

Chairman Jay Clayton
Public Calendar
March 1, 2018 to March 31, 2018

Thursday, March 1, 2018

10:30 am Meeting with Commissioner
11:00 am Meeting with Glass Lewis, including: Katherine Rabin, Chief Executive Officer; Nichol Garzon-Mitchell, Senior Vice President & General Counsel; and Chris Smith, Director of Compliance
11:30 am Meeting with Commissioner
12:00 pm Meeting with Senator Thom Tillis
12:10 pm Meeting with staff
12:30 pm Meeting with Commissioner
1:00 pm Meeting with KPMG, including: Lynne Doughtie, Chairman & CEO; Frank Casal, Vice Chairman Audit; Sven Holmes, Vice Chairman Legal, Risk and Regulatory; and Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)
2:00 pm Closed Commission Meeting
3:00 pm Speaking engagement at Brookings Institution Board of Trustees' meeting
5:00 pm Meeting with Robert Cook, President, Financial Industry Regulatory Authority (FINRA)
6:00 pm Phone call with Andrew Olmem, Special Assistant for Financial Policy, White House National Economic Council

Friday, March 2, 2018

8:00 am Meeting with the North American Securities Administrators Association (NASAA), including: Joe Borg, Director, Alabama Securities Commission; Mike Pieciak, Commissioner, Vermont Department of Financial Regulation; Valerie Mirko, Deputy General Counsel, NASAA; and Joey Brady, Executive Director, NASAA

Monday, March 5, 2018

9:00 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
12:45 pm Meeting with job applicant
1:00 pm Meeting with the National Diversity Coalition and National Asian American Coalition, including: Faith Bautista, President, National Diversity Coalition; Claudia Viek, CEO, Invest in Women Entrepreneurs Initiative; Matthew Gnaizda, VP, New Tang Dynasty Television; Josh Gnaizda, CEO, The Blue Heron Group; Caroline Martinez, CEO, Cameo; Jin Sung, Executive Director, Oasis Center

International; Richard Ramos, President & CEO, Latino Coalition for Community Leadership; Regeanie Corona, CEO, Advancing the Seed; Regina Grant Peterson, Executive Director, Macedonia CDC; Everett Bell, Executive Director, Impact Southern California; Dionalyn Catedrilla-Ugbebor, Executive Director, African-American Chamber of Commerce; Giancarlo Pacheco, Executive Director, Plan C Agency; Simon Pang, EVP, Royal Business Bank; Dorima Rincon-Hamilton, Executive Director, Instituto de Avance Latino; Jack Miranda, Executive Director, Jesse Miranda Center for Hispanic Leadership; Moises Cisneros, Executive Director, Los Angeles Latino Chamber of Commerce; Eleazar De Leon, President, Templo Calvario CDC; John Dioso, Managing Editor, BRWN Media; Steve Sugarman, Founder, The Capital Corps, LLC; Jeff Seabold, Co-Founder, The Capital Corps, LLC; Dione Thompson, COO, The Capital Corps, LLC; Sheryl Weinberger, CEO, Best Single Travel Team; and Recinda Shafer, Executive Director, RCC Charitable

1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Phone call with Arthur Levitt, Board Member, Bloomberg LP
3:00 pm	Meeting with staff
3:30 pm	Interview with Hillary Vaughn, FOX Business
4:00 pm	Meeting with staff
4:30 pm	Meeting with the European Banking Federation, including: Gonzalo Gasos Casao, Head of Banking Supervision, European Banking Federation; Blazej Blasikiewicz, Senior Advisor, European Banking Federation; Ina Bruggemann, Division Manager, Association of German Banks; Simon Rooze, Director European and International Affairs, Dutch Banking Association; Joerg Riegel, Director, Societe Generale Financial Services Company; Jeffrey Siegel, Director for Public Policy; and Thomas Rosenkoetter, Head of Government Affairs, BNP Paribas
5:00 pm	Meeting with Commissioner

Tuesday, March 6, 2018

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:15 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with the National Association of Insurance and Financial Advisors, including: Keith Gillies, President; Kevin Mayeux, Chief Executive Officer; Diane Boyle, Senior Vice President Government Relations; Gary Sanders, Vice President Government Relations; Judi Carsrud, Assistant Vice President Government Relations; and Scott Sinder, Partner, Steptoe and Johnson
3:30 pm	Meeting with Financial Agencies Rotation Program Staff
4:00 pm	Meeting with staff

4:15 pm Meeting with staff
4:45 pm Meeting with Commissioner
5:30 pm Meeting with staff

Wednesday, March 7, 2018

9:30 am Meeting with Commissioner
10:00 am Meeting with staff
11:00 am Meeting with Futures Industry Association (FIA) Principal Traders Group, including: Richard Gorelick, Head of Market Structure, DRW Inc.; Jim Draddy, Director of Regulatory Affairs, Jump Trading; Paul Jiganti, Managing Director, IMC Financial Markets; Warren Harper, Partner, Delta Strategy Group; and James Newsome, Partner, Delta Strategy Group
12:00 pm Phone call with Chris Cox, President, Morgan Lewis Consulting
1:15 pm Meeting with the Investor Advisory Committee officers, including: Anne Sheehan, Chairman; Craig Goettsch, Secretary; and Matthew Furman, Chair, Market Structure Subcommittee
2:30 pm Meeting with staff
3:00 pm Meeting with job applicant
3:30 pm Meeting with staff
4:30 pm Meeting with Commissioner
5:15 pm Phone call with Randal Quarles, Vice Chairman for Supervision, Federal Reserve
6:30 pm Meeting with staff

Thursday, March 8, 2018

9:00 am Meeting with students attending the Investor Advisory Committee Meeting
9:30 am Investor Advisory Committee Meeting
10:30 am Meeting with staff
11:00 pm Meeting with Hal Scott, Director, Program on International Financial Systems, Harvard Law School
1:00 pm SEC Women's History Month Event
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff

Sunday, March 11, 2018

1:15 pm Phone call with staff

Monday, March 12, 2018

10:30 am Meeting with staff
11:00 am Meeting with Ameriprise Financial, including: Joseph Sweeney, President Advice & Wealth Management; Theresa Seys, Vice President; and Liz Varley, Vice President Federal Government Affairs
11:30 am Meeting with Commissioner
12:00 pm Meeting with staff
1:00 pm Phone call with Brian Rogers, Chairman, T. Rowe Price
1:30 pm Speaking engagement, SEC's International Institute
2:20 pm Phone call with Sheila Bair, former Federal Deposit Insurance Corporation (FDIC) Chair
2:30 pm Meeting with staff
4:00 pm Speaking engagement at the Council of Institutional Investors' Spring Conference
5:00 pm Meeting of President's Working Group on Financial Markets

Tuesday, March 13, 2018

8:55 am Meeting with staff
9:00 am Meeting with staff
9:30 am Meeting with Stacey Friedman, General Counsel, JP Morgan Chase; and Michelle Mesack, Executive Director, JP Morgan Chase
10:30 am Meeting with staff
11:00 am Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with Sustainability Accounting Standards Board, including: Jean Rogers, Chair; and Alan Beller, Board of Directors
3:00 pm Meeting with the Business Roundtable Corporate Governance Committee, including: Alex Gorsky, CEO, Johnson & Johnson; Liz Forminard, General Counsel Supply Chain & Procurement, Johnson & Johnson; Don Bohn, Vice President Government Affairs, Johnson & Johnson; and Maria Ghazal, Senior Vice President, Business Roundtable
4:00 pm Meeting with Commissioner
4:45 pm Meeting with Commissioner
5:45 pm Meeting with Congressman Bill Huizenga
7:30 pm Meeting with staff

Wednesday, March 14, 2018

9:00 am Meeting with staff

9:30 am	Meeting with Commissioner
10:30 am	Open Meeting
12:45 pm	Meeting with Starr Companies, including: Hank Greenberg, Chairman & CEO; and Joe Dillon, Senior Vice President External Affairs
2:00 pm	Meeting with: Chris Nagy, Co-Founder, Healthy Markets Association; Tyler Gellasch, Executive Director, Healthy Markets Association; Don Pontes, Head of Execution Services, CalPers; Stuart George, Senior Vice President, Macquarie Investment Management, Americas; Geoff Bernard, Assistant Vice President, OFI Global Asset Management Inc.; and Joe Scafidi, Director of Global Trading, Brandes Investment Partners
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with Commissioner
7:30 pm	Meeting with staff

Thursday, March 15, 2018

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with the U.S. Chamber of Commerce Center for Capital Markets Competitiveness, including: David Hirschmann, President; Tom Quaadman, Executive Vice President; Brian O'Shea, Senior Director; and Samantha DeZur, Director
12:15 pm	Meeting with Roel Campos, Partner, Hughes Hubbard & Reed
1:45 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with students from Florida International University

Friday, March 16, 2018

10:00 am	Meeting with staff
10:30 am	Phone call with David Cohen, Senior Executive Vice President, Comcast Corp.

