

**Chair Mary Jo White
Public Calendar
January 1, 2016 to January 20, 2017**

Friday, January 1, 2016

New Year's Day – Federal Holiday

Monday, January 4, 2016

12:30 pm Meeting with staff
4:00 pm Meeting with staff
5:00 pm Meeting with staff

Tuesday, January 5, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
3:00 pm Meeting with Commissioner
5:00 pm Meeting with staff

Wednesday, January 6, 2016

9:30 am Meeting with staff
10:00 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
12:30 pm Meeting with staff
3:00 pm Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
5:10 pm Meeting with staff
7:00 pm Phone call with Masamichi Kono, Vice Minister for International Affairs, Financial Services Agency of Japan
8:00 pm Phone call with Lou Jiwei, Minister of Finance, China Ministry of Finance

Thursday, January 7, 2016

8:00 am Phone call with Masamichi Kono, Vice Minister for International Affairs, Financial Services Agency of Japan
9:30 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with Citadel: Adam Cooper, Senior Managing Director and Chief Legal Officer; John Nagel, General Counsel; Jamil Nazarali, Head of Equity Trading; and Stephen Berger, Director of Government and Regulatory Policy
2:00 pm Closed Commission Meeting
2:35 pm Meeting with Commissioner
3:25 pm Phone call with Daniel Tarullo, Governor, Federal Reserve Board

Friday, January 8, 2016

11:00 am Phone call with Mark Carney, Governor, Bank of England
11:15 am Meeting with staff
1:00 pm Financial Stability Board Steering Committee US Principals Call

Monday, January 11, 2016

11:00 am Financial Stability Board Steering Committee Call
2:00 pm Meeting with Alan Beller, Trustee, IFRS Foundation
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Tuesday, January 12, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:00 am Meeting with staff
12:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:15 pm Meeting with staff
4:30 pm Meeting with staff

Wednesday, January 13, 2016

9:45 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with Adebayo Ogunlesi, Director, Goldman Sachs
1:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, January 14, 2016

8:45 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
9:00 am Phone call with Leonardo Pereira, Executive Chairman, Comissão de Valores Mobiliários
9:30 am Meeting with staff
10:00 am Meeting with Vanguard: Michael Buek, Head of Equity Trading; Joel Dickson, Head of Product Development and ETF Expert; John Bisordi, Senior Counsel on Market Structure; Brian McCarthy, Retail Investor Trading; Thomas Bartolacci, Head of ETF Capital Markets; Gerry O'Reilly, Indexed Equity Portfolio; and Jillien Flores, Government Relations

10:30 am Meeting with Nathan Sheets, Under Secretary for International Affairs, Department of the Treasury; and Marisa Lago, Assistant Secretary for International Markets and Development, Department of the Treasury
2:00 pm Closed Commission Meeting
3:00 pm Meeting with Commissioner
4:30 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation

Friday, January 15, 2016

6:30 am Monitoring Board Principals Call
9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Oral Argument before the Commission
1:15 pm Meeting with Paul Andrews, Vice President and Managing Director of International, Financial Industry Regulatory Authority

Sunday, January 17, 2016

9:00 pm Meeting with staff

Monday, January 18, 2016

Birthday of Martin Luther King, Jr. – Federal Holiday

Tuesday, January 19, 2016

9:15 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
4:00 pm Meeting with Senator Richard Shelby
5:00 pm Meeting with staff

Wednesday, January 20, 2016

9:45 am Meeting with staff
10:00 am Meeting with Investor Advisory Committee Officers: Kurt Schact, Chairman; J. Robert Brown, Jr., Secretary; Jean Setzfand, Assistant Secretary; Roy Katzovicz, Investor as Owner Subcommittee Chair; and Steven Wallman, Market Structure Subcommittee Chair

11:45 am Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with Commissioner
4:05 pm Meeting with staff
4:30 pm Meeting with staff
5:30 pm Meeting with staff

Thursday, January 21, 2016

10:00 am Investor Advisory Committee Meeting
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner

Friday, January 22, 2016

1:00 pm Meeting with staff
1:30 pm Meeting with staff

Monday, January 25, 2016

5:00 pm Meeting with staff

Tuesday, January 26, 2016

3:15 pm Speaking Engagement at the Securities Regulation Institute
5:00 pm Meeting with staff

Wednesday, January 27, 2016

Official Travel

Thursday, January 28, 2016

10:00 am Meeting with staff
10:30 am Phone call with Jacob Lew, Secretary, Department of the Treasury
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
12:30 pm Meeting with staff
1:15 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:45 pm Phone call with Senator Jack Reed
4:00 pm Financial Stability Oversight Council Principals Meeting

Friday, January 29, 2016

9:30 am Meeting with staff
9:45 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with Commissioner

Monday, February 1, 2016

11:00 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Phone call with Jed Rakoff, United States District Court Judge, Southern District of New York
3:00 pm Meeting with staff
4:00 pm Meeting with staff
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Tuesday, February 2, 2016

7:00 am Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission
9:30 am Equity Market Structure Advisory Committee Meeting
12:10 pm Meeting with staff
12:15 pm Meeting with staff
12:45 am Equity Market Structure Advisory Committee Meeting
4:30 pm Meeting with Commissioner

Wednesday, February 3, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
3:45 pm Phone call with Loretta Lynch, Attorney General, Department of Justice

Thursday, February 4, 2016

9:00 am Phone call with Daniel Tarullo, Governor, Federal Reserve Board; Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation; Thomas Curry, Comptroller, Office of the Comptroller of the Currency; and Timothy Massad, Chairman, Commodity Futures Trading Commission
10:00 am Meeting with Stone Ridge high school students and faculty
10:15 am Meeting with staff
12:00 pm Closed Commission Meeting
1:00 pm Meeting with staff

2:30 pm Meeting with BlackRock: Larry Fink, Chairman and Chief Executive Officer; and Barbara Novick, Vice Chairman

Friday, February 5, 2016

10:00 am Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial Industry Regulatory Authority
11:15 am Meeting with National Defense University – Eisenhower School students and faculty
12:30 pm Meeting with staff
1:15 pm Phone call with Nathan Sheets, Under Secretary for International Affairs, Department of the Treasury; Daniel Tarullo, Governor, Federal Reserve Board; Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation; Thomas Curry, Comptroller, Office of the Comptroller of the Currency; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Monday, February 8, 2016

11:00 am Meeting with staff
1:00 pm Oral Argument before the Commission
2:00 pm Meeting with staff
3:00 pm Meeting with staff

Tuesday, February 9, 2016

10:30 am Meeting with staff
11:30 am Meeting with staff
11:45 am Meeting with staff
1:15 pm Meeting with staff
2:00 pm Meeting with Commissioner
3:10 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with job applicant
5:00 pm Meeting with KPMG: Lynne Doughtie, Chairman and CEO; Scott Marcello, Vice Chair of Auditing; David Middendorf, National Managing Partner of Auditing; and Sven Erik Holmes, Vice Chairman and Chief Legal Officer

Wednesday, February 10, 2016

10:00 am Open Commission Meeting
11:00 am Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, February 11, 2016

9:30 am Meeting with staff
10:00 am Meeting with Credit Suisse: Tidjane Thiam, Global Chief Executive Officer; Joseph Seidel, Managing Director and Senior Counsel; Matthew Farnum-Schneider, Managing Director and Senior Adviser to the Chief Executive Officer; Timothy O'Hara, Chief Executive Officer Global Markets; and Lara J. Warner, Chief Compliance and Regulatory Affairs Officer
12:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner

Friday, February 12, 2016

6:00 am Financial Stability Board Steering Committee Call
10:30 am Meeting with staff
11:00 am Meeting with staff
1:00 pm Meeting with staff

Monday, February 15, 2016

Washington's Birthday – Federal Holiday

Tuesday, February 16, 2016

10:30 am Meeting with staff
11:15 am Meeting with staff
12:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff
5:30 pm Phone call with Masamichi Kono, Vice Minister for International Affairs, Financial Services Agency of Japan

Wednesday, February 17, 2016

7:00 am Phone call with Carlson Tong, Chair, Hong Kong Securities and Futures Commission
10:30 am Meeting with staff
12:00 pm Meeting with staff
12:15 pm Meeting with staff
1:00 pm Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen, Chair, Federal Reserve Board; Daniel Tarullo, Governor, Federal Reserve Board; Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation; and Susan Milligan, Deputy General Counsel for Legislative and Intergovernmental Affairs, Commodity Futures Trading Commission

2:30 pm Meeting with the U.S. Chamber of Commerce: Thomas Donohue, President and Chief Executive Officer; David Hirschmann, Senior Vice President; Tom Quaadman, Vice President; and Amanda Eversole, Senior Vice President, U.S. Chamber of Commerce Center for Capital Markets Competitiveness

