

Chairman Jay Clayton
Public Calendar
March 1, 2019 to March 31, 2019

Friday, March 1, 2019

12:00 pm Meeting with Stanley Fischer, Senior Advisor, BlackRock
1:45 pm Meeting with staff
2:00 pm Meeting with Vernon Broderick, Judge, U.S. District Court, Southern District of New York
3:00 pm Meeting with Ronnie Abrams, Judge, U.S. District Court, Southern District of New York
4:30 pm Meeting with staff

Monday, March 4, 2019

9:00 am Phone call with Andrew Bailey, CEO, UK Financial Conduct Authority
9:30 am Meeting with staff
10:30 am Meeting with Vanguard, including: Joe Brennan, Chief Risk Officer; and Natalie Bej, Head of Regulatory Affairs
11:00 am Phone call with Charlotte Laurent-Ottomane, Executive Director, Thirty Percent Coalition
11:45 am Meeting with Alexander Acosta, Secretary, Department of Labor
1:30 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:30 pm Meeting with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)

Tuesday, March 5, 2019

9:15 am Interview with Aaron Heslehurst, BBC
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with the North American Securities Administrators Association (NASAA), including: Mike Pieciak, Commissioner, Vermont Department of Financial Regulation; Andrea Seidt, Commissioner, Ohio Department of Commerce; Valerie Mirko, General Counsel; Joey Brady, Executive Director; and Frank Borger-Gilligan, Assistant Commissioner Tennessee Securities Division
12:00 pm Meeting with staff
1:00 pm Phone call with Doug Peterson, CEO, S&P Global

- 1:30 pm Meeting with Hiro Mizuno, Executive Managing Director, Japan Government Pension Investment Fund
- 2:10 pm Meeting with staff
- 3:00 pm Meeting with Bryan McGannon, Director of Policy and Programs, The Forum for Sustainable and Responsible Investment (US SIF); James Andrus, Investment Manager, California Public Employees' Retirement System (CalPERS); Lisa Gilbert, Vice President of Legislative Affairs, Public Citizen; Rachel Curley, Democracy Associate, Public Citizen; Colleen Orr, Policy Analyst, Principles for Responsible Investment; Andy Green, Managing Director for Economic Policy, Center for American Progress; Jill Fisch, Co-Director, University of Pennsylvania Institute for Law and Economics; and Dan Bienvenue, Managing Investment Director for Global Equity Department, CalPERS
- 3:30 pm Meeting with Commissioner
- 4:00 pm Meeting with staff
- 4:30 pm Meeting with Steven Mnuchin, Secretary of the Treasury, Department of the Treasury; and Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)

Wednesday, March 6, 2019

- 8:30 am Meeting with Greg Gilman, President, National Treasury Employees Union, Chapter 293 (NTEU)
- 9:00 am Meeting with staff
- 9:30 am Meeting with staff
- 10:30 am Phone call with Andrew Olmem, Special Assistant to the President for Financial Policy, National Economic Council
- 10:45 am Meeting with Commissioner
- 11:00 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 pm Meeting with staff
- 12:30 pm Meeting with the American Securities Association, including: Chris Iacovella, CEO; Bill Kelly, President, PFS Investments; John Taft, Vice Chairman, RW Baird; Curt Bradbury, COO, Stephens Inc.; and Michelle Kelley, Senior Vice President, LPL Financial
- 1:45 pm Meeting with Craig Phillips, Counselor to the Secretary, Department of the Treasury
- 2:00 pm Financial Stability Oversight Council Principals meeting

Thursday, March 7, 2019

- 8:30 am Meeting with Congressman Blaine Luetkemeyer
- 10:00 am Meeting with Susan Cosper, Technical Director Chair for Emerging Issues Task Force, Financial Accounting Standards Board
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 2:00 pm Closed Commission Meeting

3:00 pm Meeting with Bill Barhydt, Founder, Abra
4:30 pm Meeting with staff

Friday, March 8, 2019

9:00 am Speaking engagement, Fordham University
11:00 am Phone call with Steve Mnuchin, Secretary, U.S. Department of the Treasury;
Chris Giancarlo, Chairman, CFTC; and Randal Quarles, Vice Chairman for
Supervision, Federal Reserve Board
1:00 pm Interview with Bob Pisani, CNBC
3:00 pm Meeting with staff