Monday, March 19, 2018

8:30 am	Speaking engagement at the SIFMA Compliance & Legal 2018 Annual Seminar
6:00 pm	Meeting with staff

Tuesday, March 20, 2018

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Meeting with staff

1:00 pm	Meeting with staff
2:00 pm	Meeting with the Financial Information Forum (FIF), including: Thomas Jordan, Advisory Chair, FIF; Christopher Bok, Program Manager, FIF; Ron Veith, Executive Director, JP Morgan; Viktor Grinberg, Director, Deutsche Bank AG; and Judy McDonald, Vice President of Trading Services, Pershing Square Holdings
2:30 pm	Meeting with the Consumer Federation of America, including: Barbara Roper, Director of Investor Protection; and Micah Hauptman, Financial Services Counsel
3:00 pm	Phone call with Michael Heaney, Chairman, SEC's Fixed Income Market Structure Advisory Committee
3:30 pm	Meeting with Commissioner
4:00 pm	Meeting with Commissioner
4:45 pm	Meeting with Commissioner

Wednesday, March 21, 2018

11:30 am	Financial Services Forum
1:00 pm	Meeting with Neel Kashkari, President, Federal Reserve Bank of Minneapolis
2:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Thursday, March 22, 2018

10:00 am	Meeting with Gary Gensler, Senior Lecturer, MIT Sloan School of Management
11:00 am	Meeting with the Office of Financial Research, including: Ken Phelan, Acting Director; Cornelius Crowley, Deputy Director and Chief Data Officer; Stacey Schreft, Deputy Director for Research and Analysis; Tricia Driver, Associate Director for Government and Industry Affairs; Barbara Shycoff, Chief of External Affairs; and Matt Reed, Chief Counsel
1:15 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff

Friday, March 23, 2018

10:15 am	Meeting with staff
10:30 am	Phone call with Dan Matthews, Commissioner Public Buildings Service, General Services Administration
11:00 am	Meeting with Commissioner
11:30 am	Meeting with staff
1:15 pm	Phone call with Randal Quarles, Vice Chairman for Supervision, Federal Reserve; Joseph Otting, Comptroller of the Currency; Chris Giancarlo, Chairman,

Commodity Futures Trading Commission; and Martin Gruenberg, Chairman,
Federal Deposit Insurance Corporation
2:00 pm Meeting with staff
2:30 pm Meeting with staff

Monday, March 26, 2018

10:00 am Meeting with staff
12:00 pm Meeting with staff
1:30 pm Meeting with Betterment LLC, including: Jonathan Stein, Chief Executive Officer; Ben Alden, General Counsel; and Seth Rosenbloom, Associate General Counsel
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Rich Daly, CEO, Broadridge Financial Solutions; and Roel Campos, Partner, Hughes Hubbard & Reed
3:30 pm Meeting with staff
4:00 pm Meeting with FINRA, including: Robert Cook, Chief Executive Officer; Robert Colby, Chief Legal Officer; Tom Gira, Executive Vice President Market Regulation; Jon Kroeper, Executive Vice President Quality of Markets; Steven Randich, Executive Vice President Technology and Chief Information Officer; Shelly Bohlin, Vice President Market Analysis; Susan Tibbs, Vice President Market Manipulation; and Len Gatrell, Vice President Technology

Tuesday, March 27, 2018

9:00 am Speaking engagement, University of Pennsylvania Law School
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with Commissioner
2:30 pm Meeting with George Stamas, Partner, Kirkland & Ellis LLP
3:00 pm Meeting with Commissioner
3:30 pm Meeting with Paul Tucker, Chairman, Systemic Risk Council

Wednesday, March 28, 2018

2:00 pm Meeting with staff
5:00 pm Meeting with staff

Thursday, March 29, 2018

11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Phone call with job applicant
1:00 pm Meeting with job applicant

2:00 pm	Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee
2:30 pm	Meeting with staff
4:00 pm	Reading at SEC Daycare
4:30 pm	Phone call with Terry Duffy, CEO, CME Group