

Chairman Jay Clayton
Public Calendar
November 1, 2019 to November 30, 2019

Friday, November 1, 2019

8:00 am Phone call with Mark Branson, Chief Executive Officer, Swiss Financial Market Supervisory Authority (FINMA)

10:30 am Speaking engagement, SEC New York Regional Office Affinity Fraud Conference

11:30 am Meeting with Stanley Fischer, Senior Advisor, BlackRock

1:30 pm Meeting with Ernst & Young (EY), including: Kelly Grier, US Chair; John King, Americas Vice Chair for Assurance; Jim Estes, Americas Vice Chair for Professional Practice (Assurance); and David Kane, Global Deputy Vice Chair for Assurance; and Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)

2:15 pm Meeting with Deloitte, including: Joe Ucuzoglu, US Chief Executive Officer; Alex Schillaci, Global Regulatory Leader; and Bill Duhnke, Chairman, PCAOB

3:00 pm Meeting with KPMG, including: Bill O'Mara, Global Head of Audit; Frank Casal, US Vice Chair for Audit; Jackie Daylor, National Managing Partner for Audit Quality and Professional Practice; Edward Cannizzaro, Global Head of Quality, Risk & Regulatory; and Bill Duhnke, Chairman, PCAOB

3:45 pm Meeting with PwC, including: Tim Ryan, US Chairman; Alan Lee, Global Assurance Quality; Richard Oldfield, Global Markets Leader; Diana Weiss, Global General Counsel; and Bill Duhnke, Chairman, PCAOB

4:30 pm Meeting with Geoff Berman, U.S. Attorney, Southern District of New York

Sunday, November 3, 2019

3:00 pm Phone call with Commissioner

4:00 pm Phone call with staff

6:00 pm Meeting with Gilbert Garcia, Member, Asset Management Advisory Committee

7:30 pm Meeting with members of the SEC's Fixed Income Market Structure Advisory Committee (FIMSAC)

Monday, November 4, 2019

8:00 am Phone call with Saeb Eigner, Chairman, Dubai Financial Services Authority

9:00 am Speaking engagement, FIMSAC meeting

10:00 am Meeting with staff

11:00 am Meeting with staff

12:00 pm Meeting with The Wall Street Journal Editorial Board

1:15 pm Meeting with staff

2:45 pm Meeting with staff

3:00 pm Meeting with staff

3:30 pm Meeting with Commissioner
5:00 pm Meeting with staff
5:30 pm Meeting with Justin Muzinich, Deputy Secretary, Department of Treasury; Randal Quarles, Vice Chairman for Supervision, Federal Reserve Board (FRB); and Lael Brainard, Governor, FRB

Tuesday, November 5, 2019

10:00 am Open Commission Meeting
12:15 pm Closed Commission Meeting
2:00 pm Meeting with staff
2:30 pm Phone call with Chuck Noski, Chairman, Financial Accounting Foundation (FAF)

Wednesday, November 6, 2019

7:00 am Meeting with the European Commission, including: Valdis Dombrovskis, Vice President; and Sean Berrigan, Deputy Director-General
12:15 pm Meeting with Andreas Lehnert, Director of Financial Stability; FRB; and Brent McIntosh, General Counsel, U.S. Department of the Treasury
1:00 pm Financial Stability Board (FSB) Plenary meeting

Thursday, November 7, 2019

9:00 am FSB Plenary meeting
12:15 pm Meeting with staff

Friday, November 8, 2019

4:00 pm Meeting with staff

Monday, November 11, 2019

Veterans Day – Federal Holiday

Tuesday, November 12, 2019

9:00 am SEC Small Business Capital Formation Advisory Committee meeting
10:00 am Meeting with staff
11:00 am Opening remarks, SEC Veterans Day Open House
11:30 am Speaking engagement, SEC Veterans Day event
12:00 pm Meeting with staff
1:00 pm Meeting with the Government Accountability Office (GAO), including: Ted Hu, Assistant Director; James Dalkin, Director of the Financial Management and Assurance Team; Grant Simmons, Assistant Director of the Financial

	Management and Assurance Team; Jessica Boucher, Senior Auditor; and Gary Barton, Partner, Cotton & Company
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Swearing in Ceremony for Brent McIntosh, Undersecretary for International Affairs, Department of the Treasury; and Brian Callanan, General Counsel, Department of the Treasury
5:00 pm	Meeting with Bimal Patel, Assistant Secretary for Financial Institutions, Department of the Treasury
5:30 pm	Meeting with Rodney Hood, Chairman, National Credit Union Administration (NCUA)

