

Chairman Jay Clayton
Public Calendar
June 1, 2018 to June 30, 2018

Friday, June 1, 2018

1:45 pm Meeting with staff
2:15 pm Meeting with Rich Repetto, Principal, Sandler O'Neill & Partners

Monday, June 4, 2018

10:30 am Meeting with Commissioner
11:00 am Meeting with staff
12:00 pm Meeting with Gilbert Garcia, Managing Partner, Garcia Hamilton & Associates, L.P.
1:00 pm Meeting with staff
1:45 pm Speaking engagement at the National Association of Securities Professionals Annual Conference
4:00 pm Meeting with Daron Peschel, Senior Vice President, Federal Reserve Bank of Dallas
4:30 pm SEC Roundtable with investors in Houston, Texas
6:15 pm Phone call with Senator Angus King and Senator Susan Collins

Tuesday, June 5, 2018

8:45 am Meeting with the North American Securities Administrators Association (NASAA), including: Andrea Seidt, Commissioner, Ohio Department of Commerce; Melanie Lubin, Commissioner, Maryland Division of Securities; Frank Borger-Gilligan, Assistant Commissioner, Tennessee Department of Commerce & Insurance; Joe Borg, Director, Alabama Securities Commission; Joey Brady, Executive Director, NASAA; and A. Valerie Mirko, General Counsel, NASAA
9:30 am Elder Justice Coordinating Council Meeting
11:30 am Open Commission Meeting
3:30 pm Hearing before the Senate Appropriations Subcommittee on Financial Services and General Government

Wednesday, June 6, 2018

7:45 am Meeting with job applicant
9:00 am Speaking engagement at The Global Exchange and Brokerage Conference
10:00 am Live interview with Bob Pisani, CNBC

Thursday, June 7, 2018

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with Thomas Workman, Independent Member, Financial Stability Oversight Board
1:00 pm	Phone call with Jerry Baker, Editor-in-Chief, The Wall Street Journal
1:30 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Phone call with Joe Borg, President, NASAA
5:00 pm	Phone call with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, U.S. Department of the Treasury

Friday, June 8, 2018

2:00 pm	Meeting with staff
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Saturday, June 9, 2018

1:30 pm	Phone call with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, U.S. Department of the Treasury
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Monday, June 11, 2018

10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with the Investment Company Institute, including: Paul Schott Stevens, President; Dorothy Donohue, Deputy General Counsel; and Sarah Bessin, Associate General Counsel
11:30 am	Meeting with staff
11:40 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
1:45 pm	Meeting with staff
2:00 pm	Photos with SEC Annual Award Recipients
3:00 pm	Phone call with Joe Borg, President, NASAA
3:30 pm	Meeting with job applicant
4:00 pm	Meeting with Biotechnology Innovation Organization, including: Jim Greenwood, President; Cameron Arterton, Vice President, Emerging Companies; Doug Doerfler, CEO, MaxCyte; Bill Newell, CEO, Sutro Biopharma; Bryan Blom, Vice President, Porterfield, Fetting & Sears, LLC; and David Lachman,

	Senior Director for Federal Government Relations, Biotechnology Innovation Organization
4:20 pm	Meeting with Commissioner
6:00 pm	Speaking engagement, The Wall Street Journal CFO Network

