

Chairman Jay Clayton
Public Calendar
October 1, 2020 to October 31, 2020

Thursday, October 1, 2020

9:00 am	Phone call with Robert Ophèle, Chairman, French Financial Markets Authority
10:15 am	Speaking engagement, Rosenblatt Securities Global Exchange Leader Conference
11:30 am	Speaking engagement, Webinar “Two Sides of the American Coin” with Acting Comptroller of the Currency Brian Brooks
1:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff

Monday, October 5, 2020

7:00 am	Financial Stability Board (FSB) Meeting
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Fixed Income Market Structure Advisory Committee (FIMSAC) Meeting
1:00 am	Town Hall meeting with SEC employees
2:30 pm	FIMSAC Meeting
4:15 pm	Phone call with Publish What You Pay, including: Kathleen Brophy, Director; Zorka Milin, Senior Legal Advisor, Global Witness; Joseph Kraus, Transparency & Accountability Policy Director; Isabel Munilla, Extractive Industries Transparency Policy Lead, Oxfam America; and Michelle Harrison, Staff Attorney, Earthrights International
4:30 pm	Phone call with Securities Industry and Financial Markets Association (SIFMA), including: Ken Bentsen, Chief Executive Officer; Joe Seidel, Chief Operating Officer; Ira Hammerman, General Counsel; Tom Price, Managing Director, Operations Technology; Aseel Rabie, Associate General Counsel; and Melissa MacGregor, Associate General Counsel
5:00 pm	Phone call with Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)

Tuesday, October 6, 2020

9:45 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
1:00 pm	Phone call with Mark Carney, Vice Chair, Brookfield Asset Management
1:30 pm	Meeting with staff

2:00 pm	Meeting with staff
2:45 pm	Meeting with staff
3:00 pm	Meeting with Commissioner
4:00 pm	Meeting with Commissioner

Wednesday, October 7, 2020

7:00 am	Phone call with International Organization of Securities Commissions (IOSCO) Financial Stability Engagement Group (FSEG)
9:30 am	Phone call with Lorie Logan, Executive Vice President, Markets Group, Federal Reserve Bank of New York
11:15 am	Open Commission Meeting
1:10 pm	Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
1:20 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Phone call with David Solomon, Chief Executive Officer, The Goldman Sachs Group, Inc.
3:00 pm	Phone call with Congressman Anthony Gonzalez
3:40 pm	Phone call with candidate
4:00 pm	Phone call with candidate
4:20 pm	Phone call with candidate
5:00 pm	Phone call with Rohan Weerasinghe, General Counsel, Citigroup
5:15 pm	Meeting with staff

Thursday, October 8, 2020

8:00 am	Phone call with FSB Steering Committee on Non-Bank Financial Institutions (NBFI)
10:00 am	Speaking engagement: SEC Speaks
11:30 am	Phone call with Jerome Powell, Chairman, Federal Reserve Board (FRB)
1:00 pm	Phone call with candidate
1:20 pm	Phone call with candidate
1:40 pm	Phone call with candidate
2:00 pm	Phone call with candidate
2:30 pm	Phone call with the North American Securities Administrators Association (NASAA), including: Lisa Hopkins, President; Melanie Lubin, President Elect; Joseph Brady, Executive Director; Mike Canning, Director of Policy & Government Affairs; Vince Martinez, General Counsel; and Andrea Seidt, Member
3:30 pm	Speaking engagement, Fireside Chat with Brett Redfearn, Director, Division of Trading & Markets, SEC, and Rich Repetto, Managing Director of Equity Research, Piper Sandler
4:10 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff

Monday, October 12, 2020

Columbus Day - Federal Holiday

Tuesday, October 13, 2020

9:00 am Phone call with Edward Tilly, Chairman, Cboe Global Markets, Inc.
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:30 pm Phone call with Heath Tarbert, Chairman, CFTC

Wednesday, October 14, 2020

7:30 am Interview with Andrew Ross Sorkin, CNBC
9:00 am Speaking engagement, SIFMA Annual Conference
11:00 am Closed Commission Meeting
2:20 pm Speaking engagement, SEC Roundtable on Interconnectedness and Risk in U.S. Credit Markets
4:00 pm Meeting with Fidelity Investments and Fidelity Investments Fixed Income and Asset Allocation Board (FIAA) of Trustees, including: Abby Johnson, Chairman; Nancy Prior, President; Jim Febeo, Senior Vice President for Government Relations; Catriona Martin, Chief Investment Officer for Bonds; JJ Johnson, Chief Communications Officer for Public Affairs and Policy; Anne Mousseau, Board Program Director; Stephanie Brown, Head of Treasurer's Office and Board Program; Jamie Pagliocco, Head of Fixed Income; Jonathan Chiel, General Counsel; Cynthia Lo Bessette, Deputy General Counsel; David Carter, Associate General Counsel; Art Johnson, Trustee Chair; FIAA; Beth Acton, Trustee, FIAA; Ann Dunwoody, Trustee, FIAA; John Engler, Trustee, FIAA; Robert Gartland, Trustee, FIAA; Michael Kenneally, Trustee, FIAA; Marie Knowles, Trustee, FIAA; Jenny McAuliffe, Trustee, FIAA; Mark Murray, Trustee, FIAA; Tom Bogle, Trustee Counsel, Dechert LLP; and Whitney Chatterjee, Trustee Counsel, Sullivan & Cromwell LLP
5:00 pm Meeting with staff

