

Chairman Jay Clayton
Public Calendar
November 1, 2020 to November 30, 2020

Sunday, November 1, 2020

5:30 pm Phone call with staff
6:30 pm Phone call with staff

Monday, November 2, 2020

8:00 am Financial Stability Board (FSB) meeting
10:00 am Open Commission Meeting
2:10 pm Interview with Bob Pisani, CNBC
4:30 pm Meeting with staff

Tuesday, November 3, 2020

9:00 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
4:30 pm Meeting with staff

Wednesday, November 4, 2020

9:30 am Meeting with staff
10:00 am Meeting with Commissioner
11:00 am Phone call with Steven Mnuchin, Secretary, U.S. Department of the Treasury
11:30 am Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
5:30 pm Meeting with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293

Thursday, November 5, 2020

9:00 am Asset Management Advisory Committee (AMAC) Meeting
10:00 am Meeting with staff
10:45 am Meeting with staff
11:00 am Meeting with Commissioner
1:30 pm Meeting with staff
2:00 pm Meeting with Saba Capital, including: Boaz Weinstein, Chief Investment Officer; Pierre Weinstein, Partner; Michael D'Angelo, Chief Operating Officer; Paul

Kazarian, Managing Director; and John Mahon, Partner, Schulte, Roth & Zabel LLP

2:30 pm Meeting with staff

3:30 pm Speaking engagement, SEC's Veterans Day event, Fireside Chat with Retired Navy Admiral Michelle Howard

Friday, November 6, 2020

8:30 am Phone call with Sean Berrigan, Deputy Director-General, European Commission

4:30 pm Phone call with Thomas Storch, National Economic Council (NEC)

Monday, November 9, 2020

9:30 am Meeting with staff

10:00 am Phone call with Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)

11:00 am Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)

12:30 pm Phone call with Sam Woods, Deputy Governor for Prudential Regulation, Bank of England

1:00 pm Small Business Capital Formation Advisory Committee Meeting

1:30 pm Meeting with staff

3:30 pm Meeting with Commissioner

Tuesday, November 10, 2020

9:30 am Meeting with staff

10:00 am Closed Commission Meeting

11:00 am Meeting with staff

12:30 pm Meeting with Commissioner

1:00 pm Phone call with Brent McIntosh, Under Secretary for International Affairs, U.S. Department of the Treasury; and Lael Brainard, Governor, Federal Reserve Board (FRB)

1:30 pm Meeting with staff

2:00 pm Meeting with staff

3:00 pm Phone call with Congressman Steve Womack

3:30 pm Meeting with the Government Accountability Office (GAO), including: Jim Dalkin, Director, Financial Management Assurance Team; Grant Simmons, Assistant Director; Aaron Livernois, Assistant Director; Randy Voorhees, Senior Auditor; Melanie Darnell, Senior Auditor; Deanna Kitchen, Auditor; John Grobarek, Auditor; and Gary Barton, Partner, Cotton and Company

4:00 pm Meeting with staff

Wednesday, November 11, 2020

Veterans Day – Federal Holiday

10:00 am Meeting with CNBC
11:00 am Phone call with staff
12:00 pm Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust Division, U.S. Department of Justice (DOJ)
4:00 pm Phone call with Dave Michaels, The Wall Street Journal (WSJ)

Thursday, November 12, 2020

7:00 am FSB Meeting
10:45 am Meeting with staff
11:45 am Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Phone call with Heath Tarbert, Chairman, CFTC; and the National Futures Association, including: Tom Sexton, President; Regina Thoele, Senior Vice President for Compliance; and Karen Wuertz, Senior Vice President for External Affairs and Communications
3:30 pm Interview with Mengqi Sun, WSJ
4:00 pm Phone call with Heath Tarbert, Chairman, CFTC; Randal Quarles, Vice Chairman for Supervision, FRB; Lael Brainard, Governor, FRB; Brian Brooks, Acting Comptroller of the Currency; Justin Muzinich, Deputy Secretary, U.S. Department of the Treasury; and Brent McIntosh, Under Secretary for International Affairs, U.S. Department of the Treasury
4:45 pm Meeting with staff
5:15 pm Meeting with staff

Friday, November 13, 2020

10:30 am Phone call with Steven Mnuchin, Secretary, U.S. Department of the Treasury; Jerome Powell, Chairman, FRB; Randal Quarles, Vice Chairman for Supervision, FRB; John Williams, President, Federal Reserve Bank of New York; Heath Tarbert, Chairman, CFTC; Brian Brooks, Acting Comptroller of the Currency; and Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC)
4:30 pm Meeting with staff
5:00 pm Meeting with staff

Sunday, November 15, 2020

5:30 pm Phone call with staff
6:00 pm Phone call with staff

Monday, November 16, 2020

8:30 am Interview with Paul Kiernan and Dave Michaels, The WSJ
9:00 am Phone call with Senator Richard Shelby
9:05 am Phone call with Senator John Kennedy
9:10 am Phone call with Senator Pat Toomey
9:15 am Phone call with Senator Chuck Grassley
9:20 am Phone call with Senator Thom Tillis
9:25 am Phone call with Senator Jon Tester
9:30 am Phone call with Congressman Steve Womack
9:35 am Phone call with Congressman Brad Sherman
10:00 am Meeting with staff
10:30 am Phone call with Heath Tarbert, Chairman, CFTC
11:00 am Meeting with staff
12:00 pm Phone call with Jerome Powell, Chairman, FRB
12:05 pm Meeting with staff
12:30 pm Meeting with staff
2:30 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB
3:00 pm Federal Housing Finance Oversight Board Meeting
4:30 pm Meeting with staff
6:15 pm Phone call with Senator Tim Scott