Tuesday, June 12, 2018

7:00 am	IOSCO Principals call
9:00 am	Meeting with the Investment Advisor Association (IAA), including: Karen Barr, President, IAA; Neil Simon, VP Government Relations, IAA; Gail Bernstein, General Counsel, IAA; Richard Adler, CEO, Red Arrow Capital; Christine Carsman, Executive VP, Affiliated Managers Group, Inc.; Geoffrey Alexander, President, R.M. Davis, Inc.; Mark Eveans, President, Meritage Portfolio Management; Karen Aspinall, Executive VP, Pacific Investment Management Company, LLC; Yvette Garcia, Managing Director, Bessemer Trust; Alison Baur, Deputy General Counsel, Franklin Templeton Investments; Joan Giardina, Principal, Chesley, Taft & Associates, LLC; Peter Boyle, President, Clifford Swan Investment Counsel; Mary Keefe, Director of Regulatory Affairs, Nuveen Asset Management; Charles Mahar, CEO, Tealwood Asset Management; Burnie Sparks, President, Bailard, Inc.; Jennifer Murphy, COO, Western Asset Management Company; Julie Vander Weele, Partner, RMB Capital Management; Michael Provine, Principal, Tradition Capital Management, LLC; Steve Wilkes, CEO, Hutchinson Capital Management; Gwen Reinke, Chief Compliance Officer, Vista Equity Partners; Jane Williams, Chairman, Sand Hill Global Advisors, LLC; and Jeff Schweon, Partner, Williams, Jones & Associates, LLC
9:30 am	Meeting with staff
11:00 am	Meeting with Craig Phillips, Counselor to the Secretary, U.S. Department of the Treasury
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
4:15 pm	Meeting with staff
4:45 pm	Meeting with Commissioner

Wednesday, June 13, 2018

9:00 am	Town Hall Meeting with staff at the SEC Atlanta Regional Office
10:00 am	Meeting with Dave Culley, Principal, Nease, Lagana, Eden & Culley, Inc.
10:30 am	Investing in America Roundtable at the SEC Atlanta Regional Office
11:50 am	Meeting with staff
12:00 pm	Closed Commission Meeting
2:00 pm	Investor Town Hall, hosted by Georgia State University College
3:30 pm	Meeting with Commissioner
4:00 pm	Meeting with Luis Aguilar, Partner, Falcon Cyber Investments
4:30 pm	Meeting with Commissioner

6:00 pm Meeting with staff at the SEC Atlanta Regional Office

Thursday, June 14, 2018

8:30 am Remarks at the SEC Investor Advisory Committee Meeting
9:45 am Phone call with job applicant
10:00 am Interview with Mathew Kempner, Atlanta Journal Constitution
2:30 pm Meeting with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, U.S. Department of the Treasury
3:30 pm Intergovernmental meeting at the White House

Friday, June 15, 2018

7:00 am Phone call with Steven Maijoor, Chair, European Securities and Markets Authority
3:00 pm Meeting with staff

Monday, June 18, 2018

7:00 am Meeting with Mary Jo White, Partner, Debevoise & Plimpton
11:30 am Speaking engagement at the Bank of New York Federal Reserve
5:30 pm Meeting of President's Working Group on Financial Markets
7:00 pm Meeting with job applicant

Tuesday, June 19, 2018

9:20 am Meeting with staff
9:40 am Meeting with staff
10:10 am Meeting with staff
10:40 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Knut Rostad, President, The Institute for the Fiduciary Standard; John Bogle, Founder, The Vanguard Group; Tamar Frankel, Professor, Boston University; Phyllis Borzi, Board Member, The Institute for the Fiduciary Standard; Michael Zeuner, Managing Partner, WE Family Offices; James Patrick, Managing Director, Envestnet; Bill Crager, President, Envestnet; Brian Hamburger, Managing Member, The Hamburger Law Firm; Paul Pagnato, CEO, PagnatoKarp; and Darren Fogarty, Research Analyst, The Institute for the Fiduciary Standard
1:00 pm Meeting with U.S. Chamber of Commerce, including: Karen Wilson Thissen, Executive Vice President, Ameriprise; David Hirschmann, President, Center for Capital Markets Competitiveness; Tom Quaadman, Executive Vice President, Center for Capital Markets Competitiveness; Samantha DeZur, Director, Center for Capital Markets Competitiveness; Brian O'Shea, Sr., Director, Center for Capital Markets Competitiveness; Ram Subramaniam, Head of Brokerage & Investment

Solutions, Fidelity; Alice Joe, Vice President for Government Relations, Fidelity; Rich White, Sr., Vice President, Jackson National; James Sonne, Assistant Vice President, MassMutual; Karen Sukin, Executive Vice President, Primerica; Joe Monk, Senior Vice President, State Farm; Kevin McMullen, Counsel, State Farm; Mark Halloran, Managing Director, Transamerica; and Mike Crawl, General Counsel, UBS