3:00 pm Meeting with staff

4:30 pm Meeting with staff

5:30 pm Meeting with staff

Thursday, February 18, 2016

10:00 am Meeting with Michael Corbat, Chief Executive Officer, Citigroup

1:30 pm Meeting with staff

2:00 pm Meeting with staff

3:15 pm Meeting with staff

3:30 pm Meeting with staff

5:00 pm Meeting with staff

Friday, February 19, 2016

8:45 pm Speaking engagement at The SEC Speaks in 2016

6:00 pm Association of Securities and Exchange Commission Alumni Annual Dinner

Monday, February 22, 2016

Out of office

Tuesday, February 23, 2016

9:00 am Meeting with staff

9:45 am Meeting with staff

10:30 am Meeting with staff

11:30 am Meeting with staff

2:00 pm Meeting with Paul Schott Stevens, President, Investment Company Institute; William McNabb, Chairman and CEO, Vanguard; David Blass, General Counsel, Investment Company Institute; and Sean Collins, Senior Director of Industry and Financial Analysis, Investment Company Institute

2:30 pm Meeting with staff

Wednesday, February 24, 2016

10:45 am Meeting with staff

11:00 am Meeting with staff

11:30 am Meeting with DTCC: Mike Bodson, President and CEO; Larry Thompson, Vice Chairman and General Counsel; Mark Wetjen, Managing Director, Head of Global Public Policy, Government Relations; and Bari Wolfe, Managing Director, Head of Regulatory Relations

12:30 pm Meeting with Debbie Matz, Chairman, National Credit Union Administration
2:00 pm Meeting with staff
2:30 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, February 25, 2016

9:15 am Phone call with Daniel Tarullo, Governor, Federal Reserve Board
9:30 am Advisory Committee on Small and Emerging Companies Meeting
12:30 pm Meeting with Commissioner
1:40 pm Phone call with Congresswoman Carolyn Maloney
2:30 pm Meeting with staff
4:00 pm Meeting with Congresswoman Nydia Velazquez
5:00 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission
5:25 pm Meeting with staff

Friday, February 26, 2016

9:30 am Meeting with staff
10:00 am Meeting with staff
10:30 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
12:00 am Closed Commission Meeting
1:00 pm Meeting with staff

Monday, February 29, 2016

1:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff
6:00 pm Meeting with Thomas Wheeler, Chairman, Federal Communications Commission; Edith Ramirez, Chairwoman, Federal Trade Commission; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Tuesday, March 1, 2016

9:00 am Meeting with staff
9:15 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
12:00 pm Meeting with Nathan Sheets, Under Secretary for International Affairs, Department of the Treasury
1:30 pm Meeting with Congressman Steve Stivers
2:30 pm Meeting with staff

3:00 pm Meeting with staff
4:00 pm Meeting with staff

Wednesday, March 2, 2016

11:15 am Phone call with London Stock Exchange: Xavier Rolet, Chief Executive Officer; David Weisbrod, U.S. CEO; and Jonathan Jachym, Head of North America Regulatory Strategy and Government Relations
1:00 pm Financial Stability Oversight Council Principals Meeting
3:30 pm Meeting with staff
5:45 pm Meeting with staff

Thursday, March 3, 2016

11:30 am Meeting with staff
1:00 pm Meeting with Charles Noski, Chairman, Financial Accounting Foundation
2:00 pm Closed Commission Meeting
3:15 pm Meeting with staff
3:45 pm Meeting with Commissioner
4:45 pm Meeting with Masahiko Shibayama, Special Advisor to Prime Minister and Congressman; Sogaku Miyamoto, Secretary to the Prime Minister's Special Adviser; Yu Ozaki, Director, Planning and Evaluation Division, Inspection Bureau, Financial Services Agency; Shigeo Shimizu, Minister of Finance, Embassy of Japan in U.S.; Hiroshi Okada, Counselor of Finance, Embassy of Japan in U.S.; Satomi Yanagitani, Interpreter and Secretary of Economy, Embassy of Japan in U.S.
5:00 pm Meeting with staff

Friday, March 4, 2016

9:45 am Meeting with staff
10:00 am Meeting with staff

Monday, March 7, 2016

11:05 am President's Regulators Roundtable
2:00 pm Meeting with Moody's Investor Service, Inc.: Ray McDaniel, CEO; John Goggins, General Counsel; and Kathyanne Cohen, Vice President, Government and Public Affairs
3:30 pm Oral Argument before the Commission
4:15 pm Meeting with staff
5:00 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight Board

Tuesday, March 8, 2016

8:00 am Interview with Bloomberg TV
9:00 am Meeting with staff

9:45 am Meeting with staff
10:30 am Meeting with staff
12:15 pm Phone call with Daniel Tarullo, Governor, Federal Reserve Board
12:30 pm Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with Chris Concannon, President and CEO, Bats Global Markets; and Eric Swanson, General Counsel, Bats Global Markets
2:00 pm Meeting with Commissioner
3:00 pm Meeting with staff
6:00 pm Meeting with Roel Campos, former SEC Commissioner

Wednesday, March 9, 2016

10:00 am Meeting with staff
11:30 am Meeting with Commissioner
12:00 am Meeting with Paul Atkins, former SEC Commissioner
1:45 pm Phone call with Lisa Fairfax
1:50 pm Phone call with Hester Peirce
2:00 pm Meeting with Commissioner
2:30 pm Meeting with staff
3:45 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, March 10, 2016

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with staff
12:00 pm Meeting with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Meeting with staff

Friday, March 11, 2016

10:00 am Meeting with staff
10:20 am Meeting with staff
10:30 am Meeting with Edward Tilly, CEO, Chicago Board Options Exchange; Craig Donohue, CEO, Options Clearing Corporation; Lita Frazier, Vice President, Chicago Board Options Exchange Government Relations; and Joe Corcoran, Vice President, Options Clearing Corporation Government Relations
12:00 pm Oral Argument before the Commission
1:30 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight Board

Monday, March 14, 2016

10:00 am Open Commission Meeting
3:00 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff

Tuesday, March 15, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:30 am Phone call with Volcker Alliance: Paul Volcker, Chairman; and Anthony Dowd, Special Assistant
12:00 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Meeting with staff
5:45 pm Phone call with Jacob Lew, Secretary, Department of the Treasury

Wednesday, March 16, 2016

9:15 am Speaking engagement at the U.S. Chamber of Commerce Capital Markets Summit
11:00 am Meeting with staff
11:30 am Meeting with staff
1:30 pm Oral Argument before the Commission
3:00 pm Meeting with Congressman Ander Crenshaw
4:00 pm Meeting with Congressman José Serrano
4:45 pm Meeting with Commissioner
5:30 pm Meeting with staff

Thursday, March 17, 2016

10:30 am Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with Masamichi Kono, Vice Minister, Financial Services Agency of Japan; and Takashi Sudo, Financial Services Agency of Japan

Friday, March 18, 2016

7:00 am Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission
10:00 am Meeting with staff

11:00 am Meeting with staff
12:00 pm Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with staff

Monday, March 21, 2016

11:00 am Oral Argument before the Commission
12:45 pm Meeting with staff
2:00 pm Meeting with staff
3:45 pm Phone call with Congresswoman Nita Lowey
4:00 pm Financial Stability Oversight Council call

Tuesday, March 22, 2016

11:00 am Hearing before the House Appropriations Subcommittee
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with staff
5:00 pm Meeting with staff

Wednesday, March 23, 2016

9:00 am Meeting with Mervyn King, former Governor, Bank of England
11:45 am Speaking engagement at the Penn Law Women's Summit Awards Luncheon
4:10 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff

Thursday, March 24, 2016

10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
2:00 pm Closed Commission Meeting
3:15 pm Meeting with staff
3:45 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Friday, March 25, 2016

10:00 am Meeting with staff

Monday, March 28, 2016

11:30 am Meeting with staff

1:00 pm Meeting with staff

2:00 pm Meeting with staff

3:00 pm Meeting with staff

3:30 pm Meeting with staff

4:00 pm Meeting with staff

4:30 pm Meeting with staff

5:00 pm Meeting with staff

Tuesday, March 29, 2016

9:00 am Speaking engagement at the Mutual Fund Directors Forum

1:30 pm Meeting with staff

2:00 pm Meeting with Richard Berner, Director, Office of Financial Research; and Matt Reed, Chief Counsel, Office of Financial Research

2:30 pm Meeting with staff

3:00 pm Meeting with Commissioner

5:30 pm Meeting with staff

Wednesday, March 30, 2016

10:45 am Meeting with staff

11:00 am Meeting with staff

Thursday, March 31, 2016

9:00 am Speaking engagement at Women in Securities (WISe) Reception

2:00 pm Closed Commission Meeting

3:00 pm Meeting with staff

8:45 pm Speaking engagement at Silicon Valley Initiative

Friday, April 1, 2016

Out of office

Monday, April 4, 2016

9:00 am Meeting with staff

11:00 am Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency
12:50 pm Meeting with staff
1:00 pm Meeting with staff
2:30 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
3:30 pm Phone call with Daniel Tarullo, Governor, Federal Reserve Board
5:00 pm Meeting with staff

Tuesday, April 05, 2016

7:30 am Meeting with staff
8:30 am Meeting with staff
6:30 pm Phone call with Thomas Perez, Secretary, Department of Labor

