Chairman Jay Clayton Public Calendar January 1, 2020 to January 31, 2020

Wednesday, January 1, 2020

New Year's Day - Federal Holiday

Monday, January 6, 2020

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with candidate
12:00 pm	Phone call with Greg Gilman, President, National Treasury Employees Union
	(NTEU), Chapter 293
12:30 pm	Phone call with Kathleen Casey, Chair, Financial Accounting Foundation (FAF)
1:00 pm	Meeting with job applicant
1:30 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Tuesday, January 7, 2020

Meeting with staff
Meeting with staff

Wednesday, January 8, 2020

- 10:00 am Open Commission Meeting
- 11:00 am Meeting with staff
- 12:00 pm Meeting with staff
- 12:30 pm Meeting with staff
- 2:00 pm Closed Commission Meeting
- 3:45 pm Meeting with staff
- 5:00 pm Meeting with Larry Kudlow, Director, National Economic Council (NEC)

Thursday, January 9, 2020

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with job applicant
11:15 am	Phone call with Financial Stability Board (FSB) US Principals, including: Randal
	Quarles, Chair; Brent McIntosh, Under Secretary for International Affairs,
44.80	Department of the Treasury; and Leal Brainard, Governor, Federal Reserve Board
11:30 am	Meeting with Commissioner
12:30 pm	Meeting with staff
1:00 pm	Meeting with the Bond Dealers of America, including: Michael Nicholas, Chief
	Executive Officer; Brett Bolton, Vice President of Federal Legislative and
	Regulatory Policy; Michael Decker, Municipal, Fixed Income, and Financial
	Services Advocate; Don Winton, Chief Operating Officer, Crews & Associates;
	David Levy, Vice President-Legal Manager, US Bank; David Medanich, Vice
	Chairman of Public Finance, Hilltop Securities; Brian Brewer, Director of Public
	Finance, Baird; Brian Wittneben, General Counsel, Hilltop Securities; Annette
1.20	Nazareth, Partner, Davis Polk; and Zachary Zweihorn, Counsel, Davis Polk
1:30 pm	Meeting with the Securities Industry and Financial Markets Association (SIFMA)
	Municipal Securities Committee, including: Ken Bentsen, President; Ira
	Hammerman, Executive Vice President; Leslie Norwood, Associate General
	Counsel; Peggy Blake, Of Counsel, Jones Day; Laura Pruitt, Partner, Jones Day;
	Jere McGuffee, Managing Director of Public Finance Investment Banking, Piper
	Jaffray; Horace Carter, Executive Vice President for Fixed Income Capital
	Markets, Raymond James; Robert Spangler, Managing Director of Municipal
	Finance, RBC Capital Markets; Peter Hill, Head of Public Finance, UBS
	Financial Services; Anne Noble, Managing Director of Public Finance, Stifel; and
2 00	Peg Henry, Deputy General Counsel, Stifel
2:00 pm	Meeting with staff
2:30 pm	Meeting with Greg Gilman, President, NTEU, Chapter 293
3:30 pm	Meeting with staff
4:45 pm	Phone call with Jovita Carranza, Treasurer, Department of the Treasury
5:15 pm	Phone call with Bradley Wendt, Department of the Treasury

Friday, January 10, 2020

7:00 am Phone call with IOSCO members, including: Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC); Suyash Paliwal, Director of International Affairs, CFTC; Steven Maijoor, Chair, European Securities and Markets Authority (ESMA); Andrew Bailey, Chief Executive Officer, UK Financial Conduct Authority (FCA); Natasha Cazenave, Head of Policy and International Affairs, French Financial Markets Regulator (AMF); Lee Boon Ngiap, Head of Capital Markets Group, Monetary Authority of Singapore (MAS); Mark Branson, Chief Executive Officer, Swiss Financial Market Supervisory

	Authority (FINMA); and Ryozo Himino, Vice Minister for International Affairs,
	Japan Financial Services Authority (JFSA)
8:45 am	Phone call with Congressman Bill Huizenga
9:30 am	Phone call with Congressman Garret Graves
10:00 am	Phone call with Erik Thedeen, Director General, Swedish Financial Supervisory
	Authority
2:00 pm	Meeting with Commissioner
2:30 pm	Meeting with staff
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Monday, January 13, 2020

7:00 am	Meeting with Swiss Financial Market Authority (FINMA), including: David
	Wyss, Head of Recovery and Resolution; and Christian Reith, Legal and Policy
	Specialist
9:30 am	Meeting with staff
10:30 am	Meeting with Ryozo Himino, Chair of Standing Committee on Supervisory and
	Regulatory Cooperation, FSB
11:00 am	FSB Steering Committee meeting
1:30 pm	FSB Governors meeting

Tuesday, January 14, 2020

2:00 am Meeting with International Market Regulators and Macro-Prudential Authorities, including: Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission; Andrew Bailey, Chief Executive Officer, UK FCA; Mark Branson, Chief Executive Officer, FINMA; Claudia Buch, Vice President, Deutsche Bundesbank; Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England; Luis de Guindos, Vice President, European Central Bank; Ryozo Himino, Vice Minister for International Affairs, JFSA; Klaas Knot, President, De Nederlandsche Bank; Steven Maijoor, Chairman, ESMA; Lee Boon Ngiap, Head of Capital Markets Group, MAS; Natasha Cazenave, Head of Policy and International Affairs, French AMF; Federico Signorini, Deputy Governor, Bank of Italy; Dawn Stump, Commissioner, CFTC; Francois Villeroy de Galhau, Governor, Bank of France; Paul Andrews, Secretary General, IOSCO; and Dietrich Domanski, Secretary General, FSB

