

Chairman Jay Clayton
Public Calendar
July 1, 2018 to July 31, 2018

Monday, July 2, 2018

3:00 pm Meeting with staff

Wednesday, July 4, 2018

Independence Day – Federal Holiday

Thursday, July 5, 2018

7:00 am Phone call with International Organization of Securities Commissions (IOSCO)
Board Members
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Larry Fink, CEO, BlackRock
12:00 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Closed Commission Meeting

Friday, July 6, 2018

2:00 pm Meeting with staff

Monday, July 9, 2018

9:15 am Meeting with staff
9:20 am Meeting with staff at the SEC Miami Regional Office
9:45 am Phone call with Congressman Jeb Hensarling
10:00 am Town Hall Meeting with staff at the SEC Miami Regional Office
11:00 am Interview with Jay Weaver, The Miami Herald
11:30 am Interview with Tom Hudson, National Public Radio
12:00 pm Meeting with staff at the SEC Miami Regional Office
2:00 pm SEC Roundtable with investors, hosted by the University of Miami
3:45 pm Meeting with staff

Tuesday, July 10, 2018

9:00 am Meeting with NASDAQ, including: Adena Friedman, President; and Edward Knight, Executive Vice President

9:30 am Meeting with staff

10:00 am Meeting with staff

10:30 am Meeting with staff

11:00 am Meeting with staff

11:30 am Meeting with Commissioner

12:00 pm Meeting with staff

12:45 pm Phone call with job applicant

1:00 pm Meeting with Institutional Shareholder Services, including: Gary Retelny, President; Steven Friedman, General Counsel; and Mari-Anne Pisarri, Partner, Pickard Djinis & Pisarri

1:30 pm Meeting with staff

2:00 pm Meeting with the Futures Industry Association, including: Walter Lukken, President; Allison Lurton, General Counsel; Jackie Mesa, Senior Vice President of Global Policy; and Toby Taylor, Corporate Secretary

2:45 pm Meeting with staff

4:00 pm Meeting with Commissioner

4:45 pm Meeting with House Majority Leader Kevin McCarthy

6:00 pm Meeting with Bill McLucas, Partner, WilmerHale

Wednesday, July 11, 2018

7:30 am Meeting with Jerome Powell, Chair, Federal Reserve Board

9:30 am Department of Justice Announcement of Taskforce on Market Integrity and Consumer Fraud

12:00 pm Meeting with Tom Ayers, General Counsel, Department of the Air Force

2:00 pm Meeting with staff

3:00 pm Meeting with staff

4:30 pm Meeting with Commissioner

Thursday, July 12, 2018

8:30 am Meeting with Senator Bob Corker

9:45 am Meeting with staff

10:00 am Meeting with staff

10:30 am Meeting with job applicant

11:15 am Meeting with Congressman Trey Hollingsworth

12:30 pm Phone call with Marty Lipton, Partner, Wachtell, Lipton, Rosen & Katz

12:45 pm Phone call with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, U.S. Department of the Treasury

1:00 pm Interview with Dave Michaels, The Wall Street Journal

2:00 pm Closed Commission Meeting

Friday, July 13, 2018

10:30 am Phone call with Steve Mnuchin, Secretary, U.S. Department of the Treasury;
Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC);
and Randal Quarles, Vice Chairman for Supervision, Federal Reserve Board

Monday, July 16, 2018

2:45 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
7:30 pm Meeting with staff

Tuesday, July 17, 2018

7:30 am Meeting with Bimal Patel, Deputy Assistant Secretary for the Financial Stability
Oversight Council, U.S. Department of the Treasury
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Greg Gilman, President, National Treasury Employees Union,
Chapter 293
1:00 pm Meeting with the American Securities Association, including: Ron Kruszewski,
Chairman, Stifel, Nicolaus & Company; and Chris Iacovella, Chief Executive
Officer, Equity Dealers of America
1:30 pm Meeting with Commissioner
2:00 pm Meeting with Commissioner
2:30 pm Meeting with Commissioner
3:00 pm Meeting with staff
3:25 pm Phone call with James Gorman, CEO, Morgan Stanley
4:15 pm Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight
Board (PCAOB)
4:30 pm Meeting with Jeff Wall, Acting Solicitor, U.S. Department of Justice

Wednesday, July 18, 2018

9:00 am Interview with Dave Michaels, The Wall Street Journal

10:00 am	Open Commission Meeting
12:30 pm	Meeting with Harvey Pitt, CEO, Kalorama Partners
2:15 pm	Meeting with staff
2:30 pm	Phone interview with Jason Zweig, The Wall Street Journal
3:00 pm	Meeting with staff
7:00 pm	Meeting with staff