Wednesday, April 6, 2016

8:30 am IOSCO Board Meeting call
10:00 am Meeting with the Government Accountability Office: James Dalkin, Director; Gregory Wilshusen, Director; Michael Gilmore, Assistant Director; Duc Ngo, Assistant Director; Kristen Kociolek, Assistant Director; Henry Sutanto, Senior Analyst; Angela Bell, Senior Analyst; Delores Lee, Senior Auditor; Jessica Boucher, Senior Auditor; Aaron Livernois, Senior Auditor; Judy Lee, Senior Auditor; Mark Cheung, Senior Auditor; Sean Joyce, Auditor; Michael Reed, Auditor; Randy Vorhees, Auditor; Nadine Ferreira, Auditor; and Debra Cottrell, Auditor
10:30 am Meeting with staff
11:00 am Meeting with staff
12:45 pm Speaking engagement at the Financial Services Forum
2:15 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:00 pm Phone call with Senator Dean Heller
4:15 pm Meeting with Commissioner
5:00 pm Meeting with staff

Thursday, April 7, 2016

10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with Daniel Tarullo, Governor, Federal Reserve Board
2:15 pm Phone call with Senator John Boozman
3:45 pm Phone call with Lisa Fairfax
4:00 pm Closed Commission Meeting
4:40 pm Phone call with Hester Peirce
6:30 pm Meeting with FINRA: Rick Ketchum, Chairman and Chief Executive Officer; Jack Brennan, FINRA Board of Governors; and Elisse Walter, former SEC Chairman and FINRA Board of Governors

Friday, April 8, 2016

9:45 am Meeting with staff
10:00 am Meeting with staff
10:30 am Speaking engagement at the International Institute for Securities Market Growth and Development
11:30 am Meeting with staff
1:30 pm Meeting with staff

Monday, April 11, 2016

10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
4:45 pm Meeting with staff
5:05 pm Phone call with Jacob Lew, Secretary, Department of the Treasury
7:00 pm Phone call with Senator Christopher Coons

Tuesday, April 12, 2016

10:30 am Hearing before the Senate Appropriations Subcommittee on Financial Services and General Government
12:50 pm Meeting with staff
4:30 pm Meeting with Curt Bradbury, Chair, American Securities Association; Nikolai D. Fiskens, Chairman, Equity Dealers of America; Chris Iacovella, Chief Executive Officer, Equity Dealers of America; Mitchell Feuer, Principal, Rich Feuer Anderson; and John Anderson, Principal, Rich Feuer Anderson
5:00 pm Meeting with staff

Wednesday, April 13, 2016

9:20 am Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
10:00 am Open Commission Meeting
11:30 am Meeting with staff
12:00 pm Meeting with staff
1:30 pm Meeting with staff
2:20 pm Meeting with staff
2:30 pm Meeting with Symphony Communications: David Gurle, CEO; Lawrence Miller, EVP Global Technology; Mitchell Feuer, Principal, Rich Feuer Anderson; and John Anderson, Principal, Rich Feuer Anderson
3:00 pm Meeting with staff
3:30 pm Meeting with staff
3:45 pm Meeting with Investor Advisory Committee Officers: Kurt Schacht, Chairman; Anne Sheehan, Vice Chairman; Jay Robert Brown, Jr., Secretary; Jean Setzfand, Assistant Secretary; Roy Katzovicz, Chair, Investor as Owner Subcommittee; and Steven Wallman, Chair, Market Structure Subcommittee

4:30 pm Meeting with staff

Thursday, April 14, 2016

9:00 am Meeting with staff
9:30 am Investor Advisory Committee Meeting
11:30 am Meeting with the Municipal Securities Rulemaking Board
1:00 pm Closed Commission Meeting
2:40 pm Meeting with Oliver Guersent, Director General, DG Financial Stability, Financial Services and Capital Markets Union, European Commission
3:00 pm Regulatory Roundtable

Friday, April 15, 2016

9:00 am Phone call with Debbie Matz, Chairman, National Credit Union Administration
9:30 am Phone call with Howard Wetston, former Chair, Ontario Securities Commission
10:00 am Meeting with Greg Medcraft, Chairman, Australian Securities and Investments Commission
10:30 am Meeting with Andrew Bailey, Deputy Governor, Bank of England
11:30 am Meeting with Commissioner
12:00 pm Meeting with Overstock.com: Patrick Byrne, CEO; Mitch Edwards, Acting CEO; and John Welborn, Associate
12:30 pm Meeting with staff
1:30 pm Meeting with Axel Weber, Chairman, UBS

Monday, April 18, 2016

11:00 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with staff
1:00 pm Interview with Renae Merle, Washington Post
2:00 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Financial Stability Oversight Council Principals Meeting

Tuesday, April 19, 2016

8:30 am Speaking engagement at Compliance Outreach Program for Investment Companies and Investment Advisers
9:00 am Meeting with staff
9:45 am Meeting with staff
12:30 pm Meeting with staff
3:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff
6:00 pm Meeting with staff

Wednesday, April 20, 2016

10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff
3:00 pm Meeting with staff
4:15 pm Meeting with staff

Thursday, April 21, 2016

9:00 am Meeting with staff
10:00 am Phone call with Congressman Sean Duffy
11:00 am Meeting with Commissioner
12:30 pm Meeting with staff

Friday, April 22, 2016

10:45 am Meeting with staff

Monday, April 25, 2016

11:10 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with staff
1:45 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Meeting with staff
5:45 pm Meeting with Elisse Walter, former SEC Chairman

Tuesday, April 26, 2016

7:00 am Monitoring Board Call
9:30 am Equity Market Structure Advisory Committee Meeting
11:45 am Meeting with staff
12:00 pm Meeting with staff
4:00 pm Meeting with staff
5:00 pm Meeting with staff

Wednesday, April 27, 2016

9:00 am Meeting with staff
10:00 am Open Commission Meeting
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with IEX: Brad Katsuyama, President and CEO; and John Ramsay, Chief Market Policy Officer
3:30 pm Meeting with the Federal Reserve Bank of New York: William Dudley, President; and Sandra Lee, Vice President and Director, Policy Financial Services Strategy
4:45 pm Meeting with Lawrence Miller, EVP Global Technology, Symphony Communications; Jessica Romano, Business Development, Symphony Communications; Mitchell Feuer, Principal, Rich Feuer Anderson; and John Anderson, Principal, Rich Feuer Anderson
5:15 pm Meeting with staff

Thursday, April 28, 2016

10:00 am Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council
10:30 am Meeting with staff
10:45 am Meeting with staff
1:00 pm Closed Commission Meeting
2:00 pm Financial and Banking Information Infrastructure Committee Meeting

Friday, April 29, 2016

8:30 am Meeting with Timothy Massad, Chairman, Commodity Futures Trading Commission
9:45 am Meeting with staff
10:00 am Meeting with Commissioner

Monday, May 2, 2016

1:00 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Meeting with staff

Tuesday, May 3, 2016

8:15 am Meeting with staff
9:00 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
12:00 pm Meeting with staff

1:30 pm Meeting with the Treasury Borrowing Advisory Committee
2:45 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
7:00 pm Meeting with staff

Wednesday, May 4, 2016

9:15 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with staff
5:00 pm Meeting with staff

Thursday, May 5, 2016

10:00 am Meeting with staff
10:30 am Meeting with members of Publish What You Pay: Jana Morgan, Director, Publish What You Pay; Waseem Mardini, Policy Advisor, Publish What You Pay; Joe Kraus, Senior Policy Manager, the ONE Campaign; Michelle Harrison, Staff Attorney, EarthRights International; Joe Williams, Senior Advocacy Officer, Natural Resource Governance Institute; Zamira Djabarova, Human Rights Attorney - Earthrights International; and Ian Gary, Senior Policy Manager for Extractive Industries, OxFam America
11:00 am Meeting with staff
11:30 am Meeting with London Stock Exchange Group: Xavier Rolet, CEO; David Weisbrod, Country Head for the USA; and Jonathan Jachym, Head of North America Regulatory Strategy & Government Relations
2:00 pm Closed Commission Meeting

Friday, May 6, 2016

9:00 am Phone call with Mark Carney, Governor, Bank of England
9:30 am Meeting with staff
10:00 am Meeting with staff
12:00 pm Meeting with the Financial Industry Regulatory Authority Board
2:00 pm Meeting with staff

Monday, May 9, 2016

1:30 pm IOSCO Board Meeting Lunch
2:30 pm IOSCO Board Meeting
7:15 pm Meeting with staff

Tuesday, May 10, 2016

8:15 am Meeting with Maureen Jensen, Chair and CEO, Ontario Securities Commission
10:00 am IOSCO Board Meeting
1:30 pm IOSCO Board Meeting Lunch
2:30 pm IOSCO Board Meeting
8:00 pm Meeting with Masayuki Yoshida, Commissioner, Japan Securities and Exchange Surveillance Commission