Wednesday, January 15, 2020

- 10:00 am Meeting with Commissioner
- 11:00 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:30 pm Meeting with staff
- 2:00 pm Closed Commission Meeting
- 2:45 pm Meeting with Commissioner

3:00 pm	Meeting with staff
4:45 pm	Meeting with staff

Thursday, January 16, 2020	
1:00 pm	Speaking engagement, U.S. Attorney's Office for the Southern District of New
	York
Friday, January 17, 2020	
10:30 am	Meeting with Mark Carney, Governor, Bank of England

10.30 am	Weeting with Mark Carney, Governor, Dark of England
11:00 am	Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of
	England

Monday, January 20, 2020

Martin Luther King Jr. Day – Federal Holiday

5:00pm Phone call with Paul Tudor Jones, Chief Investment Officer, Tudor Group

Tuesday, January 21, 2020

9:30 am	Meeting with staff	
10.00		

- 10:30 am Meeting with staff
- 11:00 am Meeting with Commissioner
- 11:30 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:00 pm Meeting with the Office of Financial Research, including: Dino Falaschetti, Director; and Alexander Pollock, Principal Deputy Director
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with staff
- 2:30 pm Meeting with staff
- 3:15 pm Meeting with staff
- 3:45 pm Phone call with Ed Sisk, Chair, Municipal Securities Rulemaking Board (MSRB)
- 4:00 pm Phone call with Justin Muzinich, Deputy Secretary, Department of the Treasury

Wednesday, January 22, 2020

8:00 am	Meeting with Randal Quarles, Vice Chairman for Supervision, Federal Reserve
	Board

- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:30 am Meeting with Commissioner
- 1:00 pm Meeting with Commissioner
- 2:00 pm Meeting with staff
- 4:30 pm Meeting with the MSRB Board of Directors

Thursday, January 23, 2020

- 8:00 am Meeting with Andrew Olmem, Deputy Director, NEC
- 9:30 am Phone call with Bryan Corbett, Chief Executive Officer, Managed Funds Association
- 9:40 am Meeting with Commissioner
- 10:45 am Meeting with staff
- 11:30 am Meeting with staff
- 11:45 am Meeting with staff
- 2:15 pm Meeting with staff
- 2:45 pm Meeting with staff
- 3:00 pm Meeting with staff
- 4:00 pm Meeting with staff
- 4:30 pm Meeting with staff
- 5:00 pm Meeting with staff

Friday, January 24, 2020

9:00 am Meeting with Sean Doocey, Director of Presidential Personnel, The White House
10:30 am Phone call with the Department of the Treasury, including: Steve Mnuchin, Secretary; Justin Muzinich, Deputy Secretary; and Brian Callanan, General Counsel

Monday, January 27, 2020

11:00 am	Meeting with staff
12:00 pm	SEC Roundtable with investors, Los Angeles, California
2:00 pm	Town Hall meeting with staff at SEC Los Angeles Regional Office
3:00 pm	Meeting with staff
4:00 pm	Meeting with the Capital Group, including: Mike Gitlin, Head of Fixed Income;
	Walt Burkley, Senior Counsel; Vikram Rao, Global Head of Fixed Income
	Trading; and Reagan Anderson, Sr. Vice President for Government Affairs
5:30 pm	Meeting with Commissioner
6:00 pm	Meeting with staff
6:30 pm	Phone call with Greg Gilman, President, NTEU, Chapter 293

Tuesday, January 28, 2020

12:30 pm Speaking engagement, Northwestern Securities Regulation Institute Conference

Wednesday, January 29, 2020

- 9:30 am Meeting with Greg Gilman, President, NTEU, Chapter 293
- 10:00 am Meeting with staff
- 11:00 am Meeting with The Raben Group, including: Robert Raben, President; Justin Wilson, Director; and Brandi Colander, Principal
- 11:30 am Meeting with staff
- 1:00 pm Meeting with staff
- 2:00 pm Closed Commission Meeting
- 3:00 pm Meeting with Commissioner
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with staff
- 4:30 pm Meeting with Commissioner
- 5:00 pm Meeting with staff

Thursday, January 30, 2020

- 9:30 am Meeting with Commissioner
- 10:00 am Phone call with Congressman French Hill
- 10:30 am Meeting with staff
- 10:50 am Meeting with Background Investigator
- 11:00 am Meeting with staff
- 11:30 am Phone call with Senator Tom Cotton
- 12:00 pm SEC Diversity Council meeting
- 2:00 pm Meeting with Richard Breeden, Chairman, Breeden Capital Management
- 3:30 pm Meeting with S&P Global, including: Doug Peterson, President; and Courtney Geduldig, Executive Vice President of Public Affairs; and Justin Daly, Founder Daly Consulting Group
- 4:00 pm Meeting with Cboe Global Markets, including: Ed Tilly, Chief Executive Officer; Pat Sexton, General Counsel; and Angelo Evangelou, Chief Policy Officer
- 4:30 pm Meeting with staff
- 5:00 pm Meeting with staff

Friday, January 31, 2020

2:15 pm Meeting with staff

2:45 pm Meeting with staff