

**Chairman Jay Clayton**  
**Public Calendar**  
**December 1, 2019 to December 31, 2019**

Monday, December 2, 2019

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Town Hall meeting with SEC employees
12:00 pm	Meeting with staff
1:00 pm	Meeting with Commissioner
2:00 pm	Meeting with Municipal Securities Rulemaking Board (MSRB) Executive Search and Governance Review Committees, including: Ed Sisk, Chair; Manju Ganeriwala, Vice Chair; Nanette Lawson, Interim Chief Executive Officer; Bill Fitzgerald, Chair, Executive Search Committee; Bob Brown, Chair, Governance Review Committee; Seema Mohanty, Board Member; Joe Darcy, Board Member; Donna Simonetti, Board Member; Jerry Ford, Board Member; Sara Ahmadzai, Special Projects Manager; Bill Fitzgerald, Chair, Executive Search Committee; Joe Darcy, Board Member; Patrick Brett, Board Member, Meredith Hathorn, Board Member; Julia Cooper, Board Member; Jake Lesser, Associate General Counsel; and Bob Fippinger, Counsel to the Board
5:45 pm	Meeting with UK Serious Fraud Office, including: Lisa Osofsky, Director; and Rebecca Foshier, Chief of Staff
7:00 pm	Meeting with staff

Tuesday, December 3, 2019

7:30 am	Phone call with Ashley Alder, Chairman, International Organization of Securities Commissions (IOSCO)
9:00 am	Speaking Engagement, Commodity Futures Trading Commission (CFTC) Securities and Commodities Fraud Working Group Conference
10:10 am	Meeting with staff
10:30 am	Meeting with staff
10:55 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Interview with Neil Cavuto, Fox Business News
1:15 pm	Meeting with staff
1:45 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with Gramm Partners LLC, including: Phil Gramm, Senior Partner; and Mike Solon, Partner
3:00 pm	Meeting with Rich Jones, Partner, Ernst & Young (EY)
3:30 pm	Meeting with Commissioner
4:15 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff

Wednesday, December 4, 2019

9:45 am Speaking engagement, SEC Markets Conference  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:15 pm Meeting with Jennifer Marietta-Westberg, Principal, Cornerstone Research; Marko Kolanovic, Global Head of Macro Quantitative and Derivatives Strategy, JPMorgan Chase; Nathan Goldstein, Chief Product Officer, Signify Health; Craig Phillips, former Counselor to the Secretary, Department of the Treasury; Howard Shelanski, Partner, Davis Polk & Wardwell LLP; Tobias Adrian, Director of Monetary and Capital Markets, International Monetary Fund (IMF); Justin Schack, Managing Director, Rosenblatt Securities; and Tara Muller, Head of Off Exchange Liquidity, Virtu Financial  
1:00 pm Closed Commission Meeting  
3:30 pm Financial Stability Oversight Council (FSOC) Open Meeting  
4:45 pm Meeting with Jovita Carranza, Treasurer, Department of the Treasury  
5:00 pm Meeting with FSOC members and staff

Thursday, December 5, 2019

9:30 am Speaking engagement, Sixth Supervisory General Colleges Credit Rating Agencies meeting  
9:45 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Phone call with Greg Medcraft, Director for Financial and Enterprise Affairs, Organization for Economic Cooperation and Development (OECD)  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
2:30 pm Meeting with Commissioner  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff

Friday, December 6, 2019

9:15 am Meeting with staff  
9:30 am Meeting with staff  
10:45 am Meeting with staff  
11:15 am Meeting with staff  
12:00 pm Meeting with staff  
3:30 pm Meeting with staff

Monday, December 9, 2019

9:30 am Speaking engagement, American Institute of Certified Public Accountants (AICPA)  
10:50 am Meeting with staff  
11:30 am Meeting with Kathy Casey, Trustee, Financial Accounting Foundation (FAF)  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, December 10, 2019

10:00 am Hearing before the Senate Committee on Banking, Housing, and Urban Affairs  
1:30 pm Meeting with staff  
2:00 pm Interview with Bob Pisani, CNBC  
2:30 pm Meeting with the Office of Government Ethics (OGE), including: Emory Rounds, Director; and Shelley Finlayson, Chief of Staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with Healthy Markets, including: Tyler Gellasch, Executive Director; Chris Nagy, Co-Founder; Eric Perlish, Global Head of Trading, Sands Capital; James Andrus, Investment Manager, CalPERS; Stuart George, Head of Global Trading, Macquarie Investment Management; Michael Ledwith, Head of Algorithmic Trading, Quantitative Investment Management; David Brooks, Director of Trading, the London Company of Virginia; John Burkhartzmeyer, Senior Trader, State of Wisconsin Investment Board; Eric Menzie, Director of Investment Research Evaluation, IHS Markit; Greg Babyak, Head of Government & Regulatory Affairs, Bloomberg L.P.; Ben Connault, Economist, the Investors Exchange (IEX); Ray Ross, Chief Technical Innovator, Clearpool; Joe Scafidi, Director of Global Trading, Brandes Investment Partners; and Barbara Francis, Director of Portfolio Trading, Dash Financial Technologies  
4:30 pm Meeting with staff  
5:00 pm Meeting with the Council of Institutional Investors, including: Ken Bertsch, Executive Director; and Jeff Mahoney, General Counsel

