowne Plaza Hotel London – The City, London, England
5:30 pm	Closed session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, Crowne Plaza Hotel London – The City, London, England
7:00 pm	Dinner with Monitoring Board and trustees of the International Accounting Standards Committee Foundation, Crowne Plaza Hotel London – The City, London, England

Thursday, April 2, 2009

10:00 am	Meeting with Sir David Walker, commissioned by Government of the United Kingdom to review corporate governance in financial institutions based in the United Kingdom
11:00 am	Meeting with Lord Adair Turner, Chairman, Financial Services Authority, United Kingdom, London, England

Travel to Washington, DC

Friday, April 3, 2009

9:00 am	Phone call with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.
9:30 am	Phone call with Duncan Niederauer, Chief Executive Officer, NYSE Euronext
10:00 am	Meeting with staff
11:00 am	Phone call with former SEC Chairman David Ruder, Professor Emeritus, Northwestern University School of Law
11:30 am	Meeting with staff
1:00 pm	Press phone call with Stephen Labaton, <i>The New York Times</i>
1:15 pm	Interview job candidate
2:00 pm	Meeting with former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP
3:00 pm	Meeting with Credit Suisse, including: Mary Whalen, Managing Director and Co-Head of Public Policy Americas; Dan Mathisson, Managing Director and the Head of Advanced Execution Services; and former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Monday, April 6, 2009

9:30 am	Speak to the Council of Institutional Investors, Mandarin Oriental Hotel, Washington, DC
11:00 am	Meeting with staff
11:30 am	Meeting with staff
2:00 pm	Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency
4:00 pm	Financial Stability Oversight Board meeting, Federal Housing Finance Agency

Tuesday, April 7, 2009

8:30 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:45 am	Meeting with Lydia Beebe, Corporate Secretary and Chief Governance Officer, Chevron Corporation
12:00 pm	Lunch with Larry Summers, Director, National Economic Council
2:00 pm	Meeting with Evelyn Davis, shareholder activist
3:00 pm	Meeting with Jack Ehnes, Chief Executive Officer, California State Teachers' Retirement System; Anne Sheehan, Director of Corporate Governance, California State Teachers' Retirement System; John Stanton, Law Partner, Hogan & Hartson LLP, Dr. Meredith Williams, Executive Director, Colorado Public Employees' Retirement Association; Greg Smith, General Counsel, Colorado Public Employees' Retirement Association; Chris DeRose, Executive Director, Ohio Public Employees Retirement System; Carol Drake, Manager of Corporate Governance, Ohio Public Employees Retirement System; Jim Miller, Federal Lobbyist, Ohio Public Employees Retirement System; Eric Baggesen, Senior Investment Officer, Global Equity, California Public Employees' Retirement System; Anne Simpson, Corporate Governance Director, California Public Employees' Retirement System; Leigh Snell, Federal Lobbyist, Lussier, Gregor, Vienna & Associates
4:30 pm	Meeting with staff
5:00 pm	Meeting with the California Public Employees' Retirement System, including: Anne Stausboll, Chief Executive Officer; Joe Dear, Chief Investment Officer; Peter Mixon, General Counsel; Eric Baggesen, Senior Investment Officer, Global Equity; Pat Macht, Public Affairs Officer; Thomas Lussier, President, Lussier, Gregor, Vienna & Associates; and Leigh Snell, Senior Associate, Lussier, Gregor, Vienna & Associates
6:00 pm	Phone call with Robert Mueller, Director, Federal Bureau of Investigation

Wednesday, April 8, 2009

10:00 am	Open Commission meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff

Thursday, April 9, 2009

8:30 am	Meeting with staff
9:30 am	Meeting with the American Bankers Association, including: Edward Yingling, President, Chief Executive Officer; Wayne Abernathy, Executive Vice President; Robert Davis, Executive Vice President; Stefan Gavell, Chairman, ABA Securities Association and Executive Vice President, State Street Corporation; Sally Miller, Executive Director, General Counsel, ABA Securities Association
11:00 am	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with Barry Melancon, President, Chief Executive Officer, American Institute of Certified Public Accountants
5:00 pm	Meeting with staff

Friday, April 10, 2009

11:00 am	Attend President Obama's daily briefing on the economy, White House
1:30 pm	Meeting with Commissioner

Monday, April 13, 2009

8:45 am Phone calls to job candidates  
9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
1:30 am Press interview with Melanie Waddell, *Investment Advisor*  
2:00 pm Tape video remarks for the SEC San Francisco Regional Office's 75<sup>th</sup> anniversary event  
3:00 pm Meeting with Mark Olson, Chairman, PCAOB  
4:30 pm Meeting with Commissioner  
5:30 pm Meeting with staff

Tuesday, April 14, 2009

9:30 am Meeting with staff  
10:00 am Meeting with JPMorgan Chase & Co., including, Steve Cutler, Executive Vice President, General Counsel; Barry Zubrow, Chief Risk Officer, Executive Vice President; and Naomi Camper, Senior Vice President, Head of Federal Government Relations  
11:30 am Meeting with Richard Baker, President, Chief Executive Officer, Managed Funds Association  
2:00 pm Meeting with Jörgen Holmquist, Director-General, Internal Market and Services, European Commission  
3:00 pm Meeting with the International Swaps and Derivatives Association, including: Robert Pickel, Executive Director, Chief Executive Officer, International Swaps and Derivatives Association; Greg Zerzan, Counsel, Head of Global Public Policy, International Swaps and Derivatives Association; Katherine Tew Darras, General Counsel, Americas, Head of Equity, FX and Interest Rate Legal, International Swaps and Derivatives Association; Eraj Shirvani, Chairman, International Swaps and Derivatives Association; Stephen O'Connor, Global Head, Counterparty Portfolio Management Division, Morgan Stanley; Diane Genova, Managing Director, Associate General Counsel, JP Morgan Chase & Co., Gerhard Seebacher, Managing Director, Head of Global Credit Products, Bank of America; and Thomas Riggs, Managing Director, Goldman, Sachs & Co.  
4:00 pm Meeting with John Mack, Chairman, Morgan Stanley  
6:30 pm Attend the PCAOB Advisory Council reception and dinner, Army and Navy Club, Washington, DC

Wednesday, April 15, 2009

8:00 am Meeting with staff  
10:00 am Speak at the Credit Rating Agencies Roundtable, SEC Auditorium

Thursday, April 16, 2009

9:00 am Meeting with staff  
9:30 am Press interview with Stephanie Dhue, *Nightly Business Report*  
10:00 am Meeting with Peter Grauer, Chairman and President, and Gregory Babyak, Head of Government Affairs, Bloomberg L.P.  
11:00 am Meeting with the CFA Institute, including: John Rogers, President, Chief Executive Officer; Kurt Schacht, Managing Director; Patrick Finnigan, Director of

	Corporate Disclosure; James Allen, Director of the Capital Markets Policy Group; and Linda Rittenhouse, Senior Policy Analyst
12:00 pm	Meeting with staff
1:45 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Memorial service for Diane Sanger, Associate General Counsel, SEC Auditorium Foyer
5:00 pm	Meeting with Secretary Geithner, Department of the Treasury

Friday, April 17, 2009

9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:45 am	Meeting with staff
11:00 am	Phone call with Congressman Ed Perlmutter (D-CO)
12:30 pm	Lunch with former SEC Chairman Harvey Pitt, Chief Executive Officer, Kalorama Partners, LLC
2:30 pm	Interview job candidate
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff

Monday, April 20, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with Frank Keating, President, Chief Executive Officer, American Council of Life Insurers
12:00 pm	Meeting with staff
1:00 pm	Phone call with Bob Herz, Chairman, Financial Accounting Standards Board
2:30 pm	Press interview with Zack Goldfarb, <i>The Washington Post</i>
3:00 pm	Meeting with staff
3:10 pm	Phone call with Senator Charles Schumer (D-NY)
5:15 pm	Phone call with Senator Michael Bennet (D-CO)

Tuesday, April 21, 2009

10:00 am	Meeting with staff
11:30 am	Press conference with Senator Charles Schumer (D-NY)
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Wednesday, April 22, 2009

11:00 am	Meeting with Alan Beller, Partner, Cleary Gottlieb Steen & Hamilton LLP; former SEC Director, Division of Corporation Finance
12:00 pm	Press interview with Jamie Freedman, <i>GW law school</i> magazine
3:00 pm	Meeting with Congressman Chris Lee (R-NY)
4:30 pm	Commission briefing
5:00 pm	Meeting with staff

Thursday, April 23, 2009

9:00 am Meeting with Joseph Fichera, Chief Executive Officer, Saber Partners, LLC  
11:30 am Meeting with Diana Farrell, Deputy Director, National Economic Council; Michael Barr, nominee for Assistant Secretary, Department of the Treasury; Patrick Parkinson, Director, Division of Banking Supervision and Regulation, The Federal Reserve Board; and Neal Wolin, Deputy Counsel to the President for Economic Policy, Executive Office of the President, White House  
3:00 pm Phone call with Congressman Dennis Kucinich (D-OH)  
3:30 pm Meeting with Commissioner  
4:30 pm Meeting with staff

Friday, April 24, 2009

8:30 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with staff  
12:00 pm Lunch with John Damgard, President, Futures Industry Association Inc.  
2:00 pm Meeting with the Securities Industry and Financial Markets Association, including: Timothy Ryan, President, Chief Executive Officer; Ira Hammerman, Senior Managing Director, General Counsel; and Michael Paese, Head of Advocacy

Sunday, April 26, 2009

Travel to Denver, CO

Monday, April 27, 2009

10:15 am Speak to staff at the SEC Denver Regional Office  
11:45 am Press interview with Diana Henriques, *The New York Times*  
12:00 pm Speak to the Society of American Business Editors and Writers, the Westin Denver Downtown, Denver, CO

Travel to Washington, DC

Tuesday, April 28, 2009

9:30 am Meeting with staff  
11:30 am Press interview with Rachel Beck, The Associated Press  
12:30 pm Lunch with Eugene Ludwig, Chief Executive Officer, Promontory Financial Group, LLC  
3:00 pm Press roundtable with Thomson Reuters reporters, 1333 H Street NW, Washington, DC  
4:30 pm Interview job candidate  
5:00 pm Meeting with staff  
5:30 pm Meeting with Commissioner  
6:00 pm Commission briefing

Wednesday, April 29, 2009

8:00 am	Meeting with staff
9:00 am	Meeting with Fred Joseph, President, North American Securities Administrators Association, Inc., Colorado Securities Commissioner; Patricia Struck, Investment Adviser Section Chair, North American Securities Administrators Association, Inc., Administrator, Wisconsin Division of Securities; and Russ Iuculano, Executive Director, North American Securities Administrators Association, Inc.
10:00 am	Meeting with Jean-Paul Redouin, President, Banking Commission; Deputy Governor, Banque de France; Board Member, l'Autorité des Marchés Financiers
11:00 am	Commission briefing
12:30 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
2:45 pm	Meeting with representatives of the Chartered Alternative Investment Analyst Association, including: Craig Asche, Executive Director; Thomas Porter, Managing Director, Chief Operating Officer; and Christopher Holt, Director of Industry Relations
4:00 pm	Interview job candidate
4:30 pm	Meeting with Robert Diamond, President, Barclays Bank PLC
5:00 pm	Interview job candidate

