Chairman Jay Clayton Public Calendar May 1, 2019 to May 31, 2019

Wednesday, May 1, 2019

Phone call with Jovita Carranza, Treasurer, Department of the Treasury Meeting with staff
Meeting with staff
Interview with Rob Schmidt, Bloomberg
Meeting with staff
Meeting with staff

Thursday, May 2, 2019

7:30 am	Speaking engagement, Investment Company Institute General Membership
	meeting
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Phone call with Mike Pieciak, President, North American Securities
	Administrators Association (NASAA)
1:00 pm	Closed Commission Meeting
3:00 pm	Presidents Working Group for Financial Markets meeting
4:00 pm	Meeting with Jerome Powell, Chairman, Federal Reserve Board
4:10 pm	Meeting with Steven Mnuchin, Secretary, Department of the Treasury

Monday, May 6, 2019

9:30 am	SEC Capital Formation on Small Business Roundtable
1:00 pm	Inaugural meeting of the SEC Small Business Capital Formation Advisory
	Committee
2:15 pm	Speaking engagement, SEC Small Business Forum
3:00 pm	Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust
	Division, Department of Justice
6:30 pm	Meeting with Craig Phillips, Counselor to the Secretary, Department of the
_	Treasury

Tuesday, May 7, 2019

9:00 am	Meeting with Senator Mark Warner
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with Jason Perez, Board Member, California Employees' Public
	Retirement System (CalPERS); Christopher Burnham, President, Institute for
	Pension Fund Integrity; and Molly Hall, Senior Account Executive, Cambridge
	Global Advisors
12:30 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Robert Cook, President, Financial Industry Regulatory Authority
-	(FINRA)
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
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Wednesday, May 8, 2019

9:00 am	Meeting with staff
11:00 am	Hearing before the Financial Services and General Government Appropriations
	Subcommittee
2:00 pm	Speaking engagement, Department of Justice, the Jackson-Nash Address
3:00 pm	Meeting with job applicant
3:20 pm	Meeting with staff
4:00 pm	Meeting with NASAA, including: Mike Pieciak, President; Frank Borger-
	Gilligan, President-elect; Joey Brady, Executive Director; Melanie Senter Lubin,
	Secretary; A. Valerie Mirko, General Counsel; and Andrea Seidt, Chair,
	Investment Advisor Section
4:30 pm	Meeting with staff
5:00 pm	Phone call with Jeff Solomon, Chief Executive Officer, Cowen

Thursday, May 9, 2019

7:30 am	Phone call with Ashley Alder, Vice Chairman, International Organization of
	Securities Commissions (IOSCO)
8:00 am	Phone call with the Office of the Comptroller of the Currency (OCC), including:
	Joseph Otting, Comptroller of the Currency; Morris Morgan, Chief Operating
	Officer; Jonathan Gould, Chief Counsel; and Grovetta Gardineer, Senior Deputy
	Comptroller for Bank Supervision Policy
9:00 am	Open Commission Meeting
10:00 am	Meeting with Commissioner
10:35 am	Meeting with job applicant
10:50 am	Meeting with staff

11:00 am	Meeting with staff
11:30 am	Meeting with staff
11:45 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
1:15 pm	Meeting with staff
1:45 pm	Speaking engagement, SEC Sixth Annual Conference on Financial Market
	Regulation
2:00 pm	Closed Commission Meeting
3:05 pm	Meeting with staff
6:00 pm	Meeting with staff

Friday, May 10, 2019

7:30 am	Meeting with Mike G	Gitlin, Partner, Capita	l Fixed Income Investors

3:40 pm Meeting with staff

Saturday, May 11, 2019

12:00 pm Phone call with Larry Kudlow, Director, National Economic Council

Monday, May 13, 2019

All Day	IOSCO me	eting

2:00 am Meeting with the American Chamber of Commerce Australia

5:30 am Meeting with Robert Ophele, Chairman, France Financial Markets Authority

Tuesday, May 14, 2019

All Day IOSCO meeting

4:30 am Meeting with Arthur B. Culvahouse Jr., Ambassador, U.S. Ambassador to

Australia

Wednesday, May 15, 2019

All Day Annual IOSCO meeting

Friday, May 17, 2019

11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
3:30 pm	Phone call with Mark Calabria, Director, Federal Housing Finance Agency
_	(FHFA)

Monday, May 20, 2019

10:30 am	Meeting with Peter Grauer, Chairman, Bloomberg L.P.
2:00 pm	Meeting with Adena Friedman, President, NASDAQ
3:00 pm	Phone call with U.S. Financial Stability Board (FSB) Principals, including: Chris
_	Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC); Heath
	Tarbert, Under Secretary for International Affairs and Investment Policy,
	Department of the Treasury; and Andreas Lehnert, Director of Program Direction,
	Federal Reserve Board
4:30 pm	Phone call with staff
7:15 pm	Meeting with Mike Pieciak, President, NASAA

