

Chairman Jay Clayton
Public Calendar
March 1, 2020 to March 31, 2020

Monday, March 2, 2020

9:30 am Meeting with Fidelity Investments including: Abby Johnson, Chief Executive Officer; Jonathan Chiel, General Counsel; and Jim Febeo, Head of Federal Government Relations

10:15 am Meeting with staff

11:30 am Meeting with staff

12:30 pm Meeting with Kathy Casey, Chair, Financial Accounting Foundation (FAF)

2:30 pm Federal Housing Finance Oversight Board meeting

Tuesday, March 3, 2020

8:15 am Meeting with Kathy Kraninger, Director, Consumer Financial Protection Bureau (CFPB)

9:30 am Meeting with staff

10:00 am Meeting with staff

10:30 am Meeting with staff

10:45 am Meeting with staff

11:00 am Meeting with staff

11:30 am Meeting with staff

12:30 pm Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust Division, Department of Justice (DOJ)

2:00 pm Meeting with Dimensional Fund Advisors, including: David Booth, Chairman; Gerard O'Reilly, Co-Chief Executive Officer; Catherine Newell, General Counsel; and Bruce Leto, Co-Chair of the Investment Management Practice, Stradley Ronon

2:30 pm Meeting with Third Point, LLC, including: Daniel Loeb, Chief Executive Officer; Jeff Cook-McCormac, Head of Public Affairs; and Rob Collins, Partner, S-3 Group

3:30 pm Meeting with staff

4:00 pm Meeting with Financial Industry Regulatory Authority (FINRA), including: Robert Cook, President; Bob Colby, Chief Legal Officer; Todd Diganci, Chief Financial Officer; Jonathan Sokobin, Chief Economist; and Greg Dean, Vice President for Government Affairs

5:00 pm Meeting with Commissioner

6:00 pm Meeting with Jessie Liu, former U.S. Attorney for the District of Columbia

7:00 pm Meeting with Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC); and Joseph Otting, Comptroller of the Currency

Wednesday, March 4, 2020

10:30 am Meeting with Commissioner
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
12:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Commission Briefing
3:30 pm Meeting with Ken Blackwell, former Ohio Secretary of State; Chris Burnham and John Quamme, Cambridge Global Capital
4:00 pm Meeting with staff

Thursday, March 5, 2020

8:00 am Financial Stability Board (FSB) Committee meeting
10:15 am Meeting with Congressman Brad Sherman
11:00 am Meeting with Rostin Behnam, Commissioner, Commodity Futures Trading Commission (CFTC)
11:30 am Meeting with staff
1:30 pm Meeting with Jessie Liu, former U.S. Attorney for the District of Columbia
2:00 pm Women's History Month event with Jessie Liu
3:15 pm Meeting with staff
3:40 pm Phone call with Makan Delrahim, Assistant Attorney General for the Antitrust Division, DOJ

Friday, March 6, 2020

11:30 am Phone call with Gary Cohn
11:45 am Phone call with Justin Muzinich, Deputy Secretary, Department of the Treasury
12:15 pm Meeting with Stieven Capital Advisors, including: Joe Stieven, President; and Mark Ross, Managing Director
12:45 pm Phone call with Mark Carney, Governor, Bank of England
1:00 pm Meeting with Edward Jones, including: Penny Pennington, Managing Partner; Chris Lewis, General Counsel; Rich Link, Chief Compliance Officer; and Jesse Hill, Principal, Government and Regulatory Relations

Sunday, March 8, 2020

3:00 pm Phone call with staff

Monday, March 9, 2020

7:45 am Phone call with Stacey Cunningham, President, New York Stock Exchange (NYSE)
11:30 am Meeting with Commissioner
12:30 pm Phone call with Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
1:00 pm Meeting with Commissioner
1:30 pm Meeting with Commissioner
2:00 pm Meeting with staff
2:30 pm SEC Diversity Council meeting
3:30 pm Meeting with Commissioner
4:45 pm Commission Briefing
5:30 pm Meeting with staff

Tuesday, March 10, 2020

8:00 am President's Working Group on Financial Markets Principals conference call
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with members of the SEC Investor Advisory Committee (IAC), including: Anne Sheehan, Chair; and Allison Bennington, Member
12:00 pm Meeting with Commissioner
12:30 pm Phone call with Randal Quarles, Vice Chairman for Supervision, Federal Reserve Board (FRB)
1:00 pm Meeting with Commissioner
1:30 pm Meeting with staff
2:00 pm Meeting with the Sustainability Accounting Standards Board (SASB), including: Janine Guillot, Chief Executive Officer; Tom Riesenberg, Director of Legal & Regulatory Policy; and Alan Beller, Senior Counsel, Cleary Gottlieb Steen & Hamilton LLP
2:30 pm Meeting with Commissioner
3:00 pm Meeting with staff
4:00 pm Interagency FSB meeting
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Wednesday, March 11, 2020

