

**Chairman Jay Clayton**  
**Public Calendar**  
**October 1, 2018 to October 31, 2018**

**Monday, October 1, 2018**

9:00 am Meeting with staff  
10:00 am Meeting with staff  
10:30 am Meeting with Buddy Donohue, Of Counsel, Shearman & Sterling  
1:00 pm Meeting with the Investment Company Institute, including: Bill McNabb, Vice Chairman; Paul Stevens, CEO; and George Gilbert, Assistant General Counsel  
2:00 pm Meeting with staff

**Tuesday, October 2, 2018**

9:00 am Meeting with staff  
12:00 pm Meeting with SEC's African American Council  
1:00 pm Meeting with Trader Forum, including: Lew Knox, Executive Director, Institutional Investor; Barbara Baumbusch, Executive Director, Institutional Investor; Brooke Lowenhar, Associate Director, Institutional Investor; Adam Conn, Head of Trading, Baillie Gifford & Co.; Wade Shen, Program Manager, DARPA; Douglas Van Gorp, Managing Director – International Trading, Epoch Investment Partners; Heather O'Hara Hopkins, Gilder Gagnon Howe & Co.; Rob Gouley, Principal, OMERS Capital Markets; Craig Hurl, Director, Ontario Teacher's Pension Plan; Mehmet Kinak, Global Head of Systematic Trading and Market Structure, T. Rowe Price; Andrew Nelson, Senior Director, TIAA Investments; and Michael Thompson, Head of Domestic Equity Trading, William Blair Investment Management  
2:00 pm Speaking engagement, SEC-Federal Retirement Thrift Investment Board Program, "Your Thrift Savings Plan Account-What to Think About When Nearing Retirement or Considering Leaving the Government"  
2:30 pm Meeting with Commissioner  
3:00 pm Meeting with staff  
3:30 pm Meeting with Commissioner  
4:30 pm Meeting with Bill Duhnke, Chairman, Public Company Accounting Oversight Board (PCAOB)

**Wednesday, October 3, 2018**

9:30 am Meeting with staff  
10:00 am Meeting with staff  
10:40 am Meeting with the National Association of Manufacturers, including: Jay Timmons, President; Charles Crain, Director of Tax and Economic Policy; and Aric Newhouse, Senior Vice President of Government Relations and Public Policy

11:15 am Meeting with the Chicago Board Options Exchange, including: Chris Concannon, President; Patrick Sexton, Executive Vice President; Lita Frazier, Vice President; and Walton Liles, Madison Law and Policy, P.C.  
12:00 pm Meeting with job applicant  
1:00 pm Speaking engagement at the Financial Services Forum  
2:30 pm Meeting with Senator Tim Scott  
4:00 pm Meeting with Senator Jon Tester  
6:15 pm Meeting with Commissioner

Thursday, October 4, 2018

7:30 am Meeting with Felix Hufeld, President, Germany Federal Financial Supervisory Authority (BaFin)  
9:00 am Meeting with LPL Financial, including: Michelle Oroschakoff, Chief Legal and Risk Officer; Michelle Kelley, Associate General Counsel; and Nicole Petrosino, Senior Vice President for Government Relations  
9:30 am Meeting with staff  
10:00 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Phone call with Jeff Solomon, President, Cowen  
4:30 pm Speaking engagement, University of Pennsylvania Law School with Leo Strine, Chief Justice, Delaware Supreme Court

Friday, October 5, 2018

9:00 am Meeting with The Wall Street Journal Editorial Board  
11:00 am Interview with Arthur Levitt, Bloomberg  
12:00 pm Meeting with Arthur Levitt, Bloomberg  
1:30 pm Meeting with staff

Saturday, October 6, 2018

8:15 pm Phone call with Ashley Alder, CEO, Hong Kong Securities and Futures Commission

Monday, October 8, 2018

Columbus Day – Federal Holiday

4:00 pm Phone call with Makan Delrahim, Assistant Attorney General, Department of Justice