1:30 pm Meeting with job applicant
 2:00 pm Meeting with staff
 3:45 pm Phone call with members from the Federal Reserve Board; the U.S. Commodity Futures Trading Commission (CFTC); and Department of the Treasury
 4:00 pm Meeting with Commissioner
 4:45 pm Meeting with Commissioner

Wednesday, June 20, 2018

10:15 am Meeting with Alex Acosta, Secretary, U.S. Department of Labor
 11:00 am Meeting with Commissioner
 11:15 am Meeting with the CFA Institute, including: Paul Smith, Chief Executive; Kurt Schacht, Managing Director for Advocacy; and James Allen, Head of Capital Markets Policy-Americas
 12:00 pm Meeting with staff
 1:30 pm Meeting with the Boston Asset Management Association, including: Robert Reynolds, CEO, Putnam Investments; Tom Faust, CEO, Eaton Vance Corp.; Pat Murphy, CEO, John Hancock Retirement Plan Services; Susan Livingston, Partner, Brown Brothers Harriman; Phil Gillespie, Executive Vice President, State Street Global Advisors; Jonathan Chiel, Executive Vice, Fidelity Investments; Billy Fenrich, Chief Legal Officer, AQR Capital; Tom Samoluk, Vice President, John Hancock Financial Services; Joe Barry, Sr. Vice President, State Street Corp.; James Febeo, Fidelity Investments; Caleb Johnson, Director of Government Affairs, AQR Capital; Jim Segel, Executive Director, Boston Asset Management Association; and Oswaldo Palomo, Managing Director, Chartwell Strategy Group
 2:15 pm Meeting with Congressman Jeb Hensarling
 3:30 pm Phone call with Congressman Warren Davidson
 4:00 pm Meeting with Congresswoman Maxine Waters
 4:30 pm Meeting with Commissioner
 5:00 pm Meeting with Congressman Bill Huizenga
 5:30 pm Meeting with Congresswoman Carolyn Maloney

Thursday, June 21, 2018

10:00 am Hearing before the House Financial Services Committee
 1:50 pm Meeting with staff

Friday, June 22, 2018

9:15 am Meeting with the NYSE Listed Company Advisory Board
11:00 am Meeting with staff
1:45 pm Meeting with staff

Sunday, June 24, 2018

12:00 pm Financial Stability Board meeting

Monday, June 25, 2018

2:00 am Meeting with Mark Carney, Governor, Bank of England
2:30 am Financial Stability Board meeting
12:30 pm Financial Stability Board dinner meeting

Tuesday, June 26, 2018

2:45 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:45 pm Meeting with Commissioner
5:15 pm Meeting with District Attorney Cyrus Vance Jr., County of New York

Wednesday, June 27, 2018

8:15 am Meeting with staff
8:30 am Meeting with Susan Axelrod, Chief Supervisory Officer, Merrill Lynch Wealth Management
9:00 am Meeting with Raymond McDaniel, CEO, Moody's Corporation
11:30 am Meeting with staff
1:00 pm Meeting with staff
1:30 pm Phone call with Commissioner
2:30 pm Meeting with Commissioner
3:30 pm Meeting with Commissioner
4:00 pm Memorial Service for Scott Friestad, Associate Director, Enforcement

Thursday, June 28, 2018

10:00 pm Open Commission Meeting
2:00 pm Closed Commission Meeting
4:00 pm Meeting with staff
4:15 pm Meeting with staff

Friday, June 29, 2018

7:30 am	Meeting with Justin Muzinich, Counselor to the Secretary, U.S. Department of the Treasury
8:00 am	Meeting with Brent McIntosh, General Counsel, U.S. Department of the Treasury
8:30 am	Meeting with staff from the Department of the Treasury
11:00 am	Meeting with staff
1:30 pm	Meeting with staff