Tuesday, November 17, 2020

7:00 am International Organization of Securities Commissions (IOSCO) Meeting
10:00 am Hearing before the Senate Committee on Banking, Housing, and Urban Affairs
2:30 pm Phone call with Ernst & Young, including: Kelly Grier, U.S. Chair; John King, Americas Vice Chair for Assurance; Jim Estes, Americas Vice Chair for Professional Practice; and Bridget Neill, Americas Vice Chair for Public Policy
3:30 pm Meeting with Commissioner
4:20 pm Meeting with staff
4:30 pm Meeting with staff

Wednesday, November 18, 2020

12:00 pm Phone call with Eugene Scalia, Secretary, U.S. Department of Labor
1:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:45 pm Commission Briefing
3:45 pm Phone call with Congressman Mike Quigley
4:00 pm Meeting with Brian Quintenz, Commissioner, CFTC
4:15 pm Meeting with Commissioner
5:00 pm Meeting with staff

Thursday, November 19, 2020

8:10 am Interview with Andrew Ross Sorkin, CNBC
10:00 am Speaking engagement, Economic Club of New York
1:00 pm Phone call with KPMG, including: Paul Knopp, Chair; Scott Flynn, Vice Chair for Audit; Christian Peo, National Managing Partner; Larry Bradley, Head of Audit, KPMG International; Tonya Robinson, General Counsel for Legal, Regulatory, and Compliance; and Ed Cannizzaro, Global Head of Quality, Risk, & Regulatory
1:45 pm Phone call with Deloitte, including: Lara Abrash, Chairman; Joe Ucuzoglu, U.S. Chief Executive Officer; Alex Schillaci, Partner; and Jen Haskell, Chief Auditor
3:00 pm Phone call with PricewaterhouseCoopers (PwC), including: Tim Ryan, U.S. Chairman; Alan Lee, Global Assurance Quality Risk Leader; Richard Oldfield, Lead Market-Facing Activities, Initiatives, and Strategy; and Diana Weiss, Global General Counsel
3:45 pm Meeting with staff
4:15 pm Phone call with Senator Sherrod Brown
4:30 pm Meeting with staff

Friday, November 20, 2020

8:00 am Phone call with Himino Ryoza, Commissioner, Japanese Financial Services Agency (JFSA)
8:30 am FSB Steering Committee on Non-Bank Financial Institutions (NBFI)
10:00 am Phone call with Senator Mike Rounds
10:15 am Phone call with Klaas Knot, President, De Nederlandsche Bank
4:30 pm Meeting with staff
4:45 pm Meeting with staff

Monday, November 23, 2020

8:00 am Phone call with IOSCO Financial Stability Engagement Group (FSEG)
9:30 am Phone call with Agustin Carstens, General Manager, Bank for International Settlements
10:00 am Meeting with staff
10:30 am Meeting with Commissioner
11:30 am Phone call with Heath Tarbert, Chairman, CFTC
1:45 pm Meeting with staff
4:00 pm Meeting with staff

Tuesday, November 24, 2020

8:00 am Phone call with Francois Villeroy de Galhau, Governor, Bank of France
8:30 am Phone call with Paul Andrews, Secretary General, IOSCO

9:00 am	Phone call with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England
9:30 am	Phone call with Sebastian Albella Amigo, Chairman, Spanish Securities Markets Commission (CNMV)
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Phone call with Jelena McWilliams, Chairman, FDIC
11:30 am	Phone call with Robert Ophele, Chairman, French Financial Markets Authority (AMF)
12:00 pm	Phone call with Andrew Bailey, Governor, Bank of England
1:30 pm	Meeting with staff
3:30 pm	Phone call with Gary Gensler, President-Elect Joseph R. Biden's Transition Team
4:30 pm	Meeting with staff
4:45 pm	Meeting with staff

Thursday, November 26, 2020

Thanksgiving – Federal Holiday

Friday, November 27, 2020

12:00 pm	Phone call with Damon Silvers, President-Elect Joseph R. Biden's Transition Team
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Monday, November 30, 2020

8:00 am	Phone call with President-Elect Joseph R. Biden's Agency Review Team, including: Damon Silvers, Gary Gensler, and Campbell Haynes
9:00 am	Phone call with Robert Cook, Chief Executive Officer, Financial Industry Regulatory Authority (FINRA)
9:30 am	Meeting with staff
10:30 am	Phone call with Kathleen Casey, Chair, Financial Accounting Foundation (FAF)
11:00 am	Meeting with staff
11:30 am	Meeting with staff
1:15 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Congressman Patrick McHenry
3:30 pm	Phone call with Heath Tarbert, Chairman, CFTC
4:00 pm	Meeting with staff
4:30 pm	Phone call with Tobias Adrian, Director of Monetary and Capital Markets, International Monetary Fund (IMF)