#### Chairman Jay Clayton Public Calendar July 1, 2017 to July 31, 2017

Monday, July 3, 2017

Out of Office

Tuesday, July 4, 2017

Independence Day – Federal Holiday

Wednesday, July 5, 2017

No scheduled meetings

Thursday, July 6, 2017

1:45 pm	Meeting with Joe Sullivan, Chairman & CEO, Legg Mason
2:30 pm	Meeting with John Hayes, Board of Directors, Business Roundtable
3:00 pm	Meeting with staff
4:00 pm	Meeting with Abby Johnson, CEO, Fidelity Investments
4:45 pm	Meeting with staff
6:30 pm	Meeting with job applicant

#### Friday, July 7, 2017

9:15 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with Steve Harbeck, CEO, Securities Investor Protection Corporation
10:30 am	Meeting with staff
11:30 am	Meeting with David Findlay, Senior Managing Director and Executive Chairman,
	Americas, Nomura Holdings Inc.
12:00 pm	Meeting with staff

Monday, July 10, 2017

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff

12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
3:45 pm	Meeting with staff

# Tuesday, July 11, 2017

9:30 am 10:00 am	Meeting with staff Meeting with staff
11:00 am	Meeting with Rollen Jones, CFO, Bedrock Manufacturing Co. LLC; Bill
	Johnstone, Chairman, D.A. Davidson Companies; Matt Rissell, CEO, TSheets;
	Matt Kane, CEO, Precision Biosciences; Mark Phillipe, President, Hi Sign
	Brewing; Jack Huffard, President & CEO, Tenable Network Security; Steve
	Vintz, CFO, Tenable Network Security; Andy McNeill, CEO, Denali Water
	Solutions; and Vittal Vasista, CFO, RegenxBio Inc.
12:30 pm	Meeting at the Futures Industry Association (FIA) Board of Directors, including;
	Walter Lukken, President & CEO; Allison Lurton, Senior Vice President &
	General Counsel; Jackie Mesa, Senior Vice President of Global Policy; and Toby
	Taylor, Corporate Secretary
2:00 pm	Meeting with staff
2:30 pm	Meeting with Congressman David Young
3:00 pm	Meeting with the Institutional Limited Partners Association (ILPA), including:
	Jennifer Choi, Managing Director of Industry Affairs; and Nate Austin, Industry
	Affairs
3:30 pm	Meeting with staff
4:15 pm	Meeting with Commissioner

## Wednesday, July 12, 2017

11:15 am	Speaking Engagement at the Economic Club of New York
2:00 pm	Meeting with Joon Kim, Acting U.S. Attorney, Southern District of New York
3:30 pm	Meeting with Eric Schneiderman, Attorney General, New York

## Thursday, July 13, 2017

8:15 am	Meeting with staff
9:30 am	Meeting at the International Financial Reporting Standards Foundation (IFRS)
11:00 am	Interview with the Wall Street Journal Editorial Board
1:15 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Tom Farley, President, NYSE Group
5:15 pm	Meeting with Cy Vance, Jr., New York County District Attorney

#### Friday, July 14, 2017

Out of Office

#### Monday, July 17, 2017

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
2:00 pm	Meeting of Financial and Banking Information Infrastructure Committee (FBIIC)
	Seniors Leaders
3:30 pm	Meeting with staff
4:00 pm	Meeting with Financial Accounting Foundation (FAF), including: Charles Noski,
	Chairman; Teresa Polley, President & CEO; and Russell Golden, Chairman,
	Financial Accounting Standards Board (FASB)
4:45 pm	Meeting with staff
5:15 pm	Meeting with Commissioner

#### Tuesday, July 18, 2017

9:00 am	Meeting with Xiao Jie, Chinese Minister of Finance; and Fang Xinghai, Vice
	Chairman, China Securities Regulatory Commission
11:00 am	Meeting with Cynthia Glassman, Former Commissioner, SEC
12:00 pm	Meeting with Commissioner
2:00 pm	Meeting with the Financial Services Roundtable, including: Tim Pawlenty,
	President and CEO; Richard Foster, Senior Vice President and Senior Counsel,
	Regulatory and Legal Affairs; and Felicia Smith, Vice President and Senior
	Counsel, Regulatory and Legal Affairs
3:00 pm	Meeting with European Parliament Committee on Economic and Monetary
	Affairs, including: Roberto Gualtieri, Chair; and Ludek Niedermayer, Vice Chair
4:00 pm	Meeting with the Securities Industry and Financial Markets Association (SIFMA),
-	including: Timothy Cameron, Managing Director; and Lindsey Keljo, Managing
	Director

