

Chairman Jay Clayton
Public Calendar
May 1, 2018 to May 31, 2018

Tuesday, May 1, 2018

9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:15 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with Marc Wyatt, Head of Global Trading, T. Rowe Price; Clive Williams, Head of Global Equity Trading, T. Rowe Price; Kevin Cronin, Global Head of Trading, Invesco Ltd; Adam Conn, Head of Dealing, Baillie Gifford; Matt Lyons, Senior Vice President, The Capital Group; Lisa Mahon-Lynch, Associate Director for Trading and Market Strategies, Wellington Management; Savvy Soun, Manager of Equity Trading, Dodge & Cox Investment Managers; Jeff Estella, Director of Trading Analytics & Investment Operations, MFS Investment Management; Peter Stutsman, Central Equity Dealer, The Capital Group; and D.J. Adams, Managing Director of Trading, Sands Capital Management LLC
1:45 pm	Meeting with staff

Wednesday, May 2, 2018

1:30 pm	Meeting with Richard Englert, President, Temple University
2:00 pm	Speaking engagement at Temple University
5:00 pm	Meeting with staff
7:00pm	SEC Public Service Week Staff Outing

Thursday, May 3, 2018

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with Commissioner
10:30 am	Meeting with staff
11:00 am	Meeting with Andrew Southerling, Partner, Morgan, Lewis & Bockius LLP
12:00 pm	Meeting with Craig Phillips, Counselor to the Secretary, U.S. Department of the Treasury
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with Commissioner
3:30 pm	Meeting with staff

4:00 pm	Meeting with Mary Schapiro, Advisory Board Vice Chair, Promontory; and Robert Steel, Chief Executive Officer, Perella Weinberg Partners L.P.
4:30 pm	Phone call with Hal Scott, Harvard Law School; and Les Silverman, Adjunct Professor, Georgetown University
4:40 pm	Meeting with staff
5:00 pm	Meeting with staff

Friday, May 4, 2018

7:00 am	Phone call with Ashley Alder, Chairman, International Organization of Securities Commissions (IOSCO)
10:00 am	Meeting with staff

Monday, May 7, 2018

2:45 am	Meeting with Mark Branson, CEO, Swiss Financial Market Supervisory Authority (FINMA)
4:15 am	Event with Ed McMullen, U.S. Ambassador to Switzerland and the Swiss Advisory Council
5:30 am	Meeting with Ed McMullen, U.S. Ambassador to Switzerland
6:00 am	Speaking Engagement at the University of Zurich

Tuesday, May 8, 2018

2:20 am	Meeting with Barbara Novick, Vice Chairman, BlackRock Global Executive Committee
3:00 am	IOSCO Board Meeting

Wednesday, May 9, 2018

1:45 am	Meeting with Robert Ophèle, Chairman, France Financial Markets Authority
3:00 am	IOSCO Board meeting
7:00 am	Meeting with Steven Maijoor, Chairman, European Securities and Markets Authority (ESMA); and Jakub Michalik, Legal Officer, ESMA
8:00 am	Meeting with Ashley Alder, Chairman, IOSCO
9:30 am	Meeting with Anat Guetta, Chair, Israeli Securities Authority (ISA)
11:15 am	Meeting with James Shipton, Chairman, Australian Securities and Investments Commission (ASIC)
1:00 pm	Meeting with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC) and other members of the CFTC IOSCO Delegation

Friday, May 11, 2018

10:30 am	Meeting with staff
1:00 pm	Interview with Arthur Levitt, Bloomberg

2:45 pm Meeting with staff
3:00 pm Phone call with Financial Stability Board members
3:40 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation (FDIC)

Monday, May 14, 2018

9:00 am Meeting with Michael Bloomberg, CEO, Bloomberg L.P.
11:00 am Meeting with staff

Tuesday, May 15, 2018

7:00 am Financial Stability Board Conference Call
9:00 am Meeting with staff
9:30 am Meeting with Commissioner
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Greg Gilman, President, National Treasury Employees Union Chapter 293
12:30 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Town Hall Meeting staff
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:30 pm Meeting with Congressman Bruce Poliquin
6:15 pm Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee
7:00 pm Meeting with Commissioner

Wednesday, May 16, 2018

8:30 am Meeting with Dave Michaels, The Wall Street Journal
10:00 am Meeting with Commissioner
11:00 am Meeting with staff
11:30 am Meeting with Commissioner
12:30 pm Meeting with Chris Dodd, Senior Counsel, Arnold & Porter
2:00 pm Speaking engagement at the National Venture Capital Association's annual meeting
3:30 pm Meeting with the National Academy of Public Administration, including: Teresa Gerton, President; Brenna Isman, Project Director; and Elijah Evans, Research and Communications Associate
4:30 pm Meeting with Senator Doug Jones
5:00 pm Meeting with staff
7:00 pm Meeting with staff

Thursday, May 17, 2018

12:00 pm Meeting with staff
1:00 pm Closed Commission Meeting
2:00 pm Meeting with staff

Friday, May 18, 2018

3:00 pm Meeting with Commissioner
3:30 pm Meeting with staff

Monday, May 21, 2018

12:00 pm Meeting with the National Society of Compliance Professionals, including: Norm Ashkenas, Senior Vice President and Chief Compliance Officer, Fidelity Investments; Christopher Charles, President and CEO, Wulff, Hansen, & Co.; Miriam Lefkowitz, Chief Legal Officer, Summit Equities, Inc.; and Adam Reback, Chief Compliance Officer, J. Goldman & Co., L.P.
12:45 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with Commissioner
3:00 pm Federal Housing Finance Oversight Board Principals meeting
7:00 pm Meeting with Greg Johnson, CEO, Franklin Templeton Investments