Thursday, April 30, 2009
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8:30 am	Meeting with former SEC Commissioner Roel Campos, Partner, Cooley Godward Kronish and Dr. Robert Schapiro, Senior Fellow, Georgetown University School of Business
10:30 am	Meeting with the President's Working Group on Financial Markets at the Department of the Treasury
12:30 pm	Speak and present award certificates at the SEC's Annual International Institute for Securities Market Development, SEC Auditorium
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with Commissioner

Friday, May 1, 2009
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8:30 am	Interview job candidate
9:00 am	Interview job candidate
10:00 am	Meeting with staff
11:00 am	Meeting with representatives of The Credit Roundtable, including: Alan Beller, Partner, Cleary Gottlieb Steen & Hamilton LLP; Giovanni Prezioso, Partner, Cleary Gottlieb Steen & Hamilton LLP; Tinh Le, Vice President, Fixed Income Trading, Dodge & Cox Funds; Adam Rubinson; Senior Analyst, Dodge & Cox Funds; Ari Gabinet, Principal, Securities Regulation; The Vanguard Group, Inc.; Stuart Hosansky, Principal, Senior Analyst, The Vanguard Group, Inc.; Robert F. Auwaerter, Principal, Head of Fixed Income; The Vanguard Group, Inc.; Christopher L. Gootkind; Fixed Income Portfolio Manager, Vice President, Wellington Management Company, LLP; Lou Zahorak, Portfolio Manager, California Public Employees' Retirement System
12:15 am	Lunch with Jeanne Roslanowick, Staff Director, U.S. House Committee on Financial Services
2:30 pm	Press interview with Al Hunt, Bloomberg News, 1399 New York Avenue NW
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Monday, May 4, 2009

8:30 am Interview job candidate  
9:00 am Closed Commission meeting  
9:30 am Meeting with staff  
11:30 am Speak at the Mutual Fund Directors Forum's Annual Critical Issues Policy Conference, Ritz-Carlton Hotel, Washington, DC  
2:00 pm Press interview with Zack Goldfarb, *The Washington Post*  
4:00 pm Farewell reception for staff

Tuesday, May 5, 2009

9:00 am Phone call with Senator Michael Bennet (D-CO)  
9:55 am Phone call with Congressman Ed Perlmutter (D-CO)  
10:00 am SEC Roundtable to Examine Short Sale Price Test and Circuit Breaker Restrictions  
5:15 pm Meeting with staff  
6:00 pm Phone call with Senator Ted Kaufman (D-DE)

Wednesday, May 6, 2009

8:00 am Meeting with staff  
8:30 am Meeting with Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation  
9:30 am Meeting with staff  
9:45 am Meeting with staff  
10:30 am Phone call with Gary Gensler, CFTC chair nominee  
1:30 pm Interview job candidate  
3:00 pm Meeting with Congressman Dennis Kucinich (D-OH)  
4:15 pm Meeting with Senator Mark Warner (D-VA); Jonathan Davis, Legislative Director; and Nathan Steinwald, Legislative Assistant  
5:30 pm Interview job candidate  
5:45 pm Phone call with Senator Ted Kaufman (D-DE)

Thursday, May 7, 2009

9:00 am Meeting with David Downey, Chief Executive Officer, OneChicago, LLC  
10:00 am Phone interview with job candidate  
12:00 pm Lunch with John Dugan, Comptroller of the Currency, Office of the Comptroller of the Currency, Washington, DC  
1:30 pm Meeting with Congressman George Miller (D-CA)  
3:00 pm Meeting with staff  
5:00 pm Meeting with staff

Friday, May 8, 2009

8:00 am Speak at the Investment Company Institute's 2009 Annual General Membership Meeting, Washington Marriott Wardman Park, Washington, DC  
11:00 am Meeting with staff  
12:00 pm Lunch with FDIC Chairman Sheila Bair

1:30 pm	Meeting with Congressman Henry Waxman (D-CA), Chairman, U.S. House Committee on Oversight and Government Reform
4:00 pm	Meeting with representatives of the Government Accountability Office, including: Steven Sebastian, Director, Financial Management and Assurance; Gregory Wilshusen, Director, Security Issues, Information Technology; Kristen Kociolek, Assistant Director, Financial Management and Assurance; William Wadsworth, Assistant Director, Information Technology; Cheryl Clark, Assistant Director, Financial Management and Assurance; Meafelia Gusukuma, Auditor-in-Charge, Financial Management and Assurance; Nabajyoti Barkakati, Chief Technologist, Center for Science, Technology and Engineering; Clayton Clark, Senior Auditor; Jayne Wilson, Senior IT Analyst; Brian Paige, Auditor; and Jennifer Henderson, Auditor
5:00 pm	Phone call with Senator David Vitter (D-LA)

Saturday, May 9, 2009

7:00 pm	Attend the White House Correspondents' Association Dinner, Washington Hilton
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Monday, May 11, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Meeting with Commissioner

Tuesday, May 12, 2009

8:00 am	Phone call with Secretary Geithner
8:30 am	Meeting with Commissioner and staff
10:00 am	Meeting with Myron Steele, Chief Justice, Delaware Supreme Court; Leo Strine, Vice Chancellor, Delaware Court of Chancery; and Larry Hamermesh, Delaware Corporate Law Committee
11:00 am	Meeting with Peter Derby, Board Member, Diamondback Capital Management and former Chief-of-Staff to former SEC Chairman William Donaldson
1:00 pm	Interview job candidate
2:00 pm	Interview job candidate
3:30 pm	Meeting with Senator Jack Reed (D-RI), Chairman, U.S. Senate Subcommittee on Securities, Insurance, and Investment
5:30 pm	Meeting with Howard Marks, Chairman, Oaktree Capital Management, L.P.

Wednesday, May 13, 2009

8:30 am	Meeting with staff
9:30 am	Photo shoot with <i>BusinessWeek</i>
10:00 am	Oral argument
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:30 pm	Press interview with Maria Bartiromo, <i>Closing Bell</i>
2:30 pm	Meeting with Rodger Lawson, President, and Marc Gary, General Counsel, Fidelity Investments
4:00 pm	Press conference with Secretary Geithner and Acting CFTC Chairman Michael Dunn, Department of the Treasury

5:30 pm Meeting with staff  
6:00 pm Meeting with staff

Thursday, May 14, 2009

10:00 am Open Commission meeting  
2:00 pm Closed Commission meeting  
4:00 pm Commission briefing  
5:00 pm Meeting with Mark Olson, Chairman, PCAOB  
6:00 pm Meeting with staff

Friday, May 15, 2009

8:30 am Meeting with staff  
10:00 am Meeting with Robert Mueller, Director, Federal Bureau of Investigation, J. Edgar Hoover Building, Washington, DC  
12:30 pm Lunch with Commissioner  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Phone call with Tom Marsico, Chief Executive Officer, Marsico Capital Management, LLC  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Phone call with former SEC Commissioner Joseph Grundfest, Professor of Law and Business, Stanford Law School

Monday, May 18, 2009

7:45 am Meeting with staff  
  
Travel to New York, NY  
  
12:30 pm Lunch with staff at the SEC New York Regional Office  
1:30 pm Meeting with staff at the SEC New York Regional Office  
3:30 pm Meeting with New York Attorney General Andrew Cuomo, Office of the Attorney General, New York, NY

Travel to Washington, DC

Tuesday, May 19, 2009

9:00 am Meeting with staff  
9:45 am Meeting with staff  
1:00 pm Meeting with Secretary Geithner, Department of the Treasury  
2:00 pm Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency  
5:00 pm Meeting with staff  
6:00 pm Meeting with former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP  
7:30 pm Speak at the Financial Accounting Foundation's Annual Board of Trustees Dinner, Mandarin Oriental Hotel, Washington, DC

Wednesday, May 20, 2009

8:00 am Interview job candidate  
10:00 am Open Commission meeting  
3:15 pm Speak to the President's Economic Recovery Advisory Board Working Group on Financial Markets and Regulation, Eisenhower Executive Office Building  
4:30 pm Attend the signing ceremony for the Fraud Enforcement and Recovery Act, White House

Thursday, May 21, 2009

9:00 am Meeting with Senator Jon Tester (D-MT)  
9:30 am Meeting with Senator Kit Bond (R-MO)  
10:00 am Meeting with the Options Clearing Corporation, including: Wayne Luthringshausen, Chairman, Chief Executive Officer; Michael Cahill, President and Chief Operating Officer; and William Navin, Executive Vice President, General Counsel, Secretary  
11:00 am Meeting with Ray McDaniel, Chief Executive Officer, and John Goggins, General Counsel, Moody's Corporation  
12:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Commission briefing

Friday, May 22, 2009

11:45 am Lunch with IRS Commissioner Douglas Shulman  
3:00 pm Conference call with Richard Neiman, Member, Congressional Oversight Panel

Monday, May 25, 2009

SEC closed for Memorial Day

Tuesday, May 26, 2009

8:30 am Speak to the Board of Directors of the World Federation of Exchanges, Financial Industry Regulatory Authority, Inc., Washington, DC  
10:00 am Meeting with staff  
10:45 am Meeting with staff  
12:00 pm Meeting with Attorney General Eric Holder, Department of Justice, Washington, DC  
1:30 pm Lunch with Gary Gensler, CFTC chair nominee  
3:30 pm Meeting with staff  
4:30 pm Attend Gary Gensler's swearing-in ceremony, Commodity Futures Trading Commission, Washington, DC

Wednesday, May 27, 2009

8:30 am Meeting with Commissioner  
9:00 am Meeting with staff  
9:30 am Meeting with Atsushi Saito, President, Chief Executive Officer, Tokyo Stock Exchange, Inc., Tokyo Stock Exchange Group, Inc.; Shunzo Kayanuma, Director,

Corporate Communications, Tokyo Stock Exchange Group, Inc.; Ryusuke Yokoyama, First Secretary to the President, Tokyo Stock Exchange Group, Inc.; and Kazunari Tomita, Chief Representative, General Manager, Tokyo Stock Exchange, Inc.

10:30 am Photo shoot with *National Journal*

11:30 am Meeting with Commissioner

1:00 pm Interview job candidate

2:30 pm Meeting with Damon Silvers, Associate General Counsel, and Daniel Pedrotty, Director of the Office of Investment, American Federation of Labor and Congress of Industrial Organizations

3:30 pm Meeting with the Congressional Oversight Panel, including: Elizabeth Warren, Chair; Naomi Baum, Executive Director; Caleb Weaver, Senior Advisor; and staff

4:30 pm Photo shoot with the SEC Annual Award Ceremony award winners

Thursday, May 28, 2009

8:30 am Meeting with staff

9:00 am Meeting with staff

10:00 am Interview job candidate

1:30 pm Closed Commission meeting

4:00 pm Financial Stability Oversight Board meeting, Federal Reserve Board Building

5:30 pm Phone call with Senator Blanche Lincoln (D-AK), Chairman, U.S. Senate Committee on Agriculture, Nutrition & Forestry

Friday, May 29, 2009

8:15 am Press interview with Stephen Labaton, *The New York Times*

9:00 am Meeting with Ann Yerger, Executive Director, Council of Institutional Investors

9:45 am Meeting with staff

11:15 am Phone call with Secretary Geithner

1:30 pm Meeting with staff

2:00 pm Meeting with staff

Monday, June 1, 2009

8:30 am Teleconference with members of the International Accounting Standards Committee Foundation Monitoring Board, including: Gerrit Zalm, Chairman of the Trustees, International Accounting Standards Committee Foundation; and Mario Draghi, Chairman, Financial Stability Board

9:30 am Meeting with staff

10:30 am Meeting with staff

2:00 pm Meeting with staff

5:00 pm Meeting with Senator Susan Collins (R-ME), Ranking Member, U.S. Senate Subcommittee on Financial Services and General Government

Tuesday, June 2, 2009

10:30 am Testify before the U.S. Senate Subcommittee on Financial Services and General Government on "A Review of the President's Fiscal Year 2010 Funding Request and Budget Justification for the SEC and CFTC"

2:30 pm Meeting with staff

3:30 pm Meeting with staff

4:00 pm Meeting with Robert Greifeld, Chief Executive Officer, and Edward Knight, Executive Vice President and General Counsel, NASDAQ OMX Group, Inc.