Wednesday, July 19, 2017

9:00 amMeeting with Senator Thom Tillis10:30 amMeeting with staff

11:00 am	Meeting with staff
12:00 pm	Meeting with Stanley Fischer, Vice Chairman, Federal Reserve Board
2:00 pm	Meeting with job applicant
2:30 pm	Meeting with Dan McCabe, Chief Officer, Precidian Investments; W. John
	McGuire, Partner, Morgan Lewis; Elizabeth King, General Counsel, NYSE;
	Joanna Gallegos, Head of U.S. ETFs, J.P. Morgan Asset Management; Francis
	Koudelka, Senior Vice President Global Services Business, State Street; Larry
	Glosten, Professor of Banking and International Finance, Columbia Business
	School; Doug Yones, Head of Exchange Traded Products, NYSE; John DiBacco,
	Global Head of Equities Trading, KCG Holdings Inc.; Robert Deutsch, Head of
	Global ETFs, J.P. Morgan; Rick Genoni, Managing Director, Legg Mason; and
	Lendell Porterfield, CEO, Porterfield, Fettig & Sears, LLC
3:00 pm	Meeting with Warren Stephens, CEO, Stephens Inc.
4:00 pm	Meeting with staff
-	Lendell Porterfield, CEO, Porterfield, Fettig & Sears, LLC Meeting with Warren Stephens, CEO, Stephens Inc.

## Thursday, July 20, 2017

9:00 am	Meeting with staff
10:00 am	Meeting with Ed Bosarge, Chairman Emeritus & CEO, Quantlab Financial, LLC
10:30 am	Meeting with staff
11:00 am	Meeting with Managed Funds Association (MFA), including: Stuart Kaswell,
	Executive Vice President; Jennifer Han, Associate General Counsel; Matthew
	Newell, Associate General Counsel; Carlotta King, Associate General Counsel;
	Benjamin Allensworth, Associate General Counsel; and Laura Harper Powell,
	Associate General Counsel
12:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
4:00 pm	Meeting with Ari Rubenstein, CEO, Greene Street Capital
5:00 pm	Meeting with staff

# Friday, July 21, 2017

8:30 am	Meeting with Andrew Olmem, Special Assistant for Financial Policy, White
	House National Economic Counsel
9:45 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with Allen Weinberg, Managing Partner, Glenbrook Partners, LLC
11:30 am	Meeting with staff
12:00 pm	Meeting with staff

## Monday, July 24, 2017

9:00 am	Meeting with staff
9:30 am	Phone call with Robert Cook, President & CEO, Financial Industry Regulatory
	Authority (FINRA)
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 am	Meeting with staff
12:45 pm	Phone call with Steve Mnuchin, Secretary, U.S. Department of the Treasury
1:30 pm	Meeting with staff
2:00 pm	Meeting with job applicant
3:15 pm	Meeting with staff
4:00 pm	Meeting with staff

## Tuesday, July 25, 2017

9:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:15 pm	Meeting with Mary Schapiro, former SEC Chairman and Advisory Board Vice
	Chair, Promontory Financial Group
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with Curt Bradbury, Chairman, American Securities Association; Ron
	Kruszewski, Board Member, SIFMA; Paul Purcell, Board Member, SIFMA; Nik
	Fisken, Chair, Equity Dealers of America; and Christopher Iacovella, CEO,
	Equity Dealers of America
4:30 pm	Call with Senator Steve Daines

## Wednesday, July 26, 2017

8:45 am	Speaking engagement at the U.S. Chamber of Commerce
10:45 am	Meeting with Congresswoman Maxine Waters
11:30 am	Meeting with Congressman Patrick McHenry
12:45 pm	Meeting with staff
1:15 pm	Meeting with Xavier Rolet, CEO, London Stock Exchange Group
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff

Meeting with Cathy Weatherford, President, Insured Retirement Institute; Ken
Bentsen, Jr., President & CEO, SIFMA; Dirk Kempthorne, President & CEO,
American Council of Life Insurers; David Hirschmann, Senior Vice President,
U.S. Chamber of Commerce; Tim Pawlenty, CEO, Financial Services
Roundtable; Dale Brown, President, Financial Services Institute; and Kevin
Mayeux, CEO, National Association of Insurance and Financial Advisors
Meeting with staff
Meeting with staff

## Thursday, July 27, 2017

8:30 am	Meeting with Ira Greenstein, Deputy Assistant to the President, Office of American Innovation
9:30 am	Meeting with Andrew Olmem, Special Assistant for Financial Policy, White
	House National Economic Counsel
10:30 am	Speaking Engagement at SEC's Broker-Dealer Compliance Outreach Conference
1:00 pm	Meeting with Congressman Kevin Yoder
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Congresswoman Maxine Waters

## Friday, July 28, 2017

2:00 pm	Meeting with Staff
3:30 pm	Financial Stability Oversight Council Principals' Meeting

# Monday, July 31, 2017

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with James Doty, Chairman, Public Company Accounting Oversight
	Board
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
4:00 pm	Meeting with Craig Phillips, Counselor, U.S. Department of the Treasury