

**Chairman Jay Clayton**  
**Public Calendar**  
**June 1, 2019 to June 30, 2019**

Monday, June 3, 2019

10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Speaking engagement, SEC Roundtable on Mentorship at the SEC  
1:30 pm Meeting with Susquehanna International Group, LLC, including: Jeff Yass, Managing Director; and Brian Sopinsky, Secretary  
2:00 pm Speaking engagement, SEC 85<sup>th</sup> Anniversary  
3:00 pm Meeting with Commissioner  
4:00 pm Speaking engagement, SEC Historical Society Roundtable with former SEC Chairs, including: David Ruder; Richard Breeden; Arthur Levitt; Harvey Pitt; Christopher Cox; Mary Schapiro; Elisse Walter; and Mary Jo White  
5:30 pm Speaking engagement, SEC Historical Society Dinner

Tuesday, June 4, 2019

11:00 am Speaking engagement, SEC Mid-Atlantic Regional Conference  
12:00 pm Meeting with Robert Hur, U.S. Attorney, District of Maryland; William McSwain, U.S. Attorney, Eastern District of Pennsylvania; and Craig Carpenito, U.S. Attorney, District of New Jersey  
3:30 pm Meeting with staff  
5:00 pm Meeting with Congresswoman Maxine Waters

Wednesday, June 5, 2019

8:00 am Meeting with Senator Pat Toomey  
10:00 am Commission Open Meeting  
1:00 pm Speaking engagement, SEC Veterans Hall dedication  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:15 pm Phone call with Senator Mike Crapo  
4:00 pm Meeting with staff  
4:30 pm Phone call with Congressman Patrick McHenry  
5:00 pm Phone call with Congresswoman Ann Wagner  
5:30 pm Meeting with staff

Thursday, June 6, 2019

- 7:25 am Interview with Andrew Sorkin, CNBC
- 10:00 am Interview with The Wall Street Journal Editorial Board

Friday, June 7, 2019

- 1:30 pm Phone call with Hal Scott, President, Committee on Capital Markets Regulation
- 2:00 pm Phone call with Mike Pieciak, President, North American Securities Administrators Association (NASAA)
- 2:30 pm Meeting with staff
- 5:00 pm Phone call with Robert Cook, President, Financial Industry Regulatory Authority (FINRA)

Monday, June 10, 2019

- 10:00 am Meeting with Cy Vance Jr., Manhattan District Attorney, County of New York
- 11:00 am Meeting with staff

Tuesday, June 11, 2019

- 8:15 am Meeting with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:00 pm Phone call with SEC Fixed Income Market Structure Advisory Committee
- 2:00 pm Meeting with Capital Group, including: Timothy Armour, CEO; Clarke Camper, Executive Vice President for Government Relations; and Bradley Vogt, Equity Portfolio Manager
- 2:30 pm Meeting with staff
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Commissioner
- 4:30 pm Meeting with staff
- 6:00 pm Meeting with Jeb Hensarling, Executive Vice Chairman of the Americas Region, UBS Group AG
- 7:00 pm Meeting with the European Securities and Markets Authority (ESMA), including: Steven Maijoor, Chair; and Jakub Michalik, Senior Officer of Corporate Affairs

