Chair Mary Jo White Public Calendar January 1, 2015 to July 31, 2015

Thursday, January 1, 2015

New Year's Day – Federal Holiday

Friday, January 2, 2015

Out of office

Saturday, January 3, 2015

6:30 pm Speaking Engagement at the Association of American Law Schools

Monday, January 5, 2015

10:30 am	Meeting with staff
12:00 pm	Phone call with Mark Carney, Governor, Bank of England
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:30 pm	Meeting with staff

Tuesday, January 6, 2015

12:00 pm	Meeting with staff
1:30 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Commissioner

Wednesday, January 7, 2015

9:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:15 pm	Meeting with staff

Thursday, January 8, 2015

10:30 am	Meeting with Thomas Perez, Secretary, Department of Labor
12:15 pm	Meeting with candidate for a Financial Accounting Foundation position
12:30 pm	Meeting with Suneel Bakhshi, Chief Executive Officer, LCH Clearnet; Michael
	Davie, Chief Operating Officer, LCH Clearnet; Susan Milligan, US Head of Public
	Affairs, LCH Clearnet; and Peter Rich, Partner, Rich Feuer Anderson
1:15 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
4:00 pm	Meeting with BlackRock: Barbara Novick, Vice Chairman; and Kathryn Fulton,
	Managing Director

Friday, January 9, 2015

10:30 am	Meeting with Commissioner
11:30 am	Meeting with staff
12:15 pm	Meeting with staff
1:00 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board; and Nathan
	Sheets, Under Secretary for International Affairs, Department of the Treasury

Saturday, January 10, 2015

10:30 am Meeting with staff

Sunday, January 11, 2015

5:15 am	Meeting with Mark Carney, Governor, Bank of England
6:00 am	Financial Stability Board Lunch
7:00 am	Financial Stability Board Steering Committee Meeting
12:30 pm	Meeting with Daniel Tarullo, Governor, Federal Reserve Board

Monday, January 12, 2015

7:30 pm Call with Timothy Massad, Chairman, Commodity Futures Trading Commission

Tuesday, January 13, 2015

10:00 am	Meeting with staff
10:30 am	Meeting with staff

1:00 pm	Meeting with the Options Clearing Corporation: Craig Donohue, Executive
	Chairman; Michael McClain, President and Chief Operating Officer; and Joseph
	Corcoran, Government Relations
1:30 pm	Meeting with staff
2:30 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:15 pm	Meeting with staff

Wednesday, January 14, 2015

10:00 am	Open Commission Meeting
1:00 pm	Meeting with staff
1:30 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:15 pm	Meeting with staff

Thursday, January 15, 2015

10:00 am	Meeting with staff
11:00 am	Meeting with Commissioner
12:00 pm	Meeting with staff
1:00 pm	Meeting with the Investment Company Institute: Paul Schott Stevens, President
	and Chief Executive Officer; and Frances Stadler, Senior Counsel
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Ernst & Young: Steve Howe, Americas Managing Partner; Frank
	Mahoney, Americas Vice Chair, Assurance; David Kane, Americas Vice Chair,
	Professional Practice; and Bridget Neill, Director of Regulatory Policy
5:00 pm	Meeting with staff
6:00 pm	Meeting with staff

Friday, January 16, 2015

9:00 am	Meeting with staff
9:30 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with the IFRS Foundation: Harvey Goldschmid, Vice-Chairman; Alan
	Beller, Trustee; and James Quigley, Trustee
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Phone call with Joseph Ratterman, Chief Executive Officer, BATS Global Markets

Monday, January 19, 2015

Birthday of Martin Luther King, Jr. – Federal Holiday

Tuesday, January 20, 2015

10:00 am	Closed Commission Meeting
11:00 am	Meeting with Moody's Investor Service, Inc.: Ray McDaniel, Chief Executive
	Officer; Kathyanne Cohen, Vice President; John Goggins, General Counsel; and
	Jamie Kogan, Deputy General Counsel
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with John Hayes, Chairman, President and Chief Executive Officer, Ball
	Corporation; John Campbell, Vice President of Government Relations, Ball
	Corporation; Beth Ising, Partner, Gibson Dunn; and Michael J. Ryan, Jr., Vice
	President, The Business Roundtable
2:30 pm	Meeting with staff
3:15 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
4:45 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board
5:00 pm	Phone call with Arthur Levitt, former SEC Chairman
5:15 pm	Phone call with Sarah Bloom Raskin, Deputy Secretary, Department of the
	Treasury

Wednesday, January 21, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:15 am	Meeting with staff
1:30 pm	Financial Stability Oversight Council Principals Meeting

Thursday, January 22, 2015

10:00 am	Phone call with Commissioner
12:30 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
4:00 pm	Phone call with Commissioner
5:00 pm	Phone call with Commissioner

Friday, January 23, 2015

12:30 pm Meeting with William Donaldson, former SEC Chairman

Monday, January 26, 2015

2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Paul Volcker, Chairman, Volcker Alliance
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, January 27, 2015

10:00 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Meeting with James Doty, Chairman, Public Company Accounting Oversight Board
2:00 pm	Meeting with Kevin Keller, Chief Executive Officer, CFP Board; Marilyn Mohrman-Gillis, Managing Director of Public Policy and Communications, CFP Board; Todd Cranford, Director of Government Relations, CFP Board; Ed Gjertsen II, President, Financial Planning Association; Karen Nystrom, Director of Advocacy, Financial Planning Association; Geoffrey Brown, Chief Executive Officer, National Association of Personal Financial Advisers; and Michael Watkins, Consultant, Financial Markets Consultants LLC
3:00 pm	Meeting with Commissioner

Wednesday, January 28, 2015

9:30 am	Phone call with Howard Wetston, Chair, Ontario Securities Commission
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:15 pm	Meeting with Charles Noski, former Vice Chairman, Bank of America Corporation
2:00 pm	Meeting with staff
2:45 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission
3:00 pm	Meeting with staff
3:30 pm	Meeting with candidate for a Public Company Accounting Oversight Board
	position

4:15 pm Meeting with candidate for a Public Company Accounting Oversight Board

position

6:30 pm Meeting with staff

Thursday, January 29, 2015

Meeting with staff
Meeting with Barbara Roper, Consumer Federation of America; Micah
Hauptman, Consumer Federation of America; Mary Wallace, AARP; Lisa Donner,
Americans for Financial Reform; and Marcus Stanley, Americans for Financial
Reform
Meeting with staff
Meeting with staff
Meeting with staff
Closed Commission Meeting
Meeting with Commissioner
Meeting with Thomas Wheeler, Chairman, Federal Communications
Commission; Edith Ramirez, Chairwoman, Federal Trade Commission; and
Timothy Massad, Chairman, Commodity Futures Trading Commission

Friday, January 30, 2015

10:00 am	Meeting with Bill Harts, Chief Executive Officer, Modern Markets Initiative; Ari
	Rubenstein, Modern Markets Initiative Member and Co-Founder, Chief Executive
	Officer, Global Trading Systems; Steve Phillips, DLA Piper; and Bart Chilton, DLA
	Piper