Wednesday, June 3, 2009

9:00 am Meeting with Charlie McCreevy, European Commissioner for Internal Market and Services, European Union  
10:00 am Meeting with Bill Gradison, member, PCAOB  
11:00 am Interview job candidate  
12:00 pm Meeting with staff  
12:30 pm Lunch with former Senator Paul Sarbanes  
3:00 pm Conference call with members of the Business Roundtable, including: Brenda Barnes, Sara Lee Corporation; Gary Butler, Automatic Data Processing; John Castellani, Business Roundtable; Jim Cracchiolo, Ameriprise Financial; Michael Dan, The Brink's Company; Jay Fishman, The Travelers Company; Dan Fulton, Weyerhaeuser; James Hackett, Anadarko Petroleum Corporation; John Hammergren, McKesson Corporation; Steven Leer, Arch Coal Incorporated; Kathy Marinello, Ceridian Corporation; Chip McClure, Arvin Mentor, Inc.; Anne Mulcahy, Xerox Corporation; Ronald Nelson, Avis Budget Group Inc.; Tom Nelson, National Gypsum Company; Steve Odland, Office Depot; Wilbur Ross, WL Ross & Co. LLC; Richard Smith, Realogy Corporation; Mike Thaman, Owens Corning; Rex Tillerson, Exxon Mobile Corporation; Tom Wilson, The Allstate Corporation  
4:30 pm Meeting with Senator Frank Lautenberg (D-NJ)  
6:00 pm Meeting with staff  
6:30 pm Meeting with staff

Thursday, June 4, 2009

9:00 am Meeting with Deven Sharma, President, Standard & Poor's, LLC  
10:00 am Meeting with Barry Salzberg, Chief Executive Officer, and Robert Kueppers, Deputy Chief Executive Officer, Deloitte LLP  
11:00 am Meeting with Kenichi Watanabe, Global Chief Executive Officer, Nomura Holdings, Inc.; Yasuo Kashiwagi, U.S. Chief Executive Officer, Nomura Holdings, Inc.; David Findlay, Chairman, Chief Executive Officer, Nomura Credit and Capital, Inc.; and former SEC Commissioner Laura Unger, Special Adviser, Promontory Financial Group, LLC  
12:00 pm Speak at the SEC Historical Society's annual meeting, SEC Auditorium  
1:30 pm Meeting with Joel Seligman, President, Chief Executive Officer, University of Rochester  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with Commissioner  
5:00 pm Meeting with staff  
5:30 pm Meeting with the Global Corporate Governance Forum, including: Phil Armstrong, Head; Gene Spiro, Senior Project Officer; and Pratip Kar, Executive Director, Securities and Exchange Board of India  
6:00 pm Meeting with staff

Friday, June 5, 2009

8:00 am Meeting with staff  
9:00 am Meeting with staff  
10:30 am Phone call with job candidate

11:00 am	Meeting with Commissioner and Kenneth Duberstein, Chairman, Chief Executive Officer, The Duberstein Group, Inc.
12:00 pm	Meeting with Congressman Barney Frank, Chairman, U.S. House Committee on Financial Services
2:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner
5:00 pm	Meeting with staff

Monday, June 8, 2009

10:00 am	Meeting with Senator David Vitter (R-LA)
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff

Tuesday, June 9, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:45 pm	Conference call with CFTC Chairman Gary Gensler
5:00 pm	Meeting with Secretary Geithner, Chairman of the Federal Reserve Ben Bernanke, FDIC Chairman Sheila Bair, and Comptroller John Dugan, Department of the Treasury

Wednesday, June 10, 2009

11:00 am	Expert meeting on Executive Compensation with Secretary Geithner; Neal Wolin, Deputy Secretary, Department of the Treasury; Gene Sperling, Counselor to the Secretary, Department of the Treasury; Daniel Tarullo, Governor, Federal Reserve System; Kenneth Feinberg, Special Master for Compensation; Department of the Treasury; Lucian Bebchuk, Director of the Program on Corporate Governance, Harvard Law School; Orin Kramer, Chairman, State Investment Council, State of New Jersey; Nell Minow, Editor, The Corporate Library; Richard Ferlauto, Director, Corporate Governance and Public Pension Programs, American Federation of State, County and Municipal Employees; Ann Yerger, Executive Director, Council of Institutional Investors; Arthur Levitt, Senior Advisor, The Carlyle Group; Hye-Won Choi, Head, Corporate Governance, Teachers Insurance and Annuity Association - College Retirement Equities Fund; Dean Garfield, President, Chief Executive Officer, Information Technology Industry Council; Jonathan Spector, Chief Executive Officer, The Conference Board, Inc.; and John Castellani, President, Business Roundtable, Department of the Treasury
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
3:45 pm	Meeting with staff
4:30 pm	Press interview with Kevin McCoy, <i>USA Today</i>

Thursday, June 11, 2009

12:30 pm	Meeting with former SEC Commissioner Joseph Grundfest, Professor of Law and Business, Stanford Law School
5:15 pm	Meeting with Secretary Geithner, Department of the Treasury

Friday, June 12, 2009

9:00 am Meeting with staff  
9:35 am Meeting with Commissioner  
10:00 am Meeting with staff  
11:30 am Meeting with Commissioner  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Interview job candidate  
4:00 pm Press interview with Richard Stolz, *Journal of Financial Planning*  
5:00 pm Meeting with staff  
5:30 pm Meeting with staff

Monday, June 15, 2009

9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with William O'Brien, Chief Executive Officer, Direct Edge; Eric Hess, General Counsel, Direct Edge; and David Franasiak, Principal, Williams & Jensen, P.L.L.C.  
12:15 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with Barbara Franklin, Chairman, and Kenneth Daly, President and Chief Executive Officer, National Association of Corporate Directors  
3:00 pm Meeting with staff  
5:00 pm Meeting with Congressman Robert Andrews (D-NJ)

Tuesday, June 16, 2009

8:00 am Meeting with staff  
8:45 am Meeting with Congresswoman Tammy Baldwin (D-WI)  
9:00 am Attend Gay Pride Month event featuring Congresswoman Tammy Baldwin  
10:00 am Meeting with Richard Brueckner, Chairman, Pershing LLC  
10:15 am Meeting with staff  
11:00 am Phone call with job candidate  
12:00 pm Meeting with staff  
1:30 pm Photo shoot with the SEC Annual Award Ceremony award winners  
2:30 pm Meeting with Congresswoman Melissa Bean (D-IL)  
4:00 pm Press interview with Walter Hamilton, *Los Angeles Times*  
6:00 pm Speak at the George Washington University School of Law for a federal government alumni reception, The Mansion on O, Washington, DC

Wednesday, June 17, 2009

8:30 am Meeting with staff  
9:00 am Meeting with staff  
10:15 am Interview job candidate  
11:40 am Attend President Obama's press conference on financial regulatory reform with members of his economic team, White House  
2:30 pm Meeting with Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises

4:00 pm Press interviews via phone with Zach Goldfarb, *The Washington Post*; Kara Scannell, *The Wall Street Journal*; Sarah Lynch, Dow Jones & Company; Rachele Younglai, Thomson Reuters; Marcy Gordon, Associated Press; and Jesse Westbrook, Bloomberg News

6:00 pm Meeting with staff

Thursday, June 18, 2009

9:00 am Speak at a hearing examining target date funds co-hosted by the SEC and the Department of Labor, Department of Labor

Travel to New York, NY

6:00 pm Speak at the New York Financial Writers' Association awards dinner, New York Marriott Marquis, New York, NY

Friday, June 19, 2009

Travel to Washington, DC

11:00 am Closed Commission meeting

2:30 pm Meeting with Secretary Geithner, Department of Treasury

3:45 pm Phone call with CFTC Chairman Gary Gensler and Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.

4:00 pm Meeting with staff

Monday, June 22, 2009

3:00 pm Testify before the U.S. Senate Subcommittee on Securities, Insurance, and Investment on "Over-the-Counter Derivatives: Modernizing Oversight to Increase Transparency and Reduce Risks"

6:00 pm Meeting with Commissioner

Tuesday, June 23, 2009

8:00 am Meeting with Paul Tucker, Deputy Governor for Financial Stability, Bank of England

9:00 am Meeting with Commissioner

9:30 am Meeting with staff

10:30 am Meeting with staff

2:30 pm Meeting with Congressman Ed Perlmutter (D-CO)

4:00 pm Meeting with staff

5:00 pm Phone call with Larry Fink, Chairman, Chief Executive Officer, BlackRock, Inc.

5:30 pm Meeting with Commissioner

Wednesday, June 24, 2009

8:45 am Meeting with staff

10:00 am Open Commission meeting

12:00 pm Lunch with staff

1:30 pm Meeting with staff

2:30 pm Photo shoot with Rick Reinhard, photographer, *GW law school* magazine

3:00 pm Meeting with staff  
 3:30 pm Interview job candidate  
 5:00 pm Press interview with Gillian Tett and Krishna Guha, *Financial Times*  
 5:45 pm Meeting with Kenneth Feinberg, Special Master for Compensation

Thursday, June 25, 2009

8:00 am Meeting with the Securities Investor Protection Corporation, including: Armando Bucelo, Chairman; Stephen Harbeck, President, Chief Executive Officer; William Heyman, Director, Board of Directors; and Josephine Wang, General Counsel  
 10:00 am Meeting with the President's Working Group on Financial Markets, Department of the Treasury  
 2:00 pm Closed Commission meeting  
 4:00 pm Open Commission meeting  
 5:00 pm Financial Stability Oversight Board meeting, Department of the Treasury  
 6:30 pm Attend a reception hosted by the SEC Historical Society, National Building Museum, Washington, DC  
 7:00 pm Speak at the SEC Historical Society's 75<sup>th</sup> Anniversary Celebration dinner, National Building Museum, Washington, DC

