

Chairman Jay Clayton
Public Calendar
September 1, 2019 to September 30, 2019

Monday, September 2, 2019

Labor Day – Federal Holiday

Tuesday, September 3, 2019

9:30 am Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)
9:45 am Phone call with Jim Kaiser, Board Member, PCAOB

Wednesday, September 4, 2019

2:45 pm Meeting with staff
3:30 pm Conference Call; Financial Stability Oversight Council Principals
5:00 pm Phone call with Duane DesParte, Board Member, PCAOB
5:15 pm Phone call with Jay Brown, Board Member, PCAOB

Thursday, September 5, 2019

10:00 am Meeting with Commissioner
11:00 am Investment Advisory Committee meeting
11:30 am Phone call with Larry Kudlow, Director, National Economic Council (NEC)
4:30 pm Meeting with staff

Friday, September 6, 2019

12:00 pm Meeting with staff
1:00 pm Phone call with former Congressman Harold Ford Jr; and Bob Pisani, CNBC

Monday, September 9, 2019

9:00 am Meeting with staff
11:15 am Speaking engagement, Economic Club of New York
3:00 pm Meeting with the Buttermilk Club, including: Robert Marchman, Senior Manager of Enforcement, Financial Industry Regulatory Authority (FINRA); and Sandra Grannum, Chief of Legal Recruitment Bureau, Office of the New York State Attorney General
4:00 pm Meeting with staff
5:30 pm Speaking engagement, National Urban League

Tuesday, September 10, 2019

12:00 pm Town Hall meeting with staff at the SEC Fort Worth Regional Office
1:00 pm SEC's Fort Worth Regional Office Risk Mitigation Forum
2:00 pm Meeting with Ralph Janvey, Court-appointed Stanford Financial Group receiver; Kevin Sadler, Counsel for the court-appointed Stanford Financial Group receiver Ralph Janvey; John Little, U.S. Examiner, the Official Stanford Investors Committee; Scott Powers, Partner, Baker Botts; and David Arlington, Special Counsel, Baker Botts
5:00 pm Speaking engagement, SEC Professionals Group

Wednesday, September 11, 2019

10:00 am Meeting with staff
10:30 am Meeting with Commissioner
11:00 am Meeting with staff
11:30 am Meeting with Securities Industry and Financial Markets Association (SIFMA), including: Ken Bentsen, President; Joseph Sweeney, Vice Chair; Ellen Greene, Managing Director, Financial Services Operations; and Theodore Lazo, Managing Director of Equities
1:00 pm Meeting with Commissioner
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with the Municipal Securities Rulemaking Board, including: Gary Hall, Chair; and Ed Sisk, Vice Chair
4:45 pm Meeting with staff
5:15 pm Meeting with staff
7:00 pm Meeting with staff

Thursday, September 12, 2019

8:15 am Meeting with Commissioner
8:30 am Meeting with Leo E. Strine Jr., Chief Justice, Delaware Supreme Court
10:30 am Speaking engagement, First Generation Professional Summit, Department of Commerce
11:45 am Phone call with Cindy Fornelli, former Executive Director, Center for Audit Quality
12:30 pm Closed Commission Meeting
3:45 pm Phone call with Larry Kudlow, Director, NEC
4:00 pm Meeting with staff

Friday, September 13, 2019

- 10:30 am Phone call with Randal Quarles, Vice Chairman for Supervision, Federal Reserve Board (FRB); Steve Mnuchin, Secretary, Department of the Treasury; Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC), and John Williams, President, New York Federal Reserve Bank
- 3:00 pm Speaking engagement, Central Michigan University

Monday, September 16, 2019

- 8:30 am Meeting with staff
- 9:00 am Meeting with staff
- 10:00 am Meeting with staff
- 11:15 am Meeting with staff
- 12:00 pm Meeting with Mark Calabria, Director, Federal Housing Finance Agency
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with Rebekah Goshorn Jurata, Special Assistant to the President, NEC
- 2:30 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Commissioner
- 5:00 pm Meeting with staff
- 5:15 pm Meeting with staff

Tuesday, September 17, 2019

- 9:00 am Meeting with staff
- 9:45 am Phone call with Bill Duhnke, Chairman, PCAOB
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 pm Speaking engagement, SEC Hispanic Heritage Month event
- 2:00 pm Meeting with Larry Kudlow, Director, NEC, Robert Lighthizer, United States Trade Representative; and Justin Muzinich, Deputy Secretary, Department of the Treasury
- 3:00 pm Meeting with Larry Kudlow, Director, NEC
- 3:45 pm Meeting with Congresswoman Maxine Waters
- 4:30 pm Meeting with staff
- 6:00 pm Meeting with Tim Armour, Chairman, Capital Group

