Chairman Jay Clayton  
Public Calendar  
December 1, 2017 to December 31, 2017

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1:30 pm Meeting with staff
4:30 pm Meeting with Commissioner
5:00 pm Meeting with Commissioner

**Sunday, December 10, 2017**

2:00 pm Phone call with staff
2:15 pm Phone call with staff
2:45 pm Phone call with staff
4:00 pm Phone call with Jim Doty, Chairman, Public Company Accounting Oversight Board
4:30 pm Phone call with Jay Hanson, Board Member, Public Company Accounting Oversight Board

**Monday, December 11, 2017**

9:00 am Meeting with staff
10:00 am Phone call with Jeanette Franzel, Board Member, Public Company Accounting Oversight Board
10:45 am Phone call with Lew Ferguson, Board Member, Public Company Accounting Oversight Board
11:00 am Meeting with job applicant
11:30 am Meeting with Ken Bentsen, President & CEO, SIFMA; T.R. Lazo, Managing Director, SIFMA; Tom Gira, Executive Vice President, FINRA; Brian Buckley, Partner, Edward Jones; Michael Crowl, General Counsel, UBS Group Americas; Marc Ehlers, Executive Vice President, LPL Financial; Lisa Kidd Hunt, Head of International Services, Charles Schwab; Timothy Scheve, President and CEO, Janney; Ronald Kruszewski, Chairman, Stifel Financial Corp.
12:00 pm Meeting with job applicant
1:00 pm Meeting with staff
1:50 pm Meeting with staff
2:30 pm Speaking engagement at the Annual Meeting of Supervisory Colleges for Credit Rating Agencies
3:00 pm Meeting with Lisa Fall, President and CEO, BOX; Chris Concannon, President and COO, Cboe Global Markets; Marguerite Donovan, Senior Vice President, CHX; John Ramsay, Chief Market Policy Officer, IEX; Ed Dietzel, Executive Vice President, MIAX; John Zecca, Senior Vice President, Nasdaq; Elizabeth King, General Counsel, NYSE; Bob Walley, Principal, Deloitte; Andre Owens, Partner, WilmerHale; Stephanie Evans, Partner, WilmerHale; and Jeremy Moorehouse, Counsel, WilmerHale
4:00 pm Meeting with staff
6:00 pm Meeting with Ajit Pai, Chairman, Federal Communications Commission; and Keith Noreika, former Acting Comptroller of the Currency
Tuesday, December 12, 2017

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Primerica, including: Peter Schneider, President; Karen Sukin, Executive Vice President; Greg Thomas, Vice President, Federal Government Relations; and William Kelly, President, PFS Investments
12:00 pm Phone call with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board
12:05 pm Meeting with staff
1:30 pm Town Hall meeting with SEC staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee (FIMSAC)
6:00 pm Meeting with Ken Phelan, Chief Risk Officer, Department of the Treasury

Wednesday, December 13, 2017

11:30 am Meeting with staff
12:00 pm Meeting with staff at the SEC New York Regional Office
1:00 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with FINRA Board of Governors, including: William Heyman, Chairman; and Robert Cook, President and CEO

Thursday, December 14, 2017

10:00 am Phone call with Senator Shelley Moore Capito
11:15 am Meeting with staff
12:45 am Meeting with job applicant
1:30 pm Meeting with North American Securities Administrators Association, including: Joe Borg, President; Mike Pieciak, President Elect; Joey Brady, Executive Director; and Valerie Mirko, General Counsel
2:00 pm Meeting with staff
2:45 pm Phone call with Senator Chris Coons
3:00 pm Financial Stability Oversight Council Principals’ Meeting
<table>
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<tr>
<th>Time</th>
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<tbody>
<tr>
<td>4:30 pm</td>
<td>Meeting with the Department of the Treasury; Commodity Futures Trading Commission; Office of the Comptroller of the Currency; Federal Deposit Insurance Corporation; and the Federal Reserve to discuss cryptocurrency</td>
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<tr>
<td>5:00 pm</td>
<td>Meeting with staff</td>
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<td>5:30 pm</td>
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<tr>
<td><strong>Friday, December 15, 2017</strong></td>
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<td>8:00 am</td>
<td>Meeting with Bank of America, including: Thomas Montag, COO; Fabrizio Gallo, Co-Head of Global Equities; Jim DeMare, Co-Head of Global Fixed Income; and Andy Sieg, Head, Merrill Lynch Wealth Management</td>
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<tr>
<td>9:15 am</td>
<td>Meeting with staff</td>
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<tr>
<td>11:30 am</td>
<td>Meeting with Susan Axelrod, Executive Vice President, Financial Industry Regulatory Authority</td>
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<td>12:30 pm</td>
<td>Meeting with job applicant</td>
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<tr>
<td><strong>Saturday, December 16, 2017</strong></td>
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<td>4:00 pm</td>
<td>Phone call with staff</td>
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<td><strong>Monday, December 18, 2017</strong></td>
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<tr>
<td>8:30 am</td>
<td>Meeting with Jean-Paul Servais, Chairman, Belgium Financial Services and Markets Authority; and Johan Van Overtveldt, Belgium Minister of Finance</td>
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<td>9:00 am</td>
<td>Meeting with Jean-Paul Servais, Chairman, Belgium Financial Services and Markets Authority</td>
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<td>Meeting with Valdis Dombrovskis, Vice President, European Commission</td>
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<td><strong>Tuesday, December 19, 2017</strong></td>
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<tr>
<td>2:15 am</td>
<td>Meeting with Greg Medcraft, Director for Financial and Enterprise Affairs, Organization for Economic Co-Operation and Development (OECD)</td>
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<td>7:00 am</td>
<td>Meeting with Robert Ophele, Chairman, French Financial Markets Authority (AMF)</td>
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<td>1:30 pm</td>
<td>Meeting with Steven Maijoor, Chair, European Securities and Markets Authority (ESMA)</td>
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<td><strong>Wednesday, December 20, 2017</strong></td>
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<tr>
<td>12:30 pm</td>
<td>Phone call with Jon Cunliff, Deputy Governor, Bank of England</td>
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<td>Meeting with staff</td>
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<td>3:30 pm</td>
<td>Meeting with Maria Vullo, Superintendent, New York Department of Financial Services</td>
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**Thursday, December 21, 2017**

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<tr>
<td>10:30 am</td>
<td>Meeting with staff</td>
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<td>11:00 am</td>
<td>Meeting with the American Association of Individual Investors, including: Leonard Ladin, President, New York City Chapter; Charles E. Scott Brewster, President, Brewster Financial Planning LLC; Paula Odellas, Administrative Staff Analyst, New York City Buildings Department; Shirley Sherak, Owner, Shirley Sherak Architect/Planner; Lawrence Dietrich, Vice President, Liberty Coca-Cola Beverages; Nona Aguilar, Investor</td>
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<td>Closed Commission Meeting</td>
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<td>3:30 pm</td>
<td>Meeting with candidate</td>
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<td>4:00 pm</td>
<td>Phone call with Bill Duhnke, incoming Chairman, Public Company Accounting Oversight Board</td>
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**Friday, December 22, 2017**

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**Monday, December 25, 2017**

Christmas – Federal Holiday

**Tuesday, December 26, 2017**

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<td>Phone call with incoming Commissioner</td>
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**Wednesday, December 27, 2017**

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<td>Thursday, December 28, 2017</td>
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<td>Phone call with incoming Commissioner</td>
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