Saturday, March 9, 2019

8:00 am Phone call with staff

Monday, March 11, 2019

9:00 am Meeting with J. Robert Brown, Jr., Board Member, PCAOB
10:30 am Speaking engagement, Institute for International Bankers
12:15 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with the National Diversity Coalition, including: Faith Bautista,
President; Jin Sung, Chairman; and Richard Ramos, Secretary
2:30 pm Meeting with staff
3:00 pm Interview with Joe Williams, Fox Business Network
4:00 pm Meeting with Republican members of the Senate Banking Committee

Tuesday, March 12, 2019

9:00 am Meeting with the European Banking Federation, including: Giovanni Sabatini,
General Director, Italian Banking Association; Ronald Kent, Managing Director
for Capital Markets and Wholesale, UK Finance; Willem Arend Johannes Mijs,
Chief Executive Officer, European Banking Federation; Mathias Otto, Co-Deputy
General Counsel for Germany, Central, and Eastern Europe, Deutsche Bank AG;
Simon Rooze, Director for European and International Affairs, Dutch Banking
Association; Joerg Riegel, Head of U.S. Regulatory Initiatives, Societe Generale
Group; John Longobardo, Director, Credit Agricole Corporate and Investment
Bank; Thilo Schweizer, Director of European Affairs, Commerzbank AG; Daniel
Engelmann, Advisor for International Relations, Association of German Banks;
Sanda Liepina, Chief Executive Officer, Finance Latvia Association; Michael
Beresik, Head of Public Affairs, Standard Chartered Bank; Jeffery Siegel,
Director of Public Policy and Strategic Regulatory Analysis, BNP Paribas; Sophie
Asselot, Head of Public Affairs, Natixis; and Benoit de la Chapelle Bizot, Deputy
Chief Executive Officer, French Banking Federation

10:00 am Speaking engagement, the Corporate Diversity and Inclusion Forum's Public Policy Conference
10:45 am Phone call with Andrew Olmem, Special Assistant to the President for Financial Policy, National Economic Council
11:00 am Meeting with staff
12:00 pm Meeting with Commissioner
12:30 pm Meeting with staff
1:30 pm Meeting with Fidelity Investments, including: Jonathan Chiel, Executive Vice President; J.J. Johnson, Chief Communications Officer; and Jim Febeo, Head of Federal Government Affairs
2:00 pm Phone call with Greg Gilman, President, NTEU
3:00 pm Meeting with Senator Chris Van Hollen
4:00 pm President's Working Group on Financial Markets principals meeting

Wednesday, March 13, 2019

7:00 am Phone call with International Organization of Securities Commissions (IOSCO) principals
9:00 am Meeting with Joe Carapiet, Senior Counsel, Senate Committee on Banking, Housing, and Urban Affairs; and Jenifer Deci, Majority Professional Staff Member, Senate Committee on Banking, Housing, and Urban Affairs
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Phone call with Andrew Olmem, Special Assistant to the President for Financial Policy, National Economic Council
1:30 pm Meeting with Senator Jerry Moran
2:30 pm Meeting with staff

Thursday, March 14, 2019

8:15 am Interview with Tommy Tucker, WWL AM Radio, New Orleans
9:00 am Meeting with Stanford Ponzi scheme investors, including: Annalisa Mendez; Blaine Smith; Jean Ann Mayhall, President, Microhip ID Systems; and Phil Preis, Partner, Preis Gordon
10:30 am Meeting with Major General Bradley James, Commander, Marine Forces Reserve, MCSF New Orleans
11:00 am Speaking engagement with members of Marine Forces Reserve New Orleans and U.S. Coast Guard Sector New Orleans
1:30 pm Closed Commission Meeting
2:45 pm Speaking engagement, Tulane University
3:45 pm Meeting with staff

Monday, March 18, 2019

- 12:00 pm Meeting with staff
- 1:00 pm Meeting with Ted Gayer, Executive Vice President, The Brookings Institution; and David Wessel, Director, the Hutchins Center on Fiscal and Monetary Policy
- 2:00 pm Meeting with the American Council of Life Insurers, including: Susan Neely, President; Joyce Meyer, Executive Vice President; Alane Dent, Senior Vice President of Government Relations; Carl Wilkerson, Vice President; Scott Davison, Chief Executive Officer, OneAmerica; Thomas Leonardi, Executive Vice President for Government Affairs, AIG; JoAnne Martin, Chief Executive Officer, Ameritas; Steve Pelletier, Executive Vice President, Prudential; Dan Houston, Chairman, Principal Financial Group; and Dennis Glass, Chief Executive Officer, Lincoln Financial Group
- 3:00 pm Meeting with Commissioner
- 4:00 pm Meeting with staff

