

Chairman Jay Clayton
Public Calendar
January 1, 2018 to January 31, 2018

Monday, January 1, 2018

New Year's Day – Federal Holiday

Tuesday, January 2, 2018

10:00 am Phone call with James Doty, former Chairman, Public Company Accounting Oversight Board (PCAOB)
11:00 am Phone call with Bill Duhnke, Chairman, PCAOB
12:00 pm Meeting with staff
4:00 pm Meeting with staff
5:30 pm Meeting with staff

Wednesday, January 3, 2018

9:00 am Meeting with staff
9:30 am Meeting with staff

Thursday, January 4, 2018

10:30 am Phone call with Congressman Tom Graves
11:00 am Meeting with staff
12:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
4:30 pm Meeting with staff

Friday, January 5, 2018

8:30 am Meeting with Morgan Stanley, including: Matt Berke, Global Chief Operating Officer for Sales and Trading; Alan Thomas, Managing Director for Equities in the Americas; Ed Pick, Global Head of Sales and Trading; and Joyce Tavoulaareas, Managing Director
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC); and David Malpass, Undersecretary for International Affairs, U.S. Department of the Treasury
1:00 pm Phone call with Chris Giancarlo, Chairman, CFTC; David Malpass, Undersecretary for International Affairs, U.S. Department of the Treasury; Lael

Brainard, Board Member, Federal Reserve Board of Governors; and William Dudley, President and CEO, Federal Reserve Bank of New York
2:00 pm Meeting with staff
3:00 pm Phone call with Joe Grundfest, Co-Director, Rock Center for Corporate Governance, Stanford University
3:30 pm Meeting with incoming Commissioner

Monday, January 8, 2018

10:30 am Meeting with Mark Carney, Governor, Bank of England
11:00 am Financial Stability Board Meeting
1:30 pm Financial Stability Board Meeting Dinner
3:00 pm Meeting with Chris Giancarlo, Chairman, CFTC

Tuesday, January 9, 2018

4:15 pm Meeting with staff
4:30 pm Meeting with staff

Wednesday, January 10, 2018

9:00 am Meeting with staff
9:30 am Meeting with NASDAQ, including: Adena Friedman, President; and Ed Knight, Executive Vice President
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:45 pm Meeting with Commissioner
2:00 pm Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee (FIMSAC)
2:30 pm Meeting with staff
3:00 pm Meeting with Deloitte & Touche LLP, including: Joe Ucuzoglu, Chairman; and Cathy Engelbert, CEO
4:00 pm Meeting with the Financial Information Forum (FIF), including: Bill Hebert, Managing Director, FIF; Michael Lurie, Director, Credit Suisse; Alex Raisch, Director, E*Trade; Dave Emero, Vice President, Goldman Sachs; Denise Garrett, Executive Director, Morgan Stanley; Todd Hocking, Vice President, Pershing LLC; Judy McDonald, Associate Director, Susquehanna International Group; Manisha Kimmel, Chief Regulatory Officer, Thomson Reuters; and Thomas Jordan, Chair, FIF Advisory Committee
4:30 pm Meeting with Commissioner
5:00 pm Meeting with staff

Thursday, January 11, 2018

8:30 am Administration of the oath of office to Commissioners Robert Jackson Jr. and Hester Peirce
9:00 am Fixed Income Market Structure Advisory Committee meeting
11:30 am Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:45 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Meeting with staff

Friday, January 12, 2018

8:30 am Meeting with Lucy Hooper, Chair, Municipal Securities Rulemaking Board (MSRB)
9:00 am Meeting with staff
9:30 am Phone call with Robert Cook, President and CEO, Financial Industry Regulatory Authority (FINRA)
10:00 am Meeting with staff
10:30 am Meeting with staff
10:45 am Meeting with staff

Monday, January 15, 2018

Dr. Martin Luther King Day – Federal Holiday

Tuesday, January 16, 2018

9:00 am Meeting with Bill Duhnke, Chairman, PCAOB
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
10:50 am Meeting with Commissioner
11:00 am Meeting with Commissioner
11:10 am Meeting with staff
11:30 am Ceremonial swearing in of Commissioners Hester Peirce and Robert Jackson Jr.
12:00 pm Meeting with staff
1:00 pm Meeting with the Insured Retirement Institute (IRI), including: Robert DeChellis, Chairman, IRI; Bill Morrissey, Vice Chairman, IRI; Catherine Weatherford, President & CEO, IRI; Lee Covington, Senior Vice President, IRI; Jason Berkowitz, Vice President, IRI; Ben Huneke, Treasurer & Secretary, IRI; Drew Bowden, Senior Vice President, Jackson National Life Insurance Co.; Anne Cooney, General Counsel, Morgan Stanley Wealth Management; Rae Ann

- Dankovic, Senior Vice President, Nationwide Financial; Bill Evers, Vice President, Prudential Financial; Michelle Kelley, Senior Vice President, LPL Financial; and Justin Smith, Senior Vice President, Voya Financial
- 1:30 pm Meeting with Eric Ries, CEO, Long Term Stock Exchange; Michelle Green, Chief Policy Officer, Long Term Stock Exchange; Annette Nazareth, Davis Polk & Wardwell, LLP; and Zachary Zweihorn, Davis Polk & Wardwell, LLP
- 2:00 pm Meeting with job applicant
- 4:00 pm Financial and Banking Information Infrastructure Committee (FBIIC) Principals meeting

Wednesday, January 17, 2018

- 9:00 am Meeting with staff
- 9:30 am Meeting with staff
- 10:00 am Meeting with: Robert Granieri, CEO, Jane Street; Andrew Steinman, Counsel, Jane Street; Josh Kulkin, Financial Advisor, Jane Street; and Ben Brown, Executive Vice President, Patomak Global Partners
- 11:00 am Meeting with the Bond Dealers of America (BDA), including: Michael Nicholas, CEO; Kelli McMorrow, Senior Vice President; Steven Genyk, Head of Municipal Trading, UBS Wealth Management Americas; Donald Winton, Chief Operating Officer, Crews & Associates, Inc.; John Reilly, Head of Business & Strategy, Capital Markets Trading, Wells Fargo Advisors; Lawrence Bowden, Director of Fixed Income Sales & Trading, Stephens, Inc.; Arlen Klinger, Head of Private Client Group Trading, Janney Montgomery Scott LLC; Blake Morris, Co-Chief Compliance Officer, Hilltop Securities LLC; Richard Agster, Director of Fixed Income Compliance, Raymond James Financial Services, Inc.; and Daniel Deaton, Partner, Nixon Peabody LLP
- 12:00 pm Meeting with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation (FDIC)
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with staff
- 3:30 pm Meeting with Dan Mathews, Commissioner of Public Buildings Service, General Services Administration (GSA)
- 4:00 pm Meeting with staff
- 4:30 pm Meeting with Commissioner
- 5:00 pm Meeting with staff

Thursday, January 18, 2018

- 9:00 am Meeting with staff
- 10:00 am Meeting with Commissioner
- 10:30 am Meeting with staff
- 11:00 am Meeting with Commissioner
- 11:30 am Meeting with staff
- 12:00 am Meeting with staff
- 1:30 pm Meeting with staff

1:45 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff
3:15 pm Meeting with Congressman Tom MacArthur
4:00 pm Meeting with Senator Richard Shelby
4:30 pm Meeting with staff
6:30 pm Meeting with staff

Friday, January 19, 2018

9:15 am Speaking engagement at the SEC-NYU Dialogue on Securities Markets
11:30 am Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with Geoffrey Berman, Interim U.S. Attorney, Southern District of New York
3:30 pm Phone call with Joe Grundfest, Co-Director, Rock Center for Corporate Governance

Monday, January 22, 2018

12:00 pm Speaking engagement for the Securities Regulation Institute
1:15 pm Meeting with staff
2:00 pm Meeting with staff

Tuesday, January 23, 2018

11:00 am Meeting with staff
1:00 pm Meeting with staff

Wednesday, January 24, 2018

10:00 am Meeting with staff at the SEC San Francisco Regional Office
11:00 am Meeting with staff at the SEC San Francisco Regional Office
12:00 pm Meeting with staff at the SEC San Francisco Regional Office
12:30 pm Meeting with staff at the SEC San Francisco Regional Office
1:30 pm Town Hall Meeting with staff at the SEC San Francisco Regional Office
2:45 pm Meeting with staff at the SEC San Francisco Regional Office
3:15 pm Meeting with staff at the SEC San Francisco Regional Office
4:30 pm Meeting with staff at the SEC San Francisco Regional Office
8:30 pm Meeting with Joe Grundfest, Co-Director, Rock Center for Corporate Governance

