

Chairman Jay Clayton
Public Calendar
October 1, 2019 to October 31, 2019

Tuesday, October 1, 2019

9:00 am	Meeting with Long Term Stock Exchange, including: Michelle Greene, Chief Policy Officer; and Zoran Perkovic, Vice President for Technical Operations
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with Invesco Investment Management Company, including: Marty Flanagan, Chief Executive Officer; Anna Paglia, Head of Legal-U.S. Electronic Traded Funds; and Andy Blocker, Head of U.S. Government Affairs.
11:30 am	Meeting with Commissioner
12:30 pm	Meeting with staff
1:15 pm	Meeting with staff
1:30 pm	Meeting with the Commodity Futures Trading Commission, including: Heath Tarbert, Chairman; Brian Quintenz, Commissioner; and Hester Peirce, Commissioner, Securities and Exchange Commission (SEC)
2:30 pm	Meeting with staff
3:45 pm	Meeting with Justin Muzinich, Deputy Secretary, Department of the Treasury
4:30 pm	Phone call with Susquehanna International Group, including: Jeff Yass, Managing Director; and Brian Sopinsky, General Counsel
5:00 pm	Meeting with Mick Mulvaney, acting Chief of Staff, The White House
5:15 pm	Speaking engagement, Buttermilk Club
6:00 pm	Speaking engagement, Financial Services Forum

Thursday, October 3, 2019

9:15 am	Meeting with Commissioner
9:30 am	Speaking engagement, SEC Retail Strategy Task Force Roundtable on Combating Elder Investment Fraud
10:30 am	Meeting with Commissioner
11:00 am	Meeting with staff
11:00 am	Meeting with JPMorgan Chase including: Jamie Dimon, Chairman; and Judith Burns Miller, Chief of Staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with job applicant
12:45 pm	Meeting with job applicant
1:00 pm	Meeting with staff
1:30 pm	Speaking engagement, SEC Enforcement Criminal Coordination Conference
2:30 pm	Federal Housing Finance Oversight Board Principals meeting
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff

5:30 pm Meeting with staff
7:00 pm Attended the event, “A New Deal for this New Century: Making our Economy Work for All”, sponsored by New York University School of Law, Stanford Law School, and University of Pennsylvania

Saturday, October 5, 2019

3:30 pm Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)

Monday, October 7, 2019

9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with Makan Delrahim, Assistant Attorney General for the Antitrust Division, Department of Justice
2:00 pm Meeting with staff
2:30 pm Meeting with IHS Markit, including: Bradford Levy, Chief Executive Officer; Matthew Fiordaliso, Executive Director; Salman Banaei, Director of Regulatory Affairs; John Olesky, Co-Head of Loan Platforms; and Edward Chidsey, Managing Director of Price, Valuations, and Reference Data
3:00 pm Meeting with staff
3:30 pm Phone call with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Financial Stability Board (FSB) U.S. Principals meeting
5:30 pm Meeting with Larry Kudlow, Director, National Economic Council (NEC)

Tuesday, October 8, 2019

7:30 am FSB Plenary Conference Call
9:45 am Phone call with Segal Mandelker, Under Secretary for Terrorism and Financial Intelligence, Department of the Treasury
10:00 am Phone call with Stanley Fischer, Senior Advisor, BlackRock
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with Commissioner
1:00 pm Meeting with staff
1:15 pm Meeting with staff
2:00 pm Closed Commission Meeting
4:00 pm Full Commission briefing

5:00 pm Meeting with staff

Wednesday, October 9, 2019

11:00 am Phone call with Heath Tarbert, Chairman, Commodity Futures Trading Commission (CFTC)
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
2:45 pm Meeting with Commissioner
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff
7:00 pm Meeting with staff

Thursday, October 10, 2019

9:00 am Meeting with T Rowe Price, including: William Stromberg, Chief Executive Officer; Bob Grohowski, Vice President, Legislative and Regulatory Affairs; and David Oestreicher, Chief Legal Counsel
9:30 am Meeting with staff
10:15 am Meeting with staff
12:30 pm Meeting with Commissioner
1:30 pm Speaking engagement, SEC's First Generation Professional Panel Discussion
2:00 pm Meeting with staff

Friday, October 11, 2019

10:00 am Phone call with Randal Quarles, Chair, FSB

Monday, October 14, 2019

Columbus Day – Federal Holiday

Tuesday, October 15, 2019

12:00 pm Meeting with staff

Wednesday, October 16, 2019

10:00 am Meeting with staff
7:30 pm Phone call with Jay Brown, Board Member, PCAOB

