

**Chairman Jay Clayton**  
**Public Calendar**  
**November 1, 2017 to November 30, 2017**

Wednesday, November 1, 2017

9:30 am Meeting with staff  
10:00 am Meeting with staff  
10:30 am Meeting with Greg Gilman, President, National Treasury Employees Union  
Chapter 293  
11:00 am Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Meeting with Commissioner  
1:00 pm Meeting with staff  
4:00 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance  
Corporation

Thursday, November 2, 2017

12:00 pm Interview with A Closer Look with Arthur Levitt  
1:00 pm Lunch with Arthur Levitt, Board Member, Bloomberg LP  
4:00 pm Swearing-in Ceremony for the Honorable Sanket Bulsara, Magistrate Judge,  
Eastern District of New York  
7:30 pm Speaking engagement at Colloquium of the American College of Corporate  
Governance

Friday, November 3, 2017

2:00 pm Meeting with staff  
3:30 pm Meeting with staff

Monday, November 6, 2017

11:30 am Meeting with staff  
12:00 pm Phone call with Steven Maijoor, Chair, European Securities and Markets  
Authority  
12:45 pm Meeting with staff at the SEC Miami Regional Office  
1:45 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance  
Corporation  
2:00 pm Town Hall meeting with staff at the SEC Miami Regional Office  
3:00 pm Meeting with Benjamin Greenberg, Acting U.S. Attorney, Southern District of  
Florida

4:00 pm Meeting with staff at the Miami Regional Office  
5:30 pm Meeting with staff at the Miami Regional Office

Tuesday, November 7, 2017

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:15 am Phone call with Andrew Bailey, CEO, Financial Conduct Authority, Bank of England  
12:00 pm Meeting with Janet Yellen, Chair, Federal Reserve Board  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
3:05 pm Meeting with staff  
3:15 pm Meeting with staff  
4:05 pm Meeting with Senator Elizabeth Warren  
6:30 pm Meeting with staff

Wednesday, November 8, 2017

9:00 am Speaking engagement at Practising Law Institute's Annual Institute on Securities Regulation  
10:45 am Phone call with Don McGahn, White House Counsel  
11:30 am Meeting with staff  
4:30 pm Meeting with Commissioner

Thursday, November 9, 2017

9:15 am Hosted Veterans Day breakfast honoring SEC Military Veterans  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
1:20 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with the Government Accountability Office, including: James Dalkin, Director; Ted Hu, Assistant Director; Grant Simmons, Assistant Director; and Jessica Boucher, Senior Auditor  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Friday, November 10, 2017

Veterans Day – Federal Holiday

Saturday, November 11, 2017

9:00 am Phone call with staff

Monday, November 13, 2017

9:00 am Meeting with staff

9:30 am Meeting with Greg Medcraft, Chairman, Australian Securities and Investments Commission (ASIC); and James Shipton, Executive Director, Harvard Law School Program on International Financial Systems

11:00 am Meeting with Cowen & Co., including: Jeffrey Solomon, President; Dan Charney, Co-President; Jason Oien, Head, Electronic Trading; Jenny Hadiaris, Head Global Market Structure; and Jaret Seiberg, Managing Director, Cowen Washington Research Group-Financial Services

11:30 am Meeting with staff

12:00 pm Meeting with staff

1:00 pm Meeting with staff

1:30 pm Meeting with the Society for Corporate Governance, including: Virginia Fogg, Chairman; Joseph Amsbary, Chairman-Elect; Darla Stuckey, President and CEO; Granville Martin, Senior Vice President; Shelley Dropkin, Deputy Corporate Secretary; Jared Brandman, Advisory Committee, Southeastern District; and Brian Breheny, Member

2:00 pm Meeting with staff

2:30 pm Meeting with staff

3:00 pm Federal Housing Finance Oversight Board Principals Meeting

Tuesday, November 14, 2017

8:00 am Meeting with Bimal Patel, Deputy Assistant Secretary of the Treasury, Financial Stability Oversight Council

10:00 am Meeting with Congressman Tom Graves

7:00 pm Meeting with staff

Wednesday, November 15, 2017

9:00 am Meeting with staff

10:00 am Meeting with Commissioner

10:30 am Meeting with Jovita Carranza, Treasurer, U.S. Department of the Treasury

11:00 am Meeting with the American Investment Council, including: John Finley, Senior Managing Director and Chief Legal Officer, Blackstone; Catherine Ziobro, Chief Compliance Officer, Carlyle Investment Management, LLC; Jason Mulvihill, General Counsel; Ken Berman, Debevoise & Plimpton; and Marco Masotti, Paul Weiss

12:00 pm Closed Commission Meeting

1:00 pm Meeting with Jack Inglis, CEO, Alternative Investment Management Association,

1:30 pm Meeting with Marcelo Barbosa, Chairman, Brazilian Securities Exchange Commission; and Catarina Pereira, Chief of Staff

2:00 pm Meeting with job applicant

2:30 pm Meeting with applicant

3:00 pm Meeting with applicant

3:30 pm Meeting with staff

4:00 pm Meeting with Bill McSwain, U.S Attorney Nominee, Eastern District of Pennsylvania

4:30 pm Meeting with Commissioner

Thursday, November 16, 2017

9:20 am Speaking engagement at the Annual Financial Crimes and Cybersecurity Symposium

1:00 pm Interview with James Freeman, Assistant Editor, Editorial Page, Wall Street Journal

2:30 pm Meeting with Staff

3:00 pm Financial Stability Oversight Council Principals Meeting

4:30 pm Meeting with Ed Knight, Executive Vice President and General Council, NASDAQ

5:30 pm Meeting at Tom Farley, President, NYSE; and Elizabeth King, General Counsel, NYSE

Friday, November 17, 2017

8:30 am Meeting with staff

9:15 am Meeting with staff

3:30 pm Meeting with staff

Monday, November 20, 2017

10:00 am Meeting with staff

10:20 am Meeting with staff

10:40 am Meeting with staff

11:00 am Meeting with staff

1:30 pm Meeting with staff

2:00 pm Meeting with candidate  
2:15 pm Meeting with Candidate  
3:00 pm Meeting with Robert Cook, President & CEO, Financial Industry Regulatory Authority (FINRA)  
3:30 pm Meeting with staff  
4:00 pm Meeting with Commissioner  
4:45 pm Meeting with staff  
5:00 pm Meeting with Commissioner  
7:00 pm Meeting with Gary Cohn, Director, National Economic Council

Tuesday, November 21, 2017

11:00 am Meeting with staff  
12:00 pm Meeting with staff at the SEC Boston Regional Office

Wednesday, November 22, 2017

11:30 am Phone call with Heath Tarbert, Assistant Secretary for International Markets, U.S. Department of the Treasury

Thursday, November 23, 2017

Thanksgiving – Federal Holiday

Friday, November 24, 2017

1:15 pm Meeting with staff  
2:00 pm Meeting with staff

Monday, November 27, 2017

10:00 am Meeting with Larry Fink, Chairman & CEO, BlackRock  
12:30 pm Meeting with JP Morgan Chase & Co., including: Stacey Friedman, Executive Vice President and General Counsel; and Sandie O'Connor, Chief Regulatory Affairs Officer

Tuesday, November 28, 2017

- 8:30 am Speaking engagement at the Managed Funds Association Outlook 2017 Conference
- 12:00 pm Meeting with Bill Dudley, President, Federal Reserve Bank of New York
- 12:45 pm Speaking engagement at the Federal Reserve Bank of New York's Third Annual Conference on the Evolving Structure of the U.S. Treasury Market
- 6:00 pm Securities and Exchange Commission Historical Society Dinner

Wednesday, November 29, 2017

- 8:45 am Phone call with Jerome Powell, Governor, Federal Reserve Board
- 9:00 am Meeting with Citigroup, including: Dan Keegan, Co-Head of Global Equities; and Tim Gately, Head of Equities for North America
- 9:30 am Meeting with staff
- 10:30 am Meeting with staff
- 11:30 am Meeting with staff
- 12:30 pm Meeting with Scott Stringer, Comptroller, City of New York
- 3:00 pm Meeting with staff
- 4:30 pm Meeting with Robert Jackson, Columbia Law School
- 6:30 pm Speaking engagement at the American Bar Association's Women in Mergers and Acquisitions Task Force

Thursday, November 30, 2017

- 9:15 am Meeting with staff
- 10:00 am SEC Annual Government Business Forum on Small Business Capital Formation held in Austin, TX
- 1:30 pm SEC Annual Government Business Forum on Small Business Capital Formation Luncheon
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with staff