Wednesday, May 11, 2016

7:45 am Meeting with staff
8:00 am Phone call with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
8:15 am Meeting with Ashley Alder, CEO, Hong Kong Securities and Futures Commission
10:00 am Presidents Committee Session I
11:30 am Presidents Committee Session II
1:00 pm IOSCO Board Meeting Lunch
3:00 pm IOSCO Public Conference Opening Ceremony
4:00 pm Pre-panel meeting
5:00 pm Speaking engagement at the IOSCO Panel, New Ways for Financing of Small and Medium Enterprises and the Challenges of Crowdfunding

Thursday, May 12, 2016

11:30 am Phone call with Daniel Tarullo, Governor, Federal Reserve Board

Friday, May 13, 2016

9:00 am Meeting with staff

Sunday, May 15, 2016

3:00 pm Meeting with staff

Monday, May 16, 2016

11:00 am Meeting with Financial Accounting Foundation (FAF): Charles Noski, Chairman; and Teresa Polley, President and Chief Operating Officer
11:30 am Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:45 pm Meeting with staff
4:00 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
4:30 pm Meeting with staff

Tuesday, May 17, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with Thomas Farley, CEO, NYSE; Elizabeth King, General Counsel, NYSE; Brendon Weiss, Co-Head of Government Affairs, IntercontinentalExchange; Hope Jarkowski, Senior Counsel, Government Affairs, IntercontinentalExchange; and Anthony Albanese, Chief Regulatory Officer, NYSE
3:00 pm Speaking engagement at Reuters Summit
4:30 pm Meeting with staff
5:30 pm Meeting with Commissioner
6:30 pm Financial Accounting Foundation Reception

Wednesday, May 18, 2016

9:30 am SEC Advisory Committee on Small and Emerging Companies Meeting
11:45 am Meeting with Eric Chern, Chief Executive Officer, CTC Trading Group, L.L.C.; Pat Hickey, Head of Market Structure, Optiver; Kevin Kennedy, Vice President and Head of U.S. Options, Nasdaq; Margaret Wall, General Counsel, CTC Trading Group, L.L.C.; Hope Jarkowski, Senior Counsel, Government Affairs, IntercontinentalExchange; Chris Twomey, Director of Options, NYSE; Angelo Evangelou, Deputy General Counsel, CBOE; and Graham Harper, Partner, Delta Strategy Group
12:30 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, May 19, 2016

10:00 am Meeting with staff
10:45 am Meeting with staff
11:15 am Meeting with staff
2:00 pm Closed Commission Meeting
3:30 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:00 pm Meeting with staff

Friday, May 20, 2016

8:00 am Speaking engagement at Investment Company Institute General Membership Meeting
9:45 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff

Monday, May 23, 2016

7:00 am Financial Stability Board Steering Committee conference call with IOSCO Members
1:00 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
5:00 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission; Daniel Tarullo, Governor, Federal Reserve Board; and Nathan Sheets, Under Secretary of International Affairs, Department of the Treasury
5:30 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation

Tuesday, May 24, 2016

Out of office

Wednesday, May 25, 2016

6:00 am Monitoring Board Principals Meeting
9:00 am Financial Stability Board Steering Committee Meeting

Thursday, May 26, 2016

12:45 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:15 pm Meeting with Commissioner

Friday, May 27, 2016

9:15 am Meeting with staff
9:30 am Phone call with Commissioner

Monday, May 30, 2016

Memorial Day – Federal Holiday

Tuesday, May 31, 2016

Out of office

Wednesday, June 1, 2016

10:15 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
11:45 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
12:00 pm Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Thursday, June 2, 2016

9:30 am Meeting with staff
10:00 am Meeting with staff
10:35 am Meeting with Tim Howell, Chief Executive Officer of Euroclear Group and Chairman of the Management Committee of Euroclear SA/NV; Jo Van de Velde, Member of Management Committee of Euroclear SA/NV and Head of Product Management; Sofie Van de Velde, Head of Legal Support Strategic Projects, Euroclear SA/NV; and Kristen N. Geyer, Partner, Culhane Meadows PLLC
11:00 am Meeting with staff
11:45 am Speaking engagement at SEC Historical Society Annual Meeting
12:30 pm Meeting with Securities Industry and Financial Markets Association (SIFMA): John Donahue, Senior Vice President and Head of Equity, Fidelity Capital Markets; Jennifer Hadiaris, Head of U.S. Market Structure Strategy, Deutsche Bank; Matthew Lavicka, Managing Director of Equities Management, Goldman Sachs; Michael Masone, Director and Counsel – Equities, Citigroup; John Nolan, Managing Director and Regional Head of Investment Bank Audit, UBS; Sapna Patel, Executive Director, Morgan Stanley; Kimberly Russell, Vice President of Equities Market Structure and Business Strategy, Barclays; Theodore R. Lazo, Managing Director & Associate General Counsel, SIFMA; Timothy Cummings, Vice President, SIFMA; and Sean Davy, Managing Director of the Capital Markets Group, SIFMA
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:45 pm Meeting with staff
5:15 pm Meeting with staff
5:45 pm Meeting with staff

Friday, June 3, 2016

9:00 am Meeting with Citadel: Kenneth Griffin, CEO; Jamil Nazarali, Head of Equity Trading; Adam Cooper, Senior Managing Director and Chief Legal Officer
9:30 am Meeting with staff
11:00 am Meeting with staff
11:15 am Meeting with staff
11:30 am Meeting with staff

Monday, June 6, 2016

11:45 am Phone call with Charles Noski, Chairman Board of Trustees, Financial Accounting Foundation
1:00 pm Meeting with staff
5:00 pm Meeting with staff

Tuesday, June 7, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:00 am Telephonic Investor Advisory Committee Meeting
3:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with Commissioner

Wednesday, June 8, 2016

10:30 am Meeting with staff
11:20 am Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Phone call with Senator John Cornyn
3:00 pm Phone call with Senator Dianne Feinstein
4:00 pm Phone call with Congressman Sean Duffy
4:40 pm Phone call with James Comey, Director, Federal Bureau of Investigation
5:00 pm Meeting with staff

Thursday, June 9, 2016

10:30 am Meeting with staff
11:30 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
12:55 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
4:00 pm Meeting with Commissioner

5:00 pm Meeting with staff

Friday, June 10, 2016

11:00 am Meeting with staff

11:30 am Meeting with staff

12:00 pm Meeting with staff

Saturday, June 11, 2016

9:00 am Meeting with staff

Monday, June 13, 2016

10:15 am Phone call with John Brennan, Board of Governors, FINRA

11:00 am Meeting with staff

1:00 pm Meeting with staff

Tuesday, June 14, 2016

9:00 am Hearing before Senate Committee on Banking, Housing, and Urban Affairs

1:30 pm Meeting with staff

5:00 pm Meeting with Commissioner

Wednesday, June 15, 2016

12:30 pm Meeting with staff

1:30 pm Meeting with staff

2:15 pm Meeting with staff

3:00 pm Meeting with the Business Roundtable: John Hayes, Chair, Business Roundtable's Corporate Governance Committee and CEO, Ball Corporation; John Campbell, Vice President, Ball Corporation; and Maria Ghazal, General Counsel, Business Roundtable

3:40 pm Meeting with Commissioner

4:00 pm Meeting with staff

4:30 pm Meeting with staff

Thursday, June 16, 2016

8:15 am Meeting with staff

9:00 am Speaking engagement at 2nd Annual World Elder Abuse Awareness Day

2:00 pm Closed Commission Meeting

3:15 pm Phone call with Senator John Boozman

4:00 pm Meeting with staff

Friday, June 17, 2016

Out of office

Monday, June 20, 2016

9:00 am Financial Stability Board Steering Committee Call
11:00 am Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with UBS: Mark Holder, Global Head of Electronic Trading; Todd Lopez, Head of Electronic Trading in the Americas; Vlad Khandros, Global Head of Market Structure Strategy; and John Nolan, Executive Director
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
3:30 pm Meeting with staff
4:30 pm Meeting with staff
6:00 pm Dinner with Maureen Jensen, Chair and Chief Executive Officer, Ontario Securities Commission

Tuesday, June 21, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:00 am Meeting with staff
3:00 pm Financial Stability Oversight Council Principals Meeting

Wednesday, June 22, 2016

1:00 pm Meeting with Eaton Vance: Maureen Gemma, Vice President and Chief Legal Officer; and Brian Taranto, Chief Administrative Officer
2:00 pm Meeting with staff
8:30 pm Meeting with staff

Thursday, June 23, 2016

10:30 am Meeting with staff
12:30 pm Phone call with Henry Paulson, Chairman, Paulson Institute
3:30 pm Meeting with staff
4:30 pm Meeting with Commissioner
5:30 pm Meeting with staff

Friday, June 24, 2016

8:30 am Phone call with Thomas Farley, President, NYSE Group

9:00 am Phone call with Tracey McDermott, Acting Chief Executive, UK Financial Conduct Authority
4:30 pm Financial Stability Oversight Council Principals call

Monday, June 27, 2016

Videotaping for speaking engagement at International Corporate Governance Network Annual Conference.