Wednesday, November 13, 2019

8:15 am	Phone call with Andrew Olmem, Deputy Assistant to the President for Economic Policy, National Economic Council (NEC)
8:30 am	Meeting with Senator Pat Toomey
9:45 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	SEC Diversity Council meeting
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with Commissioner
4:15 pm	Meeting with staff
4:45 pm	Meeting with staff
5:00 pm	Meeting with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293
5:30 pm	Phone call with Makan Delrahim, Assistant Attorney General for the Antitrust Division, Department of Justice
5:45 pm	Meeting with former Congressman Bruce Poliquin
6:30 pm	Meeting with staff

Thursday, November 14, 2019

8:45 am	Speaking engagement, Government Finance Officers Association MiniMuni Conference
9:30 am	Meeting with Senator John Kennedy
10:30 am	Meeting with Bill Duhnke, Chairman, PCAOB
2:30 pm	Interview with Dave Michaels, The Wall Street Journal; and Erin Arvedlund, Philadelphia Inquirer
4:00 pm	Speaking engagement, University of Pennsylvania Law School

Friday, November 15, 2019

7:40 am Interview with Maria Bartiromo, Fox Business Network
10:00 am Phone call with Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC); Joseph Otting, Comptroller of the Currency; Randal Quarles, Vice Chairman for Supervision, FRB; and Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)
10:45 am Phone call with Jelena McWilliams, Chairman, FDIC; Joseph Otting, Comptroller of the Currency; Rodney Hood, Chairman, NCUA; and Mark Calabria, Director, Federal Housing Finance Agency

Monday, November 18, 2019

8:30 am Meeting with Eugene Scalia, Secretary, Department of Labor (DoL)
9:45 am Meeting with Eugene Scalia, Secretary, DoL; Russ Vought, Acting Director, Office of Management and Budget (OMB); Larry Kudlow, Director, NEC; and Dominic Mancini, Deputy Administrator, OMB's Office of Information and Regulatory Affairs
11:30 am Meeting with staff
12:00 pm Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with Commissioner
2:30 pm Meeting with Blackstone, including: John Finley, Chief Legal Officer; Jeff Iverson, Chief Compliance Officer; Joan Solotar, Head of Private Wealth Solutions & External Relations; and Rajib Chanda, Head of Registered Funds Practice, Simpson Thacher & Bartlett LLP
3:00 pm Meeting with Commissioner
7:30 pm Meeting with Congressman French Hill

Tuesday, November 19, 2019

8:30 am Speaking engagement, Securities Industry and Financial Markets Association (SIFMA) Annual Conference
9:05 am Interview with David Westin, Bloomberg TV
9:30 am Phone call with H. Rodgin Cohen, Senior Chairman, Sullivan & Cromwell
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with staff
1:15 pm Meeting with staff

1:30 pm	Meeting with staff
2:00 pm	Meeting with Timothy Ryan, U.S. Chair, PricewaterhouseCoopers (PWC)
2:30 pm	Meeting with staff
2:45 pm	Phone call with Senator David Perdue
3:00 pm	Federal Housing Finance Oversight Board meeting
5:00 pm	Meeting with staff

Wednesday, November 20, 2019

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with job applicant
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with Commissioner
2:00 pm	Closed Commission Meeting
3:00 pm	Full Commission briefing
4:00 pm	Meeting with Options Clearing Corporation, including: Craig Donohue, Executive Chairman; John Davidson, Chief Executive Officer; Scot Warren, Chief Operating Officer; Janet Angstadt, General Counsel; and Julie Bauer, Executive Vice President for Government Relations and Investor Education
5:00 pm	Meeting with staff

Thursday, November 21, 2019

3:00 pm	Meeting with staff
5:00 pm	SEC Historical Society Dinner

Friday, November 22, 2019

9:00 am	Phone call with Steve Mnuchin, Secretary, Department of the Treasury; and John Williams, President, Federal Reserve Bank of New York
10:00 am	Meeting with staff

Monday, November 25, 2019

4:45 pm	Meeting with staff
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Tuesday, November 26, 2019

10:00 am	Meeting with Bank of America, including: Tom Montag, Chief Operating Officer; and Sonali Theisen, Head of Fixed Income Market Structure
12:15 pm	Phone call with Ken Bentsen, President, SIFMA
12:30 pm	Phone call with Glenn Hutchins and Gary Cohn

3:00 pm Meeting with staff
4:00 pm Meeting with staff

Wednesday, November 27, 2019

3:00 pm Meeting with staff

Thursday, November 28, 2019

Thanksgiving – Federal Holiday