Tuesday, October 9, 2018

1:30 pm Meeting with staff  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff

Wednesday, October 10, 2018

9:30 am Meeting with staff  
10:00 am Meeting with staff  
9:00 pm Meeting with staff

Thursday, October 11, 2018

8:00 am Meeting with Senator Pat Toomey  
9:30 am Speaking engagement at the Bipartisan Policy Center  
11:00 am Meeting with staff  
12:00 pm Meeting with the Consumer Federation of America, including: Barbara Roper, Director of Investor Protection; and Micah Hauptman, Financial Services Counsel  
1:00 pm Open Commission Meeting  
2:30 pm Meeting with job applicant  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
4:45 pm Meeting with staff  
5:00 pm Meeting with staff

Friday, October 12, 2018

9:00 am Speaking engagement at the Italian Market Momentum Conference  
11:00 pm Meeting with staff  
12:30 pm Phone call with Allan Weinberg, Senior Partner, McKinsey & Co.

Monday, October 15, 2018

10:00 am Phone call with Leo Strine, Chief Justice, Delaware Supreme Court  
2:00 pm Meeting with staff  
2:10 pm Phone call with James Gorman, CEO, Morgan Stanley  
3:00 pm Meeting with staff

Tuesday, October 16, 2018

9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with staff

10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with the New York Stock Exchange (NYSE), including: Stacey Cunningham, President; and Hope Jarkowski, Co-Head of Government Affairs, Intercontinental Exchange (ICE)
1:00 pm	Meeting with Steve Mnuchin, Secretary, Department of the Treasury
1:05 pm	Meeting with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)
1:15 pm	Financial Stability Oversight Council Principals meeting
3:45 pm	Meeting with Commissioner

### Wednesday, October 17, 2018

All Day	International Organization of Securities Commissions (IOSCO) meeting
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### Thursday, October 18, 2018

All Day	IOSCO meeting
11:00 am	Meeting with staff
11:15 am	Meeting with Robert Ophéle, Chairman, France Financial Markets Authority

### Friday, October 19, 2018

3:45 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with Commissioner

### Sunday, October 21, 2018

7:00 pm	Financial Stability Board meeting dinner
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### Monday, October 22, 2018

All day	Financial Stability Board meeting
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### Tuesday, October 23, 2018

9:00 am	Phone call with Steven Maijoor, Chair, European Securities and Markets Authority
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:10 am	Meeting with staff

12:00 pm	Meeting with Makan Delrahim, Assistant Attorney General, Department of Justice
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:10 pm	Meeting with Commissioner
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff
7:00 pm	Meeting with staff

Wednesday, October 24, 2018
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9:00 am	Meeting with job applicant
9:30 am	Meeting with staff
10:00 am	Meeting with Jeremy Lieberman, Managing Partner, Pomerantz LLP; Jennifer Pafiti, Partner, Pomerantz LLP; Marc Gross, Senior Counsel, Pomerantz LLP; Paul Sweeney, General Counsel, Legal & General Investment Management; Megan Peitzmeier, Counsel, Colorado Public Employees Retirement Association; Greg Markley, Treasurer, Washington State Investment Board; Mike White, Legislative Liaison, Washington State Council of Fire Fighters; Daniel Summerfield, Head of Corporate Affairs, Universities Superannuation Scheme; Ravi Nayer, Head of Disputes, Legal & General Group PLC; Mani Neeman, General Counsel, Phoenix Insurance Company; Eitan Lavie, Managing Partner, Lavie Law Firm; and Orly Guy, Managing Partner, Guy, Bachar & Co.
10:30 am	Meeting with Primerica, including: Peter Schneider, President; William Kelly, Chief Executive Officer, PFS Investments; Karen Sukin, Executive Vice President and Deputy General Counsel; and Greg Thomas, Senior Vice President for Federal Government Relations
11:00 am	Meeting with job applicant
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
12:15 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
1:50 pm	Phone call with Joe Borg, Director, Alabama Securities Commission
2:00 pm	Meeting with the Institutional Limited Partners Association (ILPA), including: Steven Nelson, CEO, ILPA; Jennifer Choi, Managing Director of Industry Affairs, ILPA; Chris Hayes, Director of Industry Affairs, ILPA; Rich Hall, Deputy Chief Investment Officer, UTIMCO; Maureen Hazen, General Counsel, Florida State Board of Administration; Heather Traeger, Chief Compliance Officer, Teacher Retirement System of Texas; and Dale Martin, Director of Illiquid Alternatives, Fire and Police Pension Association of Colorado
2:30 pm	Meeting with staff
3:00 pm	Meeting with New York Life, including: Ted Mathas, CEO; Julie Herwig, Senior Vice President of Government Affairs; Ali O'Donnell, Vice President of Government Affairs; and Tom English, Chief Insurance Counsel

4:00 pm Municipal Securities Rulemaking Board meeting  
5:15 am Meeting with Gilbert Garcia, Managing Partner, Garcia Hamilton & Associates, L.P.

Thursday, October 25, 2018

7:30 am Phone call with Ashley Alder, CEO, Hong Kong Securities and Futures Commission  
9:00 am Meeting with staff  
9:15 am Meeting with staff  
9:30 am Meeting with Commissioner  
10:10 am Meeting with staff  
10:30 am Opening remarks, SEC Roundtable on Market Data and Market Access  
2:00 pm Meeting with Commissioner  
3:00 pm Closed Commission Meeting

Friday, October 26, 2018

2:00 pm Meeting with Bob Pisani, CNBC  
4:00 pm Meeting with staff

Saturday, October 27, 2018

11:30 am Meeting with staff

Sunday, October 28, 2018

7:30 pm Meeting with members of the SEC Fixed Income Market Structure Advisory Committee

Monday, October 29, 2018

9:30 am SEC Fixed Income Market Structure Advisory Committee meeting  
11:30 am Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Phone call with Andrew Bailey, Chief Executive, UK Financial Conduct Authority  
2:00 pm Meeting with the Thirty Percent Coalition, including: Charlotte Laurent-Ottomane, Executive Director; Gianna McCarthy, Director of Corporate Governance, New York State Common Retirement Fund; and John Rogers, CEO, Ariel Investment  
2:30 pm Meeting with staff  
3:00 pm Meeting with Commissioner  
7:00 pm Meeting with staff

Tuesday, October 30, 2018
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7:30 am	Meeting with Jay Powell, Chairman, Federal Reserve Board
9:15 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
10:50 am	Meeting with staff
11:00 am	Meeting with Commissioner
12:00 pm	Meeting with Bimal Patel, Assistant Secretary for Financial Institutions, U.S. Department of the Treasury
2:30 pm	Meeting with Craig Phillips, Counselor to the Secretary, U.S. Department of the Treasury; Doug Baird, Professor of Law, University of Chicago; and George Stamas, Senior Partner, Gibson Dunn
3:30 pm	Meeting with Bill Duhnke, Chairman, PCAOB
4:00 pm	Meeting with PCAOB Board members

Wednesday, October 31, 2018
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7:30 am	Speaking engagement, Military Investing presentation at the Pentagon
9:30 am	Meeting with staff
11:30 am	Phone interview with Jean Eaglesham, The Wall Street Journal
12:00 pm	Meeting with staff
1:00 pm	SEC's Veterans Day commemoration event with Brigadier General (Ret.) David Blackledge; and Ronald Spector, Professor, the George Washington University
2:00 pm	Meeting with National Treasury Employees Union, including: Greg Gilman, President, Chapter 293
2:30 pm	Meeting with staff
3:00 pm	Reading to children at SEC Day Care Center
3:30 pm	Meeting with Mike Pieciak, President, North American Securities Administrators Association
7:00 pm	Meeting with Chris Giancarlo, Chairman, CFTC