11:00 am Meeting with staff12:00 pm Meeting with staff

1:00 pm Meeting with Paul Volcker, Chairman, Volcker Alliance

Sunday, February 1, 2015

11:00 am Meeting with staff

Monday, February 2, 2015

10:30 am	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission

1:05 pm Phone call with Mark Carney, Governor, Bank of England

Tuesday, February 3, 2015

9:15 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with Commissioner
4:00 pm	Phone call with Simon Lorne, Vice Chairman and Chief Legal Officer, Millennium
	Partners, L.P.
5:00 pm	Meeting with Virtu Financial: Doug Cifu, Chief Executive Officer; Andrew Smith,
	Chief Operating Officer; Venu Palaparthi, Vice President of Regulatory Affairs;
	and Alan Sobba, Washington Representative
5:30 pm	Meeting with staff

Wednesday, February 4, 2015

10:00 am	Open Commission Meeting
12:00 pm	Phone call with Elke Koenig, Chair, German Federal Financial Supervisory
	Authority
1:00 pm	Meeting with staff
2:00 pm	Meeting with job applicant
2:45 pm	Meeting with staff
3:00 pm	Financial Stability Oversight Council Call
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff

Thursday, February 5, 2015

9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with Commissioner
4:00 pm	Meeting with Commissioner and staff
4:30 pm	Meeting with Commissioner

Friday, February 6, 2015

10:00 am Meeting with staff

10:30 am	Meeting with staff
11:00 am	Meeting with staff

12:30 pm Phone call with Alan Beller, Trustee, IFRS Foundation

Monday, February 9, 2015

11:30 am	Phone call with Sally Jenkins, Writer, Washington Post
1:00 pm	Meeting with staff
4:00 pm	Meeting with Senator Richard Shelby
5:15 pm	Meeting with staff

Tuesday, February 10, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with William Johnstone, Chairman, SIFMA, Chief Executive Officer, D.A.
	Davidson; and Ira Hammerman, Executive Vice President and General Counsel,
	SIFMA
3:30 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Commissioner

Wednesday, February 11, 2015

10:00 am	Meeting with Investor Advisory Committee Officers: Kurt Schacht, Chairman;
	Craig Goettsch, Vice Chairman; J. Robert Brown, Jr., Secretary; Anne Sheehan,
	Chairman, Investor as Owner Subcommittee; Barbara Roper, Chairman, Investor
	as Purchaser Subcommittee; Roger Ganser, Chairman, Investor Education
	Subcommittee; and Steven Wallman, Chairman, Market Structure Subcommittee
11:00 am	Meeting with staff
12:00 pm	Meeting with Kathleen Casey, former SEC Commissioner
4:00 pm	Meeting with staff
5:00 pm	Phone call with Jeffrey Zients, Director, White House National Economic Council
5:30 pm	Meeting with staff

Thursday, February 12, 2015

10:00 am	Investor Advisory Committee Meeting
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff

Friday, February 13, 2015

11:00 am Meeting with Antonio Weiss, Counselor to the Secretary, Department of the

Treasury

11:45 am Meeting with Staff

Monday, February 16, 2015

Washington's Birthday – Federal Holiday

Tuesday, February 17, 2015

10:30 am Meeting with staff

Wednesday, February 18, 2015

12:15 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Phone call with David Ruder, former SEC Chairman; Richard Breeden, former SEC
	Chairman; and Harvey Pitt, former SEC Chairman
3:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff

Thursday, February 19, 2015

9:30 am	Proxy Voting Roundtable
12:00 pm	Phone call with William Donaldson, former SEC Chairman; Mary Schapiro, former
	SEC Chairman; and Elisse Walter, former SEC Chairman
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with Commissioner
5:30 pm	Meeting with staff

Friday, February 20, 2015

8:35 am	Speaking engagement at The SEC Speaks in 2015
9:30 am	Phone call with job applicant
10:40 am	Phone call with David Wright, Secretary General, IOSCO

1:15 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board	
1:00 pm	Phone call with José Viñals, Financial Counsellor and Director, Internationa	
	Monetary Fund	
3:30 pm	Meeting with staff	
4:15 pm	Meeting with staff	
6:00 pm	Association of Securities and Exchange Commission Alumni Annual Dinner	

Monday, February 23, 2015

11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with the Commodity Futures Trading Commission: Timothy Massad,
	Chairman; Thomas Smith, Acting Director Division, Swap Dealer & Intermediary
	Oversight; Frank Fisanich, Deputy Director Division, Swap Dealer & Intermediary
	Oversight; Vincent McGonagle, Director, Division of Market Oversight; David Van
	Wagner, Chief Counsel Division of Market Oversight; Jonathan Marcus, Director,
	Office of General Counsel; Carlene Kim, Deputy General Counsel, Office of
	General Counsel; Laura Badian, Assistant General Counsel, Office of General
	Counsel; and Elise Pallais, Attorney Advisor, Office of General Counsel
5:00 pm	Meeting with staff

Tuesday, February 24, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with former SEC Chairmen: David Ruder; Richard Breeden; Harvey Pitt;
	William Donaldson; Mary Schapiro; and Elisse Walter
1:45 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Commissioner

Wednesday, February 25, 2015

9:30 am	Meeting with staff
10:00 am	Meeting with staff

11:00 am	Meeting with OTC Markets Group: Cromwell Coulson, President, Chief Executive
	Officer and Director; and Daniel Zinn, General Counsel
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:30 pm	Meeting with Congressman Sean Duffy
4:00 pm	Meeting with Jeffrey Sprecher, Chairman and Chief Executive Officer,
	IntercontinentalExchange; and Brendon Weiss, Vice President Government
	Affairs, NYSE Group
6:00 pm	Meeting with Jacob Lew, Secretary, Department of the Treasury

Thursday, February 26, 2015

11:00 am	Meeting with Jonathan Hill, Commissioner, European Commission; David
	O'Sullivan, European Union Ambassador to the United States; Jonathan Faull,
	Director-General, FISMA; Nathalie de Basaldua, Deputy Head of Cabinet, FISMA;
	Chantal Hughes, Spokesperson, Lord Hill's Cabinet; and Sven Gentner, Economic
	and Financial Counsellor, European Union Mission to the United States
11:40 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with staff

Friday, February 27, 2015

11:00 am Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial Industry Regulatory Authority

Monday, March 2, 2015

12:00 pm	Meeting with Paul Atkins, former SEC Commissioner
5:00 pm	Meeting with staff

Tuesday, March 3, 2015

Meeting with staff
Meeting with staff
Meeting with staff
Meeting with Commissioner
Meeting with staff
Meeting with staff

3:00 pm	Meeting with McGraw Hill Financial: Doug Peterson, Chief Executive Officer; Lucy
	Fato, General Counsel; Courtney Geduldig, Head of Public Policy; and Justin Daly,
	External Adviser, Daly Consulting Group LLC
4:00 pm	Meeting with staff
4:30 pm	Meeting with Commissioner
6:30 pm	Meeting with Sally Yates, Acting Deputy Attorney General, Department of Justice