Wednesday, December 11, 2019

8:00 am	Meeting with Paul Atkins, Chief Executive Officer, Patomak Global Partners
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with Consolidated Audit Trail, LLC and Securities Industry and Financial Markets Association (SIFMA) Representatives, including: Soniya Shrivastav, Director of Regulatory Initiatives, New York Stock Exchange (NYSE); Steve Goldberg, Senior Counsel, NYSE; Jeff Davis, Chief Regulatory Officer, NYSE; Patrick Sexton, Corporate Secretary, NYSE; Mike Bevilacqua, Partner, WilmerHale; Andre Owens, Partner, WilmerHale; David Emero, Vice President for Regulatory Operations, Goldman Sachs; Ron Veith, Compliance Director, JPMorgan Chase; Sapna Patel, Head of Americas Structure & Liquidity Strategy, Morgan Stanley; Thom Tremaine, Chief Operations Officer, Raymond James; John Nolan, Co-General Director for Internal Audit, UBS; Brant Brown, Asset Management Group Counsel, Willkie Farr & Gallagher; Ken Bentsen, President, Securities Industry and Financial Markets Association (SIFMA); Ellen Greene, Managing Director, SIFMA; and Stephanie Dumont, Director of Capital Markets Policy, Financial Industry Regulatory Association, Inc. (FINRA)
11:45 am	Phone call with Chuck Noski, Chairman, FAF
1:10 pm	Closed Commission Meeting
2:00 pm	Meeting with Commissioner
2:15 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Thursday, December 12, 2019

10:30 am	Phone call with Randal Quarles, Vice Chairman for Supervision, Federal Reserve Board (FRB); Joe Carapiet, Senior Attorney, FRB; Joseph Otting, Comptroller of the Currency; Jonathan Gould, Senior Deputy Comptroller, Office of the Comptroller of the Currency (OCC); Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC); Travis Hill, Deputy to the Chairman for Policy, FDIC; and Matt Daigler, Senior Counsel to the Chairman, CFTC
11:00 am	Meeting with staff
7:00 pm	Meeting with staff

Friday, December 13, 2019

9:00 am Meeting with the Society for Corporate Governance, including: Darla Stuckey, President; Ginny Fogg, Immediate Past Chair; Jeffrey Taylor, Treasurer; Granville Martin, General Counsel; Stacy Ingram, Chair for Policy Advisory Committee; and Jake Amsbary, President, Southeastern Chapter

9:30 am Meeting with staff

10:15 am Phone call with Joseph Otting, Comptroller of the Currency; Morris Morgan, Senior Deputy Comptroller, OCC; Monica Freas, Director for Enforcement and Compliance, OCC; Nicola Hanna, U.S. Attorney, Central District of California; Brandon Fox, Criminal Chief, U.S. Attorney's Office for the Central District of California; Rancee Katzenstein, Chief of Major Frauds, U.S. Attorney's Office for the Central District of California

11:00 am Phone call with Ed Sisk, Chair, MSRB

1:30 pm Meeting with staff

Monday, December 16, 2019

9:15 am Meeting with staff

10:00 am Meeting with Commissioner

11:00 am Meeting with staff

11:45 am Meeting with staff

1:00 pm Meeting with staff

1:30 pm Meeting with staff

2:00 pm Meeting with staff

2:30 pm Meeting with Deloitte, including: Lara Abrash, Chairman; and Joe Ucuzoglu, US Chief Executive Officer

3:30 pm Meeting with staff

4:00 pm Meeting with staff

4:30 pm Meeting with Commissioner

5:00 pm Meeting with staff

Tuesday, December 17, 2019

9:00 am Meeting with Tripp Smith

10:00 am Meeting with staff

10:30 am Meeting with staff

11:00 am Meeting with NASDAQ, including: Adena Friedman, President; and John Zecca, Executive Vice President

11:30 am Meeting with staff

1:00 pm Closed Commission Meeting

4:00 pm Financial and Banking Information Infrastructure Committee (FBIIC) meeting

5:00 pm Meeting with staff

Wednesday, December 18, 2019

10:00 am      Open Commission Meeting  
2:40 pm      Meeting with staff

Thursday, December 19, 2019

1:00 pm      Phone call with Randal Quarles, Vice Chairman for Supervision, FRB  
1:30 pm      Meeting with staff  
2:00 pm      Meeting with staff  
2:30 pm      Phone call with Randal Quarles, Vice Chairman for Supervision, FRB; Joseph Otting, Comptroller of the Currency; Jelena McWilliams, Chairman, FDIC; and Heath Tarbert, Chairman, CFTC  
3:00 pm      Meeting with staff  
3:15 pm      Meeting with staff

Monday, December 23, 2019

3:00 pm      Meeting with staff

Tuesday, December 24, 2019

Christmas Eve – Federal Holiday

Wednesday, December 25, 2019

Christmas – Federal Holiday

Thursday, December 26, 2019

3:00 pm      Meeting with staff

Monday, December 30, 2019

3:00 pm      Meeting with staff