Thursday, July 19, 2018

7:00 am	Phone call with IOSCO Principals
8:30 am	Meeting with staff at the SEC Philadelphia Regional Office
9:00 am	Town Hall meeting with staff at the Philadelphia Regional Office
10:00 am	Meeting with staff
10:45 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
1:45 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff

Friday, July 20, 2018

11:30 am	Phone call with Chris Giancarlo, Chairman, CFTC
----------	---

Monday, July 23, 2018

12:30 pm	Meeting with staff
----------	--------------------

Tuesday, July 24, 2018

8:00 am	Meeting with Congressman Jim Himes
9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with Temasek Holdings, including: Lim Boon Heng, Chairman; Cheng Wai Keung, Vice Chairman; Dilhan Pillay Sandrasegara, Deputy CEO; John Vaske, Joint Head-North America; Wai Ching Chan, Joint Head – Corporate Development Group; Eu Jin Chua, Managing Director; and Pierce Scranton, Managing Director
1:30 pm	Meeting with the Insured Retirement Institute (IRI), Including: Catherine Weatherford, President, IRI; Jason Berkowitz, Vice President, IRI; Lee Covington, Senior Vice President, IRI; Robert DeChellis, President, Allianz

Exchange; Bill Morrissey, Divisional President, LPL Financial; Ben Huneke, Managing Director, Morgan Stanley; Paula Nelson, President – Retirement, Global Atlantic Financial Group; Andrew Bowden, Senior Vice President, Jackson National Life Insurance; Bill Lowe, President, Sammons Institutional Group; and Nicole Petrosino, Senior Vice President, LPL Financial

2:00 pm Meeting with Commissioner

3:00 pm Meeting with Commissioner

Wednesday, July 25, 2018

10:30 am Town Hall meeting with staff at the SEC Denver Regional Office

11:30 am Interview with Aldo Svaldi, The Denver Post

12:00 pm SEC Roundtable with investors, Denver, Colorado

2:00 pm Meeting with staff at the SEC Denver Regional Office

Thursday, July 26, 2018

9:30 am Meeting with Congressman Blaine Luetkemeyer

10:00 am Meeting with Congressman Tom Emmer

10:30 am Meeting with Congressman French Hill

11:35 am Meeting with staff

11:45 am Phone call with Dietrich Domanski, Secretary General, Financial Stability Board (FSB)

12:00 pm Meeting with Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC)

1:30 pm Phone call with Gilbert Garcia, Managing Partner, Garcia Hamilton & Associates

2:00 pm Closed Commission Meeting

2:30 pm Meeting with staff

3:00 pm Meeting with staff

3:30 pm Meeting with staff

4:30 pm Phone interview with Andrew Ramonas, Reporter, Bloomberg BNA

4:45 pm Meeting with staff

5:00 pm Meeting with staff

5:30 pm Meeting with Commissioner

Monday, July 30, 2018

10:00 am Meeting with Securities Industry and Financial Markets Association (SIFMA), including: Ken Bentsen, President; Ira Hammerman, Executive Vice President; Kevin Carroll, Managing Director; Yoon-Young Lee, Partner, WilmerHale; and Stephanie Nicolas, Partner, WilmerHale

11:00 am Meeting with staff

12:00 pm Meeting with staff

12:45 pm Meeting with staff

1:00 pm	Meeting with Edward Jones, including: Jim Weddle, CEO; Chris Lewis, General Counsel; Merri Jo Gillette, Chief Compliance Officer; Jesse Hill, Head of Government and Regulatory Relations; John Callahan, Principal; and Rick Roberts, Principal, Roberts, Raheb, and Gradler LLC
1:30 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
6:00 pm	Meeting with Tobias Adrian, Director of the Monetary and Capital Markets Department, International Monetary Fund (IMF)
7:00 pm	Meeting with Chris Giancarlo, Chairman, CFTC

Tuesday, July 31, 2018

10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with John Roscoe, Special Assistant to the President and Associate Director of Presidential Personnel, White House Office of Presidential Personnel
1:30 pm	Meeting with Commissioner
2:00 pm	Meeting with Morgan Stanley, including: Michael Stein, Global Head of Government; Shelley O'Connor, Co-Head for Wealth Management; Andy Saperstein, Co-Head for Wealth Management; and Anne Tennant, General Counsel of Wealth Management
3:15 pm	Phone call with Craig Phillips, Counselor to the Secretary, U.S. Department of the Treasury
3:40 pm	Meeting with staff
4:00 pm	Meeting with Bill Duhnke, Chairman, PCAOB
5:30 pm	Meeting with Ajit Pai, Chairman, Federal Communications Commission (FCC)