Wednesday, March 4, 2015

9:30 am	SEC Advisory Committee on Small and Emerging Companies Meeting	
11:00 am Meeting with the International Monetary Fund: Christopher Towe, Dep		
Director; Aditya Narain, Assistant Director; Simon Gray, Lead Financial Sector		
	Expert; Eija Holttinen, Senior Financial Sector Expert; Nigel Jenkinson, Assistant	
	Director; Darryl King, Senior Financial Expert; Juan Solé, Senior Economist;	
Frouke Wendt, Senior Financial Sector Expert; Christine Sampick Head of the		
	Financial Divsion	
1:45 pm	SEC Advisory Committee on Small and Emerging Companies Meeting	
3:30 pm	Meeting with staff	
4:00 pm	Meeting with staff	

Thursday, March 5, 2015

8:00 am Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial Industry Regulatory Authority

Friday, March 6, 2015

Out of office

Monday, March 9, 2015

10:45 am	Phone call with Senator Jack Reed
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Tuesday, March 10, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff

10:30 am	Meeting with staff
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff

Wednesday, March 11, 2015

10:30 am	Meeting with Senator Joe Donnelly
12:00 pm	Meeting with Department of the Treasury: Antonio Weiss, Counselor to the
	Secretary; Seth Carpenter, Acting Assistant Secretary for Financial Markets;
	Laura Lipscomb, Senior Advisor, Office of the Assistant Secretary for Financial
	Markets; James Clark, Deputy Assistant Secretary for Federal Finance; Jonah
	Crane, Senior Advisor, Office of the Under Secretary for Domestic Finance;
	Nathaniel Wuerffel, Director of Treasury Markets, Federal Reserve Bank of New
	York; Alan Chaboud, Economist, Federal Reserve Bank of New York; and Ernst
	Schaumburg, Economist, Federal Reserve Bank of New York
1:30 pm	Financial Stability Oversight Council Principals Meeting
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff
6:00 pm	Meeting with staff

Thursday, March 12, 2015

9:00 am	Speaking Engagement at Georgetown University Law School's Corporate Counsel
	Institute
10:15 am	Phone call with Greg Medcraft, Chairman, Australian Securities and Investment
	Commission
3:00 pm	Closed Commission Meeting
5:00 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board; and Nathan
	Sheets, Under Secretary for International Affairs, Department of the Treasury
6:00 pm	Bloomberg View Event: Dinner Conversation between Noah Feldman and
	Supreme Court Justice Stephen Breyer

Friday, March 13, 2015

8:30 am	Financial Stability Board Steering Committee Call
10:30 am	Meeting with staff
11:00 am	Meeting with James Doty, Chairman, Public Company Accounting Oversight
	Board
12:00 pm	Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial
	Industry Regulatory Authority

Saturday, March 14, 2015

5:30 pm Gridiron Dinner

Monday, March 16, 2015

6:00 pm	Meeting with staff
6:30 pm	Meeting with staff
10:30 pm	Meeting with staff

Tuesday, March 17, 2015

11:15 am Speaking Engagement at the SIFMA Compliance and Legal Society Annual

Seminar

Wednesday, March 18, 2015

12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
5:15 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission
6:00 pm	Phone call with William Beatty, President, North American Securities
	Administration Association

Thursday, March 19, 2015

12:45 pm Speaking Engagement at the 27th Annual Tulane Corporate Law Institute

Friday, March 20, 2015

9:30 am	Meeting with staff
10:00 am	Meeting with staff
12:00 pm	Phone call with James Gorman, Chief Executive Officer, Morgan Stanley
1:30 pm	Phone call with Congresswoman Maxine Waters
1:50 pm	Phone call with Stephen Breyer, Associate Justice, Supreme Court
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff

Monday, March 23, 2015

10:30 am	Meeting with staff
11:30 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with Congressman Scott Garrett
3:00 pm	Meeting with staff
5:45 pm	Meeting with Congressman Jeb Hensarling

Tuesday, March 24, 2015

10:00 am	Hearing before the House Financial Services Committee
2:00 pm	Meeting with staff
3:30 pm	Phone call with William Beatty, President, North American Securities
	Administration Association
4:00 pm	Meeting with staff
5:00 pm	Meeting with Commissioner
6:00 pm	Meeting with staff

Wednesday, March 25, 2015

10:00 am	Open Commission Meeting
12:00 pm	Meeting with staff
12:50 pm	Meeting with staff
2:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner
4:30 pm	Speaking Engagement at the International Institute for Securities Market Growth and Development
5:00 pm	Meeting with Financial Times: Gillian Tett, Editor; and Barney Jopson, Writer
5:30 pm	Call with William Dudley, President, Federal Reserve Bank of New York
5:35 pm	Meeting with staff

Thursday, March 26, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with the World Economic Forum: Klaus Schwab, Founder and Executive
	Chairman; and Paul Smyke, Senior Director, North America, and Special Adviser
	to the Chairman
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff

4:00 pm Meeting with staff

Friday, March 27, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with Commissioner
1:00 pm	Meeting with staff

Monday, March 30, 2015

10:30 am	Oral Argument before the Commission
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:30 pm	Meeting with staff
3.30 pm	MICCHINE WITH STAIL

Tuesday, March 31, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Phone call with Stephanie Gallagher, Magistrate Judge, U.S. District Court,
	District of Maryland
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with the Israeli Securities Authority: Shmuel Hauser, Chair; and Ornit
	Kravitz, Director of International Affairs
3:00 pm	Meeting with the IFRS Foundation: Michel Prada, Chair; Alan Beller, Trustee; and
	Yael Almog, Executive Director
4:00 pm	Meeting with Candidate for a Financial Accounting Standards Board Position
4:30 pm	Meeting with Candidate for a Financial Accounting Standards Board Position
5:00 pm	Meeting with Commissioner

Wednesday, April 1, 2015

10:00 am	Meeting with staff
11:00 am	Meeting with Daniel Tarullo, Governor, Federal Reserve Board; Martin
	Gruenberg, Chairman, Federal Deposit Insurance Corporation; Thomas Curry,
	Comptroller, Office of the Comptroller of the Currency; Melvin Watt, Director,

	Federal Housing Finance Agency; and Debbie Matz, Chairman, National Credit
	Union Administration
1:00 pm	Meeting with staff
1:30 pm	Meeting with New York City Comptroller: Scott Stringer, Comptroller; Sascha
	Owen, Chief of Staff; and Michael Garland, Assistant Comptroller for
	Environmental, Social and Governance
2:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with staff

Thursday, April 2, 2015

9:30 am 10:00 am	Meeting with staff Meeting with Hal Scott, Professor, Committee on Capital Markets Regulation; and John Gulliver, Executive Director of Research, Committee on Capital Markets
	Regulation
10:30 am	Meeting with staff
12:00 pm	Meeting with Jeffrey Zients, Director, White House National Economic Council
1:30 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner

Friday, April 3, 2015

2:00 pm Meeting with staff

Monday, April 6, 2015

9:00 am	Meeting with staff
7:30 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission

Tuesday, April 7, 2015

10:15 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
3:15 pm	Meeting with staff