Thursday, October 17, 2019

9:00 am Meeting with staff
10:15 am Meeting with Amelia Chilcott Fawcett, Chairman, Standard Board for Alternative Investments (SBAI)
11:00 am Meeting with the UK Financial Conduct Authority (FCA), including: Andrew Bailey, Chief Executive
12:00 pm Meeting with Commissioner
1:45 pm Meeting with Benoit Coeure, Member of the Executive Board, European Central Bank
2:00 pm Treasury Regulatory Roundtable
3:45 pm Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England
5:00 pm Meeting with staff
5:45 pm Meeting with Commissioner

Friday, October 18, 2019

9:15 am Meeting with Commissioner
9:45 am Meeting with staff
11:00 am Meeting with Erik Thedeen, Director General, Swiss Financial Supervisory Authority (FINMA)
1:00 pm Meeting with Joerg Kukies, State Secretary, German Federal Ministry of Finance
2:00 pm Meeting with Sam Woods, Deputy Governor for Prudential Regulation, Bank of England
3:00 pm Meeting with Sean Berrigan, Deputy Director General, European Commission
4:00 pm Meeting with Ryoze Himino, Vice Minister, Japan Financial Services Agency (JFSA)
6:30 pm Meeting with Commissioner
7:15 pm Meeting with staff
8:00 pm Meeting with staff

Tuesday, October 22, 2019

8:15 am Phone call with Richard Spencer, Secretary, Department of Navy
8:30 am Meeting with staff
9:15 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff

11:00 am	Meeting with staff
11:30 am	Meeting with staff
11:45 am	Meeting with staff
12:00 pm	Meeting with staff
12:45 pm	Meeting with staff
1:15 pm	Meeting with Commissioner
1:30 pm	Meeting with staff
3:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with Commissioner
2:45 pm	Meeting with Commissioner
4:00 pm	Meeting with Republican members of the Senate Committee on Banking, Housing, and Urban Affairs
5:15 pm	Meeting with Congressman Anthony Gonzalez

Wednesday, October 23, 2019

8:15 am	Speaking engagement; Securities Enforcement Forum
9:45 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with Sean McKessy, Partner, Phillips & Cohen
11:00 am	Meeting with Stephen Kohn, Partner, Kohn, Kohn, and Calapinto (KCC); Michael Kohn, Partner, KCC; John Kostyack, Executive Director, National Whistleblower Center (NWC); and Siri Nelson, Legal Fellow, KCC
11:30 am	Meeting with Commissioner
1:00 pm	Speaking engagement, Georgetown Law FinTech event
2:10 pm	Closed Commission Meeting
5:00 pm	Phone call with Jordan Thomas, Partner, Labaton Sucharow

Thursday, October 24, 2019

10:00 am	Phone call with Ashley Alder, Chairman, International Organization of Securities Commissions (IOSCO)
10:30 am	Meeting with staff

Friday, October 25, 2019

9:30 am	Meeting with staff
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Monday, October 28, 2019

8:00 am	Meeting with Stacey Cunningham, President, New York Stock Exchange (NYSE)
9:30 am	Town Hall meeting with staff at the SEC New York Regional Office
11:00 am	Meeting with Commissioner
4:30 pm	Meeting with staff

Tuesday, October 29, 2019

9:00 am Meeting with staff
10:30 am Meeting with the French Financial Markets Regulator (AMF), including: Robert Ophéle, Chairman; and Viet-Linh Nguyen, Deputy Director of European & International Affairs
12:00 pm Meeting with the China Securities Regulation (CSRC), including: Fang Xinghai, Vice Chairman; Shen Bing, Director General of International Affairs; Liu Lei, Staff of the Department of General Affairs; and Yi Ran, Interpreter
1:00 pm Meeting with the Financial Services and Markets Authority (FSMA), including: Jean-Paul Servais, Chairman; and Antoine Van Cauwenberge
2:00 pm Meeting with the European Securities and Markets Authority (ESMA), including: Stephen Maijor, Chairman; and Jakub Michalik, Legal Officer
3:15 pm IOSCO Board Dinner meeting

Wednesday, October 30, 2019

3:15 am IOSCO Breakfast meeting
4:00 am IOSCO Board meeting
8:40 am IOSCO Lunch meeting
10:00 am IOSCO Board meeting
10:30 am Meeting with staff
3:30 pm Meeting with Dawn Stump, Commissioner, CFTC

Thursday, October 31, 2019

3:45 pm Phone call with Elisse Walter, former SEC Chairman
4:00 pm Meeting with staff
5:00 pm Meeting with Commissioner