Thursday, January 25, 2018

- 11:30 am Meeting with Andreessen Horowitz, including: Jorge Conde, General Partner; Alex Rampell, General Partner; Chris Dixon, General Partner; and Ryan Ward, General Counsel
- 1:15 pm Speaking engagement at the Rock Center for Corporate Governance
- 2:00 pm Meeting with John Collison, President, Stripe; Jacques Lehot, Head of Corporate Legal, Stripe; Brit Yonge, Co-Founder, Lightyear.io; Lindsay Lin, Counsel & Program Manager, Lightyear.io; David Weiskopf, Vice President, Financial Engines; Craig Foster, Chief Financial Officer, Financial Engines; Chris Jones, Chief Investment Officer, Financial Engines; Lee Antone, General Counsel, Financial Engines; Vladimir Tenev, Co-Founder, Robinhood; Archit Shah, General Counsel, Robinhood; Joe Grundfest, Co-Director, Rock Center for Corporate Governance; Kristen Savelle, Managing Director, Rock Center for Corporate Governance; Amanda Packel, Managing Director, Rock Center for Corporate Governance; Andrew Winden, Fellow, Rock Center for Corporate Governance; and Fernan Restrepo, Fellow, Rock Center for Corporate Governance
- 3:45 pm Meeting with Franklin Templeton, including: Craig Tyle, Executive Vice President & General Counsel; Alok Sethi, Executive Vice President, Technology & Operations; Kevin O'Connor, Chief Administrative Officer; and Alison Baur, Deputy General Counsel

Friday, January 26, 2018

- 9:30 am Meeting with staff at the SEC Denver Regional Office
- 10:00 am Meeting with staff at the SEC Denver Regional Office
- 11:30 am Meeting with staff at the SEC Denver Regional Office
- 11:45 am Town Hall Meeting with staff at the SEC Denver Regional Office
- 12:30 pm Meeting with staff at the SEC Denver Regional Office

Saturday, January 27, 2018

- 3:15 pm Phone call with staff

Monday, January 29, 2018

- 9:00 am Meeting with staff
- 10:00 am Meeting with staff
- 11:00 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 am Meeting with staff
- 1:00 pm Interview with Bloomberg LP, including: Rob Schmidt; and Ben Bain

- 1:30 pm Phone call with Leo Strine, Chief Justice, Delaware Supreme Court; and Larry Hamermesh, Emeritus Professor, Widener University Delaware Law School
- 2:00 pm Meeting with Senator Mark Warner
- 3:30 pm House Financial Services Committee Majority Retreat
- 7:00 pm Meeting with Commissioner

Tuesday, January 30, 2018

- 9:00 am Meeting with staff
- 9:15 am Meeting with Senator John Kennedy
- 10:00 am Meeting with staff
- 10:30 am Meeting with staff
- 11:00 am Meeting with staff
- 11:30 am Meeting with Harold Hamm, Chairman, Continental Resources; Blu Hulsey, Senior Vice President of Government and Regulatory Affairs, Continental Resources; and David Crane, Senior Operating Executive, The B Team
- 12:00 pm Meeting with Commissioner
- 1:00 pm Meeting with staff
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with Better Markets, including: Dennis Kelleher, President; Steve Hall, Legal Director; and Lev Bagramian, Senior Securities Policy Advisor
- 2:30 pm Meeting with staff
- 4:00 pm Meeting with Bill Duhnke, Chairman, PCAOB

Wednesday, January 31, 2018

- 8:30 am Meeting with Commissioner
- 9:00 am Meeting with staff
- 9:30 am Meeting with staff
- 11:30 am Meeting with staff
- 12:00 pm Meeting with Commissioner
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with staff
- 2:30 pm Meeting with staff
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Chris Giancarlo, Chairman, Commodity Futures Trading Commission (CFTC)