3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with job applicant

Wednesday, April 8, 2015

9:00 am 10:00 am	Speaking Engagement at the Financial Services Forum Meeting with Investor Advisory Committee Officers: Jay Brown, Secretary; Jean Setzfand, Assistant Secretary; Barbara Roper, Investor as Purchaser Subcommittee Chair; Steven Wallman, Market Structure Subcommittee Chair;
	Roger Ganser, Investor Education Subcommittee Chair; and Joe Carcello,
	attending on behalf of Anne Sheehan, Investor as Owner Subcommittee Chair
11:00 am	Meeting with staff
12:30 pm	Meeting with staff
2:30 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission
3:45 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board
4:30 pm	Meeting with staff
5:00 pm	Meeting with Commissioner

Thursday, April 9, 2015

9:30 am	Investor Advisory Committee Meeting
11:00 am	Meeting with staff
12:00 pm	Meeting with Timothy Massad, Chairman, Commodity Futures Trading
	Commission; Daniel Tarullo, Governor, Federal Reserve Board; and Jerome
	Powell, Governor, Federal Reserve Board
2:00 pm	Closed Commission Meeting
3:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Commissioner
6:00 pm	Meeting with staff

Friday, April 10, 2015

10:15 am	Meeting with staff
11:30 am	Meeting with American Petroleum Institute and Members: Cathy Douglas, Senior
	Vice President, Chief Accounting Officer and Controller, Anadarko Petroleum
	Corporation; James Parsons, Counsel, Corporate Securities and Finance Law,
	ExxonMobil; Joseph Babbits, Associate Counsel, Securities, Shell; Stephen
	Comstock, Director, Tax and Accounting Policy, American Petroleum Institute;
	Peter Tolsdorf, Counsel, American Petroleum Institute; David Martin, Senior

Counsel, Covington & Burling LLP; Rachel Stutz, Senior Counsel, Communications and External Affairs, BP; and Joseph Horne, Accounting Policy Manager,

ExxonMobil

12:00 pm Meeting with staff

Sunday, April 12, 2015

3:00 pm Phone call with Thomas Perez, Secretary, Department of Labor

Monday, April 13, 2015

9:30 am	Meeting with staff
12:00 pm	Riot Relief Fund Award Ceremony
3:30 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, April 14, 2015

11:00 am	Meeting with Congressman José Serrano
2:00 pm	Meeting with Congressman Ander Crenshaw

Wednesday, April 15, 2015

10:00 am	Hearing before the House Appropriations Subcommittee
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:15 pm	Meeting with Jean-Paul Servais, Chairman, Belgium Financial Services and
	Markets Authority
5:00 pm	Meeting with Commissioner
6:00 pm	Phone call with James Doty, Chairman, Public Company Accounting Oversight
	Board

Thursday, April 16, 2015

Securities Investor Protection Corporation Board Meeting
Meeting with the Italian Commissione Nazionale per le Societá e la Borsa:
Giuseppe Vegas, Chairman; and Nicoletta Giusto, Manager, International
Relations Office
Meeting with staff
Meeting with staff

2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of
	England
6:00 pm	Meeting with staff

Friday, April 17, 2015

9:45 am	Phone call with James Gorman, Chairman and Chief Executive Officer, Morgan
	Stanley
10:00 am	Meeting with Axel Weber, Chairman, UBS
10:30 am	Meeting with staff
11:00 am	Meeting with the Netherlands Authority for the Financial Markets: Merel van
	Vroonhoven, Chair; Gerben Everts, Commissioner; and Max Simonis
11:45 am	Meeting with the Japanese Financial Services Agency: Masamichi Kono, Vice
	Minister for International Affairs; and Rie Miyamoto, Executive Assistant
3:30 pm	Regulatory Roundtable Meeting

Monday, April 20, 2015

10:30 am	Meeting with staff
11:00 am	Meeting with the Government Accountability Office: James Dalkin, Director;
	Michael Gilmore, Assistant Director; and Mina Gusukuma, Auditor in Charge
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Phone call with James Doty, Chairman, Public Company Accounting Oversight
	Board
1:45 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with National Treasury Employees Union: Greg Gilman, President,
	Chapter 293; and Ralph Talarico, Assistant Counsel
4:00 pm	Meeting with staff
4:45 pm	Phone call with Sarah Bloom Raskin, Deputy Secretary, Department of the
	Treasury
5:15 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission
5:30 pm	Financial Stability Oversight Council Conference Call
6:30 pm	Meeting with staff
9:15 pm	Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and
	Futures Commission

Tuesday, April 21, 2015

8:00 am Meeting with Daniel Tarullo, Governor, Federal Reserve Board; Martin

Gruenberg, Chairman, Federal Deposit Insurance Corporation; Thomas Curry, Comptroller, Office of the Comptroller of the Currency; Melvin Watt, Director, Federal Housing Finance Agency; and Debbie Matz, Chairman, National Credit

Union Administration

10:00 am Meeting with staff10:30 am Meeting with staff

11:30 am Meeting with Kurt Schacht, Managing Director, CFA Institute

12:00 pm Meeting with Thomas DiNapoli, Comptroller, New York State; and Liz Gordon,

Director of Policy Development, New York State

Wednesday, April 22, 2015

10:00 am Meeting with staff

11:00 am Meeting with SIFMA Board of Directors: James Allen, Chief Executive Officer,

Hilliard Lyons; Francois Barthelemy, Head of Global Markets Americas, Société Générale Corporate; Curt Bradbury, Chief Operating Officer, Stephens Inc.; Rosemary Berkery, Vice Chairman, UBS Wealth Management Americas and Chairman, UBS Bank; William Caccamise, Deputy General Counsel, Bank of America; Daniel Coleman, Chief Executive Officer, KCG Holdings, Inc.; Andrew Duff, Chairman and Chief Executive Officer, PiperJaffray; Kim Tollotson Fleming, Chairman of the Board and Chief Executive Officer, Hefren-Tillotson; David Findlay, Chief Executive Officer, Nomura; Kenneth Gibbs, President of the Municipal Securities Group, Jeffries, LLC.; Thomas Hartnett, Head of Rate, North America, Deutsche Bank AG New York; Douglas Hodge, Chief Operating Officer, PIMCO; Lisa Kidd Hunt, Executive Vice President, Charles Schwab Corporation; William Johnstone, Chairman, Chief Executive Officer and Director, Davidson Companies; Ronald Kruszewski, Chairman of the Board, President and Chief Executive Officer, Stifel Financial Corporation; Gerard McGraw, President, Fidelity Institutional, Fidelity Investments; Brand Meyer, Senior Managing Director and President of the Independent Brokerage Group, Wells Fargo Advisors; Sandie O'Connor, Managing Director and Chief Regulatory Affairs Officer, JPMorgan Chase; Roger Ochs, President and Chief Executive Officer, HD Vest Financial Services; David Ornstein, Chief Operating Officer for Markets, Barclays; Richard Payne, Jr., Vice Chairman of Wholesale Banking, U.S. Bancorp; John F.W. Rogers, Executive Vice President, Chief of Staff and Secretary, Goldman Sachs; Jim Rosenthal, Chief Operating Officer, Morgan Stanley; Timothy Scheve, President and Chief Executive Officer, Janney Montgomery Scott, LLC; Zion Shohet, Executive Vice President, Citi Financial; Joseph Sweeney, President of Advice and Wealth Management Products and Services, Ameriprise; Keiko Tashiro, Chairwoman, Daiwa Capital Markets America; James Tricarico, Principal

of the Legal Department, Edward Jones; John Adams Vaccaro, Chief Executive
Officer, Westport Resources; James Wallin, Senior Vice President,
AllianceBernstein; and William Yates, Senior Executive Vice President and
Management, BB&T Capital Markets, and President and Chief Executive Officer,
BB&T Securities