Friday, June 26, 2009

11:00 am Meeting with members of the Financial Services Roundtable, including: Jeffrey Brown, Senior Vice President, Legislative and Regulatory Affairs, Charles Schwab & Co.; Richard Cheap, General Counsel, Huntington Bancshares; Rich Cohan, Senior Vice President & Senior Counsel, Aviva USA; John Junek, Executive Vice President & General Counsel, Ameriprise Financial; Bob Johnson, Senior Vice President & Deputy General Counsel, BB&T Corporation; John Kingston, Executive Vice President & General Counsel, Affiliated Managers Group; Karen Kirchen, Acting General Counsel, Citigroup Institutional Client Group; LaBrena Jones Martin, Senior Vice President & Deputy General Counsel USA, RBC; Paul Matecki, General Counsel, Director of Compliance, Raymond James Financial, Inc.; John Scheurer, Chief Executive Officer, Allied Capital Corporation; Bart Schwartz, Executive Vice President, Chief Legal Officer and Secretary, Assurant, Inc.; Richard Silver, Executive Vice President, General Counsel, AXA Financial Inc.; Suzanne Sparrow, Executive Vice President, Allied Capital Corporation; Brent Taylor, Managing Director & Senior Deputy General Counsel, UBS – Wealth Management Americas Group; James Tricarico, Jr., General Counsel, Edward Jones; Robert Hoyt, Chief Regulatory Affairs Officer, PNC Financial Services; Steve Bartlett, President, Chief Executive Officer, Financial Services Roundtable; Rich Whiting, Executive Director, General Counsel, Financial Services Roundtable; and Melissa Netram, Director, Regulatory and Securities Affairs, Financial Services Roundtable  
 12:00 pm Meeting with staff  
 1:00 pm Meeting with CFTC Chairman Gary Gensler; Congressman Barney Frank (D-MA), Chairman, U.S. House Committee on Financial Services; Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises; and Congressman Collin Peterson (D-MN), Chairman, U.S. House Committee on Agriculture, Nutrition & Forestry  
 2:15 pm Meeting with House Majority Leader Steny Hoyer (D-MD)  
 3:30 pm Meeting with staff  
 4:30 pm Meeting with representatives of the Government Accountability Office, including: Gene Dodaro, Comptroller General; Orice Williams Brown, Director; Jeanette

Franzel, Director; Steve Sebastian, Director; and Bob Dacey, Chief Accountant for Financial Audit, Government Accountability Office

Monday, June 29, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
1:30 pm	Meeting with Commissioner
2:00 pm	Interview job candidate
3:00 pm	Interview job candidate
4:00 pm	Meeting with Sandy Cutler, Chairman, Corporate Leadership Initiative, Business Roundtable; and Chairman, Chief Executive Officer, Eaton Corporation
4:30 pm	Meeting with Brian Atchinson, President, Chief Executive Officer, Insurance Marketplace Standards Association
5:00 pm	Meeting with staff

Tuesday, June 30, 2009

8:30 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
11:30 am	Meeting with Secretary Geithner, Department of the Treasury
1:00 pm	Speak at the SEC Annual Awards Ceremony, SEC Auditorium
2:30 pm	Press interview with Steven Pearlstein, <i>The Washington Post</i>
4:00 pm	Meeting with staff
4:30 pm	Meeting with Mark Casady, Chairman, Chief Executive Officer, LPL Investment Holdings Inc.
5:00 pm	Meeting with Inspector General
6:00 pm	Meeting with staff

Wednesday, July 1, 2009

10:00 am	Open Commission meeting
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with Timothy Flynn, Chairman, KPMG International and John Veihmeyer, Deputy Chairman, Chief Executive Officer, KPMG LLP
4:00 pm	Meeting with staff
6:00 pm	Interview job candidate

Thursday, July 2, 2009

8:30 am	Meeting with staff
10:00 am	Interview job candidate
11:00 am	Meeting with staff
12:30 pm	Lunch with Chuck Bowsher, former Comptroller General
3:00 pm	Interview job candidate

Friday, July 3, 2009

SEC closed for Independence Day

Sunday, July 5, 2009

Travel to Amsterdam, Netherlands

Monday, July 6, 2009

1:30 pm	Meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, InterContinental Amstel Amsterdam, Amsterdam, Netherlands
2:30 pm	Meeting with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, InterContinental Amstel Amsterdam, Amsterdam, Netherlands
6:30 pm	Dinner with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation
8:30 pm	Conference call with Neal Wolin, Deputy Secretary, Department of the Treasury; Michael Barr, Assistant Secretary, Department of the Treasury

Tuesday, July 7, 2009

Travel to Washington, DC

Wednesday, July 8, 2009

10:30 am	Meeting with Congressman Walter Minnick (D-ID)
1:30 pm	Meeting with Congressman Dennis Moore (D-KS)
2:30 pm	Meeting with Congressman Melvin Watt (D-NC)
4:00 pm	Meeting with staff
5:00 pm	Meeting with Congressman Joseph Donnelly (D-IN)
6:15 pm	Meeting with staff

Thursday, July 9, 2009

8:30 am	Phone call with Neil Barofsky, Special Inspector General for the Troubled Asset Relief Program
9:00 am	Meeting with Bob Herz, Chairman, Financial Accounting Standards Board
9:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Closed Commission meeting
4:30 pm	Meeting with Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises
5:00 pm	Meeting with the Democratic members of the U.S. House Financial Services Committee

Friday, July 10, 2009

9:00 am	Tape remarks for the 2009 International Corporate Governance Network Annual Conference
11:30 pm	Finish taping remarks for the 2009 International Corporate Governance Network Annual Conference
1:00 pm	Meeting with staff

3:30 pm Meeting with the Republican members of the U.S. House Financial Services Committee

Monday, July 13, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff

Tuesday, July 14, 2009

10:00 am Testify before the U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises on "SEC Oversight – Current State and Agenda"  
2:30 pm Meeting with staff  
3:00 pm Phone call with Mark Olson, Chairman, PCAOB  
4:00 pm Meeting with Commissioner; Robert Glauber, Trustee, International Accounting Standards Committee Foundation; Thomas Seidenstein, Chief Operating Officer, International Accounting Standards Committee Foundation; and Thomas Jones, Vice Chair, International Accounting Standards Board  
5:00 pm Meeting with staff

Wednesday, July 15, 2009

10:00 am Open Commission meeting  
2:00 pm Meeting with representatives of the National Association of Insurance Commissioners, including: Roger Sevigny, New Hampshire Insurance Commissioner; Susan Voss, Iowa Insurance Commissioner; Therese Vaughan, Chief Executive Officer, National Association of Insurance Commissioners; and Ethan Sonnichsen, Director of Government Relations, National Association of Insurance Commissioners  
3:00 pm Meeting with Commissioner  
4:30 pm Phone call with FDIC Chairman Sheila Bair  
5:00 pm Interview job candidate

Thursday, July 16, 2009

10:30 am Meeting with Commissioner  
12:00 pm Meeting with Congressman Christopher Van Hollen (D-MD)  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff  
5:00 pm Meeting with William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc.

Friday, July 17, 2009

8:30 am Meeting with PricewaterhouseCoopers LLP, including: Robert Moritz, Chairman, Senior Partner; and Laura Cox Kaplan, Leader, Office of U.S. Government, Regulatory Affairs and Public Policy  
9:30 am Meeting with James Turley, Global Chairman, Chief Executive Officer, Ernst & Young LLP  
10:30 am Meeting with the Honorable Nicholas Sherry, Assistant Treasurer, Commonwealth of Australia

12:30 pm Meeting with staff

Monday, July 20, 2009

9:00 am Phone call with John Cusick, Director, Office of Government Ethics  
9:30 am Meeting with staff  
2:00 pm Oral argument  
4:00 pm Meeting with staff  
7:30 pm Speak to the U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises Financial Regulatory Roundtable Series

Tuesday, July 21, 2009

10:00 am Oral argument  
2:00 pm Closed Commission meeting  
4:30 pm Meeting with staff

Wednesday, July 22, 2009

10:00 am Testify before the U.S. House Financial Services Committee on "Regulatory Perspectives on the Obama Administration's Financial Regulatory Reform Proposals"  
2:00 pm Open Commission meeting  
5:00 pm Meeting with staff

Thursday, July 23, 2009

9:30 am Testify before the U.S. Senate Committee on Banking, Housing, and Urban Affairs on "Establishing a Framework for Systemic Risk Regulation"  
2:30 pm Meeting with staff  
3:00 pm Interview job candidate  
4:00 pm Meeting with Commissioner

Friday, July 24, 2009

9:00 am Closed Commission meeting  
10:30 am Meeting with Richard Ferlauto, Director, Corporate Governance and Public Pension Programs, American Federation of State, County and Municipal Employees  
11:30 am Meeting with Thomas DiNapoli, State Comptroller, State of New York; Luke Bierman, General Counsel; and Stephen Hamilton  
12:30 pm Lunch with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Monday, July 27, 2009

8:30 am U.S.-China Strategic and Economic Dialogue photo shoot with principals, Ronald Reagan Building and International Trade Center, Washington, DC

9:00 am	Attend the opening session of the U.S.-China Strategic and Economic Dialogue, Ronald Reagan Building and International Trade Center, Washington, DC
10:00 am	SEC Investor Advisory Committee meeting, SEC Auditorium
11:45 am	Interview job candidate
1:30 pm	U.S.-China Strategic and Economic Dialogue photo shoot with the “economic track,” Ronald Reagan Building and International Trade Center, Washington, DC
1:45 pm	Attend a session at the U.S.-China Strategic and Economic Dialogue on “Enhancing Understanding and Cooperation on Significant U.S.-China Issues,” Ronald Reagan Building and International Trade Center, Washington, DC
7:00 pm	Attend a reception and dinner banquet for the U.S.-China Strategic and Economic Dialogue, Department of State

Tuesday, July 28, 2009

8:00 am	Meeting with staff
9:00 am	U.S.-China Strategic and Economic Dialogue photo shoot with the “economic track,” Department of the Treasury
10:00 am	Attend a session at the U.S.-China Strategic and Economic Dialogue on “Foundations for a Strong Financial System,” Department of the Treasury
11:45 am	Luncheon with attendees at the U.S.-China Strategic and Economic Dialogue, Department of the Treasury
1:30 pm	Meeting with Chairman Shang Fulin of the China Securities Regulatory Commission, Department of the Treasury
3:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Wednesday, July 29, 2009

9:00 am	Meeting with Congressman George Miller (D-CA)
10:00 am	Meeting with staff
10:15 am	Press interview with the <i>Financial Times</i> , including: Joanna Chung, Brooke Masters, Francesco Guerrera, and Edward Luce
12:00 pm	Lunch with Chairman of the Federal Reserve Ben Bernanke, Federal Reserve Board
2:00 pm	Meeting with staff
3:30 pm	Financial Stability Oversight Board meeting, Department of the Treasury
5:00 pm	Meeting with staff
6:00 pm	Speak to the SEC Los Angeles Regional Office via webcast
6:10 pm	Meeting with staff

Thursday, July 30, 2009

8:30 am	Meeting with staff
9:15 am	Meeting with John Liechty, Associate Professor, Smeal College of Business, Penn State University and Allan Mendelowitz, former Director of the Federal Housing Finance Board
9:30 am	Meeting with staff
10:00 am	Meeting with Commissioner and staff
11:00 am	Meeting with T. Boone Pickens, Chairman, Chief Executive Officer, BP Capital, LLC
12:00 pm	Meeting with Commissioner
1:00 pm	Phone call with Duncan Niederauer, Chief Executive Officer, NYSE Euronext
1:30 pm	Meeting with staff

2:00 pm Closed Commission meeting  
4:30 pm Attend a staff reception

Friday, July 31, 2009

9:00 am Meeting with staff  
10:00 am Meeting with Commissioner and the Depository Trust & Clearing Corporation, including: Donald Donahue, Chairman, Chief Executive Officer; Larry Thompson, General Counsel; and Micah Green, Partner, Patton Boggs LLP  
11:00 am Meeting with Gregory DePetris, Co-Founder, Chief Strategic Officer, Quadriserv, Inc.; Martin Doyle, General Council, Quadriserv, Inc.; former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP; and David Portilla, Associate, Davis Polk & Wardwell LLP  
3:00 pm Meeting with Secretary Geithner, Chairman of the Federal Reserve Ben Bernanke, FDIC Chairman Sheila Bair, CFTC Chairman Gary Gensler, Comptroller John Dugan; and Acting Director of the Office of Thrift Supervision John Bowman, Department of the Treasury  
4:45 pm Meeting with staff