Wednesday, September 18, 2019

- 8:30 am Meeting with Elliott Management Corporation, including: Paul Singer, President; Dan Senor, Senior Advisor; and Brian Gunderson, Head of Public Policy

9:30 am	Meeting with Commissioner
10:00 am	Meeting with staff
10:45 am	Meeting with staff
11:00 am	Phone call with Heath Tarbert, Chairman, CFTC
11:30 am	Meeting with Stephens Inc., including: Warren Stephens, President; Frank Thomas, Vice President; and Christopher Iacovella, Chief Executive Officer, American Securities Association
12:00 pm	Meeting with staff
12:30 pm	Meeting with Bill Duhnke, Chairman, PCAOB
1:00 pm	Phone call with Fang Xinghai, Vice Chairman, China Securities Regulatory Commission
1:30 pm	Meeting with SEC Investor Advisory Committee Executive Committee, including: Craig Gottsch, Secretary; Nancy LeaMond, Assistant Secretary, Barbara Roper, Chair, Investor as Purchaser Subcommittee; Heidi Stam, Member, Investor as Owner Subcommittee; Jerome Solomon, Member, Market Structure Subcommittee
2:00 pm	Oral argument before the Commission
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
6:00 pm	Meeting with staff

Thursday, September 19, 2019

8:30 am	Interview with Andrew Sorkin, CNBC
9:00 am	Meeting with Nelson Peltz, Chief Executive Officer, Train Partners
10:00 am	Meeting with staff
10:30 am	Closed Commission Meeting
1:30 pm	Meeting with staff
3:00 pm	Meeting with staff

Friday, September 20, 2019

9:00 am	Meeting with staff
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Monday, September 23, 2019

9:00 am	Meeting with staff
9:30 am	Meeting with staff
12:00 pm	Meeting with Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC); Ajit Pai, Chairman, Federal Communications Commission (FCC); Kathy Kraninger, Director, Consumer Financial Protection Bureau, Andrew Olmem, Deputy Assistant to the President for Economic Policy, NEC; and Jovita Carranza, Treasurer, Department of the Treasury
2:00 pm	Meeting with staff

2:30 pm Meeting with the Investment Company Institute, including: George Gatch, Chairman; Paul Schott Stevens, President; and Susan Olson, General Counsel
3:00 pm Meeting with Congressman Patrick McHenry
4:30 pm Meeting with staff
5:00 pm Meeting with Commissioner

Tuesday, September 24, 2019

10:00 am Testimony before the House Financial Services Committee
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff

Wednesday, September 25, 2019

9:00 am Meeting with staff
11:00 am Meeting with Commissioner
11:30 am Meeting with staff
12:00 pm SEC Diversity Council meeting
1:00 pm Meeting with staff
1:15 pm Meeting with Commissioner
1:45 pm Phone call with Chuck Noski, Chairman, Financial Accounting Foundation (FAF)
2:00 pm Meeting with Commissioner
3:30 pm Financial Stability Board Interagency Advisory Group

Thursday, September 26, 2019

9:00 am Speaking engagement, Rosenblatt Securities Conference
10:30 am Meeting with Neil Cavuto, Managing Editor, Fox Business Network
10:45 am WSJ Reporter Roundtable Interview
12:30 pm Meeting with staff
1:00 pm Closed Commission Meeting

Friday, September 27, 2019

8:50 am Speaking engagement, Fireside Chat with Hal Scott, President, Program on International Financial Systems (PIFS)
10:30 am Phone call with Congressman Steve Stivers

Monday, September 30, 2019

9:00 am Phone call with Randal Quarles, Vice Chairman for Supervision, FRB; and Jelena McWilliams, Chairman, FDIC

9:30 am	Phone call with Jerome Powell, Chair, FRB
9:45 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:15 am	Phone call with the North American Securities Administrators Association (NASAA), including: Chris Gerald, President; Joey Brady, Executive Director; and Andrea Seidt, Commissioner, Ohio Department of Commerce
11:30 am	Phone call with Revolution, including: Steve Case, President; and Marissa Hopkins Secreto, Chief of Staff
12:45 pm	Meeting with staff
1:15 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:10 pm	Phone call with Jelena McWilliams, Chairman, FDIC
3:20 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Phone call with Jelena McWilliams, Chairman, FDIC; Randal Quarles, Vice Chairman for Supervision, FRB; and Joseph Otting, Comptroller of the Currency