Tuesday, May 21, 2019

10:00 am Meeting with staff 10:30 am Meeting with staff 11:00 am Meeting with staff 11:30 am Meeting with staff 11:30 am Meeting with Healthy Markets, including: Chris Nagy, Co-founder; Tyler Gellasch, Executive Director; Greg Babyak, Head of Government & Regulatory Affairs, Bloomberg L.P.; Ray Ross, Chief Technical Innovator, Clearpool Group;	7:00 am 9:00 am	Financial Stability Board meeting Meeting with Congressman Mike Quigley
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	11:30 am	
Affairs Bloomberg L.P.: Ray Ross (Thief Lechnical Innovator Clearnool Group:		
Venu Palaparthi, Chief Compliance Officer, Dash Financial Technologies; John		
		Schwall, Chief Operating Officer, IEX Group; Sophia Lee, General Counsel, IEX
Group; Thomas McDonough, Senior Client Relationship Manager, Jefferies		
Financial Group; Stuart George, Head of Equity Trading, Macquarie Investment		
Management; Michael Ledwith, Head of Algorithmic Trading, Quantitative		
Investment Management; Eric Perlish, Head of Global Trading, Sands Capital		
Management; and Eric Menzie, Director of Investment Research Evaluation, IHS		· · · · · · · · · · · · · · · · · · ·
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12:00 pm Meeting with staff	-	
1:00 pm SEC Memorial Day event with Mona Gunn, 1 st National Vice President, Gold	1:00 pm	
Star Mothers; Donna Eldridge, Chair of Government Relations Committee, Gold		,
Star Wives of America; Emily Munoz, Director of Health and Wellness		
Initiatives, Tragedy Assistance Program for Survivors (TAPS); and Denver		, , , , , , , , , , , , , , , , , , , ,
Brunsman, Associate Professor of History, George Washington University		Brunsman, Associate Professor of History, George Washington University
2:00 pm Meeting with staff	2:00 pm	Meeting with staff
3:30 pm Federal Housing Finance Oversight Board Principals meeting	3:30 pm	Federal Housing Finance Oversight Board Principals meeting
6:30 pm Speaking engagement, Financial Accounting Foundation	6:30 pm	Speaking engagement, Financial Accounting Foundation

Wednesday, May 22, 2019

9:00 am	Meeting with Greg Gilman, President, National Treasury Employees Union, Chapter 293
9:30 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with Cboe Global Markets, including: Ed Tilly, President; Angelo
	Evangelou, Chief Policy Officer; Anders Franzon, Deputy General Counsel; and
	Walton Liles, Principal, Madison Law & Policy
11:00 pm	Meeting with staff
11:30 am	Meeting with staff
12:15 pm	Meeting with the International Monetary Fund (IMF), including: Mark Rosen,
	United States Executive Director; Tobias Adrian, Director of Monetary and
	Capital Markets; Aditya Narain, Deputy Director of Monetary and Capital
	Markets; and Fabio Natalucci, Deputy Director for Monetary and Capital Markets
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with staff

Thursday, May 23, 2019

9:30 am	Meeting with staff
11:30 am	Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight
	Board (PCAOB)
1:30 pm	Meeting with Commissioner
2:00 pm	Closed Commission Meeting
5:00 pm	Meeting with Cy Vance Jr., Manhattan District Attorney, County of New York

Friday, May 24, 2019

1:00 pm Speaking engagement, Ocean City High School, Ocean City, New Jersey

Monday, May 27, 2019

Memorial Day – Federal Holiday

10:00 am Wreath Laying Ceremony at Arlington National Cemetery

Tuesday, May 28, 2019

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with Heath Tarbert, Under Secretary for International Affairs,
	Department of the Treasury
1:30 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with Commissioner

