

Chairman Jay Clayton
Public Calendar
April 1, 2018 to April 30, 2018

Monday, April 2, 2018

11:00 am Meeting with staff
12:00 pm Meeting with staff

Tuesday, April 3, 2018

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with Elad Roisman, Senior Counsel, Senate Committee on Banking, Housing, and Urban Affairs; and Jonathan Gould, Senior Counsel, Senate Committee on Banking, Housing, and Urban Affairs

1:00 pm Meeting with staff
2:00 pm Meeting with Commissioner
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:45 pm Meeting with Commissioner

Wednesday, April 4, 2018

10:00 am Town Hall Meeting with staff at the SEC Boston Regional Office
11:00 am Meeting with staff at the SEC Boston Regional Office
1:00 pm Meeting with Abby Johnson, Chief Executive Officer, Fidelity
2:00 pm Closed Commission Meeting
4:00 pm Meeting with Hal Scott, Harvard Law School
4:30 pm Speaking engagement at Harvard Law School

Thursday, April 5, 2018

10:00 am Meeting with staff
10:30 am Phone call with Kevin Edgar, Chief Counsel, House Financial Services Committee

12:00 pm Speaking engagement at Princeton University
1:30 pm Informal meeting with students and faculty at Princeton University

Friday, April 6, 2018

- 8:00 am Speaking engagement at the Partnership for New York City Meeting
- 10:30 am Phone call with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)
- 2:00 pm Phone call with Craig Phillips, Counselor to the Secretary, U.S. Department of the Treasury
- 3:00 pm Phone call with Bill McNabb, Chairman, UnitedHealth Group

Sunday, April 8, 2018

- 8:00 pm Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee (FIMSAC)

Monday, April 9, 2018

- 9:00 am SEC's Fixed Income Market Structure Advisory Committee (FIMSAC) Meeting
- 10:00 am Meeting with staff
- 2:00 pm Interview with Penn Law Journal
- 3:00 pm Meeting with Mike Simon, Chair, CAT NMS LLC; Tony McCormick, CEO, BOX Options Exchange, LLC; Ed Tilly, Chairman, Cboe Global Markets, Inc.; John Kerin, CEO, Chicago Stock Exchange; Robert Cook, President, Financial Industry Regulatory Authority (FINRA); Brad Katsuyama, CEO, IEX Group, Inc.; Tom Gallagher, Chairman, MIAX Options Exchange; Tom Wittman, CEO, NASDAQ; and Tom Farley, President, New York Stock Exchange

Tuesday, April 10, 2018

- 8:30 am Phone call with Joe Borg, President, North American Securities Administrators Association (NASAA)
- 10:15 am Meeting with staff at the SEC Chicago Regional Office
- 10:30 am Meeting with staff at the SEC Chicago Regional Office
- 11:00 am Meeting with staff at the SEC Chicago Regional Office
- 12:30 pm Speaking engagement at the University of Chicago Booth School of Businesses Equity Market Symposium
- 2:00 pm Meeting with Commissioner
- 3:00 pm Town Hall Meeting with staff at the SEC Chicago Regional Office
- 4:00 pm Meeting with staff at the SEC Chicago Regional Office
- 5:00 pm Meeting with Commissioner

Wednesday, April 11, 2018

- 11:00 am Meeting with staff at the SEC Los Angeles Regional Office

11:30 am Meeting with staff at the SEC Los Angeles Regional Office
12:00 pm Meeting with staff at the SEC Los Angeles Regional Office
12:45 pm Meeting with staff at the SEC Los Angeles Regional Office
1:30 pm Town Hall Meeting with staff at the SEC Los Angeles Regional Office
2:30 pm Meeting with Commissioner