Wednesday, June 12, 2019

- 9:00 am Meeting with the Investment Adviser Association Board, including: Karen Barr, President; Gail Bernstein, General Counsel; Neil Simon, Vice President of Government Relations; Richard Adler, Chief Executive Officer, Red Arrow Capital; Christine Carsman, Senior Policy Advisor, Affiliated Managers Group; Benjamin Alden, Senior Vice President, Betterment LLC; Mark Eveans, President, Meritage Portfolio Management; Geoffrey Alexander, President, R.M. Davis; Yvette Garcia, Managing Director, Bessemer Trust; Karen Aspinall, Executive Vice President, Pacific Investment Management Company LLC; Joan Giardina, Principal, Chesley, Taft & Associates; Alison Baur, Deputy General Counsel, Franklin Templeton Investments; Richard Hough, President, Silvercrest Asset Management Group; Mason King, Principal, Luther King Capital Management; Jeff Schweon, Chief Legal Officer, Williams, Jones & Associates; Charles Mahar, Chief Investment Officer, Tealwood Asset Management; Burnie Sparks, President, Bailard Wealth Management; Christine Morgan, Deputy General Counsel, T. Rowe Price Associates; Julie Vander Weele, Managing Director of Wealth Management, RMB Capital Management; Jennifer Murphy, Chief Operating Officer, Western Asset Management; Steven Wilkes, Chief Executive Officer, Hutchinson Capital Management; Gwen Reinke, Chief Compliance Officer, Vista Equity Partners; Jane Williams, Chairman, Sand Hill Global Advisors; and Jonathan Roberts, Senior Vice President, Klingenstein Fields Wealth Advisors
- 9:45 am Meeting with the Government Finance Officer Association, including: Emily Brock, Director of Federal Liaison Center; Patrick McCoy, Director of Finance, Metropolitan Transportation Authority of New York; Kenton Tsoodle, Assistant City Manager, Oklahoma City, Oklahoma; and Kendel Taylor, Finance Director, City of Alexandria, Virginia
- 10:30 am Meeting with the International Swaps and Derivatives Association, including: Scott O'Malia, Chief Executive Officer; Chris Young, Head of U.S. Public Policy; and Biswarup Chatterjee, Managing Director, Citi Group Global Markets
- 11:00 am Meeting with staff
- 11:30 am Opening remarks, SEC Diversity and Inclusion Leadership luncheon
- 12:30 pm Meeting with Marty Gruenberg, Board of Directors, Federal Deposit Insurance Corporation (FDIC)
- 1:45 pm Meeting with the Urban Revitalization Coalition, including: Darrell Scott, Chief Executive Officer; and Kareem Lanier, Co-Chairman
- 2:30 pm Meeting with staff
- 3:30 pm Meeting with Commissioner
- 4:00 pm Meeting with staff
- 5:00 pm Meeting with Commissioner

Thursday, June 13, 2019

- 9:30 am Meeting with staff
- 10:00 am Meeting with staff
- 10:05 am Meeting with staff
- 11:15 am Closed Commission Meeting
- 1:30 pm Meeting with Steven Mnuchin, Secretary, Department of the Treasury; Randal Quarles, Vice Chair of Supervision, Federal Reserve Board; Jelena McWilliams, Chairman, FDIC; Mark Calabria, Director, Federal Housing Finance Agency (FHFA); Morris Morgan, Senior Deputy Comptroller, Office of the Comptroller of the Currency (OCC); Brian Johnson, Deputy Director, Consumer Financial Protection Bureau (CFPB); Brian Moynihan, Chief Executive Officer, Bank of America; and Jamie Dimon, Chief Executive Officer, JPMorgan Chase
- 2:45 pm Meeting with Howard Adler, Senior Advisor, Department of the Treasury
- 4:00 pm Meeting with Russell Vought, Director, Office of Management and Budget; Paul Ray, Administrator, Office of Information and Regulatory Affairs; Andrew Olmstead, Deputy Director, National Economic Council, The White House
- 5:00 pm Meeting with Mick Mulvaney, Acting Chief of Staff, Office of the White House

Monday, June 17, 2019

- 3:15 pm Meeting with Bond Dealers of America, including: Mike Nicholas, Chief Executive Officer; Kelli McMorrow, Senior Vice President for Federal Legislative & Regulatory Policy; Brent Bolton, Vice President for Federal Legislative & Regulatory Policy; Michael Decker, Federal Legislative & Regulatory Policy; M. Brad Wings, President, HilltopSecurities; Kevin Giddis, Head of Fixed Income, Raymond James Investment Banking; Eric Needleman, Head of Fixed Income, Stifel Nicolaus Investment Services; Tom Goodrick, Head of Fixed Income Trading, KeyBanc Capital Markets; John Reilly, Head of Taxable Fixed Income Trading, Wells Fargo Advisors; and Mark Evans, Director of Trading, Vining Sparks
- 3:45 pm Meeting with staff
- 4:30 pm Meeting with NASAA, including: Mike Pieciak, President; Joey Brady, Executive Director; Melanie Lubin, Secretary; A. Valerie Mirko, General Counsel; and Andrea Seidt, Commissioner, Ohio Department of Commerce