12:00 pm Meeting with staff

1:00 pm Meeting with Upendra Kumar Sinha, Chairman, India Securities and Exchange
Board

3:30 pm Meeting with staff

4:30 pm Meeting with staff

5:30 pm Meeting with staff

Thursday, April 23, 2015

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with TMX Group: Lou Eccleston, Chief Executive Officer; and Kevan
	Cowan, President TSX Markets and Group Head of Equities
12:00 pm	Meeting with staff
12:30 pm	Meeting with Partnership for Public Service Excellence in Government Fellows
2:00 pm	Closed Commission Meeting
3:30 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
4:30 pm	Meeting with staff
5:00 pm	Meeting with Commerzbank: Martin Blessing, Chief Executive Officer; Richard
	Lips, Head of Group Communications; Heiner Herkenhoff, Head of Public Affairs;
	and Thilo Schweizer, Director, Public Affairs
5:30 pm	Meeting with staff
6:30 pm	Meeting with Sharon Bowen, Commissioner, Commodity Futures Trading
	Commission

Friday, April 24, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:00 am	Meeting with staff
12:00 pm	Meeting with Debbie Matz, Chairman, National Credit Union Administration

Monday, April 27, 2015

10:30 am	Meeting with staff
11:00 am	Meeting with staff

1:00 pm	Financial and Banking Information Infrastructure Committee Senior Leaders'	
	Meeting	
4:30 pm	Meeting with staff	
5:30 pm	Meeting with staff	
6:00 pm	Meeting with staff	

Tuesday, April 28, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:30 am	Meeting with Senator John Boozman
1:00 pm	Meeting with staff
3:00 pm	Meeting with members of the Boston Asset Manager Association: Jonathan
•	Chiel, Executive Vice President and General Counsel, Fidelity Investments; James
	Febeo, Senior Vice President and Head of Regulatory Affairs, Fidelity
	Investments; James D. Gallagher, Executive Vice President, General Counsel and
	Chief Administrative Officer, John Hancock; Thomas Samoluk, Vice President and
	Counsel, John Hancock; Mike Roberge, co-Chief Executive Officer and Chief
	Investment Officer, MFS Investment Management; Mark Polebaum, General
	Counsel, MFS Investment Management; Jeff Plunkett, Executive Vice President
	and General Counsel, Natixis Global Asset Management; Coleen Dinneen,
	Executive Vice President and General Counsel, Natixis Global Asset
	Management; Robert Reynolds, Chief Executive Officer, Putnam Investments;
	Lenny Glynn, Managing Director for Public Policy, Putnam Investments; Ron
	O'Hanley, President and Chief Executive Officer, State Street Global Advisors;
	Joseph Barry, Sr. Vice President for Regulatory Industry, State Street Global
	Advisors; James Segel, Of Counsel, ADS Ventures; and Ozzie Palomo, Senior Vice
	President, ADS Ventures
4:45 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission

Wednesday, April 29, 2015

5:30 pm

10:00 am	Open Commission Meeting
12:00 pm	Closed Commission Meeting

Meeting with Senator Chris Coons

Thursday, April 30, 2015

1:00 pm Speaking engagement at the 35th Annual Ray Garrett, Jr. Corporate and Securities Law Institute

Friday, May 1, 2015

9:10 am	Speaking Engagement at Second Annual Conference on Financial Market	
	Regulation	
10:30 am	Meeting with Congressman Kevin Yoder	
11:30 am	Meeting with staff	
12:15 pm	Meeting with Craig Lewis, Professor, former SEC Chief Economist	
1:00 pm	Speaking Engagement at The American Assembly	

Saturday, May 2, 2015

10:00 am Phone call with job applicant

Monday, May 4, 2015

10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading
	Commission

Tuesday, May 5, 2015

10:30 am	Hearing before the Senate Appropriations Subcommittee on Financial Services and General Government
12:30 pm	Meeting with staff
1:30 pm	Meeting with Norman Champ, former Director of the SEC Division of Investment
	Management
2:30 pm	Meeting with staff
3:00 pm	Meeting with Commissioner
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Wednesday, May 6, 2015

8:30 am	Meeting with staff
9:30 am	Meeting with staff
11:00 am	Meeting with Senator Sherrod Brown
12:00 pm	Meeting with staff

Thursday, May 7, 2015

10:00 am	Speaking Engagement at the Rocky	Mountain Securities Conference
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11:00 am Meeting with staff

12:00 pm Closed Commission Meeting

Friday, May 8, 2015

7:30 am	Speaking Engagement at the Investment Company Institute General Membership
	Meeting
10:30 am	Meeting with staff
11:00 am	Meeting with Commissioner
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff

Monday, May 11, 2015

11:00 am	Meeting with staff
2:00 pm	Meeting with Commissioner
3:30 pm	Phone call with Charles Noski, former Vice Chairman, Bank of America
	Corporation
4:00 pm	Meeting with Commissioner
4:30 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board
5:00 pm	Meeting with staff

Tuesday, May 12, 2015

9:00 am 10:00 am	Meeting with staff Meeting with staff
10:30 am	Meeting with staff
11:15 am	Phone call with Congressman Sean Duffy
11:30 am	Meeting with David Carroll, Head of Wealth, Brokerage and Retirement, Wells
	Fargo; Mary T. Mack, Head of Wells Fargo Advisors; Bob Mooney, Group Chief
	Compliance Officer, Wealth, Brokerage and Retirement, Wells Fargo; Jim
	McHale, Chief Compliance Officer, Wells Fargo Advisors; Doug Kelly, Assistant
	General Counsel, Wells Fargo Advisors; and Clay Grumke, Assistant General
	Counsel, Wells Fargo Advisors
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:30 pm	Meeting with Patrick M. Nolan, Group General Manager, Vice Chairman,
	Banking, HSBC; Thierry Roland, Group General Manager, Chief Executive Officer,
	HSBC Global Banking and Markets, Americas; Mark A. Steffensen, Managing
	Director and General Counsel, HSBC Global Banking and Markets, Americas;
	Christine Calarco, Head of Regulatory Change, HSBC Global Markets, USA; and

Thomas J. Rosenkoetter, Senior Vice President, Federal Public Policy, HSBC Bank

USA

5:15 pm Meeting with staff

Wednesday, May 13, 2015

9:30 am	Equity Market Structure Advisory Committee Meeting
11:30 am	Meeting with staff
2:00 pm	Phone call with Jeffrey Zients, Director, White House National Economic Council
	and Assistant to the President for Economic Policy
2:15 pm	Equity Market Structure Advisory Committee Meeting
4:30 pm	Meeting with staff