7:00 pm Meeting with staff

Wednesday, May 29, 2019

9:30 am Meeting with staff 10:30 am Meeting with staff 11:20 am Meeting with staff 11:30 pm Meeting with HSBC, including: Matthew Kellogg, Senior Vice President of Federal Government Relations; and Mark Hutchinson, Managing Director of Global Banking and Markets for Americas and U.S. Commercial Banking 11:30 pm Meeting with CAT NMS, LLC including: Thomas Gallagher, Chairman, Miami International Securities Exchange (MIAX); Ed Deitzel, Regulatory Officer, MIAX; Harish Jayabalan, Chief Risk Officer, MIAX; Bruce Goodhue, Chief Regulatory Officer, BOX Options Exchange; Alanna Barton, General Counsel, BOX Options Exchange; Michael Blaugrund, Head of Equities, New York Stock Exchange (NYSE); Anthony Albanese, Chief Regulatory Officer, NYSE; Jonathan Redwine, Senior Counsel, NYSE; Jeff Davis, Vice President, NASDAQ; John Zecca, Chief Regulatory Officer, NASDAQ; John Ramsay, Chief Market Policy Officer, IEX Group; Claudia Crowley, Chief Regulatory Officer, IEX Group; Greg Hoogasian, Chief Regulatory Officer, Cboe Global Markets; Jeff Wells, Technical Project Manager; Cboe; Todd Furney, Director of Information Security, Cboe; Robert Cook, President, FINRA; Jon Kroeper, Executive Vice President for Quality of Markets, FINRA; and Robert Gatrell, Senior Director, FINRA 13:30 pm Meeting with the Society for Corporate Governance, including: Darla Stuckey, President; Granville Martin, Senior Vice President for Policy & Advocacy; Irving Gomez, Assistant Corporate Secretary, Intel; Stacy Ingram, Deputy Corporate Secretary, The Home Depot; Ginny Fogg, General Counsel, Norfolk Southern; Jared Brandman, Secretary, National Vision; and Yafit Cohn, Associate Group General Counsel, The Travelers Companies	8:00 am	Meeting with Richard Clarida, Vice Chairman, Federal Reserve Board
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BOX Options Exchange; Michael Blaugrund, Head of Equities, New York Stock Exchange (NYSE); Anthony Albanese, Chief Regulatory Officer, NYSE; Jonathan Redwine, Senior Counsel, NYSE; Jeff Davis, Vice President, NASDAQ; John Zecca, Chief Regulatory Officer, NASDAQ; Cindy Retterer, Head of Western US Listings & Capital Markets, NASDAQ; John Ramsay, Chief Market Policy Officer, IEX Group; Claudia Crowley, Chief Regulatory Officer, IEX Group; Greg Hoogasian, Chief Regulatory Officer, Cboe Global Markets; Jeff Wells, Technical Project Manager; Cboe; Todd Furney, Director of Information Security, Cboe; Robert Cook, President, FINRA; Jon Kroeper, Executive Vice President for Quality of Markets, FINRA; and Robert Gatrell, Senior Director, FINRA 3:30 pm Meeting with the Society for Corporate Governance, including: Darla Stuckey, President; Granville Martin, Senior Vice President for Policy & Advocacy; Irving Gomez, Assistant Corporate Secretary, Intel; Stacy Ingram, Deputy Corporate Secretary, The Home Depot; Ginny Fogg, General Counsel, Norfolk Southern; Jared Brandman, Secretary, National Vision; and Yafit Cohn, Associate Group General Counsel, The Travelers Companies		MIAX; Harish Jayabalan, Chief Risk Officer, MIAX; Bruce Goodhue, Chief
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Gomez, Assistant Corporate Secretary, Intel; Stacy Ingram, Deputy Corporate Secretary, The Home Depot; Ginny Fogg, General Counsel, Norfolk Southern; Jared Brandman, Secretary, National Vision; and Yafit Cohn, Associate Group General Counsel, The Travelers Companies	3.50 pm	
Secretary, The Home Depot; Ginny Fogg, General Counsel, Norfolk Southern; Jared Brandman, Secretary, National Vision; and Yafit Cohn, Associate Group General Counsel, The Travelers Companies		
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General Counsel, The Travelers Companies		
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0.30 pm Weeting with staff	6:20 nm	,
	0.30 pm	wiceting with start

Thursday, May 30, 2019

8:15 am	Phone call with Mike Pieciak, President, NASAA
9:30 am	Meeting with staff
10:00 am	Meeting with the American Investment Council, including: Jason Mulvihill,
	Chief Operating Officer; Raj Hussain, Global Head of Compliance, CVC Capital
	Partners; Krista Killian, Director of Compliance – Americas, CVC Capital
	Partners; John Toriello, Head of Accounting Strategy and Policy, Blackstone;

	Pam Bentley, Chief Accounting Officer, The Carlyle Group; and Aaron
	Schlaphoff, Partner, Kirkland & Ellis LLP
10:30 am	Meeting with staff
11:00 am	Phone call with Mary Jo White, Partner, Debevoise & Plimpton
11:10 am	Meeting with staff
12:00 pm	SEC Diversity Council meeting
12:30 pm	Meeting with Commissioner
1:00 pm	Closed Commission Meeting
3:00 pm	Financial Stability Oversight Council Principals meeting
4:00 pm	President's Working Group for Financial Markets meeting
5:00 pm	Meeting with Craig Phillips, Counselor to the Secretary, Department of the
_	Treasury

Friday, May 31, 2019

9:00 am	Meeting with staff
9:45 am	Speaking engagement, SEC FinTech Forum: Distributed Ledger Technology and
	Digital Assets