

Chairman Jay Clayton
Public Calendar
September 1, 2020 to September 30, 2020

Tuesday, September 1, 2020

10:00 am Phone call with H. Rodgin Cohen, Senior Chairman, Sullivan & Cromwell LLP
2:00 pm Meeting with Commissioner
2:15 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Phone call with Commissioner
4:00 pm Phone call with Mark Carney, Vice Chair, Brookfield Asset Management

Wednesday, September 2, 2020

12:30 pm Phone call with Elisabeth Roegele, Chief Executive Director of Securities Supervision, Germany Federal Financial Supervisory Authority (BaFin)
1:15 pm Phone call with Senator Mark Warner
1:45 pm Meeting with staff
2:00 pm Closed Commission Meeting
4:00 pm Meeting with staff
4:10 pm Phone call with the U.S. Department of Treasury, including: Jeff Dinwoodie, Principal Deputy Assistant Secretary for Financial Institutions, and Brian Callanan, General Counsel
4:30 pm Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)
5:00 pm Phone call with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board (FRB)

Tuesday, September 8, 2020

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff
2:00 pm Interview with Kelli Grant, CNBC
2:30 pm Meeting with staff
3:15 pm Meeting with staff
3:30 pm Phone call in preparation for speaking event with University of Pennsylvania Institute for Law and Economics faculty Larry Hamermesh (Executive Director), Jill Fisch (Co-Director and Fox Distinguished Professor), Elizabeth Pollman (Co-Director), and Nadia Jannetta (Managing Director); and former SEC Division of Enforcement Directors Steve Cutler, Bill McLucas, Linda Thompson, and Steve Peikin

4:00 pm Financial Stability Board (FSB) Interagency Advisory Group meeting

Wednesday, September 9, 2020

9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with Commissioner
1:00 pm Meeting with staff
1:30 pm Phone call with Congressman Bill Huizenga
2:00 pm Closed Commission Meeting
4:00 pm Phone call with CNBC
5:30 pm Phone call with Rohan Weerasinghe, General Counsel, Citigroup

Thursday, September 10, 2020

8:00 am Phone call with Ashley Alder, Chairman, International Organization of Securities Commissions (IOSCO)
9:30 am Meeting with the Financial Industry Regulatory Authority (FINRA) Board, including: Eileen Murray, Chairperson; Robert Cook, Chief Executive Officer; Camille Busette, Economic Studies, Governance Studies, Metropolitan Policy Program Director, Brookings Institute; Jack Ehnes, Chief Executive Officer, CalSTRS; Peter Fisher, Clinical Professor, Tuck School of Business; Maureen Jensen, former Chair, Ontario Securities Commission; Eric Noll, Chief Executive Officer, Context Capital Partners; Charles Plosser, former President, Federal Reserve Bank of Philadelphia; Hillary Sale, Associate Dean for Strategy, Georgetown University Law Center; Ethiopis Tafara, Vice President, Multilateral Investment Guarantee Agency; Christopher Flint, President, Farmers New World Life; Brian Kovak, President, Kovack Securities; Wendy Lanton, Chief Operations Officer, Lantern Investments; Kathleen Murphy, President of Personal Investing, Fidelity Investments; Linde Murphy, Chief Compliance Officer, M.E. Allison & Co., Inc.; James Nagengast, Chief Executive Officer, Securities America; Paige Pierce, President, McLaughlin Ryder Investments; Timothy Scheve, President, Janney Montgomery Scott; Amy Webber, President, Cambridge Investment Research; and Lance Drummond, Retired
11:15 am Speaking engagement, National Association of Securities Professionals Legislative Symposium
11:50 am Meeting with staff
1:00 pm Phone call with Congressman Chris Stewart
1:30 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with staff

Friday, September 11, 2020

9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Phone call with Brian Brooks, Acting Comptroller of the Currency

Monday, September 14, 2020

8:00 am Phone call with IOSCO Financial Stability Engagement Group (FSEG)
8:30 am Phone call with FSB Steering Committee Group on Non-Bank Financial Institutions (NBFI)
10:30 am Meeting with staff
11:10 am Meeting with staff
11:30 am Phone call with Congressman Patrick McHenry
1:00 pm Meeting with Thomas Storch, National Economic Council
1:45 pm Phone call with Steven Mnuchin, Secretary, U.S. Department of the Treasury
2:00 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB; Steven Mnuchin, Secretary, U.S. Department of the Treasury; and Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)
3:00 pm Meeting with Commissioner
4:30 pm Meeting with staff
4:45 pm Phone call with Heath Tarbert, Chairman, CFTC
5:15 pm Meeting with staff

Tuesday, September 15, 2020

6:30 am Phone call with Ambassador Michael DeSombre, U.S. Ambassador to the Kingdom of Thailand
7:00 am IOSCO meeting
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Speaking engagement, Financial Services Professionals Meet & Greet with De'Ana Dow, Chair
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:45 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust Division, U.S. Department of Justice (DOJ)

Wednesday, September 16, 2020

9:00 am Speaking engagement, Asset Management Advisory Committee Meeting
10:00 am Meeting with Commissioner
10:45 am Meeting with Commissioner
11:30 am Meeting with staff
11:45 am Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Interview with Alexander Osipovich, The Wall Street Journal (WSJ)
4:00 pm Meeting with staff
4:15 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, September 17, 2020

2:00 pm Meeting with staff
3:00 pm Interview with Bloomberg News including Ben Bain and Matt Robinson
4:30 pm Speaking engagement, University of Pennsylvania Institute for Law & Economics
“Benefiting Investors in the Short and Long Term through Vigorous and Nimble
Enforcement”

Friday, September 18, 2020

9:30 am Phone call with Brent McIntosh, Under Secretary for International Affairs, U.S.
Department of Treasury; Heath Tarbert, Chairman, CFTC; and Andreas Lehnert,
Director, Division of Financial Stability, FRB

Monday, September 21, 2020

7:00 am FSB Steering Committee Meeting
9:30 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Phone call with Randal Quarles, Vice Chair for Supervision, FRB
1:45 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Phone call with Heath Tarbert, Chairman, CFTC
3:30 pm Meeting with staff
4:00 pm Phone call with Robert Cook, Chief Executive Officer, FINRA
4:30 pm Interview with the WSJ Editorial Board and Commissioner Elad Roisman
5:00 pm Meeting with staff

Tuesday, September 22, 2020

9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:15 am Meeting with staff
11:45 am Phone call with Mark Calabria, Director, Federal Housing Finance Agency (FHFA)
12:00 pm Meeting with Commissioner
12:45 pm Credit Sensitivity Group Principals Meeting
1:30 pm Credit Sensitivity Group Meeting
2:00 pm Meeting with staff
2:30 pm Meeting with press
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:10 pm Meeting with staff

Wednesday, September 23, 2020

8:00 am Meeting with Congressman Jim Himes
10:00 am Open Commission Meeting
12:00 pm Phone call with Heath Tarbert, Chairman, CFTC
12:45 pm Meeting with staff
1:00 pm Meeting with the Investor Advisory Committee (IAC) Executive Committee, including: Paul Mahoney, Member; and Heidi Stam, Vice Chair
1:30 pm Meeting with staff
2:00 pm Closed Committee Meeting
4:00 pm Phone call with Ed Sisk, Chair, Municipal Securities Rulemaking Board (MSRB)

Thursday, September 24, 2020

7:00 am Phone call with IOSCO FSEG
8:00 am Interview with Andrew Ross Sorkin, CNBC
9:00 am Meeting with staff
10:00 am Investor Advisory Committee Meeting
11:00 am Meeting with Congressman Chris Stewart and David Parker
12:00 pm SEC Diversity Council Meeting
1:00 pm Phone call with Kohn, Kohn, & Colapinto, including: Stephen Kohn, Partner, and Siri Nelson, Associate Attorney
1:50 pm Phone call with candidate
2:15 pm Phone call with candidate
4:30 pm Phone call with candidate
4:50 pm Meeting with staff

Friday, September 25, 2020

10:30 am Financial Stability Oversight Council (FSOC) Principals Meeting

11:30 am FSOC Meeting

Monday, September 28, 2020

9:00 am Meeting with staff

9:30 am Meeting with staff

10:00 am Meeting with staff

10:15 am Meeting with staff

11:00 am Phone call with Heath Tarbert, Chairman, CFTC

Tuesday, September 29, 2020

8:30 am Meeting with staff

9:00 am Meeting with staff

9:30 am Meeting with Commissioner

10:15 am Meeting with staff

10:30 am Meeting with staff

11:30 am Phone call with Andrew Bailey, Governor, Bank of England

1:00 pm Speaking engagement, A Retrospective on Financial Regulation with Hal Scott, Emeritus Nomura Professor of International Financial Systems, Harvard Law School

2:00 pm Phone call with the Investment Adviser Association Board of Governors, including: Karen Barr, President; Gail Bernstein, General Counsel; Neil Simon, Vice President for Government Relations; Richard Adler, Chief Executive Officer, Red Arrow Capital; Ben Alden, Chief Risk Officer of Financial Advisor Services, Vanguard Group; Geoff Alexander, President, R.M. Davis Inc.; Alison Baur, Deputy General Counsel, Franklin Templeton Investments; Christine Carsman, Senior Policy Advisor, Affiliated Managers Group; James Febeo, Head of Government Relations, Fidelity Investments; Yvette Garcia, General Counsel, Bessemer Trust; Joan Giardina, Principal, Chesley, Taft & Associates; Catherine Halberstadt, Co-President, Osterweis Capital Management; Rick Hough, President, Silvercrest Asset Management; Mason King, Principal, Luther King Capital Management; Charles Mahar, Chief Executive Officer, Tealwood Asset Management; Gwen Reinke, Chief Compliance Officer, Vista Equity Partners; Jeff Schweon, Partner, Williams, Jones Investment Management; Burnie Sparks, President, Bailard, Inc.; Steve Wilkes, Chief Executive Officer, Hutchinson Capital Management; Jane Williams, Co-Founder, Sand Hill Global Advisors; R. Kelly Williams, President, Atlanta Capital Management; and Rana Wright, General Counsel, Harris Associates

2:30 pm Meeting with staff

3:15 pm Closed Commission Meeting

5:30 pm Meeting with staff

Wednesday, September 30, 2020

11:00 am Meeting with staff

1:00 pm Meeting with staff

1:30 pm Speaking engagement: Build Wealth Summit Fireside Chat

2:00 pm Intergovernmental cybersecurity briefing