Tuesday, June 18, 2019

- 8:00 am Meeting with Joseph Otting, Comptroller of the Currency
- 9:30 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 12:00 pm Phone call with Chris Giancarlo, Chairman, CFTC; and Andrew Bailey, Chief Executive Officer, UK Financial Conduct Authority
- 12:45 pm Meeting with AARP, including: Nancy LeaMond, Chief Advocacy and Engagement Officer; Cristina Martin Firvida, Vice President for Financial Security; and David Certner, Director of Legislative Policy
- 1:30 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with staff

Wednesday, June 19, 2019

- 8:30 am Phone call with Congressman Bill Huizenga
- 9:00 am Meeting with staff
- 9:30 am Meeting with the Municipal Securities Rulemaking Board (MSRB), including: Lynnette Kelly, President; Gary Hall, Chair, Board of Directors; Ed Sisk, Vice Chair, Board of Directors; Lanny Schwartz, Chief Regulatory Officer; and Mike Post, General Counsel
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with Stone Ridge Asset Management, including: Jim Rothwell, Chief Administrative Officer; Rob Flatley, Chief Operating Officer of Digital Asset Business; and Nate Conrad, Futures Trader
- 11:30 am Meeting with staff
- 2:20 pm Meeting with Senator Kyrsten Sinema
- 3:00 pm Meeting with Senator Tim Scott
- 4:40 pm Meeting with Senator Jon Tester

Thursday, June 20, 2019

- 8:30 am Meeting with staff
- 9:00 am Meeting with Moody's Corporation, including: Raymond McDaniel, President; John Goggins, General Counsel; and Kathyanne Cohen, Senior Vice President for Government and Public Affairs
- 9:30 am Meeting with staff
- 9:45 am Meeting with staff
- 10:00 am Meeting with staff
- 10:30 am Phone call with Kevin Sadler, Counsel for the court-appointed Stanford Financial Group receiver Ralph Janvey; and John Little, U.S. Examiner, the Official Stanford Investors Committee
- 11:00 am Meeting with FINRA, including: Robert Cook, Chief Executive Officer; Bob Colby, Chief Legal Officer; and Greg Dean, Senior Vice President of Government Affairs
- 3:30 pm Interview with Michelle Price, Reuters; Katanga Johnson, Reuters; Chris Giancarlo, Chairman, CFTC; and Commissioner Hester Peirce

Friday, June 21, 2019

- 4:00 pm Meeting with staff

Monday, June 24, 2019

- 10:45 am Speaking engagement, Stanford University Directors' College
- 1:30 pm Town Hall meeting with staff at SEC San Francisco Regional Office
- 2:30 pm Meeting with entrepreneurs, including: James Norman, CEO, Pilotly; Larry McClendon, Bayview Project Manager, San Francisco Office of Economic and Workforce Development; Maasha Kay, Global Diversity and Inclusion Lead, Eventbrite; Jason Mayden, Chief Executive Officer, Super Heroic; and Kumi Rauf, Chief Executive Officer, I Love Being Black
- 2:50 pm Meeting with staff

Tuesday, June 25, 2019

- 10:30 am Interview with Arthur Levitt, Bloomberg
- 1:15 pm Meeting with staff

Wednesday, June 26, 2019

- 9:00 am Meeting with staff
- 10:00 am Closed Commission Meeting
- 2:00 pm Meeting with staff
- 3:30 pm Meeting with Senator Thom Tillis

Thursday, June 27, 2019

- 9:20 am Meeting with Congressman Bryan Steil
- 11:15 am Meeting with staff
- 11:30 am Meeting with staff
- 12:15 pm Meeting with Commissioner and Jay Khosla, Chief Economic Policy Counsel,  
Office of Senate Majority Leader Mitch McConnell
- 2:00 pm Meeting with staff
- 2:30 pm Meeting with staff
- 3:00 pm Meeting with staff
- 3:30 pm Phone call with Dino Falaschetti, Director, Office of Financial Research
- 3:45 pm Phone call with Senate Majority Leader Mitch McConnell
- 4:00 pm Meeting with staff
- 5:30 pm Farewell celebration for Chris Giancarlo, Chairman, CFTC