

Chairman Jay Clayton
Public Calendar
May 5, 2017 to June 30, 2017

Friday, May 5, 2017

9:00 am Meeting with staff
11:00 am Meeting with staff
1:00 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff

Monday, May 8, 2017

1:00 pm Financial Stability Oversight Council Principals Meeting
3:45 pm Meeting with staff
4:00 pm Meeting with staff
4:15 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Phone call with Commissioner

Tuesday, May 9, 2017

7:00 am North American Securities Administrators Association (NASAA)/U.S. Securities and Exchange Commission 2017 Spring Conference
8:00 am Meeting with staff
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Congressman Jeb Hensarling
12:30 pm Meeting with Commissioner
1:30 pm Meeting with staff
2:30 pm Phone call with Senator Chuck Schumer
3:30 pm Phone call with Senator Pat Toomey
4:00 pm Phone call with Congressman Patrick McHenry
4:30 pm Phone call with Senator Sherrod Brown
5:00 pm Meeting with staff

Wednesday, May 10, 2017

8:00 am Meeting with staff

8:45 am Meeting with members of SEC Advisory Committee on Small and Emerging Companies
9:00 am Remarks at the Advisory Committee on Small and Emerging Companies Meeting
11:00 am Meeting with staff
11:30 am Meeting with staff
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:30 pm Phone call with Senator Chuck Schumer

Thursday, May 11, 2017

7:45 am Meeting with Chris Giancarlo, Acting Chairman, Commodity Futures Trading Commission
9:00 am Meeting with Commissioner
9:30 am Phone call with Congresswoman Maxine Waters
10:00 am Meeting with staff
10:30 am Phone call with Congresswoman Carolyn Maloney
11:15 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Phone call with Senator Mitch McConnell
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
4:45 pm Meeting with staff

Friday, May 12, 2017

9:00 am Meeting with staff
10:00 am Meeting with staff
10:45 am Meeting with staff
11:00 am Meeting with James Doty, Chairman, Public Company Accounting Oversight Board
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
1:15 pm Meeting with staff

Monday, May 15, 2017

10:00 am Meeting with staff
10:45 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with National Treasury Employees Union: Greg Gilman, President, Chapter 293
2:00 pm Meeting with staff
2:30 pm Meeting with staff
4:30 pm Meeting with Keith Noreika, Acting Comptroller, Office of the Comptroller of the Currency
5:00 pm Meeting with staff

Tuesday, May 16, 2017

9:00 am Meeting with staff
9:15 am Meeting with staff
10:00 am meeting with staff
12:00 pm Meeting with Alexander Acosta, Secretary, Department of Labor
5:00 pm Meeting with job applicant
6:30 pm Financial Accounting Foundation (FAF) Reception and Dinner

Wednesday, May 17, 2017

9:00 am Meeting with job applicant
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Phone call with Congresswoman Ann Wagner
11:00 am Meeting with staff
1:00 pm Meeting with staff
2:15 pm Phone call with Tom Donohue, CEO, U.S. Chamber of Commerce
3:30 pm Meeting with staff
5:15 pm Meeting with staff
6:00 pm Phone call with job applicant

Thursday, May 18, 2017

9:00 am Meeting with staff
9:30 am Phone call with Congressman Sean Duffy
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff
1:15 pm Phone call with Congressman Bill Huizenga

1:45 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with job applicant
4:15 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Phone call with job applicant
5:30 pm Meeting with staff

Friday, May 19, 2017

8:00 am Meeting with House Financial Services Committee majority members
11:00 am Meeting with staff
12:50 pm Meeting with staff
1:00 pm Meeting with staff
1:45 pm Meeting with staff
2:15 pm Meeting with staff
2:55 pm Meeting with staff

Monday, May 22, 2017

10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Phone call with Senator Bob Corker
1:00 pm Meeting with staff
3:00 pm Federal Housing Finance Oversight Board Principals meeting

Tuesday, May 23, 2017

7:30 am Meeting with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
9:00 am Meeting with job applicant
9:30 am Meeting with staff
9:45 am Meeting with Commissioner
10:20 am Meeting with staff
10:45 am Phone call with Senator David Perdue
11:30 am Meeting with staff
11:45 am Meeting with staff
1:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Financial Stability Board Steering Committee US Principals Call
4:15 pm Phone call with Senator Tom Cotton
4:30 pm Meeting with staff

4:45 pm Meeting with staff

Wednesday, May 24, 2017

12:00 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
3:00 pm Closed Commission Meeting
6:00 pm Official travel

Thursday, May 25, 2017

8:00 am Phone call with Commissioner
9:10 am Meeting with Mark Carney, Governor, Bank of England
9:30 am Financial Stability Board Steering Committee Meeting

Friday, May 26, 2017

Official Travel

Monday, May 29, 2017

Memorial Day – Federal Holiday

Tuesday, May 30, 2017

9:00 am Meeting with job applicant
9:45 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff

Wednesday, May 31, 2017

7:30 am Meeting with Jerome Powell, Governor, Federal Reserve Board
9:40 am Meeting with Commissioner
10:00 am Meeting with staff
10:30 am Meeting with staff

11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 am Phone call with John Griffith-Jones, Chairman, UK Financial Conduct Authority;
Andrew Bailey, CEO, UK Financial Conduct Authority
1:30 pm Meeting with staff
3:15 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, June 1, 2017

7:45 am Meeting with Bimal Patel, Deputy Assistant Secretary of the Treasury, Financial
Stability Oversight Council
9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
1:30 pm Meeting with Discussion Panel Members for the SEC Historical Society's 18th
Annual meeting: Norm Champ, former Director of the SEC's Division of
Investment Management (2012-2015); Andrew Donohue, former Director of the
SEC's Division of Investment Management (2006-2010); Paul Royce, former
Director of the SEC's Division of Investment Management (1998-2005)
2:00 pm Closed Commission Meeting
2:45 pm Meeting with Peter Derby, Partner, Concinnity Advisors LP
3:15 pm Meeting with staff
4:15 pm Meeting with staff
4:30 pm Meeting with staff
4:45 pm Meeting with staff

Friday, June 2, 2017

Out of Office

Monday, June 5, 2017

9:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
12:30pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with Tim Scheve, Chairman, Securities Industry and Financial Markets
Association (SIFMA); Lisa Hunt, Vice Chairman, SIFMA; Ken Bentsen, CEO,
SIFMA
2:45 pm Meeting with staff
3:15 pm Meeting with staff
3:30 pm Meeting with job applicant

4:00 pm Meeting with staff
4:30 pm Phone call with Commissioner

Tuesday, June 6, 2017

9:00 am Meeting with David Hirschman, Pres. & CEO, Center for Capital Markets Competitiveness, Senior Vice President, U.S. Chamber of Commerce; Thomas Quaadman, Executive Vice President, Center for Capital Markets, U.S. Chamber of Commerce; Brian O'Shea, Director, Center for Capital Markets Competitiveness, U.S. Chamber of Commerce
9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with Investment Advisers Association Board, including: Jonathan Roberts Sr., Chairman, Board of Governors; Karen Barr, President/CEO; Neil Simon, VP Government Relations; Gail Bernstein, Incoming General Counsel
12:00 pm Meeting with Commissioner
1:00 pm Phone call with David Lebryk, Fiscal Assistant Secretary, U.S. Department of the Treasury
1:30 pm Meeting with Craig Phillips, Counselor, U.S. Department of the Treasury
3:00 pm Phone call with Senator Tim Scott
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
4:40 pm Meeting with staff
4:50 pm Phone call with Elad Roisman, Senior Counsel, Senate Committee on Banking, Housing, and Urban Affairs

Wednesday, June 7, 2017

9:30 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with Harvey Pitt, Former Chairman of the SEC, and CEO & Managing Director, Kalorama Partners, LLC
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:15 pm Phone call with Barbara Roper, Director of Investor Protection, Consumer Federation of America
4:55 pm Phone call with Senator Jon Tester

Thursday, June 8, 2017

8:30 am Meeting with Senator Ted Cruz

9:45 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with Paul Stevens, President & CEO, Investment Company Institute
11:20 am Phone call with Congressman Jeb Hensarling
11:45 am Phone call with Senator Dean Heller
1:15 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Friday, June 9, 2017

9:00 am Meeting with staff
9:30 am Meeting with Robert Cook, President & CEO, Financial Industry Regulatory Authority (FINRA); Robert Colby, Chief Legal Officer, FINRA
10:30 am Phone call with Mike Bodson, President & CEO, Depository Trust & Clearing Corporation
11:00 am Meeting with Steve Wallman, Former Commissioner, SEC, and CEO of Folio Investing
11:30 am Meeting with staff

Monday, June 12, 2017

9:00 am Meeting with Lynnette Kelly, Executive Director, and Robert Fippinger, Chief Legal Officer, Municipal Securities Rulemaking Board
10:00 am Meeting with staff
11:00 am Meeting with staff
2:00 pm Meeting with Ken Bertsch, Executive Director, Council of Institutional Investors
2:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Tuesday, June 13, 2017

9:20 am Meeting with staff
9:40 am Meeting with staff
10:00 am Meeting with staff
10:45 am Meeting with staff
11:00 am Meeting with Bill Dudley, President, New York Federal Reserve Bank
11:45 am Meeting with Commissioner
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:15 pm Meeting with staff

Wednesday, June 14, 2017

9:00 am Meeting with staff
9:45 am Meeting with staff
10:00 am Meeting with Daryl Bible, Senior Executive VP & CFO, BB&T; Douglas Schosser, Executive VP and CAO, KeyCorp; David Turner, Jr., Senior Executive VP & CFO, Regions Financial Corp.; Michael Spsychala, Senior VP and Controller, M&T Bank; Ryan Richards, Senior VP and Corp. Controller, SunTrust Banks; Edward McGrogan, Senior Vice Pres. CFO, and Controller, Discover Financial Services; Michael Fadil, Executive Vice President, Citizens Financial Group; Chris Scribner, Senior Vice President for Public Policy, Regions Financial Corporation
10:30 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with Paul Andrews, Secretary General, International Organization of Securities Commissions (IOSCO)
1:15 pm Meeting with staff
2:30 pm Meeting with Congressman Tom Graves
3:30 pm Meeting with Congressman Mike Quigley

Thursday, June 15, 2017

9:00 am Phone call with Antonio Weiss, Former Counselor to the Secretary of the U.S. Department of the Treasury
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Phone call with Jim Doty, Chairman, Public Company Accounting Oversight Board
2:00 pm Closed Commission Meeting

Friday, June 16, 2017

Out of Office

Monday, June 19, 2017

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff

2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with job applicant
4:00 pm Meeting with staff

Tuesday, June 20, 2017

9:30 am Phone call with James Gorman, CEO, Morgan Stanley
10:00 am Meeting with staff
10:45 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with Keith Norieka, Acting Comptroller of the Currency
2:45 pm Meeting with staff
3:00 pm Meeting with Craig Donohue, Chairman and CEO, Options Clearing Corporation
3:30 pm Meeting with staff
4:00 pm Phone call with Bill McNabb, CEO, Vanguard
4:30 pm Meeting with Senator Mike Rounds
5:00 pm Meeting with staff
6:30 pm Meeting with Alex Acosta, Secretary, Department of Labor

Wednesday, June 21, 2017

9:30 am Meeting with staff
10:00 am Meeting with staff
10:45 am Meeting with Commissioner
11:30 am Meeting with the Financial Executives International Committee on Corporate Reporting
12:00 pm Meeting with Andrew Olmem, White House National Economic Council
1:00 pm Meeting with staff
1:30 pm Meeting with Executive members of the SEC's Investor Advocacy Committee: Anne Sheehan, Vice Chair; Craig Goettsch, Secretary; John Coates, Sub-Committee Chair
2:00 pm Meeting with staff
2:30 pm Meeting with staff
2:45 pm Meeting with staff
3:45 pm Meeting with Senator Shelley Moore Capito
5:30 pm Meeting with staff

Thursday, June 22, 2017

8:45 am Meeting with staff
9:45 am Meeting with staff
10:45 am Meeting with staff

11:00 am Meeting with staff
11:45 am Meeting with staff
12:15 pm Meeting with staff
1:15 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:45 pm Meeting with staff
4:00 pm Meeting with Senator Chris Coons
5:00 pm Meeting with staff

Friday, June 23, 2017

8:00 am IOSCO Monitoring Board Principals conference call
8:45 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:45 am Meeting with J. Christopher Giancarlo, Acting Chairman, Commodity Futures Trading Commission

Monday, June 26, 2017

9:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with the General Accounting Office: Jim Dalkin, Director, Financial Management; Ted Hu, Assistant Director; Grant Simmons, Assistant Director; Nick Marinos, Director, Information Technology; Duc Ngo, Assistant Director; Jon Ticehurst, Assistant Director
12:00 pm Meeting with staff
1:00 pm Meeting with staff
5:00 pm Meeting with Commissioner

Tuesday, June 27, 2017

10:00 am Hearing before the Senate Appropriations Subcommittee on Financial Services and General Government
1:45 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Scott O'Malia, CEO, International Swaps and Derivatives Association, Inc.
4:15 pm Meeting with staff

Wednesday, June 28, 2017

9:00 am Roundtable Discussion with Tapestry Networks Leadership for North America and European Audit Committees

10:40 am Meeting with Barbara Novick, Vice Chairman of the Global Executive Committee, BlackRock
12:00 pm Meeting with staff
12:30 pm Meeting with staff
1:15 pm Meeting with John Kerin, CEO, Chicago Stock Exchange
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with members of the European Forum of Securities Associations: Michael Sterzenbach, Chief Executive, BWF, German Brokers; Simon Lewis, Chief Executive, AFME UK; Kerstin Hermansson, Chief Executive, Swedish Securities Dealers Association; Pierre de Lauzun, Chief Executive, French Financial Markets Association (AMAFI); Veronique Donnadiou, Director, International and European Affairs, AMAFI
3:45 pm Meeting with staff
4:30 pm Phone call with Jeff Solomon, President, Cowen
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Thursday, June 29, 2017

9:00 am Meeting with staff
10:00 am Meeting with staff
10:45 am Meeting with the National Society of Compliance Professionals: Lisa Crossley, Executive Director, National Society of Compliance Professionals; Louis Dempsey, President, Renaissance Regulatory Services; Adam Reback, CCO, J. Goldman & Co., L.P.; Chuck Senatore, Executive Vice President, Devonshire Investors; Rob Tull, Global CCO, CBRE Global Investors
11:30 am Meeting with Brad Katsuyama, CEO & Founder, IEX Group
12:15 pm Meeting with job applicant
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff
3:00 pm Meeting with Adena Friedman, CEO, NASDAQ

Friday, June 30, 2017

Out of Office