Tuesday, May 22, 2018

9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:15 am	Meeting with Commissioner
1:00 pm	Meeting with staff
2:30 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:15 pm	Speaking engagement at the Financial Industry Regulatory Authority (FINRA) annual conference

Wednesday, May 23, 2018

8:00 am	Speaking engagement at Bloomberg Law Leadership Forum
3:00 pm	Meeting with staff
3:30 pm	Speaking engagement at American Association of Retired Persons (AARP) Town Hall meeting
4:30 pm	Meeting with Commissioner
5:00 pm	Meeting with staff

Thursday, May 24, 2018

9:00 am	Meeting with Senator James Lankford
10:00 am	American Securities Association Board meeting
11:30 am	Meeting with the National Association of Insurance Commissioners (NAIC), including: Julie McPeak, Commissioner, Tennessee Department of Commerce and Insurance; Dean Cameron, Director, Idaho Department of Insurance; Doug Ommen, Commissioner, Iowa Insurance Division; Michael Consedine, CEO, NAIC; Ethan Sonnichsen, Managing Director of Government Relations, NAIC
12:30 pm	Meeting with Securities Industry and Financial Markets Association (SIFMA), including: Ken Bentsen, President & CEO, SIFMA; Robert Toomey, Managing Director, SIFMA; Randy Guynn, Davis Polk & Wardwell LLP; and Alison Hashmall, Davis Polk & Wardwell LLP
2:00 pm	Meeting with staff
2:30 pm	Meeting with job applicant
3:00 pm	Meeting with Commissioner
3:30 pm	Meeting with staff
4:00 pm	Meeting with job applicant
6:00 pm	Meeting with Johnny DeStefano, Assistant to the President

Monday, May 28, 2018

Memorial Day – Federal Holiday

Tuesday, May 29, 2018

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Phone call with Congressman Bill Huizenga
12:00 pm	Meeting with staff
3:30 pm	Meeting with the U.S. Chamber of Commerce, including: Tom Quaadman, Executive Vice President of Capital Markets Competitiveness; Brian O'Shea, Senior Director of Capital Markets Competitiveness; Nick Sanders, Senior Associate for Federal Government Relations, Securities Industry and Financial Markets Association (SIFMA); Randy Snook, Senior Vice President for Business Policies & Practices, SIFMA; Brian Hahn, Chief Financial Officer, GlycoMimetics; Cameron Arteton, Vice President for Tax Policy, Biotechnology Innovation Organization; Justin Field; Vice President for Government Affairs, National Venture Capital Association (NVCA); Bobby Franklin, NVCA; Chris Iacovella, CEO, American Securities Association; and Ed Knight, General Counsel, NASDAQ
4:00 pm	Meeting with Commissioner

Wednesday, May 30, 2018

7:00 am	Phone call with IOSCO New Board Member Criteria Subgroup
9:00 am	Meeting with staff
9:30 am	Meeting with Lisa Woll, CEO, US SIF; Jonas Kron, Senior Vice President, Trillium Asset Management; Adam Kanzer, Vice President, Domini Impact Investments; Alyssa Greenspan, President, Community Capital Management; Michael Lent, Chief Information Officer, Veris Wealth Partners; Stu Dalheim, Vice President, Calvert Research and Management; Flavia Micilotta, Executive Director, EuroSIF; Kim Coble, Chief Operating Officer, US SIF; and Michael Kramer, Managing Partner, Natural Investments
10:15 am	Meeting with Commissioner
11:00 am	Meeting with the Investment Adviser Association (IAA), including: Karen Barr, President and CEO; Gail Bernstein, General Counsel; Sarah Buescher, Associate General Counsel; Sanjay Lamba, Assistant General Counsel; and Jane Williams, Chair, Sand Hill Global Advisors
12:00 pm	Meeting with Heath Tarbert, Assistant Secretary for International Markets and Investment Policy, U.S. Department of the Treasury

1:00 pm	Meeting with FINRA, including: Robert Cook, President and CEO; and Bob Colby, Chief Legal Officer
1:45 pm	Meeting with staff
2:00 pm	Meeting with the Financial Services Institute (FSI), including: Dale Brown, President & CEO; Dave Bellaire, Executive Vice President; and Dean Harman, Chair of FSI Board, Harman Wealth Management
3:00 pm	Meeting with the Investment Company Institute (ICI), including: Paul Schott Stevens, President, ICI; Ted Truscott, Chief Executive Officer, Columbia Threadneedle Investments; and Susan Olson, General Counsel, ICI
4:30 pm	Meeting with Kevin Edgar, Chief Counsel, House Financial Services Committee
5:00 pm	Meeting with staff

Thursday, May 31, 2018

8:30 am	Meeting with staff
11:30 am	Meeting with Commissioner
3:00 pm	Closed Commission Meeting
4:00 pm	Meeting with John O'Connor, Chairman, J.H. Whitney Investment Management LLC; and Ira Greenstein
4:30 pm	Meeting with job applicant
6:30 pm	Meeting with Raymond Lohier, Judge, U.S. Circuit Court of Appeals for the Second Circuit; Richard Berman, Senior Judge, U.S. District Court for the Southern District of New York; Loretta Preska, Senior Judge, U.S. District Court for the Southern District of New York; Jed Rakoff, Senior Judge, U.S. District Court for the Southern District of New York; and Jesse Furman, Judge, U.S. District Court for the Southern District of New York