Monday, August 3, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:15 am Meeting with staff  
11:30 am Swearing-in ceremony for staff  
12:00 pm Meeting with staff  
1:00 pm Speak at a Joint Conference for Examiners on Fraud Detection, co-sponsored by the SEC, the North American Securities Administrators Association, the Financial Industry Regulatory Authority, and the Chicago Board Options Exchange, SEC Auditorium  
3:00 pm Meeting with staff

Tuesday, August 4, 2009

8:00 am Meeting with staff  
8:45 am Meeting with staff  
9:30 am Meeting with NASDAQ OMX Group, Inc., including: Robert Greifeld, Chief Executive Officer; Edward Knight, Executive Vice President, General Counsel, Chief Regulatory Officer; and Eric Noll, Executive Vice President, Transaction Services  
11:00 am Meeting with Secretary Geithner and CFTC Chairman Gary Gensler, Department of the Treasury  
2:00 pm Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency  
4:30 pm Farewell reception for staff  
6:30 pm Phone call with former SEC Commissioner Joseph Grundfest, Professor of Law and Business, Stanford Law School

Wednesday, August 5, 2009

Travel to Englewood Cliffs, NJ

11:30 am Meeting with CNBC executive producers and reporters, CNBC headquarters, Englewood Cliffs, NJ  
12:00 pm Live appearance on CNBC's *Power Lunch*

Travel to New York, NY

2:00 pm Meeting with staff at the SEC New York Regional Office

Travel to Washington, DC

Thursday, August 6, 2009

Out of the office

Friday, August 7, 2009

Out of the office

Monday, August 10, 2009

8:30 am Meeting with Inspector General  
10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Lunch with staff  
2:00 pm Meeting with William Dudley, President, Chief Executive Officer, Federal Reserve Bank of New York  
3:00 pm Meeting with former SEC Commissioner Roel Campos, Partner, Cooley Godward Kronish  
4:00 pm Meeting with staff  
5:50 pm Meeting with staff

Tuesday, August 11, 2009

9:00 am Meeting with Jeffrey Esser, Chief Executive Officer, Executive Director, Government Finance Officers Association  
10:00 am Meeting with the Options Clearing Corporation, including: Wayne Luthringshausen, Chairman, Chief Executive Officer; William Navin, Executive Vice President, General Counsel, Secretary; and Susan Milligan, Senior Vice President, Government Relations and Communications  
11:30 am Meeting with DBRS, Inc. including, Daniel Curry, President; Mary Keogh, Managing Director, Regulatory Affairs; and Mari-Anne Pisarri, Partner, Picard & Djinis LLP  
12:30 pm Interview job candidate  
3:00 pm Meeting with staff  
4:30 pm Meeting with staff

Wednesday, August 12, 2009

9:00 am Meeting with staff  
10:00 am Press interview with Michael Shnayerson, *Vanity Fair*  
11:30 pm Interview job candidate

12:30 pm Meeting with the International Securities Exchange, including: David Krell, Chairman; Gary Katz, President, Chief Executive Officer; and Michael Simon, General Counsel, Chief Regulatory Officer, Secretary

Thursday, August 13, 2009

8:00 am Meeting with Commissioner  
9:00 am Meeting with Fidelity Investments, including: Charles Morrison, President, Money Market Group; Robert Litterst, Portfolio Manager, Fidelity Cash Reserves; Nancy Prior, Managing Director of Research, Money Market Group; and Scott Goebel, General Counsel  
10:45 am Meeting with the President's Working Group on Financial Markets, Department of the Treasury  
12:30 pm Meeting with staff  
1:00 pm Closed Commission meeting  
2:00 pm Meeting with Commissioner  
3:00 pm Meeting with staff  
4:00 pm Press interview with Roya Wolverson, *SmartMoney*

Friday, August 14, 2009

Out of office

Monday, August 17, 2009

Out of office

Tuesday, August 18, 2009

Out of office

Wednesday, August 19, 2009

Out of office

Thursday, August 20, 2009

Out of office

Friday, August 21, 2009

Out of office

Monday, August 24, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
1:30 pm Meeting with staff  
2:30 pm Meeting with Commissioner

Tuesday, August 25, 2009

9:30 am Meeting with Donald Donahue, Chairman and Chief Executive Officer and Larry Thompson, General Counsel, Depository Trust & Clearing Corporation  
10:30 am Meeting with Donald Donahue, Chairman, Chief Executive Officer, Depository Trust & Clearing Corporation; Alfred Berkeley, Chairman, Chief Executive Officer, Pipeline Trading Systems LLC; Mark Bolgiano, President, Chief Executive Officer, XBRL US, Inc.; Chris Church, Chief Executive Officer of Americas, Global Head of Securities, SWIFT; Philip Moyer, President, Chief Executive Officer, EDGAR Online, Inc.  
11:00 am Meeting with Standard & Poor's, LLC, including: Deven Sharma, President; and Rita Bolger, Senior Vice President, Associate General Counsel, Global Regulatory Affairs  
1:30 pm Interview job candidate  
2:00 pm Meeting with PCAOB Acting Chairman Daniel Goelzer  
3:00 pm Meeting with the Moody's Corporation, including: Ray McDaniel, Chief Executive Officer; John Goggins, General Counsel; and David Martin, Partner, Covington & Burling LLP  
4:15 pm Phone call with Commissioner and Senator Thomas Carper (D-DE)  
5:00 pm Meeting with Commissioner and staff

Wednesday, August 26, 2009

9:00 am Meeting with staff  
10:00 am Meeting with Commissioner  
10:30 am Meeting with staff  
11:00 am Meeting with CFTC Chairman Gary Gensler and Cyrus Amir Mokri, Senior Counsel  
2:00 pm Interview job candidate  
2:30 pm Meeting with Jeffrey Brown, Senior Vice President, Legislative and Regulatory Affairs, Charles Schwab & Co., Inc.; Christopher Gilkerson, Senior Vice President, Deputy General Counsel, Charles Schwab & Co., Inc.; Ellen Koplrow, Executive Vice President, General Counsel, TD Ameritrade, Inc.  
3:30 pm Meeting with staff  
4:00 pm Phone call with Robert Monks, shareholder activist  
4:30 pm Interview job candidate  
5:00 pm Meeting with staff

Thursday, August 27, 2009

9:00 am Meeting with staff  
10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Interview with Judy Woodruff, Bloomberg News  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff

Friday, August 28, 2009

9:00 am Meeting with staff  
10:00 am Meeting with Commissioner  
11:30 am Interview with Liz Claman, Fox Business News

1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Monday, August 31, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
12:00 pm Meeting with Congresswoman Judy Biggert (R-IL)  
2:30 pm Financial Stability Oversight Board meeting, Department of the Treasury  
4:00 pm Meeting with the University of Pittsburgh Medical Center, including: Jeffrey Romoff, President, Chief Executive Officer; Robert Cindrich, Senior Vice President, Chief Legal Officer; Leah Domitrovic, Associate Chief Legal Officer; David Farner, Senior Vice President, Chief-of-Staff; Talbot Heppenstall, Senior Vice President, Treasurer; and former SEC Chairman David Ruder, Professor of Law Emeritus, Northwestern University School of Law  
5:00 pm Meeting with staff

Tuesday, September 1, 2009

8:00 am Meeting with staff  
9:00 am Meeting with William O'Brien, Chief Executive Officer and Eric Hess, General Counsel, Direct Edge  
10:00 am Meeting with the Board of Directors of the Mutual Fund Directors Forum, including: Jameson Baxter, Independent Vice Chairman, Putnam Funds and Chair, Mutual Fund Directors Forum; Allan Mostoff, President, Mutual Fund Directors Forum; Fergus Reid, Chairman, JPMorgan Mutual Fund Group; David Ruder, Chair Emeritus, Mutual Fund Directors Forum; Carl Frischling, Independent Trustee, Invesco Funds and Independent Trustee, Reich & Tang Funds; Joel Motley, Independent Trustee, Oppenheimer Funds; Virginia Stringer, Independent Trustee, Nuveen Funds; Wayne Whalen, Director, Invesco Funds; Gary Wilner, Chairman, Oakmark Funds; and Leigh Wilson, Independent Chair, Victory Funds  
11:30 am Press interview with *The Wall Street Journal's* Washington Bureau, the offices of *The Wall Street Journal*, Washington, DC  
2:00 pm Meeting with staff  
2:30 pm Photo shoot for *Vanity Fair* with Gasper Tringale  
4:00 pm Phone call with Congressman Dennis Kucinich (D-OH)  
5:00 pm Meeting with staff

Wednesday, September 2, 2009

9:00 am Speak at the SEC-CFTC Joint Meetings on Regulation Harmonization, Commodity Futures Trading Commission, Washington, DC

Thursday, September 3, 2009

9:00 am Speak at the SEC-CFTC Joint Meetings on Regulation Harmonization, Commodity Futures Trading Commission, Washington, DC  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting

3:33 pm	Phone call with William Galvin, Secretary of the Commonwealth of Massachusetts
4:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Friday, September 4, 2009

9:00 am	Meeting with staff
12:15 pm	Lunch with staff
2:00 pm	Interview job candidate
3:30 pm	Meeting with Richard Trumka, Secretary-Treasurer, and Daniel Pedrotty, Director of the Office of Investments, AFL-CIO

Monday, September 7, 2009

SEC closed for Labor Day

Tuesday, September 8, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
2:00 pm	Meeting with Antonio Borges, Chairman, Hedge Fund Standards Board
2:30 pm	Meeting with staff
3:00 pm	Meeting with Gary DeWaal, Senior Executive Vice President, Group General Counsel, Newedge USA, LLC
4:30 pm	Meeting with Senator Bob Corker (R-TN)

Wednesday, September 9, 2009

8:30 am	Meeting with staff
9:30 am	Meeting with Glen Reynolds, Chief Executive Officer, CreditSights, Inc.
11:00 am	Meeting with Senator Sherrod Brown (D-OH)
2:00 pm	Meeting with Commissioner
3:00 pm	Speak at the SEC's Fall Law Student Intern Program Inaugural Seminar
4:10 pm	Meeting with Senator Mark Warner (D-VA)
5:00 pm	Meeting with staff

Thursday, September 10, 2009

9:00 am	Meeting with staff
9:30 am	Meeting with the Investment Adviser Association, including: David Tittsworth, Executive Director; Karen Barr, General Counsel; Valerie Baruch, Assistant General Counsel; Michael Cornfeld, President, Heritage Investors Management Corporation; and Christine Morgan, Managing Counsel, T. Rowe Price
11:00 am	Meeting with Senator David Vitter (R-LA)
12:30 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting

Friday, September 11, 2009

8:00 am	Speak to the Federal Advisory Council of the Federal Reserve System, Four Seasons Hotel, Washington, DC
10:00 am	Interview job candidate
11:00 am	Meeting with staff
11:30 am	Meeting with the Investment Company Institute, including: Paul Stevens, President, Chief Executive Officer, Investment Company Institute; Karrie McMillan, General Counsel, Investment Company Institute; Ari Burstein, Head of the Market Structure Group, Investment Company Institute; Brian Conroy, Senior Vice President, Head of Global Equity Trading, Fidelity Management & Research Company; Kevin Cronin, Director of Global Equity Trading, Invesco Ltd. and Chairman, Investment Company Institute's Equity Markets Committee; Gus Sauter, Managing Director, Chief Investment Officer, The Vanguard Group, Inc.; and Michael Hoover, Director of Global Trading, American Century Companies, Inc.
2:00 pm	Meeting with the Committee for Fiduciary Standard, including: Blaine Akin, President, Chief Executive Officer, Fiduciary360; Clark Blackman, Registered Investment Advisor; Gene Diederich, Chief Executive Officer, Moneta Group Investment Advisors, LLC; Harold Evensky, President, Evensky & Katz; Sheryl Garrett, Founder, Garrett Planning Network; Roger Gibson, Founder, Chief Investment Officer, Gibson Capital; Matthew Hutcheson, Independent Fiduciary; Gregory Kasten, Chief Executive Officer, Unified Trust Company; Kathleen McBride, Editor-in-Chief, <i>Wealth Manager</i> ; Fred Reish, Attorney, Reish & Reicher; Ronald Rogé, Chairman, Chief Executive Officer, R. W. Rogé & Company, Inc.; and Knut Rostad, Regulatory and Compliance Officer, Rembert Pendleton Jackson
3:30 pm	Meeting with staff

Monday, September 14, 2009

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:30 am	Meeting with Senator Jeffrey Merkley (D-OR)
12:30 pm	Meeting with Jean St-Gelais, Chairman, Autorité des marchés financiers and Chair, Canadian Securities Administrators
2:00 pm	Meeting with staff
6:30 pm	Strategic dialogue with the Financial Services Authority, United Kingdom, including: Lord Adair Turner, Chairman; Hector Sants, Chief Executive Officer; Verena Ross, Director, Strategy and Risk; Katherine Braddock, Head, International Strategy and Policy Cooperation; and Stephen Bland, Director, Wholesale Firms, Sofitel Washington DC Lafayette Square, Washington, DC

Tuesday, September 15, 2009

8:00 am	Strategic dialogue with the Financial Services Authority, United Kingdom, including: Lord Adair Turner, Chairman; Hector Sants, Chief Executive Officer; Verena Ross, Director, Strategy and Risk; Katherine Braddock, Head, International Strategy and Policy Cooperation; and Stephen Bland, Director, Wholesale Firms, SEC
1:30 pm	Phone call with Dr. Richard Newell, Administrator, Energy Information Administration
3:00 pm	Meeting with Senator Edward Kaufman (D-DE)
5:00 pm	Meeting with Ambassador to Uruguay Frank Baxter
5:30 pm	Meeting with staff
6:30 pm	Meeting with staff

Wednesday, September 16, 2009

8:30 am Phone call with Lord Adair Turner, Chairman, Financial Services Authority, United Kingdom  
9:30 am Meeting with staff  
10:00 am Oral argument  
11:00 am Closed Commission meeting  
3:00 pm Speak at the 2009 Rhode Island Business Leaders Day, Russell Senate Office Building, Washington, DC  
4:00 pm Meeting with staff  
5:00 pm Meeting with Ernst Ligteringen, Chief Executive, Global Reporting Initiative; Allen White, Founding Member, Global Reporting Initiative; Michael Wallace, Director, Sustainability Reporting Framework, Global Reporting Initiative; and Philip Sharp, President, Resources for the Future  
6:00 pm Tape remarks for the SEC ethics compliance system training video  
6:20 pm Meeting with staff

Thursday, September 17, 2009

9:45 am Speak at the Transatlantic Corporate Governance Dialogue, SEC Auditorium  
10:30 am Meeting with Anthony Lim, President (Americas), Government of Singapore Investment Corporation  
12:00 pm Meeting with staff  
2:30 pm Open Commission meeting  
5:30 pm Meeting with staff

Friday, September 18, 2009

9:00 am Interview job candidate  
1:00 pm Speak at a conference on "The Future of Global Finance," Georgetown University, Washington, DC  
3:00 pm Meeting with representatives from Korn/Ferry International, including: Charles Ingersoll, Sector Leader, Government Specialty Practice; Stewart Goldman, Client Partner, Financial Services; and Linda Madrid, Senior Client Partner  
3:30 pm Meeting with U.S. House Appropriations Committee staff members, including: Lee Price, Marianne Upton, Mary Dietrich, and John Martens  
4:00 pm Meeting with staff

Monday, September 21, 2009

10:30 am Phone call with Commissioner and Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation  
11:00 am Meeting with staff  
2:30 pm Meeting with staff  
3:30 pm Meeting with the President's Working Group on Financial Markets, Department of Treasury  
6:00 pm Meeting with staff

Tuesday, September 22, 2009

11:00 am	Testify before the U.S. House Committee on Agriculture on the "Proposed Legislation from the Department of the Treasury on Regulation of the OTC Derivatives Market"
2:00 pm	Meeting with Congressman Edolphus Towns (D-NY), Chairman, U.S. House Subcommittee on Oversight and Government Reform
3:30 pm	Speak at a town hall meeting, SEC Auditorium
5:30 pm	Meeting with Hal Scott, President, Director, Committee on Capital Markets Regulation

Wednesday, September 23, 2009

9:00 am	Meeting with the NASAA, including: Denise Voigt Crawford, President, Board of Directors; Deborah Fischione House, Director, Policy; Rex Staples, General Counsel; and Russ Iuculano, Executive Director
10:00 am	Interview job candidate
10:30 am	Interview job candidate
11:30 am	Interview job candidate
1:00 pm	Meeting with Commissioner
2:00 pm	Phone call with Mel Karmazin, Chief Executive Officer, Sirius XM Radio Inc.
2:30 pm	Meeting with Commissioner and staff

Thursday, September 24, 2009

9:30 am	Press interview with Stephen Taub, <i>Institutional Investor</i>
11:00 am	Meeting with staff
2:00 pm	Closed Commission meeting
6:30 pm	Speak at the Financial Services Roundtable – 2009 Fall Conference, Mandarin Oriental, Washington, DC

Friday, September 25, 2009

8:00 am	Meeting with David Smith, President, Chief Executive Officer, Society of Corporate Secretaries and Governance Professionals, Inc.
8:30 am	Phone call with Sean Chen, Chairman, Taiwan Financial Supervisory Commission
9:30 am	Meeting with staff
11:00 am	Interview job candidate
2:00 pm	Conference call with the President's Economic Recovery Advisory Board Working Group on Financial Markets and Regulation, including: former SEC Chairman William Donaldson; Roger Ferguson, Teachers Insurance and Annuity Association - College Retirement Equities Fund; David Swensen, Yale University; Jeffrey Immelt, General Electric Company; Penny Pritzker, Classic Residence by Hyatt; Mark Gallogly, Centerbridge Partners, L.P.; Robert Wolf, UBS AG; Anna Burger, Change to Win Federation; and Richard Trumka, American Federation of Labor and Congress of Industrial Organizations
3:30 pm	Meeting with Commissioner and the Society of Corporate Secretaries and Governance Professionals, Inc., including: David Smith, President, Chief Executive Officer; Paul Washington, Past Chairman and Ex Officio Director; Darla Stuckey, Senior Vice President, Policy and Advocacy; and Neila Radin, Member, Securities Law Committee
4:30 pm	Meeting with Commissioner and staff

Monday, September 28, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Phone call with Congressman James Greenwood, Chairman, Chief Executive Officer, Biotechnology Industry Organization
2:30 pm	Financial Stability Oversight Board meeting, Department of the Treasury
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff

Tuesday, September 29, 2009

8:00 am	Phone call with CFTC Chairman Gary Gensler
9:30 am	Speak at the SEC's Securities Lending and Short Sale Roundtable
4:00 pm	Phone call with staff
4:30 pm	Press interview and photo shoot with Will Acworth, <i>Futures Industry</i>

Wednesday, September 30, 2009

8:30 am	Meeting with the Board of Directors of the Managed Funds Association, including: Scott Bernstein, Caxton Associates; Darcy Bradbury D.E. Shaw; Michel Brogard, Amber Capital Investment; Todd Buillone, Highbridge Capital Management; Mark Casella, PricewaterhouseCoopers; Norman Champ, Chilton Investments; Putnam Coes, Paulson & Co.; Sam Cole, BlueMountain; John Damgard, FIA; Craig Donohue, CME; Sonia Gardner, Avenue Capital; Bill Goodell, Maverick; Fred Hatfield, Hatfield Advisors; Mark Horowitz, Glenview Capital; Michael Inserra, Moore Capital; William Keunen, Citco; Jules Kroll, K2 Global; Scott Lawin, Fortress Investment Group; Annette Nazareth, Davis Polk & Wardwell LLP; Peter Nussbaum, S.A.C.; James O'Brien, Cantillon Capital Management; Joann Pace, Morgan Stanley; Paul Roth, Schulte Roth and Zabel; Jim Rowen, Renaissance Technologies; Cary Stier, Deloitte & Touche; Eric Vincent, Ospraie; Tracy Wills-Zapata, Campbell & Co.; Jeff Wechselblatt, Lone Pine; Ben Allensworth, Managed Funds Association; Richard Baker, Managed Funds Association; Marc Charon, Managed Funds Association; Lou Costantino, Managed Funds Association; John Gaine, Managed Funds Association; Brooke Harlow, Managed Funds Association; Stacy Hudson, Managed Funds Association; Stuart Kaswell, Managed Funds Association; Carl Kennedy, Managed Funds Association; Lynn Ann Kirk, Managed Funds Association; David Landers, Managed Funds Association; Sarah Ann Morgan, Managed Funds Association; Matthew Newell, Managed Funds Association; and Jennifer Han, Managed Funds Association
9:30 am	SEC's Securities Lending and Short Sale Roundtable
1:30 pm	Phone call with Larry Fink, Chairman, Chief Executive Officer, BlackRock, Inc.
2:00 pm	Meeting with Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation; Terri Polley, President, Financial Accounting Foundation; Bob Herz, Chairman, Financial Accounting Standards Board; and Russell Golden, Technical Director, Financial Accounting Standards Board, Financial Accounting Foundation
4:30 pm	Meeting with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.
5:30 pm	Meeting with staff

Thursday, October 1, 2009

8:00 am Meeting with the Security Traders Association, including: John Giese, President, Chief Executive Officer; Peter Driscoll, Chairman; and David Franasiak, Principal, Williams & Jensen, PLLC

10:00 am Meeting with Senator Michael Johanns (R-NE)

11:30 am Meeting with Congressman John Larson (D-CT), Chairman, U.S. House Democratic Caucus

1:00 pm Meeting with staff

2:00 pm Closed Commission meeting

4:30 pm Meeting with Commissioner

5:00 pm Phone call with William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc.

Friday, October 2, 2009

10:00 am Meeting with Commissioner and staff

11:30 am Meeting with staff

1:30 pm Meeting with staff

2:00 pm Meeting with CFTC Chairman Gary Gensler; Cyrus Amir Mokri, Senior Counsel; Ananda Radhakrishnan, Director, Division of Clearing and Intermediary Oversight; Terry Arbit, Deputy General Counsel

4:00 pm Meeting with staff

Monday, October 5, 2009

9:30 am Meeting with staff

10:30 am Meeting with staff

2:00 pm Meeting with staff

3:00 pm Phone call with Marcia Asquith, Senior Vice President and Corporate Secretary, and Todd Diganci, Executive Vice President and Chief Financial Officer, Financial Industry Regulatory Authority, Inc.

