

**Chairman Jay Clayton**  
**Public Calendar**  
**February 1, 2020 to February 29, 2020**

Sunday, February 2, 2020

4:00 pm Phone call with staff

Monday, February 3, 2020

9:00 am Meeting with staff

9:30 am Meeting with The Wall Street Journal, including: Paul Kiernan, Correspondent; and Chris Wellisz, Editor

10:00 am Meeting with staff

10:30 am Meeting with staff

11:00 am Meeting with the U.S. Chamber of Commerce Center for Capital Markets Competitiveness, including: David Hirschmann, President; Erik Rust, Director; Tom Quaadman, Executive Vice President; Tom Moore, Corporate Secretary, Ameriprise; Matt Gobush, Director for Strategic Communications and International Government Relations, Exxon; Amy Best Weiss, Vice President for Federal Government Relations, American Express; Victoria Rostow, Senior Vice President for Regulatory Affairs, National Association of Real Estate Investment Trust (NAREIT); Scott Fenster, General Counsel, Equity Residential; Rob McKeehan, Senior Counsel, Johnson & Johnson; and John Zecca, Chief Legal and Regulatory Officer, Nasdaq

11:30 am Meeting with staff

12:00 pm Meeting with staff

1:00 pm Meeting with Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)

2:00 pm Meeting with the CFTC, including: Heath Tarbert, Chairman; and Brian Quinten, Commissioner; and SEC Commissioner Hester Peirce

3:00 pm Meeting with Commissioner

3:30 pm Meeting with staff

4:00 pm Meeting with staff

4:30 pm Meeting with Public Financial Management (PFM), including: John Bonow, Chief Executive Officer; Cheryl Maddox, General Counsel; Leo Karwejna, Chief Compliance Officer; Peter Hong, Partner, Stradley Ronon; and SEC Commissioner Hester Peirce

Tuesday, February 4, 2020

7:45 am Meeting with Jerome Powell, Chair, Federal Reserve Board (FRB)

9:15 am Phone call with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England

9:30 am SEC Small Business Advisory Committee Meeting

10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:15 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
3:00 pm	Meeting with Congressman Bill Foster
4:00 pm	Meeting with Senator Rick Scott
5:00 pm	Meeting with staff
5:15 pm	Meeting with staff
7:00 pm	Meeting with staff

### Wednesday, February 5, 2020

8:30 am	Meeting with Bill Dudley, former President of the FRB
9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with Commissioner
2:00 pm	Closed Commission Meeting
3:30 pm	Meeting with staff
7:30 pm	Meeting with staff

### Thursday, February 6, 2020

9:00 am	Meeting with Financial Industry Regulatory Authority (FINRA), including: Robert Cook, President; Robert Colby, Chief Legal Officer; and Jessica Hopper, Head of Enforcement
10:45 am	Meeting with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)
11:30 am	Meeting with Ernst & Young (EY), including: Kelly Grier, U.S. Chairman; John King, Americas Vice Chair for Assurance; and Jim Estes, Americas Vice Chair for Professional Practice (Assurance)
1:00 pm	Meeting with Advent Capital Management, including: Tracy Maitland, President; Laura Bevill, Director; and Edward Delk, General Counsel

### Monday, February 10, 2020

4:10 pm	Meeting with staff
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Tuesday, February 11, 2020

10:00 am Meeting with staff  
10:30 am Meeting with staff

Wednesday, February 12, 2020

8:00 am Phone call with David Rubenstein, Co-Executive Chairman, The Carlyle Group  
9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with staff  
10:30 am Meeting with Commissioner  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
12:45 pm Meeting with Commissioner  
1:00 pm Meeting with staff  
1:50 pm Meeting with Commissioner  
2:00 pm Closed Commission Meeting  
4:00 pm Full Commission Briefing  
5:00 pm Meeting with staff

Thursday, February 13, 2020

8:45 am Meeting with staff  
9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with Interfaith Center on Corporate Responsibility (ICCR), including: Mary Beth Gallagher, Executive Director, Investor Advocates for Social Justice; Mary Minette, Director of Shareholder Advocacy, Mercy Investment Services; Rabbi Rachel Kahn-Troster, Deputy Director, T'ruah: The Rabbinic Call for Human Rights; Sister Nora Nash, Director of Corporate Social Responsibility, Sisters of St. Francis of Philadelphia; and Rob Fohr, Director of Faith-Based Investing and Corporate Engagement, Presbyterian Mission, Presbyterian Church USA  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with David Malpass, President, The World Bank  
1:30 pm Meeting with Congressman Harold Ford, Jr.; and Jack "Rusty" O'Kelley III, Chief Executive Officer Advisory Partners, Russell Reynolds Associates  
2:00 pm SEC Black History Month event with Congressman Harold Ford, Jr.; and Jack "Rusty" O'Kelley III

- 3:30 pm Meeting with the European Commission (EU), including: John Berrigan, Deputy Director-General; and Antoine Begasse, Counsellor, EU Delegation to the United States
- 5:30 pm Meeting with staff