Tuesday, June 28, 2016

10:00 am Meeting with staff
12:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Phone call with Hall Scott, Professor, Harvard Law School
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Wednesday, June 29, 2016

9:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Phone call with Congressman Erik Paulsen
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, June 30, 2016

10:00 am Meeting with staff
10:30 am Meeting with staff

Friday, July 1, 2016

Out of office

Monday, July 4, 2016

Independence Day – Federal Holiday

Tuesday, July 5, 2016

Out of office

Wednesday, July 6, 2016

9:15 am Meeting with staff
9:45 am Meeting with staff
10:00 am Meeting with staff
10:10 am Meeting with staff
10:30 am Meeting with staff
1:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Thursday, July 7, 2016

10:15 am Meeting with Commissioner
10:30 am Meeting with staff
11:00 am Meeting with staff
2:00 pm Closed Commission Meeting
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner
5:00 pm Retirement Reception for Rick Ketchum

Friday, July 8, 2016

11:00 am Meeting with staff
2:00 pm Equity Market Structure Advisory Committee Telephonic Meeting

Saturday, July 9, 2016

8:30 pm Meeting with staff

Sunday, July 10, 2016

10:00 am Meeting with staff

Monday, July 11, 2016

11:15 am Meeting with staff
1:00 pm Irving Pollack Memorial Service
5:15 pm Meeting with staff

Tuesday, July 12, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:45 am Meeting with staff
1:00 pm Oral Argument
2:00 pm Meeting with Commissioner
3:30 pm Speaking engagement at National Financial Capability Study Release

Wednesday, July 13, 2016

10:00 am Open Commission Meeting
12:30 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:30 pm Meeting with Security Traders Association: John Russell, Chairman, Security Traders Association and Senior Vice President of Global Trading, Franklin Templeton; and Jim Toes, President and Chief Executive Officer, Security Traders Association
3:30 pm Meeting with National Treasury Employees Union: Greg Gilman, President, Chapter 293
4:00 pm Meeting with staff
6:30 pm Meeting with Sharon Bowen, Commissioner, Commodity Futures Trading Commission

Thursday, July 14, 2016

8:30 am Meeting with staff
9:00 am Phone call with John Coates, John F. Cogan Jr., Professor of Law and Economics at Harvard Law School and Research Director of the Center on the Legal Profession
10:00 am Investor Advisory Committee Meeting
12:30 pm Meeting with staff
1:30 pm Investor Advisory Committee Meeting
3:00 pm Closed Commission Meeting
5:00 pm Meeting with staff

Friday, July 15, 2016

9:00 am Meeting with staff
10:30 am Meeting with Council of Institutional Investors: Kenneth Bertsch, Executive Director; and Amy Borrus, Deputy Director
11:30 am Meeting with staff
12:45 pm Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial Industry Regulatory Authority

Monday, July 18, 2016

- 11:00 am Meeting with the ECON Parliamentarians: Roberto Gualtieri, ECON Chair, Socialists & Democrats (S&D); Theodor Dumitru Stolojan, European People's Party (EPP); Fulvio Martusciello, EPP; Luděk Niedermayer, EPP; Neena Gill, S&D; Jonás Fernández, S&D; and Morten Messerschmidt, European Conservatives and Reformists (ECR)
- 12:00 pm Meeting with staff
- 2:00 pm Meeting with North American Securities Administrators Association: Judith Shaw, President; Joseph Brady, Executive Director; Michael Pieciak, Chair of the Corporation Finance Section; and Anya Coverman, Deputy Director of Policy and Associate General Counsel
- 3:00 pm Financial Stability Oversight Council Principals Meeting

Tuesday, July 19, 2016

- 9:00 am Meeting with staff
- 9:30 am SEC Advisory Committee on Small and Emerging Companies
- 2:00 pm Financial and Banking Information and Infrastructure Committee Senior Leaders' Meeting
- 4:30 pm Meeting with staff
- 5:00 pm Meeting with staff

Wednesday, July 20, 2016

- 9:00 am Meeting with staff
- 9:45 am Meeting with staff
- 10:30 am Meeting with staff
- 11:30 am Meeting with staff
- 1:30 pm Meeting with staff
- 4:00 pm Meeting with staff

Thursday, July 21, 2016

- 10:30 am Meeting with staff
- 10:45 am Phone call with Kurt Schacht, Chairman SEC Investor Advisory Committee, Managing Director, CFA Institute
- 10:50 am Phone call with Yael Almog, Executive Director, IFRS Foundation
- 11:00 am Phone call with Charles Noski, Chairman, Board of Trustees, Financial Accounting Foundation
- 12:30 pm Meeting with staff
- 1:45 pm Meeting with Commissioner
- 4:00 pm Meeting with staff

Friday, July 22, 2016

9:30 am Meeting with staff
10:30 am Oral Argument
11:15 am Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
11:30 am Closed Commission Meeting

Monday, July 25, 2016

10:30 am Meeting with staff

Tuesday, July 26, 2016

12:00 pm Meeting with staff

Wednesday, July 27, 2016

1:00 pm Meeting with staff

Thursday, July 28, 2016

9:00 am Meeting with staff
9:30 am Meeting with staff
10:00 am Meeting with Sustainability Accounting Standards Board: Dr. Jean Rogers, CEO; Tom Riesenber, Director of Legal Policy; and Alan Beller, Board Member
11:00 am Meeting with staff
1:00 pm Meeting with Russell Golden, Chair, Financial Accounting Standards Board

Friday, July 29, 2016

Out of the office

Monday, August 1, 2016

7:00 am Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission

Tuesday, August 2, 2016

9:30 am Equity Market Structure Advisory Committee Meeting
12:00 pm Meeting with staff
12:30 pm Equity Market Structure Advisory Committee Meeting
4:00 pm Meeting with staff
5:00 pm Meeting with Commissioner

6:00 pm Meeting with staff

Wednesday, August 3, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with Vanguard: Tim Buckley, Chief Investment Officer; John Hollyer, Principal & Head of Risk Management Group; Jerry Golden, Principal & Head of Washington Office; and Tara Buckley, Senior Counsel & Head of Investment Management Regulations Group
2:00 pm Oral Argument
3:00 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, August 4, 2016

10:00 am Meeting with staff
11:45 am Meeting with Jerome Powell, Governor, Federal Reserve Board
2:00 pm Closed Commission Meeting
3:15 pm Meeting with Commissioner
4:00 pm Meeting with staff
4:30 pm Meeting with staff

Friday, August 5, 2016

9:30 am Meeting with staff

Monday, August 8, 2016

1:00 pm Meeting with staff
1:30 pm Meeting with staff
4:30 pm Meeting with staff

Tuesday, August 9, 2016

8:30 am Meeting with PricewaterhouseCoopers: Timothy Ryan, US Chairman and Senior Partner; and Roslyn Brooks, Regulatory Affairs & Public Policy
9:00 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with the Paulson Institute: Hank Paulson, Chairman, Paulson Institute, Co-Chair of the Risky Business Project; Robert Rubin, Member, Risky Business Project and former US Secretary of the Treasury and Co-chairman of the Council on Foreign Relations; Joy

Fox, Senior Advisor to Robert Rubin; Rebecca Neale, Hank Paulson's Chief of Staff; and
Tan Copsey, Director, Risky Business Project
12:45 pm Meeting with staff
2:30 pm Funeral Service for Joseph Williams
4:20 pm Meeting with staff

Wednesday, August 10, 2016

10:00 am Meeting with staff
11:00 am Interview with Amy Lee Rosen, CQ Roll Call
1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Just Capital: Martin Whitaker, CEO; and Rob Brown, Head of Research
4:00 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, August 11, 2016

10:00 am Meeting with staff
11:00 am Meeting with staff
12:00 pm Meeting with staff
1:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:30 pm Meeting with Commissioner
4:30 pm Meeting with staff

Friday, August 12, 2016

10:30 am Interview with Ben Bain, Bloomberg News

Sunday, August 14, 2016

5:00 pm Meeting with staff

Monday, August 15, 2016

9:10 am Meeting with staff

Tuesday, August 16, 2016

9:30 am Meeting with staff
10:15 am Meeting with staff
11:00 am Meeting with staff
1:00 pm Meeting with staff

2:00 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with staff

Wednesday, August 17, 2016

10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff
2:00 pm Oral Argument
3:00 pm Meeting with staff
4:00 pm Meeting with staff
4:30 pm Meeting with staff
7:00 pm Phone call with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
9:00 pm Phone call with Jeffrey Zients, Director, White House National Economic Council

Thursday, August 18, 2016

10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Phone call with Jeffrey Zients, Director, White House National Economic Council
11:35 am Meeting with staff
12:05 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:30 pm Meeting with Commissioner

Friday, August 19, 2016

9:30 am Meeting with Financial Accounting Standards Board candidate
10:00 am Meeting with staff

Monday, August 22, 2016

Out of the office

Tuesday, August 23, 2016

10:30 am Meeting with staff

Wednesday, August 24, 2016

Out of the office

Thursday, August 25, 2016

12:00 pm Meeting with Robert Cook, President and Chief Executive Officer, Financial Industry
Regulatory Authority
2:00 pm Closed Commission Meeting
10:00 pm Meeting with staff