9:40 am Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
10:00 am Meeting with staff
10:30 am Meeting with staff

11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Phone call with Senator John Kennedy
12:40 pm	Phone call with Congressman Mike Quigley
1:15 pm	Phone call with Heath Tarbert, Chairman, CFTC
1:30 pm	Phone call with Kathy Casey, Chair, FAF
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:10 pm	Phone call with Senator Chris Van Hollen
4:30 pm	Meeting with staff
4:45 pm	Phone call with Stacey Cunningham, President, NYSE
5:00 pm	Phone call with Adena Friedman, President, Nasdaq
5:15 pm	Phone call with Chicago Board Options Exchange (CBOE), including: Ed Tilly, Chief Executive Officer; and Chris Isaacson, Chief Operations Officer
5:30 pm	Phone call with Mike Bodson, President, Deposit Trust & Clearing Corporation (DTCC)
5:45 pm	Phone call with the Options Clearing Corporation (OCC), including: Craig Donohue, Executive Chairman; and John Davidson, Chief Executive Officer
6:00 pm	Commission Briefing
7:00 pm	Meeting with Randal Quarles, Vice Chairman for Supervision, FRB

Thursday, March 12, 2020

7:30 am	FSB Steering Committee meeting
7:45 am	Meeting with staff
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Phone call with Erik Thedeen, Director General, Swedish Financial Supervisory Authority
2:00 pm	Meeting with staff
2:30 pm	Phone call with Stacey Cunningham, President, NYSE
2:40 pm	Meeting with staff
3:00 pm	Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
3:20 pm	Phone call with Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
3:30 pm	Phone call with Randal Quarles, Vice Chairman for Supervision, FRB
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with staff
5:30 pm	Meeting with staff
6:00 pm	Commission Briefing

Friday, March 13, 2020

10:00 am Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
11:00 am Phone call with Tom Baxter and Charles Gray, Sullivan & Cromwell LLP
1:00 pm Meeting with Commissioner
1:30 pm Meeting with staff
3:30 pm Phone call with Mark Wetjen, Chief Executive Officer, MIAX Futures
4:00 pm Meeting with staff
4:30 pm Meeting with staff
4:45 pm Commission Briefing

Saturday, March 14, 2020

9:00 am Meeting with staff
4:00 pm Meeting with staff
4:30 pm Phone call with Jelena McWilliams, Chair, FDIC
4:45 pm Phone call with Joseph Otting, Comptroller of the Currency
7:30 p.m. Phone call with staff
8:00 p.m. Phone call with H. Rodgin Cohen, Senior Chairman, Sullivan & Cromwell LLP
9:00 p.m. Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC

Sunday, March 15, 2020

9:00 am Meeting with Commissioner
11:00 am Phone call with Ken Bentsen, President, and Ira Hammerman, General Counsel, Securities Industry and Financial Markets Association (SIFMA)
2:00 pm Phone call with H. Rodgin Cohen, Senior Chairman, Sullivan & Cromwell LLP
8:00 pm Meeting with staff
9:00 pm Phone call with Congressman Bill Huizenga

Monday, March 16, 2020

7:00 am Interview with Andrew Sorkin, CNBC
8:00 am International Organization of Securities Commissions (IOSCO) Board meeting
10:00 am Phone call with Senator Sherrod Brown
11:05 am Phone call with David Solomon, Chief Executive Officer, Goldman Sachs
11:30 am Phone call with Randal Quarles, Vice Chairman for Supervision, FRB
12:30 pm Meeting with staff
1:30 pm Phone call with Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
2:30 pm Meeting with staff
3:15 pm Phone call with Jerome Powell, Chair, FRB

4:30 pm	Meeting with staff
5:00 pm	Phone call with the Department of the Treasury, including: Justin Muzinich, Deputy Secretary; and Brent McIntosh, Under Secretary for International Affairs
5:15 pm	Meeting with staff
5:30 pm	Commission Briefing

Tuesday, March 17, 2020

8:00 am	Phone call with John Williams, Chief Executive Officer, Federal Reserve Bank of New York
9:30 am	Meeting with Commissioner
9:45 am	Meeting with staff
10:00 am	Phone call with Larry Kudlow, Director, NEC
10:30 am	Meeting with staff
12:45 pm	Phone call with the Department of the Treasury, including: Justin Muzinich, Deputy Secretary; and Brent McIntosh, Under Secretary for International Affairs
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Paul Riley, Chief Executive Officer, Raymond James Financial
4:00 pm	Meeting with staff
5:15 pm	Meeting with President Donald Trump; Steve Mnuchin, Secretary, Department of the Treasury; and Larry Kudlow, Director, NEC
6:30 pm	Phone call with Stacey Cunningham, President, NYSE
7:30 pm	Phone Call with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293