Thursday, April 12, 2018

8:30 am Speaking engagement at SEC National Compliance Outreach Program for Investment Companies and Investment Advisors
9:00 am Meeting with staff
10:00 am Meeting with Peter Wallison, Co-Director of Financial Policy Studies, American Enterprise Institute
10:40 am Meeting with job applicant
11:00 am Meeting with Cynthia Fornelli, Executive Director, Center for Audit Quality; Catherine Ide, Managing Director, Center for Audit Quality; Joe Adams, Chief Executive Officer, RSM US LLP; Jim Powers, Chief Executive Officer, Crowe Horwath LLP; Michael McGuire, Chief Executive Officer, Grant Thornton LLP; and Wayne Berson, Chief Executive Officer, BDO USA LLP
12:30 pm Meeting with Commissioner
1:30 pm Closed Commission Meeting
2:00 pm Meeting with staff
2:30 pm Meeting with Commissioner
3:00 pm Phone call with Joe Borg, President, North American Securities Administrators Association (NASAA)
3:30 pm Meeting with Thomas Workman, Independent Member, Financial Stability Oversight Council (FSOC)
4:30 pm FSOC Principals Meeting
5:45 pm Phone call with Barbara Roper, Director of Investor Protection, Consumer Federation of America
6:00 pm Meeting with Andrew Olmem, White House National Economic Council
7:30 pm Meeting with Robert Powelson, Commissioner, Federal Energy Regulatory Commission

Friday, April 13, 2018

9:00 am Meeting with staff
9:30 am Phone call with Ken Bentsen, President, Securities Industry and Financial Markets Association (SIFMA)
10:00 am Meeting with Congressman Sam Graves
12:00 pm Meeting with Alex Acosta, Secretary, U.S. Department of Labor
2:50 pm Meeting with staff

Monday, April 16, 2018

9:30 am Phone call with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board
11:30 am Phone call with Senator Sherrod Brown
4:30 pm Meeting with Greg Segall, Chairman, Versa Capital Management, LLC
5:15 pm Phone call with Senator Mike Crapo
5:30 pm Speaking engagement at Drexel University LeBow College of Business Directors Dialogue

Tuesday, April 17, 2018

8:30 am Meeting with Congressman Jeb Hensarling
9:00 am Meeting with Congresswoman Ann Wagner
11:00 am Meeting with Congresswoman Maxine Waters
11:30 am Meeting with Congressman Patrick McHenry
12:30 pm Meeting with Commissioner
1:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:30 pm Meeting with Commissioner
5:30 pm Speaking engagement at American Association of Retired Persons (AARP) MoneySmart Week Event
6:30 pm Phone call with Senator Elizabeth Warren

Wednesday, April 18, 2018

7:30 am Meeting with Senator Mike Rounds
8:00 am Meeting with Senator Pat Toomey
9:00 am Meeting with staff
9:30 am Meeting with the Industrial and Commercial Bank of China (ICBC), including: Mei Zhu, Chairman; Huiman Yi, General Manager of ICBC H.O. International Business Department; Weiwu Zhang, General Manager of ICBC H.O. Internal Control and Compliance; Wei Zhou, Chairman of US Management Committee; Yuqiang Xiao, Head of Secretary Division in H.O. Administration Department; Hai Xie, Assistant to Huiman Yi; Xie Taifeng, General Manager; Yun Chen, Interpreter; and Sheila Bair, Independent Non-Executive Director, Industrial and Commercial Bank of China
11:15 am Meeting with Commissioner
12:00 pm Meeting with Congressman Mike Quigley
2:30 pm Meeting with Commissioner
3:30 pm Open Commission Meeting
5:00 pm Meeting with staff

Thursday, April 19, 2018

9:00 am Meeting with staff

10:00 am Meeting with the Monetary Authority of Singapore, including: Ravi Menon, Managing Director; and Ruo Shuang, Associate International Finance
10:30 am Meeting with Brad Gans, Vice Chairman of the Supervisory Board, Citigroup Global Markets Deutschland AG
11:20 am Meeting with Valdis Dombrovskis, Vice President for the Euro and Social Dialogue, European Commission
12:30 pm Treasury Regulatory Roundtable
2:30 pm Closed Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with staff
5:00 pm Meeting with Jorg Kukies, State Secretary, German Federal Ministry of Finance
6:00 pm Meeting with Sebastian Albella, Chairman, Spanish Securities Market Commission
7:00 pm Meeting with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)