Friday, August 26, 2016

Out of the office

Monday, August 29, 2016

3:00 pm Meeting with staff

Tuesday, August 30, 2016

1:00 pm Meeting with staff

Wednesday, August 31, 2016

9:00 pm Meeting with staff

Thursday, September 1, 2016

2:00 pm Closed Commission Meeting

Friday, September 2, 2016

Out of the office

Monday, September 5, 2016

Labor Day – Federal Holiday

Tuesday, September 6, 2016

11:30 am Meeting with staff

Wednesday, September 7, 2016

10:30 am Meeting with staff
11:00 am Meeting with staff

12:00 pm Meeting with Jeffrey Zients, Director, White House National Economic Council
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:15 pm Meeting with staff
3:00 pm Phone call with Congresswoman Maxine Waters
3:30 pm Meeting with staff
4:45 pm Meeting with staff

Thursday, September 8, 2016

10:00 am Meeting with Investment Company Institute: Paul Schott Stevens, President and CEO; David Blass, General Counsel; and Dorothy Donohue, Deputy General Counsel
10:30 am Meeting with staff
11:00 am Meeting with the Committee on Capital Markets Regulation: Hal Scott, Director; John Gulliver, Executive Director of Research; and Megan Vasios, Senior Fellow
12:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
4:30 pm Meeting with Commissioner
5:30 pm Meeting with staff

Friday, September 9, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:30 am Meeting with Commissioner
12:45 pm Phone call with Jerome Powell, Governor, Federal Reserve Board
1:00 pm Meeting with Financial Accounting Standards Board candidate

Monday, September 12, 2016

11:30 am Speaking engagement at North American Securities Administrators Association (NASAA) 2016 Annual Conference

Tuesday, September 13, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:00 am Meeting with Commissioner
12:30 pm Meeting with staff
1:30 pm Meeting with David Akellian, Managing Director, North American Wealth Management, Thomson Reuters; Manisha Kimmel, Chief Regulatory Officer, Wealth Management, Thomson Reuters; Mike Conley, Regulatory Implementation, Wells Fargo; Gina Hyde, Chief Compliance Officer, Equities, Stifel; Mitchell Feuer, Principal, Rich Feuer Anderson; and Peter Rich, Principal, Rich Feuer Anderson
2:30 pm Meeting with Ellen Greene, Managing Director, Securities Industry Financial Markets Association; T.R. Lazo, Managing Director, Securities Industry Financial Markets

- Association; David Emero, Vice President, Goldman Sachs; Maura Miller, Director, Credit Suisse; Deborah Mittelman, Executive Director, UBS
- 3:30 pm Meeting with TD Ameritrade: Timothy Hockey, President and CEO; Ellen Koplow, General Counsel; John Markle, Deputy General Counsel; JJ Kinahan, Managing Director of Client Advocacy and Market Structure; Joel Oswald, Principal, Williams & Jensen; and David Franasiak, Principal, Williams & Jensen
- 6:30 pm SEC Night Out at the Nationals Ballpark

Wednesday, September 14, 2016

- 12:00 pm Meeting with staff
- 2:00 pm Speaking engagement at Security Traders Association 83rd Annual Market Structure Conference
- 3:00 pm Call with Timothy Massad, Chairman, Commodity Futures Trading Commission
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Mindy Lubber, President, Ceres; Anne Simpson, Director of Global Governance at CalPERS; and Jim Coburn, Senior Manager for Investor Program, Ceres
- 4:30 pm Meeting with staff

Thursday, September 15, 2016

- 9:00 am Meeting with staff
- 11:00 am Meeting with Sustainability Accounting Standards Board (SASB): Dr. Jean Rogers, CEO; Alan Beller, Board Member; and Tom Riesenber, Director of Legal Policy
- 12:00 pm Meeting with staff
- 2:00 pm Closed Commission Meeting
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Commissioner
- 5:00 pm Meeting with staff

Friday, September 16, 2016

- 10:30 am Meeting with staff
- 11:00 am Meeting with New York Stock Exchange: Thomas Farley, President; Elizabeth King, General Counsel; Brendon Weiss, Co-Head of Government Affairs; and Hope Jarkowski, Senior Counsel, Government Affairs

Monday, September 19, 2016

- 9:30 am Meeting with staff
- 5:30 pm Call with Congressman Ander Crenshaw
- 8:45 pm Meeting with staff

Tuesday, September 20, 2016

9:00 am Meeting with staff
9:35 am Meeting with staff
10:00 am Meeting with staff
10:15 am Meeting with staff
10:30 am Meeting with staff
11:45 am Meeting with staff
1:30 pm Meeting with Congresswoman Maxine Waters
2:15 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff

Wednesday, September 21, 2016

10:00 am Meeting with staff
1:00 pm Speaking engagement at the International Bar Association Annual Conference
3:30 pm Meeting with Congressman Ander Crenshaw and Congressman Bruce Poliquin
5:00 pm Meeting with staff

Thursday, September 22, 2016

9:00 am Call with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
11:00 am Meeting with staff
11:45 am Meeting with staff
12:00 pm Meeting with job applicant
12:45 pm Meeting with Jeffrey Zients, Director, White House National Economic Council
1:45 pm Call with Timothy Massad, Chairman, Commodity Futures Trading Commission
2:00 pm Closed Commission Meeting
3:00 pm Meeting with Commissioner
4:00 pm Financial Stability Oversight Council Principals Meeting
5:30 pm Incentive Compensation Principals Meeting

Friday, September 23, 2016

9:30 am Meeting with staff
4:30 pm Meeting with staff

Monday, September 26, 2016

11:00 am Meeting with staff
12:00 pm Meeting with staff

1:00 pm Meeting with staff
2:30 pm Meeting with Managed Funds Association: Stuart Kaswell, Executive Vice President & Managing Director, General Counsel; Jennifer Han, Associate General Counsel; Matthew Newell, Associate General Counsel; Benjamin Allensworth, Associate General Counsel; Laura Harper, Associate General Counsel; Darcy Bradbury, Managing Director, the D.E. Shaw group; Gil Raviv, General Counsel, Millennium Management LLC; and James Gange, Chief Compliance Officer, Davidson Kempner Capital Management
3:00 pm Meeting with Commissioner
5:00 pm Meeting with staff

Tuesday, September 27, 2016

9:00 am Speaking engagement at Securities Industry and Financial Markets Association Annual Meeting
2:45 pm Meeting with staff

Wednesday, September 28, 2016

10:00 am Meeting with staff
10:30 am Open Commission Meeting
11:30 am Closed Commission Meeting
12:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
5:00 pm Reception for Robert Cook, President and CEO, Financial Industry Regulatory Authority

Thursday, September 29, 2016

9:30 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:45 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:30 pm Meeting with staff
4:00 pm Meeting with Commissioner

Friday, September 30, 2016

10:00 am Meeting with staff
11:00 am Incentive Compensation Principals call
12:00 pm Meeting with Rick Metsger, Chairman, National Credit Union Administration

Sunday, October 2, 2016

10:00 am Meeting with staff

Monday, October 3, 2016

10:30 am Meeting with staff

11:00 am Meeting with staff

11:30 am Meeting with staff

2:00 pm Meeting with University of Tennessee Masters in Accounting Class

2:30 pm Meeting with staff

4:45 pm Meeting with staff

Tuesday, October 4, 2016

9:00 am Meeting with staff

9:45 am Meeting with staff

10:30 am Meeting with staff

2:00 pm Meeting with International Financial Reporting Standards (IFRS) Foundation: Michel Prada, Chairman; Yael Almog, Executive Director; Alan Beller, Trustee; and James Quigley, Trustee

3:45 pm Meeting with Commissioner

4:15 pm Meeting with staff

5:00 pm Meeting with staff

Wednesday, October 5, 2016

9:00 am Pre-Brief for U.S. Principals for Trilateral Principals Level Exercise

11:00 am Meeting with Axel Weber, Chairman, UBS

12:30 pm Meeting with staff

12:45 pm Meeting with Commissioner

1:30 pm Meeting with staff

2:00 pm SEC Advisory Committee on Small and Emerging Companies

2:30 pm Meeting with staff

3:00 pm Call with James Gorman, Chief Executive Officer, Morgan Stanley

3:15 pm Meeting with staff

4:45 pm Meeting with Jamie Dimon, Chairman of Board and CEO, JPMorgan Chase

5:15 pm Financial Stability Board Steering Committee U.S. Principals Call

Thursday, October 6, 2016

7:00 am Pre- Financial Stability Board Steering Committee Meeting

10:15 am Regulatory Roundtable

12:45 pm Meeting with Andrew Bailey, CEO, United Kingdom Financial Conduct Authority

3:00 pm Closed Commission Meeting

4:00 pm Meeting with Commissioner
5:15 pm Meeting with De Nederlandsche Bank: Klaas Knot, President; and Paul Hilbers, Director, Financial Stability Department
5:45 pm Call with Charles Noski, Chairman, Financial Accounting Foundation

Friday, October 7, 2016

8:00 am Financial Stability Board Meeting
9:00 am Meeting with European Commission: Valdis Dombrovskis, Vice President, European Commission; Kai Wynands, Head of Cabinet; Zaneta Vegnere, Cabinet Member; Sean Berrigan, DDG, DG FISMA; Petr Wagner, Counselor, Delegation of the EU to the US
10:00 am Meeting with European Securities Market Authority: Steven Maijoor, Chair; and Jakub Michalik, Senior Advisor
11:00 am Meeting with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission; Christine Kung, Senior Director of International Affairs, Hong Kong Securities and Futures Commission; and Paul Andrews, Secretary General, International Organization of Securities Commissions
12:00 pm Meeting with Dubai Financial Services Authority: Saeb Eigner, Chairman; Andy Spindler, Board Member; Bob Clarke, Legislative Committee Member; and Mark McGinness, Director, Head of International Relations
2:00 pm Meeting with Ryozi Himino, Vice Minister for International Affairs, Financial Services Agency of Japan
3:00 pm Meeting with Bank of England: Sam Woods, Deputy Governor; and Kayleigh Guinan, Private Secretary

Saturday, October 8, 2016

4:00 pm Financial Stability Board Steering Committee Meeting
7:00 pm Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England

Monday, October 10, 2016

8:30 am Trilateral Principals Level Exercise on G-SIB Resolution

Tuesday, October 11, 2016

11:45 am Meeting with staff

Wednesday, October 12, 2016

10:00 am Meeting with Fidelity: Abigail Johnson, President & CEO; and James Johnson, EVP Government Relations
10:45 am Meeting with staff
11:30 am Meeting with staff
1:00 pm Meeting with staff

2:00 pm Meeting with staff
3:00 pm Meeting with staff
4:00 pm Meeting with staff

Thursday, October 13, 2016

10:00 am Open Commission Meeting
1:10 pm CNBC Power Lunch Interview
2:00 pm Closed Commission Meeting
3:30 pm Meeting with Commissioner
4:30 pm Meeting with staff
5:30 pm Meeting with staff

Friday, October 14, 2016

9:00 am Call with Maureen Jensen, Chair, Ontario Securities Commission
9:30 am Meeting with staff
9:45 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
11:40 am Meeting with staff
1:30 pm Call with Jerome Powell, Governor, Federal Reserve Board
1:45 pm Meeting with staff

Saturday, October 15, 2016

8:30 pm Call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission

Sunday, October 16, 2016

8:30 pm Meeting with staff

Monday, October 17, 2016

11:00 am Meeting with staff
4:00 pm Meeting with staff
9:30 pm Meeting with staff

Tuesday, October 18, 2016

9:30 am Meeting with staff
12:30 pm Call with Arthur Levitt, former SEC Chairman
3:00 pm Meeting with staff

Wednesday, October 19, 2016

8:30 am Meeting with staff
9:00 am Meeting with staff
9:45 am Meeting with staff
10:15 am Meeting with staff
12:45 pm Meeting with staff
1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with Commissioner
3:00 pm Call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
3:30 pm Call with Jeffrey Zients, Director, White House National Economic Council
4:00 pm Meeting with staff

Thursday, October 20, 2016

11:00 am Financial Sector Cybersecurity Meeting
4:30 pm Meeting with the North American Securities Administrators Association (NASAA): Mike Rothman, President-elect; and Joseph Brady, Executive Director, NASAA
5:00 pm Meeting with staff

Friday, October 21, 2016

7:30 am Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen, Chair, Federal Reserve Board; and Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
11:00 am Meeting with staff
12:00 pm Meeting with staff

Monday, October 24, 2016

12:30 pm Speaking engagement at The Evolving Structure of the U.S. Treasury Market

Tuesday, October 25, 2016

9:15 am Meeting with staff
10:00 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:30 pm Meeting with staff

Wednesday, October 26, 2016

11:00 am Open Commission Meeting
12:45 pm Meeting with staff
2:30 pm Meeting with Anne Simpson, Investment Director – Sustainability, California Public Employees' Retirement System
3:15 pm Meeting with staff

Thursday, October 27, 2016

9:00 am Public Company Accounting Oversight Board Investor Advisory Group Meeting
11:00 am Meeting with the Municipal Securities Rulemaking Board
1:00 pm Meeting with staff
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:30 pm Meeting with staff
6:00 pm Meeting with Thomas Wheeler, Chairman, Federal Communications Commission; Edith Ramirez, Chairwoman, Federal Trade Commission; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Friday, October 28, 2016

12:00 pm Call with Jeffrey Zients, Director, White House National Economic Council
2:30 pm Call with Robert Mundheim, Professor of Corporate Law and Finance, University of Arizona James E. Rogers College of Law

Sunday, October 30, 2016

11:00 am Meeting with staff

Monday, October 31, 2016

Out of office

Tuesday, November 1, 2016

11:30 am Meeting with staff
12:30 pm Meeting with staff
1:45 pm Call with Timothy Massad, Chairman, Commodity Futures Trading Commission
2:30 pm Call with Henry Paulson, Chairman, Paulson Institute
3:30 pm Meeting with staff
4:00 pm Meeting with staff

Wednesday, November 2, 2016

11:00 am Speaking engagement at the Toronto Law School
12:45 pm Speaking engagement at the Ontario Securities Commission Dialogue

Thursday, November 3, 2016

8:30 am Meeting with staff
9:00 am Meeting with staff
9:30 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
12:30 pm Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
6:00 pm Call with Jacob Lew, Secretary, Department of the Treasury

Friday, November 4, 2016

9:00 am Meeting with staff
10:00 am Meeting with Ben Protess, Reporter, New York Times
11:15 am Call with Commissioner
12:00 pm Meeting with Renae Merle, Reporter, Washington Post
1:00 pm Meeting with staff
2:30 pm Meeting with staff

Sunday, November 6, 2016

8:00 pm Meeting with staff

Monday, November 7, 2016

10:30 am Meeting with Andrew Ackerman, Dave Michaels, Aruna Viswanatha, Reporters, Wall Street Journal
12:15 pm Meeting with Ben Bain, Matt Robinson, Reporters, Bloomberg
1:00 pm Meeting with staff
1:15 pm Meeting with staff
1:30 pm Meeting with Sara Lynch, Reporter, Reuters
2:45 pm Meeting with Patrick Temple-West, Reporter, Politico
3:15 pm Meeting with staff
4:50 pm Meeting with staff
5:00 pm Meeting with staff
6:00 pm Meeting with James Doty, Chairman, Public Company Accounting Oversight Board

Tuesday, November 8, 2016

9:00 am Call with Jeffrey Zients, Director, White House National Economic Council
10:00 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:45 pm Meeting with staff
2:00 pm Call with Barney Jopsen, Reporter, Financial Times
3:15 pm Meeting with staff
4:15 pm Call with Daniel Tarullo, Governor, Federal Reserve Board
4:30 pm Meeting with staff
5:00 pm Meeting with Commissioner

Wednesday, November 9, 2016

9:00 am Meeting with staff
10:45 am Meeting with staff
11:50 am Meeting with Commissioner
12:05 pm Call with Daniel Tarullo, Governor, Federal Reserve Board
1:45 pm Call with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
4:30 pm Call with Janet Yellen, Chair, Federal Reserve Board
6:15 pm Meeting with Elisse Walter, former SEC Chairman

Thursday, November 10, 2016

9:00 am Meeting with staff
10:00 am Meeting with GAO: Michael Reed, Auditor; Jessica Boucher, Senior Auditor; Gary Barton, Partner, Cotton and Company; James Dalkin, Director; Kristen Kociolek, Assistant Director; and Greg Wilshusen, Director
10:20 am Meeting with Commissioner
10:30 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Meeting with the Financial Accounting Foundation: Charles Noski, Chairman; Teresa Polley, President and CEO
2:00 pm Closed Commission Meeting
2:30 pm Meeting with staff

Friday, November 11, 2016

Veterans Day – Federal Holiday

Sunday, November 13, 2016

9:15 pm Meeting with staff

Monday, November 14, 2016

8:00 am Meeting with staff
8:30 am Call with Jeffrey Zients, Director, White House National Economic Council
9:00 am SEC Fintech Forum
12:30 pm Call with Arthur Levitt, former SEC Chairman
1:00 pm Meeting with staff
4:03 pm Call with Senator Richard Shelby
4:14 pm Call with Congressman Jeb Hensarling
4:22 pm Meeting with staff
4:35 pm Meeting with staff
4:41 pm Meeting with Commissioner
4:45 pm Meeting with Commissioner
4:50 pm Meeting with staff
4:56 pm Call with Senator Sherrod Brown
5:00 pm Call with Daniel Tarullo, Governor, Federal Reserve Board
5:09 pm Call with Congressman Ander Crenshaw
5:13 pm Call with Congressman José Serrano
5:24 pm Call with Mel Watt, Director, Federal Housing Finance Agency
5:29 pm Call with Congresswoman Maxine Waters
5:36 pm Call with Richard Cordray, Director, Consumer Financial Protection Bureau
5:39 pm Call with Rick Metsger, Chairman, National Credit Union Administration
5:41 pm Call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency
5:44 pm Call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
6:03 pm Call with Timothy Massad, Chairman, Commodity Futures Trading Commission
6:11 pm Call with Senator John Boozman
6:15 pm Call with Thomas Farley, President, New York Stock Exchange Group

