

**Chairman Jay Clayton**  
**Public Calendar**  
**April 1, 2019 to April 30, 2019**

**Monday, April 1, 2019**

10:30 am	Speaking engagement, SEC International Institute for Securities Market Growth and Development
11:30 am	Meeting with staff
12:15 pm	Phone call with Senator Patrick Toomey
12:30 pm	Speaking engagement, Federal Bar Association
2:00 pm	Phone call with Hal Scott, President, Committee on Capital Markets Regulation
2:30 pm	Meeting with Commissioner
3:15 pm	Meeting with staff
4:00 pm	Meeting with the International Financial Reporting Standards (IFRS) Foundation including: Erkki Liikanen Chair; Alan Beller, Due Process Oversight Committee Chair; and Larry Leva, Global Trustee

**Tuesday, April 2, 2019**

9:20 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:15 am	Phone call with Max Stier, President, Partnership for Public Service
12:00 pm	Meeting with Jelena McWilliams, Chairman, Federal Deposit Insurance Company; Ajit Pai, Chairman, Federal Communications Commission; Joseph Simons, Chairman, Federal Trade Commission; Scott Gottlieb, Commissioner, U.S. Food and Drug Administration; Kathy Kraninger, Director, Consumer Financial Protection Bureau; and Andrew Olmstead, Deputy Assistant to the President for Economic Policy, National Economic Council
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with the Options Clearing Corporation, including: Craig Donohue, Executive Chairman; John Davidson, Chief Executive Officer; Scot Warren, Chief Operating Officer; Julie Bauer, Senior Vice President for Government Relations; Steve Luparello, Board Member; Mark Dehnert, Board Member; and Jim Burns, Partner, Willkie Farr & Gallagher
4:00 pm	Meeting with job applicant
4:15 pm	Meeting with Commissioner

**Wednesday, April 3, 2019**

8:00 am	Meeting with Harvey Pitt, Chief Executive Officer, Kalorama
9:30 am	Meeting with staff

10:15 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Closed Commission Meeting
1:00 pm	Meeting with the Biotechnology Innovation Organization, including: Jim Greenwood, President; Tom DiLenge, President of Membership and Business Operations Division; Jeanne Haggerty, Executive Vice President for Government Affairs and External Relations; Josh Ofman, Senior Vice President for Global Value, Access, and Policy, Amgen Inc; Paul Hastings, President, Nkarta Therapeutics; William Newell, Chief Executive Officer, Sutro Biopharma; Doug Doerfler, President, MaxCyte; Rachel King, President, GlycoMimetics; John Maraganore, Chief Executive Officer, Alnylam Pharmaceuticals; Liz Lewis, Chief Counsel for Global Oncology Business Unit, Takeda Oncology; Mark Drozdowski, Partner, KPMG; Mark Trudeau, President, Mallinckrodt Pharmaceuticals; Jeremy Levine, Chairman, Ovid Therapeutics; Ron Cohen, Chief Executive Officer, Acorda Therapeutics; Chris Healey, Vice President, Grifols; and Kenneth Moch, President, Cognition Therapeutics
2:00 pm	Meeting with Chris Iacovella, Chief Executive Officer, American Securities Association; and Ron Kruszewski, Chief Executive Officer, Stifel
2:30 pm	Town Hall meeting with SEC employees
4:00 pm	Meeting with Justin Muzinich, Deputy Secretary, Department of the Treasury

Thursday, April 4, 2019
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9:00 am	Meeting with Christine Morgan, Managing Counsel, T. Rowe Price; Sandhya Douglas, Associate Director of Global Multi-Asset Strategies, Wellington Management Company; Daniel Barry Associate General Counsel; Fidelity Investments; James Wallin, Senior Vice President for Fixed Income, AB Asset Management; Josh Blackman, Senior Compliance Officer, Neuberger Berman Investment Management; Heidi Hardin, General Counsel, MFS Investment Management; Sharanya Mitchell, Senior Global Regulatory Counsel, Cohen & Steers; Paul Royce, Director of Capital Research and Management Company, Capital Group; Nanette Buziak, Head of Equity Trading, Voya Investment Management; Francis Volino, Director of Commission Management Services, Citi Group; Pamela Torres, General Counsel for Global Investment Research, Goldman Sachs; Johanna Diaz, Chief Administrative Officer of Global Equity Research; Monica Pal, Executive Director of Legal and Compliance, Morgan Stanley; James Crouch, Global Head of Commission Management, Morgan Stanley; Mary Kate Downes, Head of Commission Management, UBS Wealth Management; Eric Marks, Global Risk Manager for Research and Evidence Lab Innovations, UBS Wealth Management; Claude Kavanagh, Assistant General Counsel for Global Equities, Bank of America Merrill Lynch; Michael bossidy, Head of Global Equities Sales, JP Morgan; and Jerry Citra, Associate General Counsel, JP Morgan
10:30 am	Phone call with David Rubenstein, Co-Executive Chairman, The Carlyle Group
11:00 am	Town Hall meeting with staff at SEC New York Regional Office
11:30 am	Meeting with staff

12:15 pm	Meeting with staff
1:00 pm	Meeting with staff
1:45 pm	Meeting with staff
2:45 pm	Tour of the Federal Reserve Bank of New York
4:15 pm	Meeting with the New York Regional Office Diversity Committee
6:00 pm	Meeting with staff

#### Friday, April 5, 2019

8:00 am	Meeting with The Depository Trust & Clearing Corporation (DTCC) including: Mike Bodson, President; and Mark Wetjen, Managing Director
10:00 am	Meeting with Merrill Lynch Wealth Management, including: Andy Seig, President; and Scott Henderson, General Counsel
11:00 am	Meeting with the American Investment Council, including: Drew Maloney, President; Jason Mulvihill, Chief Operating Officer; John Finley, Chief Legal Officer, Blackstone Management; Ken Mehlman, Global Head of Public Affairs, KKR; David Sorkin, , KKR; Nat Zilkha, , KKR & Co.; Jennifer Mello, Chief Compliance Officer, Altamont Capital Partners; Roman Bejger, Chief Compliance Officer, Providence Equity; Jennifer Boyce, Chief Compliance Officer, The Riverside Company; Bela Schwartz, Chief Financial Officer, The Riverside Company; Ross Oliver, General Counsel, Crestview Partners; James Gaven, Chief Compliance Officer, Welsh, Carson, Anderson & Stowe; Benjamin Wilson, Principal, Pantheon Global Secondary Team; Raj Hussain, Global Head of Compliance, CVC Capital Partners; and Krista Killian, Director of Compliance Americas, CVC Capital Partners
11:45 am	Meeting with Commissioner
3:00 pm	Meeting with Tom Hotchkiss, Co-Founder, Imagen Technologies

#### Monday, April 8, 2019

8:45 am	Speaking engagement at Practising Law Institutes “SEC Speaks” 2019 conference
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Phone call with Heath Tarbert, Secretary for International Markets, Department of the Treasury; and Lael Brainard, Chair, Committee on Financial Stability, Federal Reserve Board
2:30 pm	Meeting with Steve Mnuchin, Secretary, Department of the Treasury
6:00 pm	Speaking Engagement, Association of Securities and Exchange Commission Alumni dinner

#### Tuesday, April 9, 2019

7:00 am	Phone call with Financial Stability Board
9:00 am	Meeting with staff
9:15 am	Meeting with staff

9:30 am	Phone call with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)
10:00 am	Meeting with staff
12:00 pm	Meeting with Larry Kudlow, Director, National Economic Council
2:00 pm	Interview with David Rubenstein, Co-Executive Chairman, the Carlyle Group
3:00 pm	Meeting at the President Donald Trump
4:00pm	Meeting with Brian Quintenz, Commissioner, CFTC

Wednesday, April 10, 2019
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9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
2:30 am	Meeting with staff
3:00 pm	Meeting with the Institute for Portfolio Alternatives, including: Tony Chereso, President; Anya Coverman, Senior Vice President for Government Affairs; Mark Goldberg, Executive Vice President, Griffin Capital Company; Mark Quinn, Director of Regulatory Affairs, Cetera Financial Group; Trisha Miller, Consultant and former CEO of Starwood Capital Group; Michael Komenda, General Counsel for Public Funds, CIM Group; Chris McCannell, Senior Government Affairs Consultant, GrayRobinson; and Greg Mesack, Government Affairs Consultant, GrayRobinson
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
6:30 pm	Meeting with Commissioner

Thursday, April 11, 2019
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9:00 am	Phone call with Steven Maijoor, Chairman, European Securities and Markets Authority
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:15 am	Meeting with the Investment Advisor Association, including: Karen Barr, President; Neil Simon, Vice President for Government Relations; Gail Bernstein, General Counsel; Sarah Buescher, Associate General Counsel; Sanjay Lamba, Associate General Counsel; Chris Carsman, Deputy General Counsel, Affiliated Manager's Group; and Jon Roberts, Senior Vice President, Klingenstein Fields
11:00 am	Meeting with the Consumer Federation of America, including: Barbara Roper, Director of Investment Protection; Micah Hauptman, Financial Services Council; Anna Laitin, Director for Financial Policy, Consumer Reports; Pam Banks, Senior Policy Counsel, Consumer Reports; Lisa Donner, Executive Director, Americans for Financial Reform; Stephen Hall, Legal Director, Better Markets; Christine Lazaro, President, Public Investors Arbitration Bar Association; Linda Sherry,

	Director of National Priorities, Consumer Action; and Heather Slavkin Corzo, Director of Capital Markets Policy, American Federation of Labor and Congress of Industrial Organizations (AFL-CIO)
11:30 am	Meeting with Commissioner
12:00 pm	Closed Commission Meeting
12:45 pm	Meeting with staff
2:00 pm	International Monetary Fund Regulatory Roundtable
4:00 pm	Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England
5:00 pm	Meeting with Sean Berrigan, Deputy Director-General for Financial Stability, Financial Services, and Capital Markets Union; European Commission