Tuesday, October 6, 2009

9:00 am Meeting with staff

12:00 pm Meeting with staff

Travel to Basel, Switzerland

Wednesday, October 7, 2009

5:30 pm Meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, Congress Center Basel, Basel, Switzerland

Thursday, October 8, 2009

8:45 am Meeting with staff

12:00 pm Lunch with Philipp Hildebrand, Member, Governing Board, Swiss National Bank and Chair, Compensation Working Group, Financial Stability Board, Swissôtel Le Plaza, Basel, Switzerland

1:30 pm Speak to the International Organization of Securities Commissions Technical Committee, Congress Center Basel, Basel, Switzerland

3:30 pm	Meeting with David Wilson, Chairman, Ontario Securities Commission and Member, Canadian Securities Administrators, Congress Center Basel, Basel, Switzerland
4:30 pm	Meeting with Paul Boyle, Chief Executive Officer, Financial Reporting Council, Congress Center Basel, Basel, Switzerland
5:00 pm	Closed Commission meeting by phone

Friday, October 9, 2009

Travel to Washington, DC

Saturday, October 10, 2009

Travel to Rochester, NY

1:30 pm	Speak at the University of Rochester Presidential Symposium, Strong Auditorium, University of Rochester, Rochester, NY
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Travel to Washington, DC

Monday, October 12, 2009

SEC closed for Columbus Day

Tuesday, October 13, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
2:00 pm	Meeting with Duncan Niederauer, Chief Executive Officer, NYSE Euronext
3:30 pm	Phone call with PCAOB Acting Chairman Daniel Goelzer
4:00 pm	Meeting with staff
5:00 pm	Meeting with Commissioner

Wednesday, October 14, 2009

9:00 am	Meeting with staff
12:00 pm	Lunch meeting with Secretary Geithner, Chairman of the Federal Reserve Ben Bernanke, Governor of the Board of Governors of the Federal Reserve System Daniel Tarullo, FDIC Chairman Sheila Bair, CFTC Chairman Gary Gensler, Comptroller John Dugan, Department of the Treasury
2:30 pm	Meeting with staff
3:00 pm	Phone call with Mel Karmazin, Chief Executive Officer, Sirius XM Radio Inc.
3:30 pm	Meeting with staff
4:30 pm	Interview job candidate

Thursday, October 15, 2009

9:00 am	Meeting with staff
2:00 pm	Closed Commission meeting

Friday, October 16, 2009

9:00 am	Meeting with Gary Katz, Chief Executive Officer and Kathy Simmons, Deputy General Counsel, International Securities Exchange
10:00 am	Interview job candidate
10:30 am	Press conference by telephone with CFTC Chairman Gary Gensler
11:30 am	Meeting with staff
1:00 pm	Phone call with Congressman Thomas Carper (D-DE)
2:00 pm	Meeting with staff
4:30 pm	Phone call with Congressman Ed Perlmutter (D-CO)

Monday, October 19, 2009

9:00 am	Meeting with the International Monetary Fund, including: Susanne Bersträsser, Outside Assessor and Deputy Head, Department on Market Supervision, Federal Financial Supervisory Authority, Germany; Richard Britton, Outside Assessor and formerly of the Financial Services Authority, United Kingdom; Tanis MacLaren, Outside Assessor and formerly of the Ontario Securities Commission; and Christian Durand, Assistant Director, Monetary and Capital Markets Department, International Monetary Fund
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Tuesday, October 20, 2009

9:30 am	Meeting with staff
10:00 am	Meeting with staff
1:00 pm	Attend the SEC's Combined Federal Campaign kick-off event
3:30 pm	Meeting with Congressman Darrell Issa (R-CA), Ranking Member, U.S. House Committee on Oversight and Government Reform
5:00 pm	Phone call with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.

Wednesday, October 21, 2009

10:00 am	Open Commission meeting
1:00 pm	Meeting with staff
1:30 pm	Meeting with Ann Yerger, Executive Director, Council of Institutional Investors and Joseph Dear, Chief Investment Officer, California Public Employees' Retirement System
2:30 pm	Meeting with Senator Christopher Dodd (D-CT), Chairman, U.S. Senate Committee on Banking, Housing, and Urban Affairs
4:00 pm	Meeting with staff
4:30 pm	Press interview with Susan Page, <i>USA Today</i>
5:00 pm	Tape video introduction for <a href="http://www.investor.gov">http://www.investor.gov</a>
5:30 pm	Meeting with staff

Thursday, October 22, 2009

10:30 am	Meeting with staff
12:15 pm	Speak at the AARP Public Policy Institute and National Consumers League Solutions Forum on Fraud, Columbus Club, Union Station, Washington, DC
2:30 pm	Closed Commission meeting
4:30 pm	Photo shoot with Frannie Ruch, <i>Institutional Investor</i>

Friday, October 23, 2009

Travel to Lancaster, PA

Saturday, October 24, 2009

10:30 am Speak at the Franklin & Marshall Forum on Women and Business, Barshinger Center for Musical Arts, Franklin & Marshall College, Lancaster, PA

Sunday, October 25, 2009

Travel to Washington, DC

Monday, October 26, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with Sharon Bowles, Chair, Economic and Monetary Affairs Committee, European Parliament  
2:00 pm Meeting with George Simon, NEXT Investments, and Rhonda Grant, President, Chief Executive Officer, Grant Consulting Group

Travel to New York, NY

Tuesday, October 27, 2009

8:25 am Speak at Securities Industry and Financial Markets Association's Annual Meeting, New York Marriott Marquis, New York, NY  
9:00 am Interviews with reporters following event  
10:45 am Meeting with Commissioner and staff

Travel to Norwalk, CT

2:00 pm Meeting with Bob Herz, Chairman, Financial Accounting Standards Board; Terri Polley, President, Financial Accounting Foundation; Russell Golden, Technical Director, Financial Accounting Standards Board, Financial Accounting Foundation; Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation; David Tweedie, Chairman, International Accounting Standards Board; Thomas Siedenstein, Chief Operating Officer, International Accounting Standards Board, International Accounting Standards Committee Foundation, Hilton Garden Inn Norwalk, Norwalk, CT

Travel to Washington, DC

Wednesday, October 28, 2009

8:45 am Phone call with Xavier Briggs, PAD, OMB  
9:00 am Meeting with the Consumer Federation of America, including: Barbara Roper, Director, Investor Protections, Consumer Federation of America; Michael Greenberger, Professor, University of Maryland School of Law; Lisa Lindsley,

Deputy Director, Capital Stewardship Program, United Food and Commercial Workers; Lisa Donner, Executive Director, Americans for Financial Reform; Daniel Pedrotty, Director, Office of Investment, AFL-CIO; and Richard Ferlauto, Director, Corporate Governance and Public Pension Programs, American Federation of State, County, and Municipal Employees

9:45 am Phone call with Congressman Kevin McCarthy (R-CA)

10:00 am Meeting with Christopher Concannon, Partner, and Douglas Cifu, Partner, Virtu Financial LLC

11:00 am Meeting with Commissioner and staff

12:30 pm Meeting with Lord Adair Turner, Chairman, Financial Services Authority, United Kingdom

2:00 pm Phone call with Congresswoman Melissa Bean (D-IL)

3:15 pm Meeting with Wells Fargo Advisors, LLC, including: Daniel Ludeman, Chief Executive Officer; Ronald Long, Director, Regulatory Affairs; Robert Mooney, Chief Governance Officer; and Anita Eloff, Senior Federal Government Relations Director

4:45 pm Financial Stability Oversight Board meeting, Department of the Treasury

Thursday, October 29, 2009

11:00 am Meeting with staff

12:30 pm Farewell reception for staff

3:00 pm Interview job candidate

4:30 pm Meeting with Senator Jim Bunning (R-KY)

6:00 pm Meeting with staff

Friday, October 30, 2009

9:00 am Interview job candidate

10:00 am Meeting with staff

11:00 am Meeting with Lazard Group LLC, including: Steven Golub, Interim Chief Executive Officer; Scott Hoffman, General Counsel; Michael Castellano, Chief Financial Officer; Elizabeth Derbes, Partner, Wilmer Cutler Pickering Hale and Dorr LLP; Marianne Smythe, Partner, Wilmer Cutler Pickering Hale and Dorr LLP

12:30 pm Lunch with Damon Silvers, Associate General Counsel, AFL-CIO

3:00 pm Meeting with staff

Monday, November 2, 2009

8:30 am Phone call with Hans Hoogervorst, Chairman, Authority for the Financial Markets, Netherlands and Masamichi Kono, Vice Commissioner, International Affairs, Japan Financial Services Agency

10:00 am Oral Argument

4:30 pm Meeting with staff

5:15 pm Meeting with Commissioner

Tuesday, November 3, 2009

8:30 am Meeting with staff

10:00 am Meeting with staff

10:30 am Meeting with staff

1:35 pm Meeting with staff

2:00 pm Closed Commission meeting

Travel to New York, NY

Wednesday, November 4, 2009

9:15 am Speak at the Practising Law Institute's 41<sup>st</sup> Annual Institute on Securities Regulation, Hilton New York, New York, NY

Travel to Washington, DC

3:00 pm Meeting with Senator Judd Gregg (R-NH)

Thursday, November 5, 2009

9:30 am Meeting with staff  
11:00 am Meeting with the President's Working Group on Financial Markets, Department of the Treasury

Travel to Cambridge, MA

6:00 pm Deliver the Robert Glauber Lecture, Harvard Kennedy School of Government, Harvard University, Littauer Building, Cambridge, MA  
7:30 pm Dinner with Robert Glauber and guests, Harvard Kennedy School of Government, Harvard University, Littauer Building, Cambridge, MA

Friday, November 6, 2009

Travel to Boston, MA

9:00 am Meeting with staff at the SEC Boston Regional Office  
9:30 am Meeting with staff at the SEC Boston Regional Office  
10:45 am Meeting with staff at the SEC Boston Regional Office  
11:15 am Meeting with staff at the SEC Boston Regional Office  
12:00 pm Lunch with staff at the SEC Boston Regional Office

Travel to Washington, DC

Monday, November 9, 2009

8:30 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Closed Commission meeting  
2:00 pm Press interview with Andrew Ackerman, *The Bond Buyer*  
3:30 pm Meeting with staff  
4:30 pm Meeting with staff  
5:30 pm Meeting with Commissioner

Tuesday, November 10, 2009

9:00 am Meeting with Commissioner  
10:00 am Closed Commission meeting

11:30 am	Meeting with the Taxpayers Against Fraud, including: former Congressman Jack Fields, Chief Executive Officer, Twenty-First Century Group; Jeb White, President, Chief Executive Officer, Taxpayers Against Fraud; Philip Michael, Attorney, Troutman Sanders LLP; Erika Kelton, Attorney, Phillips & Cohen LLP; Paul Scott, Founder, Paul D. Scott, P.C.; Neil Getnick, Managing Partner, Getnick & Getnick LLP; and Jocelyn Hong, Principal, Twenty First Century Group, Inc.
12:30 pm	Speak at the SEC's Veteran's Day Celebration, SEC Auditorium
3:00 pm	Phone call with Senator Richard Durbin (D-IL), Chairman, U.S. Senate Subcommittee on Financial Services and General Government
3:15 pm	Closed Commission meeting
4:30 pm	Meeting with staff