Tuesday, March 19, 2019

- 9:00 am Meeting with Zack Rosenblum, Counsel, Office of Senator Chuck Schumer
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 pm Phone call with Klaas Knot, Vice Chair, Financial Stability Board
- 1:00 pm Meeting with staff
- 2:00 pm Meeting with staff
- 2:30 pm Meeting with staff
- 3:00 pm Meeting with staff
- 4:00 pm Meeting with staff

Wednesday, March 20, 2019

- 8:00 am Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust Division, Department of Justice
- 9:30 am Meeting with staff
- 10:00 am Meeting with Adena Friedman, President, NASDAQ
- 10:15 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:00 pm Meeting with Chuck Noski, Chairman, Financial Accounting Foundation
- 2:00 pm Meeting with the Sustainability Accounting Standards Board, including: Madelyn Antoncic, Chief Executive Officer; Jeffrey Hales, Chair; Tom Riesenber, Director of Legal & Regulatory Policy; and Elisse Walter, SASB Foundation Board of Directors
- 3:00 pm Meeting with AARP, including: Nancy LeaMond, Executive Vice President and Chief Advocacy & Engagement Officer; Jasmine Vasquez, Senior Legislative

Representative; Cristina Martin Firvida, Vice President of Financial Security & Consumer Affairs; and David Certner, Director, Legislative Policy

Thursday, March 21, 2019

8:00 am Meeting with Michael Chertoff, Executive Chairman, The Chertoff Group
9:30 am Meeting with Jay Khosla, Chief Economic Policy Counsel, Office of Senate Majority Leader Mitch McConnell
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
12:30 pm Meeting with Commissioner
1:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:45 pm Meeting with staff
3:00 pm Meeting with staff
5:00 pm Phone call with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, Department of the Treasury; and Lael Brainard, Member of the Board of Governors, Federal Reserve Board

Friday, March 22, 2019

8:00 am Meeting with Troy Paredes, Founder, Paredes Strategies
10:45 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with District Attorney Cyrus Vance Jr., County of New York
1:40 pm Meeting with staff
2:00 pm Meeting with job applicant
3:00 pm Meeting with the Securities Industry Bellwether Minority Caucus Buttermilk Club, including: Cynthia Adams, CEO, Pearl National Home Certification; Akinyemi Akiwowo, Vice President, Morgan Stanley; Roger Blissett, Head of Government Affairs, MUFGBank; Sharon Davison, Owner, Legal Executive Institute; Conway Ekpo, Executive Director, Morgan Stanley; Sandra Grannum, Chief of Legal Recruitment Bureau, Office of the New York State Attorney General; Robert Marchman, Senior Manager of Enforcement, Financial Industry Regulatory Authority; Andre Owens, WilmerHale; Fiona Philip, Partner, Sidley Austin LLP; Tracey Salmon-Smith, Partner, Drinker Biddle LLP; Shamina Sneed, Executive Director, Morgan Stanley; Will Stute, Partner, Orrick, Herrington & Sutcliffe LLP

Monday, March 25, 2019

8:00 am Financial Stability Board Plenary Conference Call

11:00 am Meeting with staff
1:00 pm Phone call with Joseph Otting, Comptroller of the Currency, Office of the
Comptroller of the Currency
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:15 pm Meeting with staff

Tuesday, March 26, 2019

10:00 am Meeting with staff
10:30 am Meeting with staff
10:45 am Phone call with Mark Carney, Governor, Bank of England
11:00 am Speaking engagement, Securities Industry and Financial Markets Association
(SIFMA) Compliance and Legal Conference
12:00 pm Meeting with the SEC's Disability Interests Advisory Committee
1:00 pm Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
1:05 pm Meeting with staff
3:00 pm Meeting with staff

Wednesday, March 27, 2019

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with SEC Investor Advisory Committee executive members, including:
Anne Sheehan, Chairman; Elisse Walter, Vice Chairman; Nancy LeaMond,
Assistant Secretary; John Coats, Professor, Harvard Law School; Barbara Roper,
Director of Investor Protection, Consumer Federation of America; and Matthew
Furman, General Counsel, Willis Towers Watson PLC
1:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff

Thursday, March 28, 2019

9:00 am SEC Investor Advisory Committee meeting
12:00 pm SEC Diversity Council meeting
2:00 pm Closed Commission Meeting
6:00 pm Phone call with Stacey Cunningham, President, NYSE Group

Friday, March 29, 2019

7:30 am Meeting with Mark Carney, Governor, Bank of England
10:00 am Meeting with Andrew Bailey, Chief Executive Officer, UK Financial Conduct
Authority