#### Friday, April 12, 2019

7:00 am	Meeting with the German Ministry of Finance, including: Joerg Kukies, Secretary; Christian Schleithoff, Head of International Tax Policy; and Levin Holle, Head of Financial Markets Policy
9:30 am	Meeting with staff
10:15 am	Phone call with Senator Thom Tillis
10:30 am	Meeting with Commissioner
11:30 am	Meeting with staff
12:30 pm	Meeting of UK and US Financial Regulators hosted by Michael Tatham, United Kingdom Deputy Ambassador to the United States
2:00 pm	Meeting with Sam Woods, Deputy Governor of Prudential Regulation, Bank of England
5:30 pm	Phone call with Senator Mark Warner
6:00 pm	Meeting with Commissioner

#### Saturday, April 13, 2019

2:30 pm	FDIC Tabletop exercise
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#### Sunday, April 14, 2019

7:00 pm	Meeting with members of the Fixed Income Market Structure Advisory Committee (FIMSAC)
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#### Monday, April 15, 2019

9:30 am	FIMSAC meeting
11:30 am	Meeting with staff
12:45 pm	Meeting with Larry Harris, Member, SEC FIMSAC
1:00 pm	Meeting Rob Spalding, Senior Fellow, the Hudson Institute
2:00 pm	Meeting with the National Association of Insurance and Financial Advisors, including: Diane Boyle, Senior Vice President for Government Relations; Gary

Sanders, Vice President for Government Relations; Judi Carsrud, Assistant Vice President for Government Relations; Paul Daugherty, Chief Technology & Innovation Officer, Accenture; and Kate Jensen, NAIFA outside Counsel, Steptoe & Johnson

Tuesday, April 16, 2019

7:45 am	Meeting with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board
9:00 am	Phone call with James Gorman, Chief Executive Officer, Morgan Stanley Wealth Management
9:15 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with the Government Accountability Office, including: Ted Hu, Assistant Director; James Dalkin, Director of the Financial Management and Assurance Team; Grant Simmons, Assistant Director of Financial Management and Assurance Team; Jessica Boucher, Senior Auditor
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board; Mark Van Der Weide, General Counsel, Federal Reserve Board; Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation; Travis Hill, Senior Advisor to the Chairman, Federal Deposit Insurance Corporation; Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC), Cantrell Dumas, Special Counsel, CFTC; Joseph Otting, Comptroller of the Currency, Office of the Comptroller of the Currency; and Mark Gould, First Vice President, Federal Reserve Bank of San Francisco
3:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner

Wednesday, April 17, 2019

9:30 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with Commissioner

Thursday, April 18, 2019

8:30 am	Meeting with the CFTC, including: Chris Giancarlo, Chairman; and Brian Quintenz, Commissioner
9:30 am	Meeting with staff
10:30 am	Meeting with staff

11:00 am	Meeting with Ben Watkins, Director of the Division of Bond Finance, State of Florida
11:30 am	Phone call with aLeo Strine, Chief Justice, Delaware Supreme Court
11:45 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Phone call with Lael Brainard, Chair, Committee on Financial Stability, Federal Reserve Board; and Chris Giancarlo, Chairman, CFTC
1:30 pm	Meeting with Commissioner
2:00 pm	Closed Commission Meeting
4:30 pm	Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, National Economic Council

Friday, April 19, 2019

3:30 pm	Phone call with candidate
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Monday, April 22, 2019

1:45 pm	Meeting with staff
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Tuesday, April 23, 2019

1:45 pm	Meeting with staff
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Wednesday, April 24, 2019

1:45 pm	Meeting with staff
2:00 pm	Meeting with staff

Thursday, April 25 2019

1:45 pm	Meeting with staff
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Friday, April 26, 2019

All Day	Financial Stability Board meeting
1:20 pm	Interview with Bob Pisani, CNBC

Monday, April 29, 2019

9:30 am	Meeting with staff
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Tuesday, April 30, 2019

8:30 am	Meeting with staff
9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with Congressman Tom Graves
12:00 pm	Meeting with Congressman Ted Budd
1:15 pm	Meeting with Leo Strine, Chief Justice, Delaware Supreme Court; Jeffrey Bullock, Delaware Secretary of State; and Larry Hamermesh, Emeritus Professor, Widener University Delaware Law School
2:50 pm	Meeting with staff
3:00 pm	Meeting with the Association for Advanced Life Underwriting, including: David Hollingsworth, Senior Director for Public Policy; Bridget McNamara-Fenesty, President, M Holdings Securities; Christie Corado, Deputy General Counsel, BB& T Insurance Holdings; Brian Large, Partner, Lenox Advisors; and Mary Hansen, Partner, Drinker Biddle
3:30 pm	Meeting with the Vanguard Group, including: Tim Buckley, Chairman; and Natalie Bej, Head of Regulatory Affairs
4:00 pm	Meeting Bill Duhnke, Chairman, Public Company Accounting Oversight Board
7:00 pm	Meeting with Chris Giancarlo, Chairman, CFTC