

**Chairman Jay Clayton**  
**Public Calendar**  
**October 1, 2017 to October 31, 2017**

Sunday, October 1, 2017

11:00 am Phone call with staff  
5:00 pm Phone call with staff

Monday, October 2, 2017

11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:15 pm Meeting with staff  
3:45 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with Commissioner  
7:00 pm Meeting with Chris Giancarlo, Chairman, U.S. Commodity Futures Trading  
Commission (CFTC)

Tuesday, October 3, 2017

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
1:15 pm Meeting with Congressman Bill Huizenga  
2:00 pm Meeting with Congresswoman Maxine Waters  
2:30 pm Meeting with Congresswoman Carolyn Maloney  
3:45 pm Meeting with Congressman Jeb Hensarling

Wednesday, October 4, 2017

10:00 am Hearing before the House Financial Services Committee  
4:00 pm Meeting with staff  
4:30 pm Meeting with Commissioner  
5:00 pm Meeting with staff

Thursday, October 5, 2017

8:30 am Meeting with Andrew Southerling, Partner, Morgan Lewis  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
11:40 am Meeting with staff  
12:30 pm Meeting with Chris Campbell, Assistant Secretary for Financial Institutions, U.S. Department of the Treasury  
3:15 pm Phone call with Arthur Levitt, former Chairman of the SEC  
4:00 pm Speaking engagement for World Investor Week

Friday, October 6, 2017

9:15 am Phone call with Congressman Jim Himes  
11:30 am Meeting with staff

Sunday, October 8, 2017

6:00 pm Montana High Mountain Tech Summit Welcome Reception

Monday, October 9, 2017

10:55 am Speaking Engagement at Montana High Mountain Tech Summit  
11:45 am Meeting with local business owners, invitees included: Lance Tinseth, CIO, Murdoch's Ranch & Home Supply; Paige Williams, CEO, Audience Awards; Joshua Spitzer, CFO, onXmaps; Jay Evans, President and CEO, Inimmune; James Stephens, CEO, Blue Marble; Andrew Ladd, Vice President, Blue Marble; David Thompson, Director of Engineering, SoFi; Matt Conroy, Director of Engineering, SoFi; Rick Edwards, Director of Community Connections, Northwestern Energy; Heather Grahame, Vice President, Northwestern Energy; Steve Turkiewicz, President and CEO, Montana Bankers Association; Julie Blodgett, HR Business Partner, Applied Materials; and Spenser Merwin, Director of Coalitions and Outreach, Office of Senator Steve Daines  
2:00 pm Meeting with local business owners, invitees included: Jack Manning, Partner, Dorsey & Whitney LLP; Jeremy Brown, Development Manager, Front Street Capital Management; Frank DeAngelo, Senior Vice President, DA Davidson; Larry Martinez, President, DA Davidson; Drew Kliber, Senior Vice President, UBS Rocky Mountain Group; Justin Folsom, Staff Member, Office of Senator Jon Tester; and Dylan Laslovich, Staff Member, Office of Senator Jon Tester

Tuesday, October 10, 2017

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with Tom Wittman, President and CEO, NASDAQ; Jeff Davis, Vice President & General Counsel, NASDAQ; Chris Concannon, President & COO, CBOE Holdings; Tom Farley, President, NYSE; and Elizabeth King, General Counsel, NYSE  
2:00 pm Meeting with staff  
2:30 pm Meeting with Commissioner  
3:00 pm Meeting with staff  
4:00 pm Meeting with Stanley Fischer, Vice Chairman, Federal Reserve Board  
6:00 pm Meeting with Brad Bondi, Partner, Cahill Gordon & Reindel LLP

Wednesday, October 11, 2017

10:00 am Open Commission Meeting  
11:00 am Meeting with Commissioner  
11:15 am Meeting with staff  
1:00 pm Meeting with State Street Global Advisors, including: Ron O'Hanley, President & CEO; Phil Gillespie, Executive Vice President and General Counsel; Jim Ross, Executive Vice President and Chairman Global SPDR; and Joe Barry, Senior Vice President, Regulatory, Industry, and Government Affairs  
1:30 pm Meeting with Chris Davis, Senior Director, Ceres Investor Network; Jonas Kron, Senior Vice President and Director of Shareholder Advocacy, Trillium Asset Management; Michael Garland, Assistant Comptroller, City of New York; Jeff Mahoney, General Counsel, Counsel of Institutional Investors; Anne Sheehan, Director, Corporate Governance, California State Teachers Retirement System; Adam Kanzer, Managing Director of Corporate Engagement, Domini; Josh Zinner, CEO, Interfaith Center on Corporate Responsibility; Lisa Woll, CEO, US SIF, Forum for Sustainable and Responsible Investing; and Meg Voorhes, Director of Research, US SIF, Forum for Sustainable and Responsible Investing  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Japan Financial Services Agency, including: Ryoza Himino, Vice Commissioner of International Affairs  
4:00 pm Meeting with SEC Investor Advisory Committee Officers, including: Anne Sheehan, Chairman, and Craig Goettsch, Secretary  
5:00 pm Meeting with staff  
5:30 pm Meeting with the Human Capital Management Coalition, including: Meredith Miller, Chief Corporate Governance Officer, UAW Retiree Medical Benefits Trust; Cambria Allen, Director, Corporate Governance, UAW Retiree Medical Benefits Trust; Alan Reuther, Legislative Consultant, UAW Retiree Medical

Benefits Trust; Anne Sheehan, Director, Corporate Governance, California State Teachers Retirement System; and Anne Simpson, Investment Director, Sustainability, California State Teachers Retirement System

Thursday, October 12, 2017

9:45 am Photo with students attending the Investor Advisory Committee Meeting  
10:00 am Investor Advisory Committee Meeting  
10:30 am Meeting with Jon Cunliffe, Deputy Governor, Financial Stability, Bank of England  
11:00 am Meeting with Sam Woods, Deputy Governor, Prudential Regulation and CEO of Prudential Regulation Authority, Bank of England  
11:30 am Meeting with staff  
12:45 pm Participation in the World Bank Group and the International Monetary Fund Regulatory Roundtable  
2:45 pm Regulatory Roundtable hosted by Chris Giancarlo, Chairman, U.S. Commodity Futures Trading Commission

Friday, October 13, 2017

8:30 am Meeting with Mark Carney, Governor, Bank of England  
9:30 am Meeting with Credit Suisse, including: Urs Rohner, Chairman; and Joseph Seidel, Head Public Policy Americas  
10:00 am Meeting with the European Securities and Markets Authority (ESMA), including Steven Maijor, Chair  
10:30 am Meeting with staff  
11:45 am Meeting with Valdis Dombrovskis, Vice President, European Commission  
12:30 am Meeting of UK and US Financial Regulators hosted by Kim Darroch, United Kingdom Ambassador to the United States  
1:30 pm Meeting with Andrew Bailey, CEO, UK Financial Conduct Authority, Bank of England

Monday, October 16, 2017

9:00 am Meeting with staff  
9:30 am Phone call with Steve Mnuchin, Secretary, U.S. Department of the Treasury  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Phone call with job applicant  
2:30 pm Meeting with staff  
3:40 pm Phone call with Janet Yellen, Chair, Federal Reserve Board  
4:00 pm Phone call with Senator Steve Daines  
4:15 pm Meeting with staff

Tuesday, October 17, 2017

9:15 am Meeting with staff  
10:00 am Meeting with staff  
1:45 pm Meeting with staff  
2:00 pm Meeting with Commissioner  
2:10 pm Meeting with staff  
2:30 pm Meeting with Michael Bloomberg, CEO, Bloomberg L.P.

Wednesday, October 18, 2017

7:00 am Meeting with staff  
7:30 am Meeting with Paul Andrews, Secretary General, IOSCO  
8:30 am IOSCO Board Meeting  
1:15 pm Meeting with Ashley Alder, IOSCO Chairman, and CEO, Hong Kong Securities  
Futures Commission  
2:30 pm IOSCO Board meeting dinner

Thursday, October 19, 2017

1:30 am Meeting with Robert Ophelle, Chairman, France Financial Markets Authority  
3:00 am IOSCO Board Meeting  
6:45 am Meeting with the Ontario Securities Commission, including: Maureen Jensen,  
Chair; and Jean-Paul Bureaud, Director, Office of Domestic and International  
Affairs  
7:45 am IOSCO Board Meeting  
11:30 am IOSCO Reception  
12:15 pm Meeting with staff  
3:00 pm Meeting with Sebastian Albella Amigo, Chairman, National Securities Market  
Commission of Spain

Friday, October 20, 2017

2:45 pm Meeting with staff  
3:30 pm Phone call with Adena Friedman, President & CEO, NASDAQ

Saturday, October 21, 2017

2:00 pm Phone call with staff

Sunday, October 22, 2017

7:00 am Phone call with Mark Wiseman, Global Head of Active Equities, BlackRock

Monday, October 23, 2017

9:30 am Meeting with Doug Peterson, President & CEO, S&P Global  
10:30 am Meeting with Apollo Global Management, LLC & Ares Management, L.P., including: Anthony Civale, COO, Apollo; James Zelter, Managing Director - Credit, Apollo; Joseph Glatt, Chief Legal Officer, Apollo; Kristin Hester, Counsel, Apollo; Kipp deVeer, CEO, Ares; and Joshua Bloomstein, Vice President, Ares  
4:30 pm Phone call with Steve Mnuchin, Secretary, U.S. Department of the Treasury  
4:55 pm Meeting with staff  
5:15 pm Meeting with Commissioner  
5:30 pm Meeting with staff

Tuesday, October 24, 2017

9:00 am Meeting with PCAOB Investor Advisory Group  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Speaking engagement at the Securities Industry and Financial Markets Association  
3:00 pm Meeting with staff  
3:30 pm Meeting with Financial Industry Regulatory Authority (FINRA), including: Robert Cook, President & CEO; and Robert Colby, Chief Legal Officer  
4:15 pm Meeting with the Association for Corporate Growth, including: J.B. Dollison, Global Board Chairman; and Gretchen Perkins, Corporate Secretary

Wednesday, October 25, 2017

9:00 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
2:30 pm Meeting with Charles Schwab Corp., including: David Garfield, Executive Vice President; Scott Eckel, Legislative and Regulatory Affairs; and Jeff Brown, Managing Partner & Senior Portfolio Manager, Bell & Brown Wealth Advisors LLC

- 3:00 pm Meeting with Hal Scott, Harvard Law School; and John Gulliver, Executive Director of Research, Committee on Capital Markets Regulation
- 3:15 pm Meeting with the American Petroleum Institute, including: Peter Tolsdorf, Senior Counsel; Stephen Comstock, Director Tax & Accounting; Chris Kelley, Director of Federal Regulations; and David Martin, Manager of Pipelines, Midstream and Industry Operations
- 4:15 pm Phone call with Senator Jon Tester
- 4:30 pm Meeting with Commissioner
- 5:00 pm Meeting with staff

Thursday, October 26, 2017

- 8:30 am Speaking engagement at the American Law Institute's Accountants' Liability Conference
- 10:15 am Meeting with the Municipal Securities Rulemaking Board (MSRB)
- 11:30 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:00 pm Meeting with staff
- 1:30 pm Meeting with staff
- 1:45 pm Meeting with Commissioner
- 2:00 pm Closed Commission Meeting
- 2:30 pm Meeting with Commissioner
- 3:00 pm Meeting with MarketAxess, including: Rick McVey, CEO & Chairman; Rick Ketchum, Director; Scott Pintoff, General Counsel; and Graham Harper, Delta Strategy Group
- 3:30 pm Meeting with the National Association of Insurance Commissioners, including: Ted Nickel, President; Julie McPeak, President-Elect; Ethan Sonnichsen, Managing Director of Government Relations; and Jolie Matthews, Senior Health and Life Policy Counsel
- 4:00 pm Meeting with staff
- 4:30 pm Meeting with staff
- 5:00 pm Meeting with staff

Monday, October 30, 2017

- 9:00 am Meeting with staff
- 11:00 am Meeting with Roel Campos, Partner, Hughes Hubbard & Reed
- 11:30 am Meeting with staff
- 12:00 pm Meeting with staff
- 1:00 pm Meeting with staff
- 2:00 pm Meeting with staff
- 3:30 pm Meeting with Publish What You Pay, including: Jana Morgan, Director; Waseem Mardini, Policy Advisor; Ian Gary, Director, Accountable Development Finance, Oxfam America; Stefanie Ostfeld, Deputy Head of Office, Global Witness;

Joseph Kraus, Director Transparency and Accountability, The ONE Campaign;  
and Michelle Harrison, Counsel, EarthRights International  
4:15 pm Meeting with Commissioner  
6:00 pm Meeting with Ajit Pai, Chairman, Federal Communications Commission

Tuesday, October 31, 2017

8:00 am Meeting with Senator Pat Toomey  
9:20 am Meeting with staff  
9:45 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with Martin Gruenberg, Chairman, Federal Deposit Insurance  
Corporation (FDIC)  
2:00 pm Meeting with the American Federation of Labor and Congress of Industrial  
Organizations (AFL-CIO), including: Heather Slavkin Corzo, Director, Office of  
Investment; and Damon Silvers, Policy Director and Special Counsel  
2:30 pm Meeting with staff  
3:00 pm Meeting with the Sustainability Accounting Standards Board (SASB), including:  
Jean Rogers, Chair; Elisse Walter, SASB Foundation Board of Directors; and  
Tom Riesenber, Director of Legal Policy & Outreach  
3:30 pm Meeting with staff  
5:30 pm Treasury Borrowing Advisory Committee Dinner