Thursday, May 14, 2015

9:30 am	Meeting with staff
10:30 am	Meeting with staff
1:30 pm	Meeting with IEX: Brad Katsuyama, Chief Executive Officer; Donald Bollerman,
	Head of Market Operations; Claudia Crowley, Chief Regulatory Officer; John
	Ramsay, Chief Market Policy Officer
2:00 pm	Closed Commission Meeting
4:30 pm	Phone call with Jacob Lew, Secretary, Department of the Treasury
5:15 pm	Meeting with staff

Friday, May 15, 2015

10:30 am	Meeting with staff
3:00 pm	Phone call with Jacob Lew, Secretary, Department of the Treasury

Monday, May 18, 2015

11:00 am	Meeting with staff
12:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, May 19, 2015

9:30 am	Meeting with staff
10:30 am	Phone call with Commissioner
1:30 pm	Financial Stability Oversight Council Principals Meeting
3:30 pm	Closed Commission Meeting

Wednesday, May 20, 2015

10:00 am	Open Commission Meeting
12:00 pm	Meeting with staff
12:50 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with the Financial Services Institute: Dale Brown, President and Chief
	Executive Officer; David Bellaire, Executive Vice President and General Counsel;
	Robin Traxler, Vice President, Regulatory Affairs & Associate General Counsel;
	and Justin Nazari, Regulatory Affairs Counsel
4:30 pm	Meeting with Senator Elizabeth Warren and Senator Charles Schumer
5:30 pm	Meeting with staff

Thursday, May 21, 2015

10:00 am	Meeting with SIFMA: Kenneth Bentsen, Chief Executive Officer, SIFMA; Ira Hammerman, General Counsel, SIFMA; Leslie Norwood, Managing Director and Associate General Counsel, SIFMA; Michael Decker, Managing Director, SIFMA; Michael Bartolotta, Vice Chairman, First Southwest; Ben Juergens, Executive Director, Morgan Stanley; Leon Bijou, Senior Vice President and Municipal
	General Counsel, Jefferies; Patrick Boyer, Director, Barclays; David Knight, General Counsel, Stephens; and Randy Snook, Executive Vice President, SIFMA
11:00 pm	Meeting with Tina Beranbaum, Consultant, Public Company Accounting Oversight Board
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Ian Johnston, Chief Executive Officer, Dubai Financial Services Authority

Friday, May 22, 2015

Out of office

Monday, May 25, 2015

Memorial Day – Federal Holiday

Tuesday, May 26, 2015

Out of office

Wednesday, May 27, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:15 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with Richard Ketchum, Chairman and Chief Executive Officer, Financial
	Industry Regulatory Authority; and William Brodsky, Executive Chairman of the
	Board, Chicago Board Options Exchange
3:30 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
5:00 pm	Meeting with staff

Thursday, May 28, 2015

8:30 am	Phone call with Commissioner
8:45 am	Phone call with Commissioner
9:00 am	Phone call with Commissioner
9:15 am	Phone call with Commissioner
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:45 am	Meeting with Commissioner
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner
5:00 pm	Conference call with the Depository Trust and Clearing Corporation: Robert
	Druskin, Chairman; Michael Boson, President and Chief Executive Officer; Larry
	Thompson, General Counsel; Bari Jane Wolfe, Managing Director of Regulatory
	Relations; Timothy Cuddihy, Managing Director, Fixed Income Risk Management;
	Murray Pozmanter, Managing Director, Head of Clearing Agency Services; and
	Ann Shuman, Managing Director, Deputy General Counsel
6:00 pm	Meeting with staff

Friday, May 29, 2015

8:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff

3:15 pm Phone call with James Doty, Chairman, Public Company Accounting Oversight

Board

Monday, June 1, 2015

11:00 am	Meeting with Commissioner
1:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Tuesday, June 2, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with International Securities Exchange (ISE): Gary Katz, Chief Executive
	Officer; Boris Ilyevsky, Managing Director, ISE Options Exchange; and Joseph
	Ferraro, Legal Officer
2:00 pm	Meeting with staff
3:00 pm	Meeting with Commissioner
5:00 pm	Meeting with staff

Wednesday, June 3, 2015

9:30 am	Advisory Committee on Small and Emerging Companies Meeting
12:00 pm	Phone call with Michel Prada, Chair, IFRS Foundation Trustees
12:30 pm	Meeting with staff
2:30 pm	Meeting with staff
3:00 pm	Phone call with Congresswoman Louise Slaughter
3:30 pm	Meeting with Nomura: David Findlay, President and Chief Executive Officer,
	Nomura Holding America; David Leibowitz, Member, Nomura Board of Directors;
	and Mark Schuermann, Managing Director, Head of Public Affairs Americas
4:30 pm	Meeting with staff
5:00 pm	Meeting with Masamichi Kono, Vice Minister for International Affairs, Japanese
	Financial Services Agency; and Rie Miyamoto, Executive Assistant, Japanese
	Financial Services Agency

Thursday, June 4, 2015

9:30 am Meeting with staff

10:00 am	Meeting with Deloitte: Cathy Engelbert, Chief Executive Officer; Joe Ucuzoglu,
	Managing Partner of the Audit Practice; Tom Omberg, Managing Partner of the
	National Office Quality Network; and Alex Schillaci, Managing Partner
11:00 am	Meeting with staff
11:45 am	Speaking Engagement at the SEC Historical Society Annual Meeting
12:30 pm	Meeting with staff
1:00 pm	Meeting with Board of Directors of the Bond Dealers of America: Steven Genyk,
	Chair, Managing Director, Head of Fixed Income, Janney Montgomery Scott; John
	Fechter, Vice Chair, Senior Vice President, Director, Taxable FI Trading, Wells
	Fargo Advisors; Brian Brennan, Managing Director, Head of Fixed Income,
	KeyBanc Capital Markets; Tom Dannenberg, President and Chief Executive
	Officer, Hutchinson, Shockey, Erley; Angelique David, Senior Managing Director,
	General Counsel and Corporate Secretary, Ziegler; William Heinzerling, Head of
	Fixed Income Capital Markets, Stifel, Nicolaus & Company; Noe Hinojosa,
	President and Chief Executive Officer, Estrada Hinojosa; Keith Kolb, Managing
	Director, Director of Public Finance, Robert W. Baird; Sean Ladley, Managing
	Director, Fixed Income Sales, Wells Fargo Securities; Tom Lanctot, Principal,
	Head of Fixed Income, William Blair; John Rolander, Senior Managing Director,
	Head of Fixed Income Trading, Fifth Third Securities, Inc.; Don Winton, Chief
	Operating Officer, Crews & Associates; Larry Bowden, Ex Officio, Executive Vice
	President, Head of Fixed Income, Stephens, Inc.; Jay Hiniker, Ex Officio,
	President, Cronin & Co., Inc.; Mike Nicholas, Ex Officio (Staff), Chief Executive
	Officer, Bond Dealers of America; Brian Folk, Coastal Securities; Doug Preiser,
	KeyBanc Capital Markets; Rebecca Lawrence, Piper Jaffray; Jon Stein, Raymond
	James; Samantha DeZur, Bond Dealers of America; Jessica Giroux, Bond Dealers
	of America; and John Vahey, Director of Federal Policy, Bond Dealers of America
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner
6:30 pm	Meeting with staff