Friday, April 20, 2018

8:00 am Meeting with Joseph Otting, Comptroller of the Currency
9:20 am Meeting with Ashley Alder, CEO, Securities and Futures Commission of Hong Kong
10:00 am Meeting with Eric Thedeen, Director General, Financial Supervisory Authority of Sweden
11:00 am Meeting with Andrew Bailey, CEO, UK Financial Conduct Authority
12:00 pm Phone call with Commissioner
12:30 pm Meeting of UK and US Financial Regulators hosted by Kim Darroch, United Kingdom Ambassador to the United States
2:00 pm Phone call with Mary Schapiro, former SEC Chairman and Independent Director, CVS Health Corp.
2:15 pm Phone call with Joe Borg, President, NASAA
2:30 pm Phone call with Mary Jo White, former SEC Chair and Partner, Debevoise & Plimpton LLP
3:30 pm Phone call with Elisse Walter, former SEC Chairman and Public Governor, FINRA

Saturday, April 21, 2018

9:30 am Phone call with staff

Monday, April 23, 2018

9:00 am Meeting with staff
10:30 am Meeting with Brian Schorr, Chief Legal Officer, Trian Fund Management
11:00 am Meeting with Kevin Warsh, Stanford University, Hoover Institution
12:00 pm Meeting with staff

1:00 pm Meeting with staff
3:00 pm Meeting with the Securities Transfer Association, including: Todd May, Chief Executive Officer, Equiniti Trust Company; Peter Duggan, Sr. Vice President, Computershare Investor Services; Steven Nelson, Chairman, Continental Stock Transfer and Trust Co.; and Charles Rossi, Executive Director, Securities Transfer Association
4:00 pm Meeting with staff
4:45 pm Meeting with Commissioner
7:00 pm Meeting with Commissioner

Tuesday, April 24, 2018

9:30 am Meeting with Dubai Financial Services Authority, including: Saeb Eigner, Chairman; Andy Spindler, President, Financial Services Volunteer Corps; and Robert Clarke, Bracewell LLP
10:00 am Meeting with staff
10:30 am Meeting with staff
12:00 pm Meeting with Martin Gruenberg, Chairman, FDIC
1:00 pm Meeting with Commissioner
2:00 pm Meeting with staff
3:30 pm Meeting with Bill Duhnke, Chairman, PCAOB
4:30 pm PCAOB Meeting

Wednesday, April 25, 2018

8:30 am Meeting with staff
9:00 am Meeting with staff
9:30 am Interview with Dave Michaels, Wall Street Journal
10:00am Meeting with press, including: Katanga Johnson, Reuters; Liz Skinner, Investment News; Ben Bain, Bloomberg L.P.; and Patrick Temple-West, Politico
11:00 am Meeting with staff
12:30 pm Phone interview with Matt Levine, Bloomberg L.P.
1:00 pm Meeting with staff
2:45 pm Meeting with Speaker of the House Paul Ryan
3:45 pm Meeting with Jeff Sprecher, Chairman, Intercontinental Exchange
5:00 pm Meeting with staff

Thursday, April 26, 2018

9:30 am Hearing before the House Appropriations Subcommittee on Financial Services and General Government
12:30 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Closed Commission Meeting

3:00 pm Meeting with Consumer Federation of America, including: Barbara Roper, Director, Investor Protection; and Micah Hauptman, Financial Services Counsel
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with Senate Majority Leader Mitch McConnell
5:00 pm Meeting with staff

Friday, April 27, 2018

3:00 pm Meeting with press, including: Erin Arvedlund, Philadelphia Inquirer; Jeff Blumenthal, Philadelphia Business Journal; and Dan Clark, The American Lawyer
4:00 pm Interview with James Freeman, Assistant Editor, Wall Street Journal
7:00 pm Meeting with Commissioner

Monday, April 30, 2018

9:00 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Jay Powell, Chairman, Federal Reserve; Joseph Otting, Comptroller of the Currency; Martin Gruenberg, Chairman, FDIC; and Chris Giancarlo, Chairman, CFTC
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with Vanguard Financial Advisor Services, including: Greg Davis, Chief Investment Officer; Brian Murphy, Senior Counsel; and Jillien Flores, Government Relations
4:30 pm Meeting with Commissioner
5:30 pm Meeting with job applicant