Wednesday, March 18, 2020

10:00 am	Commission Briefing
10:30 am	Phone call with Jim Kaiser, Board Member, Public Company Accounting Oversight Board (PCAOB)
11:00 am	Phone call with Ted Pick, Head of Institutional Securities Group, Morgan Stanley
11:15 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Phone call with Adena Friedman, President, Nasdaq
1:45 pm	Meeting with Commissioner
2:00 pm	Phone call with Christopher Woolard, Interim Chief Executive, UK Financial Conduct Authority
2:20 pm	Phone call with Senator Mike Crapo
3:00 pm	Meeting with staff
3:05 pm	Phone call with Lael Brainard, Board Member, FRB; and Justin Muzinich, Deputy Secretary, Department of the Treasury
4:00 pm	Meeting with staff
4:30 pm	Phone call with Senator Pat Toomey
4:45 pm	Meeting with staff
5:00 pm	Meeting with staff

5:30 pm Phone call with Abby Johnson, Chief Executive Officer, Fidelity Investments
5:50 pm Phone call with Tim Buckley, Chief Executive Officer, The Vanguard Group

Thursday, March 19, 2020

7:45 am Phone call with Steven Mnuchin, Secretary, Department of the Treasury
8:00 pm FSB Steering Committee meeting
10:00 am Commission Briefing
11:00 am Phone call with Ed Sisk, Chair, Municipal Securities Rulemaking Board (MSRB)
11:30 am Meeting with staff
1:00 pm Meeting with staff
2:30 pm Meeting with Commissioner
3:00 pm Phone call with Justin Muzinich, Deputy Secretary, Department of the Treasury
3:30 pm Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
4:00 pm Meeting with staff
4:30 pm Phone call with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England
5:00 pm Meeting with staff
6:00 pm Phone call with Ted Lehman, Chief of Staff, Office of Senator Thom Tillis
9:00 pm Meeting with Commissioner

Friday, March 20, 2020

7:45 am Meeting with Commissioner
8:00 pm Phone call with Tim Buckley, Chief Executive Officer, The Vanguard Group
11:00 am Phone call with Glenn Hutchins, Chairman, North Island
12:00 pm Phone call with Ed Sisk, Chair; and Bill Fitzgerald, Board Member, MSRB
1:15 pm Meeting with Commissioner
1:30 pm Meeting with Commissioner
2:00 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:30 pm Commission Briefing
8:00 pm Phone call with Heath Tarbert, Chairman, CFTC

Saturday, March 21, 2020

9:00 am Phone call with Randal Quarles, Vice Chairman for Supervision, FRB
9:30 am Phone call with Jelena McWilliams, Chair, FDIC
10:30 am Phone call with Joseph Otting, Comptroller of the Currency
11:15 am Meeting with staff
2:00 pm Phone call with Mark Calabria, Director, Federal Housing Finance Agency (FHFA)
2:25 pm Meeting with staff

2:30 pm Phone call with Brent McIntosh, Under Secretary for International Affairs,
Department of the Treasury

Sunday, March 22, 2020

4:15 pm Meeting with staff

Monday, March 23, 2020

8:30 am Phone call with Congressman Warren Davidson

8:45 am Phone call with Congressman Bill Huizenga

10:00 am Meeting with staff

10:30 am Meeting with staff

11:30 am Phone call with the London Stock Exchange Group (LSEG) and the Financial
Times Stock Exchange Group (FTSE), including: David Schwimmer, Chief
Executive Officer, LSEG; Jonathan Jachym, Head of North America Regulatory
Strategy and Government Relations, LSEG; Waqas Samad, Group Director of
Information Services, LSEG; Arne Staal, Global Head of Research and Product
Management, FTSE; Christopher Woods, Head of Governance, Risk and
Compliance, FTSE; and Nikki Stefanelli, Head of Fixed Income & Multi-Asset
Index Policy, FTSE

12:00 pm Meeting with Commissioner

4:30 pm Meeting with staff

5:00 pm Meeting with staff

5:30 pm Commission Briefing

6:15 pm Phone call with Randal Quarles, Vice Chairman for Supervision, FRB

Tuesday, March 24, 2020

8:00 am IOSCO Board meeting

9:30 am Meeting with staff

10:30 am Meeting with staff

11:30 am Meeting with Commissioner

1:00 pm Meeting with staff

2:30 pm Meeting with staff

3:30 pm Meeting with staff

4:30 pm Phone call with Larry Kudlow, Director, NEC

6:00 pm Phone call with the Department of the Treasury, including: David Eisner,
Assistant Secretary for Management; and Mitchell Silk, Acting Assistant
Secretary for International Affairs

