

Chairman Jay Clayton
Public Calendar
December 1, 2017 to December 31, 2017

Friday, December 1, 2017

9:30 am Meeting with staff
10:00 am Town Hall meeting with staff at the SEC Fort Worth Regional Office
11:00 am Meeting with staff
11:15 am Meeting with staff
12:00 pm Closed Commission Meeting
1:00 pm Meeting with staff

Monday, December 4, 2017

9:00 am Speaking engagement at the 2017 AICPA Conference
12:00 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with job applicant
3:00 pm Meeting with staff
3:30 pm Meeting with job applicant
4:00 pm Meeting with Barbara Roper, Director of Investor Protection, Consumer Federation of America
4:30 pm Meeting with Commissioner
5:00 pm Meeting with Commissioner

Tuesday, December 5, 2017

9:00 am Meeting with staff
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Partnership for Public Service, including: Max Stier, President and CEO; and Michelle Amante, Director of Agency Outreach and Business Development
12:15 pm Meeting with staff
12:45 pm Meeting with staff
1:30 pm Meeting with the Certified Financial Planner Board of Standards Inc., including: Blaine Aikin, Chair; Kevin Keller, CEO; Maureen Thompson, Vice President of Public Policy; David O'Brien, Compensation Task Force; Shannon Pike, President, Financial Planning Association; and Josephine Colacci, Team Lead, Public Policy & Advocacy, Financial Planning Association

2:00 pm Meeting with Commissioner
3:00 pm Meeting with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board
4:00 pm Phone call with Commissioner

Wednesday, December 6, 2017

9:00 am Meeting with staff
10:15 am Meeting with staff
10:45 am Meeting with Vanguard Investment Management, including: Tim Buckley, President; Anne Robinson, General Counsel; and Jerry Golden, Head of Government Relations
11:45 am Meeting with staff
1:00 pm Meeting with Harvey Pitt, CEO, Kalorama Partners
2:30 pm Meeting with job applicant
3:00 pm Meeting with the SEC Investor Advisory committee's Executive Committee, including: Anne Sheehan, Chairman; Craig Goettsch, Secretary; Barbara Rober, Chair, Investor as Purchaser Subcommittee; and Matt Furman, Chair, Market Structure Subcommittee
3:30 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
4:00 pm Meeting with staff
4:30 pm Meeting with Commissioner
5:15 pm Meeting with Merel van Vroonhoven, Chairwoman, Dutch Authority for the Financial Markets

Thursday, December 7, 2017

11:00 am Speaking engagement at the Center for Strategic and International Studies
1:20 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Phone call with Craig Phillips, Counselor, U.S. Department of the Treasury
3:00 pm Closed Commission Meeting
3:45 pm Meeting with David Cohen, Executive Vice President, Comcast
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:30 pm Meeting with staff
6:30 pm Meeting with staff

Friday, December 8, 2017

9:00 am Meeting with job applicant
9:30 am Meeting with job applicant
10:30 am Meeting with Stephen Hadrill, CEO, UK Financial Reporting Council (FRC)

1:30 pm Meeting with staff
4:30 pm Meeting with Commissioner
5:00 pm Meeting with Commissioner

Sunday, December 10, 2017

2:00 pm Phone call with staff
2:15 pm Phone call with staff
2:45 pm Phone call with staff
4:00 pm Phone call with Jim Doty, Chairman, Public Company Accounting Oversight Board
4:30 pm Phone call with Jay Hanson, Board Member, Public Company Accounting Oversight Board

Monday, December 11, 2017

9:00 am Meeting with staff
10:00 am Phone call with Jeanette Franzel, Board Member, Public Company Accounting Oversight Board
10:45 am Phone call with Lew Ferguson, Board Member, Public Company Accounting Oversight Board
11:00 am Meeting with job applicant
11:30 am Meeting with Ken Bentsen, President & CEO, SIFMA; T.R. Lazo, Managing Director, SIFMA; Tom Gira, Executive Vice President, FINRA; Brian Buckley, Partner, Edward Jones; Michael Crowl, General Counsel, UBS Group Americas; Marc Ehlers, Executive Vice President, LPL Financial; Lisa Kidd Hunt, Head of International Services, Charles Schwab; Timothy Scheve, President and CEO, Janney; Ronald Kruszewski, Chairman, Stifel Financial Corp.
12:00 pm Meeting with job applicant
1:00 pm Meeting with staff
1:50 pm Meeting with staff
2:30 pm Speaking engagement at the Annual Meeting of Supervisory Colleges for Credit Rating Agencies
3:00 pm Meeting with Lisa Fall, President and CEO, BOX; Chris Concannon, President and COO, Cboe Global Markets; Marguerite Donovan, Senior Vice President, CHX; John Ramsay, Chief Market Policy Officer, IEX; Ed Dietzel, Executive Vice President, MIAA; John Zecca, Senior Vice President, Nasdaq; Elizabeth King, General Counsel, NYSE; Bob Walley, Principal, Deloitte; Andre Owens, Partner, WilmerHale; Stephanie Evans, Partner, WilmerHale; and Jeremy Moorehouse, Counsel, WilmerHale
4:00 pm Meeting with staff
6:00 pm Meeting with Ajit Pai, Chairman, Federal Communications Commission; and Keith Noreika, former Acting Comptroller of the Currency

Tuesday, December 12, 2017

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Primerica, including: Peter Schneider, President; Karen Sukin, Executive Vice President; Greg Thomas, Vice President, Federal Government Relations; and William Kelly, President, PFS Investments
12:00 pm Phone call with Randal Quarles, Vice Chair for Supervision, Federal Reserve Board
12:05 pm Meeting with staff
1:30 pm Town Hall meeting with SEC staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with Michael Heaney, Chairman, SEC Fixed Income Market Structure Advisory Committee (FIMSAC)
6:00 pm Meeting with Ken Phelan, Chief Risk Officer, Department of the Treasury

Wednesday, December 13, 2017

11:30 am Meeting with staff
12:00 pm Meeting with staff at the SEC New York Regional Office
1:00 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with FINRA Board of Governors, including: William Heyman, Chairman; and Robert Cook, President and CEO

Thursday, December 14, 2017

10:00 am Phone call with Senator Shelley Moore Capito
11:15 am Meeting with staff
12:45 am Meeting with job applicant
1:30 pm Meeting with North American Securities Administrators Association, including: Joe Borg, President; Mike Pieciak, President Elect; Joey Brady, Executive Director; and Valerie Mirko, General Counsel
2:00 pm Meeting with staff
2:45 pm Phone call with Senator Chris Coons
3:00 pm Financial Stability Oversight Council Principals' Meeting

- 4:30 pm Meeting with the Department of the Treasury; Commodity Futures Trading Commission; Office of the Comptroller of the Currency; Federal Deposit Insurance Corporation; and the Federal Reserve to discuss cryptocurrency
- 5:00 pm Meeting with staff
- 5:30 pm Meeting with staff

Friday, December 15, 2017

- 8:00 am Meeting with Bank of America, including: Thomas Montag, COO; Fabrizio Gallo, Co-Head of Global Equities; Jim DeMare, Co-Head of Global Fixed Income; and Andy Sieg, Head, Merrill Lynch Wealth Management
- 9:15 am Meeting with staff
- 11:30 am Meeting with Susan Axelrod, Executive Vice President, Financial Industry Regulatory Authority
- 12:30 pm Meeting with job applicant
- 2:00 pm Meeting with staff

Saturday, December 16, 2017

- 4:00 pm Phone call with staff

Monday, December 18, 2017

- 8:30 am Meeting with Jean-Paul Servais, Chairman, Belgium Financial Services and Markets Authority; and Johan Van Overtveldt, Belgium Minister of Finance
- 9:00 am Meeting with Jean-Paul Servais, Chairman, Belgium Financial Services and Markets Authority
- 10:30 am Meeting with Valdis Dombrovskis, Vice President, European Commission

Tuesday, December 19, 2017

- 2:15 am Meeting with Greg Medcraft, Director for Financial and Enterprise Affairs, Organization for Economic Co-Operation and Development (OECD)
- 7:00 am Meeting with Robert Ophele, Chairman, French Financial Markets Authority (AMF)
- 1:30 pm Meeting with Steven Maijoor, Chair, European Securities and Markets Authority (ESMA)

Wednesday, December 20, 2017

- 12:30 pm Phone call with Jon Cunliff, Deputy Governor, Bank of England
- 2:15 pm Meeting with staff

3:30 pm Meeting with Maria Vullo, Superintendent, New York Department of Financial Services

Thursday, December 21, 2017

10:30 am Meeting with staff
11:00 am Meeting with the American Association of Individual Investors, including:
Leonard Ladin, President, New York City Chapter; Charles E. Scott Brewster,
President, Brewster Financial Planning LLC; Paula Odellas, Administrative Staff
Analyst, New York City Buildings Department; Shirley Sherak, Owner, Shirley
Sherak Architect/Planner; Lawrence Dietrich, Vice President, Liberty Coca-Cola
Beverages; Nona Aguilar, Investor
12:00 pm Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff
3:30 pm Meeting with candidate
4:00 pm Phone call with Bill Duhnke, incoming Chairman, Public Company Accounting
Oversight Board
4:30 pm Meeting with staff

Friday, December 22, 2017

10:00 am Meeting with staff
10:15 am Meeting with staff
10:30 am Meeting with staff
10:45 am Meeting with staff
11:15 am Meeting with staff

Monday, December 25, 2017

Christmas – Federal Holiday

Tuesday, December 26, 2017

9:00 am Meeting with staff
11:00 pm Phone call with incoming Commissioner

Wednesday, December 27, 2017

9:00 am Meeting with staff

9:30 am Meeting with staff

Thursday, December 28, 2017

9:00 am Meeting with staff

11:00 am Phone call with incoming Commissioner

Friday, December 29, 2017

9:00 am Meeting with staff