Friday, June 5, 2015

11:45 am Speaking Engagement at the Maryland Federal Bar Association

Monday, June 8, 2015

10:50 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Oral Argument before the Commission
1:40 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

5:00 pm Meeting with staff

Tuesday, June 9, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
1:00 pm	Meeting with the Municipal Securities Rulemaking Board: Lynnette Kelly,
	Executive Director; Robert Fippinger, Chief Legal Officer; and Mike Post, General
	Counsel of Regulatory Affairs
3:00 pm	Meeting with Harvey Pitt, Founder, Chief Executive Officer and Managing
	Director, Kalorama Partners, LLP; Campbell Pryde, Chief Executive Officer and
	President, XBRL US, Inc.; J. W. Mike Starr, Vice President of Government and
	Regulatory Affairs, Workiva Inc.; Martin Vanderploeg, President, Chief Operating
	Officer and Director, Workiva Inc.; Teresa Goody, Chief Operating Officer and
	Managing Director, Kalorama Partners, LLP
4:30 pm	Meeting with Commissioner

Wednesday, June 10, 2015

9:30 am	Meeting with staff
10:15 am	Meeting with Commissioner
11:15 am	Phone call with Congressman Ander Crenshaw
12:30 pm	Meeting with job applicant
1:30 pm	Meeting with staff
2:10 pm	Phone call with Senator Jon Tester
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Thursday, June 11, 2015

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:45 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Meeting with staff
6:00 pm	Phone call with Greg Medcraft, Chairman, Australian Securities and Investment
	Commission

Friday, June 12, 2015

9:00 am	Meeting with job applicant
9:15 am	Meeting with staff
9:45 am	Meeting with staff
10:15 am	Meeting with staff
11:00 am	Meeting with Charles Schwab: David Garfield, General Counsel; Jeffrey T. Brown,
	Senior Vice President and Acting General Counsel; and Scott Eckel, Vice
	President, Legislative and Regulatory Affairs
11:45 am	Phone call with Congressman Sean Duffy
5:00 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board

Monday, June 15, 2015

6:00 am	Meeting with Martin Wheatley, Chief Executive Officer, Financial Conduct
	Authority
7:00 am	Meeting with Greg Medcraft, IOSCO Board Chair; and David Wright, IOSCO
	Secretary General
8:30 am	IOSCO Board Meeting
11:30 am	IOSCO Board Meeting
12:00 pm	Meeting with Xiao Gang, Chairman, China Securities Regulation Commission
1:45 pm	IOSCO Board Meeting Dinner

Tuesday, June 16, 2015

4:00 am	IOSCO Board Meeting
7:00 am	IOSCO Board Meeting Lunch
8:30 am	IOSCO Board Meeting
1:00 pm	Meeting with Ashley Alder, Chief Executive Officer, Hong Kong Securities and
	Futures Commission
2:30 pm	Meeting with Howard Wetston, Chair, Ontario Securities Commission

Wednesday, June 17, 2015

4:00 am	Presidents Committee Session
5:00 am	Meeting with Mohammad Al-Jadaan, Chairman, Saudia Arabia Capital Market
	Authority
5:30 am	Presidents Committee Session II
7:00 am	IOSCO Board Meeting Lunch
9:30 am	Meeting with Jon Cunliffe, Deputy Governor of Financial Stability, Bank of
	England
11:30 am	Meeting with Mark Carney, Governor, Bank of England

1:45 pm IOSCO Board Meeting Dinner

Thursday, June 18, 2015

3:40 am Meeting with Kamal Ahmed, Business Editor, BBC News; Martin Wheatley, Chief

Executive Officer, United Kingdom, Financial Conduct Authority; Sergio Ermotti, Group Chief Executive Officer, UBS; Elizabeth Corley, Global Chief Executive Officer, Allianz Global Investors Europe; and Professor Roger Steare, The

Corporate Philosopher

4:45 am Speaking Engagement at IOSCO Annual Conference

Friday, June 19, 2015

3:00 pm Meeting with staff

Monday, June 22, 2015

10:00 am	Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen,
	Chair, Federal Reserve Board; Timothy Massad, Chairman, Commodity Futures
	Trading Commission; Martin Gruenberg, Chairman, Federal Deposit Insurance
	Company; Thomas Curry, Comptroller, Office of the Comptroller of the Currency;

and William Dudley, President, Federal Reserve Bank of New York

11:00 am Meeting with staff

11:30 pm Phone call with Xavier Rolet, Chief Executive Officer, London Stock Exchange

Group

12:00 pm Meeting with staff

1:00 pm Phone call with Greg Medcraft, Chairman, Australian Securities and Investment

Commission; David Wright, Secretary General, IOSCO; and Hector Thompson,

Senior Treasury Representative, Australian Embassy

2:00 pm Meeting with staff
2:30 pm Meeting with staff
3:30 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:15 pm Meeting with staff

Tuesday, June 23, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:45 am	Meeting with staff
11:15 am	Meeting with staff

1:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with Commissioner
6:15 pm	Meeting with Senator Mike Rounds

Wednesday, June 24, 2015

11:30 am Meeting with staff

Thursday, June 25, 2015

10:00 am	Speaking Engagement at the Society of Corporate Secretaries and Governance
	Professionals 2015 National Conference
11:00 am	Meeting with staff
2:00 pm	Closed Commission Meeting

Friday, June 26, 2015

10:30 am	Meeting with staff
11:30 am	Phone call with Commissioner
1:00 pm	Meeting with staff

Monday, June 29, 2015

10:00 am	Meeting with staff
10:15 am	Meeting with staff
10:30 am	Meeting with staff
1:30 pm	Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the
	Currency
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Phone call with James Doty, Chairman, Public Company Accounting Oversight
	Board
5:30 pm	Meeting with staff

Tuesday, June 30, 2015

8:15 am	Meeting with staff
9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
1:30 pm	Meeting with staff

2:00 pm Meeting with Commissioner

4:00 pm Meeting with staff

5:00 pm Meeting with Shaun Donovan, Director, Office of Management and Budget

Wednesday, July 1, 2015

10:00 am	Open Commission Meeting
1:00 pm	Phone call with Commissioner

2:30 pm Meeting with staff

3:00 pm Meeting with Commissioner4:30 pm Meeting with Commissioner

5:30 pm Meeting with staff

Thursday, July 2, 2015

10:00 am	Meeting with staff
10:30 am	Meeting with staff

11:30 am Meeting with Mark Wetjen, Commissioner, Commodity Futures Trading

Commission

12:45 pm Meeting with staff

2:00 pm Closed Commission Meeting

Friday, July 3, 2015

Independence Day – Federal Holiday

Monday, July 6, 2015

12:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, July 7, 2015

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7:30 am	Phone call w	ith ivlark Carnev.	Governor.	Bank of England