Wednesday, March 25, 2020

9:30 am Phone call with Randal Quarles, Vice Chairman for Supervision, FRB
9:50 am Phone call with Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Congressman Patrick McHenry
11:45 am Meeting with Commissioner
12:00 pm Phone call with Lael Brainard, Board Member, FRB
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Phone call with the Department of the Treasury, including: David Eisner, Assistant Secretary for Management; and Mitchell Silk, Acting Assistant Secretary for International Affairs
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Full Commission Briefing
5:30 pm Meeting with staff
6:00 pm Phone call with Joseph Shenker, Chairman, Sullivan & Cromwell LLP
7:00 pm Phone call with Justin Muzinich, Deputy Secretary, Department of the Treasury
7:30 pm Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
8:30 pm Meeting with Commissioner

Thursday, March 26, 2020

9:00 am Phone call with Kathy Casey, Chair, FAF
9:30 am Phone call with Grant Thornton LLP, including: Bradley Preber, Chief Executive Officer; Jeff Burgess, National Managing Partner of Audit Services; Trent Gazzaway, National Managing Partner, Audit Quality and Innovation; and Robert Fox, National Managing Partner, Professional Standards
10:30 am Phone call with BDO USA, LLP, including: Wayne Berson, Chief Executive Officer; William Eisig, National Managing Partner for Assurance Operations and Clients; Christopher Tower, National Assurance Managing Partner for Audit Quality and Professional Practice; and Philip Austin, National Assurance Managing Partner for Auditing
11:40 am Meeting with staff
12:45 pm Meeting with staff
2:30 pm Financial Stability Oversight Council Principals meeting
4:30 pm Meeting with staff
6:00 pm Meeting with staff
7:05 pm Phone call with James Gorman, Chairman, Morgan Stanley

Friday, March 27, 2020

7:00 am Phone call with European Securities and Market Authority (ESMA) Central Counterparty (CCP) Supervisory Committee
8:05 am Meeting with Commissioner
9:00 am Meeting with staff
9:40 am Meeting with staff
11:00 am Interview with The Wall Street Journal Editorial Board
12:00 pm Meeting with Commissioner
12:15 pm Meeting with Commissioner
1:00 pm Phone call with Lael Brainard, Board Member, FRB; and Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
2:00 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Commission Briefing

Saturday, March 28, 2020

9:00 am Phone call with staff
2:00 pm Phone call with staff
3:00 pm Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
4:00 pm Phone call with staff
4:45 pm Phone call with staff
5:00 pm Phone call with staff

Sunday, March 29, 2020

11:30 am Phone call with Brent McIntosh, Under Secretary for International Affairs, Department of the Treasury
3:30 pm Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC
4:00 pm Phone call with staff

Monday, March 30, 2020

6:25 am Interview with Andrew Sorkin, CNBC
7:00 am Phone call with FSB Plenary
8:30 am Phone call with IOSCO Financial Stability Engagement Group
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 pm Meeting with staff
12:00 pm Phone call with Jelena McWilliams, Chair, FDIC
1:00 pm Meeting with staff

1:30 pm	Phone call with Randal Quarles, Vice Chairman for Supervision, FRB
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Robert Cook, President, FINRA
3:30 pm	Phone call with Josephine Wang, President, Securities Investor Protection Corporation (SIPC)
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Commission Briefing
6:00 pm	Meeting with staff
8:30 pm	Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC

Tuesday, March 31, 2020

9:00 am	Phone call with John Roscoe, Chief of Staff, FHFA
10:00 am	Meeting with staff
10:30 am	Phone call with Senator Rick Scott
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Phone call with Heath Tarbert, Chairman, CFTC; Randal Quarles, Vice Chairman for Supervision, FRB; Jelena McWilliams, Chair, FDIC; and Joseph Otting, Comptroller of the Currency
1:30 pm	Meeting with staff
2:00 pm	Phone call with State Street, including: Ronald O'Hanley, Chairman; Jeff Carp, Chief Legal Officer; Joe Barry, Global Head of Regulatory Affairs; and Erik Johnson, Managing Director, Daly Consulting Group
2:30 pm	Phone call with Mark Calabria, Director, FHFA
3:00 pm	Meeting with staff
3:30 pm	Phone call with members of the House Financial Services Committee, including: Congresswoman Maxine Waters; Congressman Patrick McHenry; Congresswoman Carolyn Maloney; Congressman Brad Sherman; Congressman Gregory Meeks; Congressman Bill Huizenga; Congressman David Scott; Congressman Steve Stivers; Congressman Al Green; Congresswoman Ann Wagner; Congressman Andy Barr; Congressman Ed Perlmutter; Congressman Lee Zeldin; Congressman Warren Davidson; Congressman Anthony Gonzalez; Congressman Stephen Lynch; Congressman Bill Posey; and Congressman Blaine Luetkemeyer
4:30 pm	Meeting with Commissioner