Tuesday, November 15, 2016

10:00 am Hearing before the House Financial Services Committee
3:00 pm Open Commission Meeting
4:15 pm Meeting with staff
5:00 pm Meeting with Commissioner

Wednesday, November 16, 2016

7:00 am Call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission
7:30 am Call with Mark Carney, Governor, Bank of England
9:45 am Meeting with staff
10:45 am Meeting with staff

11:15 am Call with Antonio Weiss, Counselor to the Secretary, Department of the Treasury
11:30 am Meeting with staff
11:45 am Meeting with Commissioner
12:00 pm Meeting with staff
1:00 pm Closed Commission Meeting
2:15 pm Incentive Compensation Principals Meeting
3:00 pm Financial Stability Oversight Council Principals Meeting
5:30 pm Meeting with staff

Thursday, November 17, 2016

9:00 am SEC Government-Business Forum on Small Business Capital Formation
9:30 am Meeting with staff
9:50 am Meeting with staff

Friday, November 18, 2016

8:30 am Meeting with staff
11:30 am Speaking engagement at New York University School of Law

Monday, November 21, 2016

9:00 am Meeting with staff

Tuesday, November 22, 2016

11:00 am Meeting with staff
11:30 am Call with Alfonse D'Amato, Founder and Managing Director, Park Strategies, LLC
11:45 am Meeting with staff
1:15 pm Call with Senator Mark Warner
4:00 pm Call with Senator Mitch McConnell
4:30 pm Meeting with staff

Wednesday, November 23, 2016

Out of office

Thursday, November 24, 2016

Thanksgiving – Federal Holiday

Friday, November 25, 2016

Out of office

Monday, November 28, 2016

1:00 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with staff
4:30 pm Meeting with staff

Tuesday, November 29, 2016

9:00 am Equity Market Structure Advisory Committee Meeting
12:00 pm Meeting with staff
2:00 pm Meeting with National Treasury Employees Union: Greg Gilman, President, Chapter 293;
and Ralph Talarico, Assistant Counsel
4:30 pm Meeting with staff
5:30 pm Meeting with staff

Wednesday, November 30, 2016

9:00 am Meeting with staff
9:45 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
1:30 pm Call with Senator Heidi Heitkamp
2:00 pm Meeting with Commissioner
3:00 pm Meeting with staff
4:00 pm Meeting with staff
4:25 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, December 1, 2016

10:30 am Meeting with Ernst & Young: Steve Howe, Americas Managing Partner; and Bridget Neil,
Americas Deputy Vice Chair of Public Policy
12:00 pm Closed Commission Meeting
2:00 pm Financial and Banking Information Infrastructure Committee Senior Leaders' Meeting
4:00 pm Meeting with Financial Accounting Foundation Trustee Finalist
4:30 pm Meeting with Financial Accounting Foundation Trustee Finalist
5:00 pm Meeting with Financial Accounting Foundation Trustee Finalist

Friday, December 2, 2016

11:40 am Call with staff

Monday, December 5, 2016

10:15 am Call with Charles Noski, Chairman, Financial Accounting Foundation

Tuesday, December 6, 2016

9:15 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:45 am Meeting with staff
12:55 pm Meeting with staff
2:30 pm Meeting with International Accounting Standards Board: Hans Hoogervorst, Chairman; Mary Tokar, Member; Alan Beller, Trustee, IFRS Foundation; Kurt Schacht, Trustee, IFRS Foundation; and Yael Almog, Executive Director, IFRS Foundation
3:00 pm Call with Rick Metsger, Chairman, National Credit Union Administration
3:15 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
5:00 pm Meeting with Commissioner
6:00 pm Meeting with staff

Wednesday, December 7, 2016

11:30 am Meeting with staff
12:30 pm Meeting with the Business Roundtable Corporate Governance Committee
1:30 pm Meeting with staff
2:00 pm Meeting with Investor Advisory Committee Officers: Kurt Schacht, Chair of Investor Advisory Committee; Anne Sheehan, Vice Chair of Investor Advisory Committee; Joe Carcello, Chair of Investor as Owner Subcommittee; and Matthew Furman, Chair of Market Structure Subcommittee.
3:00 pm Meeting with Anne Sheehan, California State Teachers' Retirement System, Director of Corporate Governance
3:30 pm Meeting with staff
4:30 pm Meeting with staff

Thursday, December 8, 2016

9:00 am Meeting with staff
9:50 am Call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
10:00 am Investor Advisory Committee Meeting
3:00 pm Closed Commission Meeting
3:30 pm Meeting with staff
4:00 pm Call with James Doty, Chairman, Public Company Accounting Oversight Board
4:15 pm Meeting with Commissioner

Friday, December 9, 2016

9:00 am Call with Alan Beller, Trustee, IFRS Foundation
10:00 am Meeting with staff
3:50 pm Call with Daniel Tarullo, Governor, Federal Reserve Board
4:00 pm Call with Jerome Powell, Governor, Federal Reserve Board

Monday, December 12, 2016

10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff

Tuesday, December 13, 2016

9:15 am Meeting with staff
9:45 am Meeting with staff
10:30 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with staff
1:30 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff
4:30 pm Meeting with staff

Wednesday, December 14, 2016

10:00 am Open Commission Meeting
10:45 am Meeting with staff
11:15 am Meeting with staff
12:30 pm Meeting with staff
1:00 pm Meeting with staff

Thursday, December 15, 2016

10:00 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
2:00 pm Closed Commission Meeting
3:00 pm Meeting with staff
4:00 pm Meeting with Commissioner

Friday, December 16, 2016

Out of office

Monday, December 19, 2016

1:00 pm Meeting with staff
2:00 pm Meeting with staff
2:30 pm Meeting with staff

Tuesday, December 20, 2016

Out of office

Wednesday, December 21, 2016

Out of office

Thursday, December 22, 2016

Out of office

Friday, December 23, 2016

Out of office

Monday, December 26, 2016

Christmas (observed) – Federal Holiday

Tuesday, December 27, 2016

Out of office

Wednesday, December 28, 2016

Out of office

Thursday, December 29, 2016

10:00 am Meeting with staff
10:15 am Meeting with staff

Friday, December 30, 2016

12:00 pm Meeting with Greg Medcraft, Chairman, Australian Securities and Investments Commission

Monday, January 2, 2017

New Year's Day (observed) – Federal Holiday

Tuesday, January 3, 2017

11:00 am Meeting with staff
11:30 am Meeting with staff
3:00 pm Meeting with staff
4:30 pm Meeting with job applicant
4:00 pm Call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation
5:00 pm Meeting with staff
6:30 pm Meeting with Edith Ramirez, Chairwoman, Federal Trade Commission; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Wednesday, January 4, 2017

9:00 am Meeting with staff
11:00 am Meeting with staff
2:00 pm Meeting with staff
3:00 pm Meeting with Transition Team: Alex Cohen and James Lloyd

Thursday, January 5, 2017

10:30 am Meeting with staff
1:30 pm Meeting with staff
2:45 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Meeting with staff

Friday, January 6, 2017

7:00 am OTC Derivatives Regulators Group Principals call
10:00 am Meeting with staff
11:00 am Financial Stability Board Steering Committee US Principals Call
12:00 pm Meeting with staff
2:30 pm Closed Commission Meeting

Monday, January 9, 2017

11:00 am Financial Stability Board Steering Committee Meeting

Tuesday, January 10, 2017

Out of office

Wednesday, January 11, 2017

10:15 am Meeting with staff

11:00 am Meeting with staff

11:30 am Meeting with staff

12:00 pm Meeting with staff

1:30 pm Meeting with staff

3:00 pm Financial Stability Oversight Council Principals Meeting

Thursday, January 12, 2017

11:00 am Meeting with staff

11:30 am Meeting with staff

2:00 pm Closed Commission Meeting

3:30 pm Meeting with Commissioner

Friday, January 13, 2017

11:00 am Meeting with staff

11:30 am Meeting with staff

12:45 pm Meeting with staff

1:00 pm Meeting with staff

Monday, January 16, 2017

Birthday of Martin Luther King, Jr. – Federal Holiday

Tuesday, January 17, 2017

11:30 am Speaking engagement at the Economic Club of New York

Wednesday, January 18, 2017

9:00 am Meeting with staff

9:45 am Meeting with staff

10:30 am Meeting with staff
1:00 pm Meeting with staff
3:30 pm Meeting with staff

Thursday, January 19, 2017

2:00 pm Closed Commission Meeting
3:30 pm Meeting with Commissioner

Friday, January 20, 2017