1:00 pm Meeting with staff5:00 pm Meeting with staff

Wednesday, July 8, 2015

12:30 pm Meeting with staff

Thursday, July 9, 2015

8:30 am	Meeting with staff
9:00 am	Meeting with staff
10:00 am	Meeting with staff
1:00 pm	Closed Commission Meeting
2:00 pm	Phone call with Commissioner
3:30 pm	Meeting with staff
4:15 pm	Phone call with Thomas Farley, President, NYSE Group
5:00 pm	Meeting with staff

Friday, July 10, 2015

9:45 am	Meeting with staff
10:00 am	Meeting with job applicant
10:30 am	Meeting with Thomas Farley, President, NYSE Group; Elizabeth King, General
	Counsel, NYSE Group; and Brendon Weiss, Vice President Government Affairs,
	NYSE Group
12:00 pm	Meeting with Daniel Tarullo, Governor, Federal Reserve Board
1:30 pm	Meeting with staff

Monday, July 13, 2015

10:15 am	Phone call with Howard Wetston, Chair, Ontario Securities Commission
10:45 am	Meeting with staff
11:30 am	Meeting with Commissioner
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, July 14, 2015

8:15 am	Meeting with staff
9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Speaking Engagement at Compliance Outreach Program for Broker-Dealers
11:00 am	Meeting with staff
12:00 pm	Phone call with Howard Wetston, Chair, Ontario Securities Commission
12:15 pm	Phone call with Thomas Perez, Secretary, Department of Labor

1:00 pm	Meeting with staff
2:00 pm	Meeting with staff

Wednesday, July 15, 2015

7:00 am	OTC Derivatives Regulators Group Principals Call
9:00 am	Phone call with IOSCO Members of the Financial Stability Board Steering Committee
9:45 am	Phone call with Greg Medcraft, Chairman, Australian Securities and Investment Commission
12:00 pm	Speaking Engagement at the Joint Base McGuire-Dix-Lakehurst Military Consumer Protection Day
2:30 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission; Daniel Tarullo, Govenor, Federal Reserve Board, and Nathan Sheets, Under Secretary of International Affairs, Department of the Treasury
5:45 pm	Phone call with Thomas Perez, Secretary, Department of Labor
6:00 pm 6:30 pm	Meeting with staff Phone call with Sally Yates, Deputy Attorney General, Department of Justice

Thursday, July 16, 2015

9:00 am	Meeting with staff
10:00 am	Phone call with James Gorman, Chairman and Chief Executive Officer, Morgan
	Stanley
11:15 pm	National Association of Women Lawyers Annual Meeting and Awards Ceremony

Friday, July 17, 2015

5:00 am	Financial Stability Board Steering Committee Meeting
2:00 pm	Financial Stability Board Dinner

Monday, July 20, 2015

1:30 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Phone call with Greg Medcraft, Chairman, Australian Securities and Investment
	Commission

Tuesday, July 21, 2015

9:15 am	Meeting with staff
10:00 am	Meeting with staff

10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Financial and Banking Information Infrastructure Committee Senior Leaders'
	Meeting
4:45 pm	Meeting with staff
5:15 pm	Meeting with the European Parliament's Committee on Economic and Monetary
	Affairs Delegation (ECON): Roberto Gualtieri, Chair; Markus Ferber, Vice-Chair;
	Pablo Zalba Bidegain, Vice-Chair; Elisa Ferreira, Coordinator; Othmar Karas; Cora
	van Niewenhuizen, Member; Kay Swinburne, Coordinator; Marcus Scheuren,
	Administrator; Claudia Lindemann, Administrator; Mr. Eriks Dauksts; Peter Rusz;
	Anna Davreux; Hana Bartakova; and Zuzana Gentner-Vavrova

Wednesday, July 22, 2015

10:00 am	Meeting with the Publish What You Pay Coalition: Joseph Kraus, The ONE Campaign; Corinna Gilfillan, Global Witness; Zorka Milin, Global Witness;
	Jonathan Kaufman, EarthRights International; Isabel Munilla, Oxfam America;
	Jana Morgan, Publish What You Pay; David Garcia, Publish What You Pay; and
	Dotun Oloku, Nigerian Anti-Corruption Advocate
10:45 am	Meeting with staff
11:40 am	Speaking Engagement at the Financial Agencies Rotation Program Closing
	Ceremony
12:30 pm	Meeting with staff
1:00 pm	Meeting with Rory O'Kane, KCG, Chairman, Security Traders Association; and Jim
	Toes, President and Chief Executive Officer, Security Traders Association
1:45 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
3:30 pm	Phone call with Commissioner
4:30 pm	Phone call with Commissioner
5:30 pm	Meeting with staff
7:00 pm	Dinner with Neil Eggleston

Thursday, July 23, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with Managed Funds Association: Darcy Bradbury, the D.E. Shaw Group,
	Chair, Managed Funds Association Trading & Markets Committee; Edgar Ortega
	Barrales, Two Sigma Investments, LLC, Vice Chair, Managed Funds Association
	Trading & Markets Committee; Steve H. Metzger, Two Sigma Investments, LLC;
	Stuart J. Kaswell, Executive Vice President, Managing Director and General

Counsel, Managed Funds Association; Benjamin Allensworth, Managed Funds Association; Carlotta King, Associate General Counsel, Managed Funds Association; Matthew Newell, Associate General Counsel, Managed Funds Association; and Laura H. Powell, Assistant General Counsel, Managed Funds Association

12:00 pm

Meeting with the National Diversity Coalition: Charles Dorsey, Christ Our Redeemer African Methodist Episcopal Church; Jesse Rubel Miranda III, Jesse Miranda Center for Hispanic Leadership; Eleazar de Leon, Templo Calvario; Maria Yanet Gonzalez, Templo Calvario; Gilbert R. Vasquez, Chairman, Los Angeles Latino Chamber of Commerce; Faith Bautista, National Asian American Coalition; Robert Gnaizda, National Diversity Coalition; Richard Rey Ramos, Latino Coalition for Community Leadership; Theresa Martinez, Los Angeles Latino Chamber of Commerce; Jin Sung, Oasis Center International; Tuongngerntra Luke Tharasri, Tharasri Foundation, Oasis; Christina Oriel, Asian Journal; Tony Suarez, National Hispanic Christian Leadership Conference; Sam Rodriguez, National Hispanic Christian Leadership Conference; Lionel Savage, Lionheart Consulting; and George McDaniel, African Americans for Economic Justice

12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:30 pm	Meeting with Senator Richard Shelby
5:30 pm	Meeting with staff

Friday, July 24, 2015

10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board and staff

Monday, July 27, 2015

11:00 am	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, July 28, 2015

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with Commissioner
5:30 pm	Meeting with staff

Wednesday, July 29, 2015

9:00 am	Meeting with staff
4:30 pm	Meeting with staff

Thursday, July 30, 2015

Meeting with staff
Meeting with staff
Meeting with staff
Meeting with Senator Heidi Heitkamp
Phone call with Congressman Patrick Murphy
Meeting with staff
Meeting with staff
Closed Commission Meeting
Meeting with staff
Phone call with Commissioner
Meeting with staff
Meeting with staff
Meeting with staff

Friday, July 31, 2015

10:00 am	Financial Stability Oversignt Council Principals Meeting
1:00 pm	Oral Argument before the Commission