Wednesday, November 11, 2009

SEC closed for Veterans Day

Thursday, November 12, 2009

9:00 am	Meeting with staff
11:00 am	Meeting with Richard Baker, President, Chief Executive Officer, and Stuart Kaswell, General Counsel, Managed Funds Association
12:00 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Commission briefing

Friday, November 13, 2009

No scheduled meetings

Monday, November 16, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
2:00 pm	Meeting with Philip Angelides, Chairman, and William Thomas, Vice Chairman, Financial Crisis Inquiry Commission
4:00 pm	Meeting with Congresswoman Louise Slaughter (D-NY), Chairman, U.S. House Committee on Rules
5:30 pm	Meeting with Thomas Glocer, Chief Executive Officer, Thomson Reuters Corporation and Peter Rich, Principal, Rich Feuer Group

Tuesday, November 17, 2009

Travel to Philadelphia, PA

9:00 am	Meeting with staff at the SEC Philadelphia Regional Office
9:30 am	Meeting with staff at the SEC Philadelphia Regional Office
11:00 am	Meeting with staff at the SEC Philadelphia Regional Office
11:30 am	Meeting with staff at the SEC Philadelphia Regional Office
12:00 pm	Lunch with staff at the SEC Philadelphia Regional Office
12:45 pm	Meeting with staff at the SEC Philadelphia Regional Office
1:00 pm	Meeting with staff at the SEC Philadelphia Regional Office

1:30 pm Meeting with staff at the SEC Philadelphia Regional Office

Travel to Washington, DC

6:00 pm Speak at the semi-annual meeting of the Conference of Chairs of the Federal Reserve Banks, Federal Reserve Building, Washington, DC

Wednesday, November 18, 2009

11:00 am Speak at the SEC's Office of Human Resources Annual Awards, SEC Auditorium  
11:30 am Meeting with Commissioner and staff  
2:00 pm Meeting with Sallie Krawcheck, President, Global Wealth and Investment Management, Bank of America Corporation  
3:00 pm Meeting with Anne Stausboll, Chief Executive Officer, California Public Employees' Retirement System; Mindy Lubber, President, Ceres and Director, Investor Network on Climate Risk; Howard Rifkin, Deputy Treasurer, State of Connecticut; Julie Gorte, Senior Vice President, Sustainable Investing, Pax World Investments; Richard Ferlauto, Director, Corporate Governance and Public Pension Programs, American Federation of State, County, and Municipal Employees; Vidette Bullock-Mixon, Director, Corporate Relations, General Board of Pension and Health Benefits, United Methodist Church; William Somplatsky-Jarman, Associate for Mission Responsibility Through Investment, Presbyterian Church (U.S.A.)  
5:00 pm Meeting with staff

Thursday, November 19, 2009

9:00 am Speak at and attend the SEC's 2009 Government-Business Forum on Small Business Capital Formation, SEC Auditorium  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with Commissioner and staff

Friday, November 20, 2009

11:00 am Meeting with Attorney General Eric Holder, Department of Justice  
1:30 pm Meeting with Simon Lorne, Vice Chairman, Chief Legal Officer, Millennium Management LLC  
2:30 pm Interview job candidate  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Monday, November 23, 2009

8:30 am Meeting with staff  
9:00 am Closed Commission meeting  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
1:00 pm Meeting with Commissioner  
2:00 pm Meeting with Eddy Wymeersch, Chairman, and Carlo Comporti, General Secretary, Committee of European Securities Regulators  
3:30 pm Financial Stability Oversight Board meeting, Federal Housing Finance Agency

4:45 pm Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency

Tuesday, November 24, 2009

10:00 am Meeting with staff  
12:30 pm Lunch with former SEC Chairman Harvey Pitt, Chief Executive Officer, Kalorama Partners, LLC  
3:00 pm Meeting with staff  
3:30 pm Press interview with Fawn Johnson, Dow Jones News Service  
4:30 pm Interview job candidate

Wednesday, November 25, 2009

Out of the office

Thursday, November 26, 2009

SEC closed for Thanksgiving Day

Friday, November 27, 2009

Out of the office

Monday, November 30, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
1:00 pm Meeting with staff

Tuesday, December 1, 2009

9:00 am Meeting with staff  
10:00 am Interview job candidate  
11:00 am Meeting with staff  
1:30 pm Meeting with William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc.  
2:30 pm Meeting with Wayne Luthringshausen, Chairman, Chief Executive Officer, Options Clearing Corporation  
3:30 pm Meeting with the Investment Company Institute, including: Paul Stevens, Chief Executive Officer, Investment Company Institute; Karrie McMillan, General Counsel, Investment Company Institute; Edward Bernard, Chairman, Investment Company Institute and Vice Chairman, T. Rowe Price Investment Services, Inc.; George Gatch, Managing Director, JPMorgan Asset Management; John McGonigle, Vice Chairman, Executive Vice President, Chief Legal Officer, Secretary, Federated Investors, Inc.; Jack Brennan, Chairman, The Vanguard Group, Inc. and Chair, Investment Company Institute Working Group; Marty Flanagan, President, Chief Executive Officer, Invesco Ltd.; Jacques Perold, Chief Operating Officer, Asset Management, Fidelity Management & Research Company  
4:30 pm Meeting with staff

Wednesday, December 2, 2009

9:00 am Interview job candidate  
10:00 am Meeting with the Procter & Gamble Company, including: Robert McDonald, President, Chief Executive Officer; E.J. Wunsch, Associate General Counsel, Assistant Secretary; and Carolyn Brehm, Vice President of Global Relations  
11:00 am Interview job candidate  
1:15 pm Meeting with Senator Charles Schumer (D-NY)  
2:30 pm Meeting with Thomas Joyce, Chairman, Chief Executive Officer, Knight Capital Group, Inc.  
3:30 pm Phone call with Stephen Harbeck, President, Chief Executive Officer, Securities Investor Protection Corporation  
4:30 pm Farewell reception for staff

Thursday, December 3, 2009

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:30 am Speak at the Consumer Federation of America's Annual Financial Services Conference, Embassy Suites Washington D.C. – Convention Center, Washington, DC  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
5:15 pm Meeting with Robert Kelly, Chairman and Chief Executive Officer, and Nancy DeParis, Vice President of Government Affairs, Bank of New York Mellon Corporation

Friday, December 4, 2009

9:00 am Meeting with staff  
1:00 pm Meeting with Commissioner  
3:00 pm Interview job candidate

Monday, December 7, 2009

9:30 am Meeting with staff  
10:30 am Meeting with staff  
4:26 pm Phone call with FDIC Chairman Sheila Bair  
4:33 pm Phone call with Secretary Geithner  
7:00 pm Attend the President and Mrs. Obama's Holiday Ball in honor of the Members of Congress, White House

Tuesday, December 8, 2009

9:00 am Meeting with staff  
9:10 am Phone call with James Gorman, President, Chief Executive Officer, Morgan Stanley  
4:30 pm Meeting with Senator Michael Crapo (R-ID)  
5:45 pm Meeting with Commissioner

Wednesday, December 9, 2009

9:30 am Meeting with staff  
10:00 am Meeting with William O'Brien, Chief Executive Officer, and Eric Hess, General Counsel, Direct Edge  
11:00 am Meeting with Grant Thornton LLP, including: Edward Nusbaum, Global Chief Executive Officer; Stephen Chipman, U.S. Chief Executive Officer; Michael Starr, Chief Operating Officer; Trent Gazzaway, Managing Partner, Public Policy and Governance; and former SEC Commissioner Roel Campos, Partner, Cooley  
Godward Kronish  
1:00 pm Meeting with Commissioner  
2:00 pm Closed Commission meeting  
5:00 pm Meeting with staff

Thursday, December 10, 2009

10:00 am Meeting with Larry Fink, Chairman, Chief Executive Officer, BlackRock, Inc.  
10:30 am Meeting with staff  
11:30 am Meeting with Senator Richard Shelby (R-AL), Ranking Member, U.S. Senate Committee on Banking, Housing, and Urban Affairs  
2:00 pm Attend the SEC's Equal Employment Opportunity Special Emphasis Committees Networking Reception  
2:30 pm Meeting with staff  
3:00 pm Phone call with Donald Donahue, Chairman, Chief Executive Officer, Depository Trust & Clearing Corporation  
3:15 pm Phone call with William Dudley, President, Chief Executive Officer, Federal Reserve Bank of New York  
5:00 pm Meeting with Commissioner

Friday, December 11, 2009

9:30 am Meeting with Commissioner

Monday, December 14, 2009

8:00 am Meeting with staff  
9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:30 am Meeting with staff

Tuesday, December 15, 2009

8:30 am Meeting with Deven Sharma, President, and Rita Bolger, Senior Vice President and General Counsel, Standard & Poor's  
10:00 am Financial Fraud Enforcement Task Force meeting, Department of Justice  
1:30 pm Meeting with Goldman Sachs Asset Management, L.P., including: Peter Bonanno, Vice President, Associate General Counsel; James McNamara, Managing Director, President, Goldman Sachs Mutual Funds; Timothy O'Neill, Managing Director, Global Co-Head, Investment Management Division; David Fishman, Managing Director; Co-Head of Global Liquidity Management Business; and former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP

2:30 pm Meeting with staff  
3:00 pm Meeting with Donald Donahue, Chairman, Chief Executive Officer, Depository Trust & Clearing Corporation and Norman Eaker, Principal, Operations and Chief Administrative Officer, Edward D. Jones & Co., L.P. and Chairman, Governance Committee, Depository Trust & Clearing Corporation  
4:30 pm Phone call with CFTC Chairman Gary Gensler

Wednesday, December 16, 2009

10:00 am Open Commission meeting  
1:00 pm SEC holiday party  
3:00 pm Meeting with staff  
4:30 pm Attend the President and Mrs. Obama's Holiday Reception, White House

Thursday, December 17, 2009

9:15 am Meeting with staff  
11:30 am Meeting with the President's Working Group on Financial Markets, Department of Treasury  
2:00 pm Closed Commission meeting  
4:00 pm Attend the SEC Red Wagon Holiday Parade

Friday, December 18, 2009

12:00 pm SEC holiday parties  
3:00 pm Phone call with Larry Fink, Chairman, Chief Executive Officer, BlackRock, Inc.

Monday, December 21, 2009

10:00 am Meeting with Senator David Vitter (R-LA)  
2:30 pm Financial Stability Oversight Board meeting, Department of the Treasury

Tuesday, December 22, 2009

10:00 am Press interview with Jesse Westbrook, Bloomberg News  
11:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
3:30 pm Meeting with staff  
4:30 pm Interview job candidate  
5:30 pm Meeting with staff  
6:00 pm Meeting with staff

Wednesday, December 23, 2009

Out of the office

Thursday, December 24, 2009

Out of the office

Friday, December 25, 2009

SEC closed for Christmas Day

Monday, December 28, 2009

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
2:00 pm	Meeting with staff

Tuesday, December 29, 2009

10:00 am	Closed Commission meeting
11:30 am	Meeting with Commissioner
1:30 pm	Interview job candidate

Wednesday, December 30, 2009

Out of the office

Thursday, December 31, 2009

Out of the office