Thursday, October 15, 2020

9:30 am Phone calls with candidates

10:00 am Phone calls with members of the Public Company Accounting Oversight Board (PCAOB)

Friday, October 16, 2020

9:45 am Meeting with staff
10:30 am Meeting with staff

Saturday, October 17, 2020

7:00 pm Phone call with Heath Tarbert, Chairman, CFTC

Sunday, October 18, 2020

11:45 am Phone call with staff
11:55 am Phone call with Commissioner
12:40 pm Phone call with Commissioner

Monday, October 19, 2020

8:00 am Phone call with Heath Tarbert, Chairman, CFTC
9:30 am Meeting with staff
10:15 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Phone call with Hal Scott, Emeritus Nomura Professor of International Financial Systems, Harvard Law School
2:00 pm Speaking engagement, SEC's First Generation Professionals event with Commissioner Elad Roisman; Commissioner Allison Lee; and Jelena McWilliams, Chairman, FDIC
3:30 pm Meeting with staff
4:00 pm Meeting with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293
4:30 pm Meeting with staff
5:00 pm Meeting with staff

Tuesday, October 20, 2020

8:30 am Meeting with Ja'Ron Smith, Deputy Assistant to the President; and Chris Pilkerton, Senior Policy Advisor & Director of White House Opportunity Now Initiative
10:00 am Meeting with staff
10:30 am Meeting with staff
11:15 am Speaking engagement, Financial Advisor Summit with Bob Pisani, CNBC
11:45 am Meeting with staff
4:30 pm Meeting with staff

Wednesday, October 21, 2020

7:30 am Treasury Regulatory Roundtable
11:30 am Meeting with staff
2:00 pm Closed Commission Meeting
3:15 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:30 pm Meeting with staff
6:05 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB; and Justin Muzinich, Deputy Secretary, U.S. Department of the Treasury

Thursday, October 22, 2020

10:00 am Joint Open Commission Meeting with the CFTC
2:00 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Phone call with Bill Duhnke, Chairman, PCAOB
4:00 pm Meeting with staff
4:30 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB

Friday, October 23, 2020

8:45 am Phone call with David Solomon, Chief Executive Officer, The Goldman Sachs Group, Inc.
9:00 am Phone call with Nikhil Rathi, Chief Executive Officer, Financial Conduct Authority
10:00 am Meeting with Commissioner
10:30 am Phone call with Paul Andrews, Secretary General, IOSCO
11:00 am Phone call with Steven Mnuchin, Secretary, U.S. Department of the Treasury; Jerome Powell, Chairman, FRB; Randal Quarles, Vice Chairman for Supervision, FRB; John Williams, President, New York Federal Reserve Bank; Heath Tarbert, Chairman, CFTC; Brian Brooks, Acting Comptroller of the Currency; and Jelena McWilliams, Chairman, FDIC

Monday, October 26, 2020

8:00 am FSB Meeting
10:15 am Phone call with Senator Pat Toomey
10:30 am Meeting with staff
11:15 am Meeting with staff
1:00 pm Speaking engagement, SEC Roundtable on Regulation Best Interest and Form CRS
1:30 pm Meeting with staff

2:00 pm Phone call with Senator Tom Cotton; David Knight, General Counsel, Stephens Inc.; and David Prince, General Counsel, Stephens Investment Management Group
3:30 pm Meeting with staff
4:45 pm Phone call with Heath Tarbert, Chairman, CFTC

Tuesday, October 27, 2020

9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
1:00 pm FSB Interagency Advisory Group Meeting
2:00 pm Meeting with staff
2:30 pm Speaking engagement, SEC's Philadelphia Regional Office Mid-Atlantic Conference
3:30 pm Phone call with Makan Delrahim, Assistant Attorney General for the Antitrust Division, U.S. Department of Justice (DOJ)
3:45 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:00 pm Phone call with Jelena McWilliams, Chairman, FDIC

Wednesday, October 28, 2020

10:00 am Open Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Speaking engagement, Impact the Wealth Gap: A Roundtable Reimagined

Thursday, October 29, 2020

7:30 am Phone call with IOSCO FSEG
3:30 pm Phone call with Peter Navarro, Assistant to the President and Director of the Office of Trade and Manufacturing Policy

Friday, October 30, 2020

3:05 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB
3:30 pm Meeting with staff

Saturday, October 31, 2020

4:30 pm Phone call with staff