Friday, February 14, 2020

- 10:00 am Meeting with PricewaterhouseCoopers (PwC), including: Tim Ryan, U.S. Chairman; Alan Lee, Global Assurance Quality Risk Leader; Richard Oldfield, Global Markets Leader; Diana Weiss, Global General Counsel; and Bill Duhnke, Chairman, PCAOB
- 11:00 am Meeting with KPMG, including: Bill Thomas, Global Chairman; Lynne Doughtie, U.S. Chairman; Bill O'Mara, Global Head of Audit; Ed Cannizzaro, Global Head of Quality, Risk, & Regulatory; Frank Casal, U.S. Vice Chair for Audit; Jackie Daylor, National Managing Partner for Audit Quality & Professional Practice; and Bill Duhnke, Chairman, PCAOB
- 1:00 pm Meeting with Deloitte, including: Joe Ucuzoglu, U.S. Chief Executive Officer; Alex Schillaci, Global Regulatory Leader; Lara Abrash, Chief Executive Officer of U.S. Audit and Assurance Practice; Jen Haskell, Global Audit Methodology Leader; and Bill Duhnke, Chairman, PCAOB
- 2:00 pm Meeting with staff
- 4:30 pm Phone call with Andrei Iancu, Under Secretary of Commerce for Intellectual Property and Director, Patent and Trademark Office (PTO)

Monday, February 17, 2020

Presidents Day – Federal Holiday

- 12:30 pm Phone call with Mark Carney, Governor, Bank of England

Tuesday, February 18, 2020

- 9:30 am Meeting with staff
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 1:30 pm Meeting with Rohan Weerasinghe, General Counsel, CITI
- 2:00 pm Meeting with staff
- 3:00 pm Phone call with Michael Heaney, Chairman, SEC's Fixed Income Market Structure Advisory Committee (FIMSAC)
- 3:15 pm Meeting with staff
- 5:30 pm Interview with David Rubenstein, Co-Executive Chairman, The Carlyle Group

Wednesday, February 19, 2020

- 9:30 am Meeting with staff

10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with Commissioner
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:50 pm	Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
4:00 pm	Meeting with Commissioner
4:30 pm	Meeting with staff

Thursday, February 20, 2020
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9:00 am	Meeting with Wealthfront Corporation, including: David Fortunato, President; Andy Rachleff, Chief Executive Officer; Kate Wauck, Head of Corporate Communications; and Julius Leiman-Carbia, Chief Legal Officer
9:30 am	Meeting with the Federal Housing Finance Agency, including: Mark Calabria, Director; John Roscoe, Chief of Staff; and Thaya Knight, Senior Counsel for Policy and Regulation
10:00 am	Meeting with Consolidated Audit Trail, LLC and representatives from self-regulatory organizations, including: Michael Simon, Chairman, CAT Operating Committee; John Ramsay, Chief Market Policy Officer, IEX; Gary Goldsholle, Chief Regulatory Officer, Long-Term Stock Exchange; Soniya Shrivastav, Director, New York Stock Exchange (NYSE); Stephen Goldberg, Senior Counsel, NYSE; John Zecca, General Counsel, Nasdaq; Jeff Davis, Senior Deputy General Counsel, Nasdaq; Cindy Retterer, Vice President of Regulatory Compliance, Nasdaq; Robert Colby, Chief Legal Officer, FINRA; Jon Kroeper, Executive Vice President for Market Regulation, FINRA; Len Gatrell, Senior Director, FINRA; and Ari Berman, Partner, Pillsbury Winthrop Shaw Pittman
11:00 am	Meeting with job applicant
11:30 am	Meeting with staff
12:00 pm	Meeting with Steve Case, Chief Executive Officer, Revolution
7:15 pm	Full Commission Briefing

Friday, February 21, 2020
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4:00 pm	Meeting with staff
6:00 pm	Meeting with staff

Sunday, February 23, 2020
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8:00 pm	Phone call with Commissioner
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Monday, February 24, 2020

8:30 am Interview with Maria Bartiromo, Fox Business News  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff  
4:45 pm Meeting with Commissioner  
5:00 pm Meeting with staff

Tuesday, February 25, 2020

9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with Bank of America, including: Tom Montag, Chief Executive Officer; and Sonali Das Theisen, Head of Fixed Income Market Structure  
11:00 am Meeting with staff  
11:30 am Meeting with Depository Trust & Clearing Corporation (DTCC), including: Michael Bodson, President; and Robert Druskin, Non-Executive Chairman  
12:30 pm Meeting with staff  
1:00 pm Closed Commission Meeting  
3:00 pm Meeting with staff  
6:00 pm Phone call with Joseph Otting, Comptroller of the Currency

Wednesday, February 26, 2020

10:30 am Meeting with Ashley Alder, Chief Executive Officer, International Organization of Securities Commissions (IOSCO); and Julia Leung, Executive Director Intermediaries Division, Hong Kong Securities and Futures Commission (SFC)  
12:30 pm Meeting with Robert Ophele, Chairman, France Financial Markets Authority

Thursday, February 27, 2020

1:30 am Meeting with Elisabeth Roegle, Chief Executive Director, Germany Federal Financial Supervisory Authority  
3:00 am IOSCO Board Meeting  
12:00 pm IOSCO Board members and Stakeholders meeting

Friday, February 28, 2020

3:15 pm Phone call with Steven Mnuchin, Secretary, Department of the Treasury  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff