

Chairman Jay Clayton
Public Calendar
August 1, 2017 to August 31, 2017

Tuesday, August 1, 2017

7:00 am Phone call with Andrew Bailey, CEO, UK Financial Conduct Authority
3:30 pm Meeting with job applicant
4:00 pm Meeting with staff
4:30 pm Phone call with the Honorable Leo Strine Jr., Chief Justice, Delaware Supreme Court
4:45 pm Meeting with staff

Wednesday, August 2, 2017

Out of office

Thursday, August 3, 2017

10:00 am Meeting with staff
11:00 am Meeting with staff
4:00 pm Meeting with staff

Friday, August 4, 2017

12:30 pm Meeting with staff

Monday, August 7, 2017

9:00 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:45 am Meeting with staff
1:00 pm Meeting with the North American Securities Administrators Association, including: Mike Rothman, President; Joe Borg, President-elect; Joseph Brady, Executive Director; and Michael Pieciak, Commissioner, Vermont Department of Financial Regulation
1:30 pm Meeting with staff
2:00 pm Meeting with the Healthy Markets Association, including: Tyler Gellasch, Executive Director; and Chris Nagy, Director

3:00 pm Meeting with staff
3:15 pm Meeting with staff

Tuesday, August 8, 2017

Out of Office

Wednesday, August 9, 2017

11:00 am Meeting with staff

Thursday, August 10, 2017

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:45 am Meeting with staff
12:15 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with staff

Friday, August 11, 2017

9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with Commissioner
11:30 am Meeting with staff
1:30 pm Meeting with staff

Monday, August 14, 2017

9:00 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with the National Association of Corporate Directors, including: Peter Gleason, President & CEO; Steve Walker, General Counsel; Erin Essenmacher, Chief Programs Officer; and Bill Brawner, Media Contact
12:00 pm Meeting with staff

- 1:00 pm Meeting with Lynne Doughtie, U.S. Chairman and CEO, KPMG; Cathy Engelbert, CEO, Deloitte; Steve Howe, U.S. Chairman, Ernst & Young; Tim Ryan, Chairman, PwC; and Cindy Fornelli, Executive Director, The Center for Audit Quality
- 3:00 pm Federal Housing Finance Oversight Board Principals meeting
- 5:00 pm Meeting with Commissioner
- 5:30 pm Meeting with staff

Tuesday, August 15, 2017

- 9:00 am Meeting with staff
- 9:15 am Meeting with staff
- 9:30 am Meeting with staff
- 10:30 am Meeting with staff
- 11:15 am Meeting with staff
- 12:00 pm Meeting with Commissioner
- 1:00 pm Oral Argument before the Commission
- 2:30 pm Meeting with staff
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with staff
- 6:30 pm Meeting with Keith Noreika, Acting Comptroller of the Currency

Wednesday, August 16, 2017

- 7:30 am Meeting with Governor Jerome Powell, Federal Reserve Board
- 9:00 am Meeting with staff
- 10:30 am Meeting with the Securities Industry and Financial Markets Association, including: Ken Bentsen, President and CEO; Dave Oxner, Managing Director for Government Relations; Tom Price, Managing Director for Technology and Operations; and Tom Wagner, Managing Director for Business Continuity Planning and Cybersecurity
- 11:45 am Meeting with staff
- 12:00 pm Phone call with Congressman Randy Hultgren
- 1:00 pm Meeting with the Consolidated Audit Trail (CAT) Operating Committee, including: Barbara Comly, Executive Vice President & General Counsel, Miami International Securities Exchange; Lisa Fall, President, BOX Options Exchange; Duer Meehan, Vice President, Financial Industry Regulatory Authority; Joanne Moffic-Silver, Executive Vice President & General Counsel, Bats Global Markets, Inc.; John Ramsay, Chief Market Policy Officer, Investors Exchange LLC; Alexander Ravenel, Senior Manager, Deloitte; Jonathan Redwine, Senior Counsel, NYSE; Peter Santori, Executive Vice President & Chief Regulatory Officer, Chicago Stock Exchange, Inc.; and John Zecca, Senior Vice President of MarketWatch, NASDAQ

- 2:00 pm Meeting with Franklin Square Investments, including: Michael Gerber, Executive Vice President; Eric Edwards, Senior Vice President; Lisa Detwiler, Senior Vice President & General Counsel; Stephen Sypherd, Managing Director; and John Anderson, Partner, Rich Feuer Anderson
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with staff

Thursday, August 17, 2017

- 9:00 am Meeting with staff
- 9:30 am Meeting with staff
- 10:00 am Meeting with Institutional Shareholder Services, including: Gary Retelny, President & CEO; Steven Friedman, General Counsel; and Mari-Anne Pisarri, Partner, Pickard Djinis & Pisarri LLP
- 11:45 am Phone call with Colonel John Howard, Commander, 375th Air Mobility Wing, USAF, Scott AFB, Illinois
- 1:00 pm Meeting with the Office of Financial Research, including: Richard Berner, Director; and Kathleen Victorino, Chief of Staff
- 2:00 pm Closed Commission Meeting

Friday, August 18, 2017

Out of Office

Monday, August 21, 2017

- 5:00 pm Meeting with Financial Accounting Foundation (FAF) Board of Trustees and members of the Financial Accounting Standards Board (FASB), including: Charles Noski, Chairman, FAF; Gary Bruebaker, Vice Chairman, FAF; Russell Golden, Chairman, FASB; and James Kroeker, Vice Chairman, FASB

Tuesday, August 22, 2017

- 9:30 am Meeting with staff
- 10:00 am Meeting with job applicant
- 10:30 am Meeting with staff
- 11:15 am Meeting with staff

Wednesday, August 23, 2017

10:45 am Speaking Engagement: SEC's Military Financial Literacy Campaign, 375th Air Mobility Wing, Scott AFB, Illinois
5:00 pm SEC-hosted Roundtable discussions regarding standards of conduct with St. Louis area investment advisers and broker dealers, as well as individual investors, at the Federal Reserve Bank of St. Louis

Thursday, August 24, 2017

8:00 am Meeting with staff
9:00 am Meeting with staff
10:30 am Meeting with staff
1:00 pm Meeting with staff

Friday, August 25, 2017

Out of Office

Monday, August 28, 2017

9:00 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Phone call with Walter Zebrowski, Chairman, Regulatory Compliance Association
1:30 pm Meeting with job applicant
2:00 pm Meeting with David Burton, Senior Fellow, Heritage Foundation
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with David Malpass, Under Secretary for International Affairs, U.S. Department of the Treasury
5:00 pm Meeting with staff

Tuesday, August 29, 2017

Out of Office

Wednesday, August 30, 2017

Out of Office

Thursday, August 31, 2017

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with AFL-CIO, including: Damon Silvers, Policy Director and Special Counsel; and Heather Slavkin Corzo, Director of the Office of Investment
11:00 am	Meeting with Trillium Asset Management and US SIF, Forum for Sustainable and Responsible Investing, including; Matthew Patsky, CEO, Trillium Asset Management; Jonas Kron, Senior Vice President of Corporate Engagement and Shareholder Advocacy, Trillium Asset Management; Lisa Woll, CEO, US SIF; and Bryan McGannon, Director of Policy and Programs, US SIF
11:30 am	Meeting with staff
11:45 am	Meeting with staff
12:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with Cynthia Glassman, Former Commissioner, SEC
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff