

**Chair Mary Jo White**  
**Public Calendar**  
**April 1, 2014 to December 31, 2014**

Tuesday, April 1, 2014

3:00 pm      Hearing before the House Appropriations Subcommittee  
5:00 pm      Meeting with Commissioner

Wednesday, April 2, 2014

9:00 am      Meeting with staff  
10:00 am      Meeting with staff  
10:45 am      Phone call with Commissioner  
11:30 am      Meeting with staff  
12:30 pm      Meeting with the CFA Institute: John Rogers, Chief Executive Officer; Kurt Schacht, Managing Director of the Standards and Financial Market Integrity Division; and Jim Allen, Head of Americas Capital Markets Policy  
  
1:00 pm      Meeting with staff  
2:00 pm      Meeting with Commissioner  
3:00 pm      Meeting with Commissioner  
4:00 pm      Meeting with Lisa Donner, Executive Director, Americans for Financial Reform; Marcus Stanley, Policy Director, Americans for Financial Reform; Barbara Roper, Director of Investor Protection, Consumer Federation of America; Micah Hauptman, Financial Services Counsel, Consumer Federation of America; Saqib Bhatti, Corporate Campaigner and Researcher, Service Employees International Union; and John Keenan, Strategic Analyst, American Federation of State County and Municipal Employees  
  
4:45 pm      Meeting with staff  
5:00 pm      Meeting with Alfonso D'Amato, former New York Senator and Founder and Managing Director, Park Strategies; Vito Fossella, Managing Director, Park Strategies; Kraig Siracuse, Managing Director, Park Strategies; Susan McCarter, General Counsel, Wells Fargo Securities, LLC; Meredith Coffey, Executive Vice President of Research and Analysis, Loan Syndications and Trading Association; Elliot Ganz, Executive Vice President and General Counsel, Loan Syndications and Trading Association

Thursday, April 3, 2014

8:30 am Phone call with Thomas Perez, Secretary, Department of Labor  
9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with the Government Accountability Office: James Dalkin, Director; Michael Gilmore, Assistant Director; Kristen Kociolek, Assistant Director; Julie Phillips, Assistant Director; Greg Wilshusen, Director; Meafelia Gusukuma, Auditor in Charge; Mary Osorno, Senior Auditor; Duc Ngo, Assistant Director; Tony Wang, IT Auditor; and Angela Bell, Senior IT Security Specialist  
10:30 am Meeting with staff  
11:30 am Meeting with Senator Al Franken  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
2:45 pm Phone call with Senator Jon Tester

Friday, April 4, 2014

8:00 am Meeting with staff

Monday, April 7, 2014

8:30 am Phone call with Sheila Bair, Senior Advisor, The Pew Charitable Trust  
9:00 am Phone call with Commissioner

Tuesday, April 8, 2014

9:30 am Phone call with job applicant  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Phone call with Rudolph Giuliani, Partner, Bracewell and Giuliani  
1:00 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with Greg Gilman, President of Chapter 293, National Treasury Employees Union  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff  
6:00 pm Meeting with staff

Wednesday, April 9, 2014

9:00 am	Meeting with the Financial Services Forum: Thomas Wilson, Chairman, President, and Chief Executive Officer, Allstate Insurance Company; Brian Moynihan, Chief Executive Officer, Bank of America; Gerald Hassell, Chairman and Chief Executive Officer, BNY Mellon; Michael Corbat, Chief Executive Officer, Citigroup; Anshu Jain, Co-Chief Executive Officer, Deutsche Bank AG; James Weddle, Managing Partner, Edward Jones; Keith Sherin, Chairman and Chief Executive Officer, GE Capital; Lloyd Blankfein, Chairman and Chief Executive Officer, The Goldman Sachs Group, Inc.; Douglas Flint, Group Chairman, HSBC Holdings plc; James Dimon, Chairman and Chief Executive Officer, JPMorgan Chase & Co.; Steven Kandarian, Chairman, President, and Chief Executive Officer, MetLife, Inc.; John Strangfeld, Chairman and Chief Executive Officer, Prudential Financial, Inc.; Joseph Hooley, Chairman, President, and Chief Executive Officer, State Street Corporation; Sergio Ermotti, Group Chief Executive Officer, UBS AG; and Robert Nichols, President and Chief Executive Officer, Financial Services Forum
10:30 am	Meeting with staff
11:15 am	Meeting with staff
12:00 pm	Meeting with the North American Securities Administrators Association (NASAA): Andrea Seidt, President, NASAA; Bill Beatty, President-elect, NASAA; Faith Anderson, General Counsel, Washington Securities Division; Melanie Lubin, Securities Commissioner, State of Maryland; John Morgan, Securities Commissioner, Texas State Securities Board; Russ Iuculano, Executive Director, NASAA; Joey Brady, General Counsel, NASAA; and Thomas Sporkin, Partner, BuckleySandler
2:00 pm	Meeting with job applicant
2:40 pm	Phone call with Jacob Lew, Secretary, Department of the Treasury
3:00 pm	Meeting with Investor Advisory Committee Officers: Craig Goettsch, Vice Chairman; Jay Brown, Secretary; Jean Setzfand, Assistant Secretary; Barbara Roper, Investor as Purchaser Subcommittee Chair; Steven Wallman, Market Structure Subcommittee Chair; Roger Ganser, Investor Education Subcommittee Chair; and Ann Yerger, Attending on behalf of Anne Sheehan - Investor as Owner Subcommittee Chair
4:00 pm	Meeting with Masamichi Kono, Vice Commissioner, Japanese Financial Services Agency
5:15 pm	Meeting with Commissioner

Thursday, April 10, 2014

9:00 am Meeting with Melanie Waddell, Washington Bureau Chief, Investment Advisor  
10:00 am Investor Advisory Committee Meeting  
11:00 am Meeting with Faith Bautista, President and Chief Executive Officer, National Asian American Coalition; Robert Gnaizda, General Counsel, National Asian American Coalition; Ruriko Sato, Deputy Director, National Asian American Coalition; Mia Martinez, Chief Deputy, National Asian American Coalition; Theresa Martinez, Chief Executive Officer, Los Angeles Latino Chamber of Commerce; Jesse Miranda, Chief Executive Officer, National Hispanic Christian Leadership Conference; Jesse Rubel Miranda, III, Director, Jesse Miranda Center for Hispanic Leadership; Fernando Carlos Tamara, Director, Jesse Miranda Center for Hispanic Leadership; Mark Whitlock, Senior Pastor, Christ Our Redeemer African Methodist Episcopal Church; Charles Lamont Dorsey, Youth and Young Adult Pastor, Christ Our Redeemer African Methodist Episcopal Church; and Joshua Eatough Gnaizda, Chief Executive Officer and Founder, The Blue Heron Group LLC  
12:00 pm Meeting with Janet Yellen, Chair, Federal Reserve Board  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner  
4:00 pm Meeting with Howard Wetston, Chair, Ontario Securities Commission  
5:00 pm Meeting with Commissioner  
6:00 pm Phone call with James Cole, Deputy Attorney General, Department of Justice  
6:10 pm Meeting with staff

Friday, April 11, 2014

10:00 am Meeting with Commissioner  
11:00 am Meeting with Dimensional Funds: David Booth, Board Director, Chairman and Co-Chief Executive Officer, Dimensional Funds; Catherine Newell, General Counsel, Dimensional Fund Advisors, LP; and Bruce Leto, Partner, Stradley Ronon Stevens and Young, LLP  
1:00 pm Regulatory Roundtable

Monday, April 14, 2014

10:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, April 15, 2014

9:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Meeting with Commissioner  
4:00 pm Meeting with the Investment Company Institute: William McNabb, Chairman;  
and Paul Schott Stevens, President and Chief Executive Officer  
5:00 pm Phone call with James Gorman, Chairman and Chief Executive Officer, Morgan  
Stanley  
5:10 pm Meeting with staff

Wednesday, April 16, 2014

10:00 am Meeting with staff

Thursday, April 17, 2014

9:30 am Meeting with staff  
9:45 am Phone call with Commissioner  
11:15 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with Commissioner  
12:15 pm Meeting with staff  
1:15 pm Meeting with Commissioner  
2:00 pm Closed Commission Meeting  
2:45 pm Meeting with staff  
5:00pm Meeting with staff  
5:45 pm Meeting with staff

Friday, April 18, 2014

Out of office

Monday, April 21, 2014

1:00 pm Meeting with staff  
2:00 pm Meeting with Commissioner

Tuesday, April 22, 2014

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with Commissioner

Wednesday, April 23, 2014

9:30 am	Phone call with Donald Nicolaisen, former SEC Chief Accountant
10:00 am	Meeting with Commissioner
11:00 am	Meeting with staff
1:00 pm	Meeting with Dennis Kelleher, President and Chief Executive Officer, Better Markets, Inc.; Joseph Saluzzi, Partner, co-Founder and co-Head of Equity Trading, Themis Trading LLC.; and Sal Arnuk, Partner, co-Founder and co-Head of Equity Trading, Themis Trading LLC.
2:00 pm	Meeting with job applicant
2:30 pm	Meeting with staff
3:00 pm	Meeting with the Investment Adviser Association (IAA): Christine Carsman, Senior Vice President and Deputy General Counsel, Affiliated Managers Group, and member of the IAA Board of Governors; Chery Hesse, Senior Vice President and Senior Counsel, Capital Guardian Trust Company, and Chair of the IAA Legal and Regulatory Committee; Karen Barr, General Counsel, IAA; Robert Burns, General Counsel, Putnam Investments, Vice Chair of the IAA Legal and Regulatory Committee; and Neil Simon, Vice President for Government Relations, IAA
4:00 pm	Meeting with staff
4:45 pm	Meeting with staff

Thursday, April 24, 2014

10:00 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Phone call with Congresswoman Maxine Waters
1:30 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with the Volcker Alliance: Paul Volcker, Chairman; and Michael Bradfield, General Counsel
4:45 pm	Meeting with staff

Friday, April 25, 2014

10:00 am Meeting with staff  
1:30 pm Meeting with Evan Greenberg, Chairman and Chief Executive Officer, ACE Group

Monday, April 28, 2014

10:30 am Meeting with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission  
4:00 pm Meeting with Congressman Jeb Hensarling  
4:45 pm Phone call with Congresswoman Carolyn Maloney  
5:30 pm Meeting with Congressman Scott Garrett

Tuesday, April 29, 2014

10:00 am Hearing before the House Financial Services Committee  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with Commissioner

Wednesday, April 30, 2014

11:30 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Speaking Engagement at the DC Women's White Collar Defense Association  
2:30 pm Meeting with Senator Richard Shelby  
4:00 pm Meeting with the Government of Hong Kong: Ka Keung Chan, Secretary for Financial Services and the Treasury; Cheuk Man Leung, Commissioner for Economic and Trade Affairs, USA; Kim Cheung Sy, Administrative Assistant to Secretary for Financial Services and the Treasury; Siu Yum Chan, Deputy Director-General of the Economic and Trade Office (Washington); Sau Ling Wong, Press Secretary to Secretary for Financial Services and the Treasury; Lai Ying Ngai, Assistant Secretary for Financial Services and the Treasury; and Kwok Piu Wong, Assistant Director-General of the Economic and Trade Office (Washington)  
4:00 pm Meeting with Yasuhisa Shiozaki, Congressman, Japan; Hiroshi Okada, Counselor of Finance, Embassy of Japan; and Hikari Nishimoto, Secretary, Embassy of Japan  
5:00 pm Meeting with Commissioner  
6:00 pm Meeting with staff

Thursday, May 1, 2014

11:45 am Meeting with Commissioner  
1:00 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Phone call with Commissioner  
4:00 pm Meeting with staff

Friday, May 2, 2014

9:00 am Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen, Chair, Federal Reserve Board; Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation; and William Dudley, President, Federal Reserve Bank of New York  
10:30 am Meeting with the AICPA: Barry C. Melancon, President and Chief Executive Officer; Mark Peterson, Senior Vice President of Congressional and Political Affairs; and Diana Huntress Deems, Director of Congressional and Political Affairs  
11:30 am Meeting with staff  
1:15 pm Meeting with staff

Monday, May 5, 2014

1:00 pm Meeting with staff  
2:00 pm Meeting with job applicant  
2:30 pm Meeting with job applicant  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with Commissioner

Tuesday, May 6, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
1:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:15 pm Phone call with job applicant  
7:00 pm Speaking Engagement at the American Association of University Women, McLean Chapter



Wednesday, May 7, 2014

9:00 am Meeting with staff  
10:00 am Meeting with Senator Tom Udall  
11:00 am Meeting with the SEC Labor Management Forum  
1:00 pm Financial Stability Oversight Council Principals Meeting  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Thursday, May 8, 2014

10:30 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner  
4:00 pm Meeting with Lisa Woll, Chief Executive Officer, US SIF; Alya Kayal, Director of Policy and Programs, US SIF; and Lance Pierce, Executive Director and Chief Operating Officer, Ceres  
4:30 pm Meeting with staff  
5:00 pm Meeting with staff  
6:00 pm Phone call with job applicant  
6:10 pm Phone call with job applicant  
6:20 pm Phone call with Commissioner

Friday, May 9, 2014

8:00 am Meeting with the Federal Advisory Council: Richard Holbrook, Chairman and Chief Executive Officer, Eastern Bank Corporation; James Gorman, Chairman and Chief Executive Officer, Morgan Stanley; Scott Fainor, President and Chief Executive Officer, National Penn Bancshares, Inc.; Paul Greig, Chairman, President, and Chief Executive Officer, FirstMerit Corporation; Kelly King, Chairman and Chief Executive Officer, BB&T Corporation; O.B. Grayson Hall, Jr., Chairman, President and Chief Executive Officer, Regions Financial Corporation; David Nelms, Chairman and Chief Executive Officer, Discover Financial Services; Ronald Kruszewski, Chairman, President and Chief Executive Officer, Stifel Financial Corp.; Patrick Donovan, President and Chief Executive Officer, Bremer Financial Corporation; Jonathan Kemper, Chairman and Chief Executive Officer, Commerce Bank (Kansas City); Ralph Babb, Jr., Chairman and Chief Executive Officer, Comerica, Inc.; J. Michael Shepherd, Chairman and Chief Executive Officer, BancWest Corporation; James Annable, Permanent Secretary, Federal Advisory Council; and Shani Schechter, Deputy Secretary, Federal Advisory Council  
9:30 am Meeting with Jeffrey Zients, Director, White House National Economic Council

11:00 am	Meeting with Citadel: Kenneth Griffin, Founder and Chief Executive Officer; and Adam Cooper, General Counsel
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff

#### Monday, May 12, 2014

11:30 am	Meeting with staff
12:30 pm	Meeting with staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

#### Tuesday, May 13, 2014

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with Commissioner
11:30 am	Meeting with staff
1:00 pm	Phone call with job applicant

#### Wednesday, May 14, 2014

2:00 pm	Hearing before the Senate Appropriations Subcommittee on Financial Services and General Government
6:00 pm	Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen, Chair, Federal Reserve Board; William Dudley, President, Federal Reserve Bank of New York; Martin Gruenberg, Chairman, Federal Deposit Insurance Company; and Mark Wetjen, Acting Chairman, Commodity Futures Trading Commission

#### Thursday, May 15, 2014

10:15 am	Meeting with Commissioner
11:00 am	Meeting with the United Kingdom Treasury Select Committee: Andrew Tyrie, Chairman; Mark Garnier, Member of Parliament; Stewart Hosie, Member of Parliament; Andrew Love, Member of Parliament; Pat McFadden, Member of Parliament; John Mann, Member of Parliament; George Mudie, Member of Parliament; Brooks Newmark, Member of Parliament; Jesse Norman, Member of Parliament; David Ruffley, Member of Parliament; John Thurso, Member of

	Parliament; Chris Stanton, Clerk; Adam Wales, Senior Economist; and Mervyn Tang, British Embassy
11:35 am	Meeting with Commissioner
12:00 pm	Meeting with Senator Elizabeth Warren
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Jean Rogers, Chief Executive Officer and Founder, Sustainability Accounting Standards Board (SASB)
4:45 pm	Meeting with staff
5:15 pm	Meeting with staff
6:00 pm	Meeting with Edith Ramirez, Chairwoman, Federal Trade Commission; Mark Wetjen, Acting Chairman, Commodity Futures Trading Commission; and Thomas Wheeler, Chairman, Federal Communications Commission

#### Friday, May 16, 2014

9:00 am	Speaking Engagement at the Division of Economic and Risk Analysis Conference
10:30 am	Phone call with Congressman Sean Duffy
11:00 am	Meeting with job applicant
11:30 am	Phone call with Joseph Grundfest, Professor, Stanford Law School
11:45 am	Phone call with James Doty, Chairman, Public Company Accounting Oversight Board
12:30 pm	Meeting with staff
1:00 pm	Meeting with Leo Melamed, Chairman Emeritus, CME Group
1:30 pm	Meeting with Larry Harris, Professor, University of Southern California Marshall School of Business

#### Monday, May 19, 2014

8:45 am	Speaking Engagement at the New York City Bar Association White Collar Crime Institute
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#### Tuesday, May 20, 2014

9:30 am	Meeting with staff
11:00 am	Meeting with Commissioner
12:30 pm	Meeting with Committee on Capital Markets Regulation: Hal Scott, Director; and Brian Johnson, Executive Director of Research
1:00 pm	Meeting with staff
2:00 pm	Meeting with BlackRock: Larry Fink, Chief Executive Officer; Barbara Novick, Vice Chairman; and Kathryn Fulton, Managing Director
2:45 pm	Meeting with staff
3:30 pm	Meeting with staff

- 6:00 pm Meeting with the Financial Accounting Foundation: Jeffrey J. Diermeier, Chairman, Board of Trustees; Carol Anthony (John) Davidson, Trustee; Ann Marie Petach, Trustee; John J. Brennan, Trustee; Paul G. Camel, Trustee; Charles S. Cox, Trustee; John C. Dugan, Trustee; W. Daniel Ebersole, Trustee; Stephen R. Howe, Jr., Trustee; Nancy K. Kopp, Trustee; W. M. (Mack) Lawhon, Trustee; Teri L. List-Stoll, Trustee; Edward E. Nusbaum, Trustee; Michelle R. Seitz, Trustee; Ann M. Spruill, Trustee; Luis M. Viceira, Trustee; Dr. Terry D. Warfield, Trustee; Russ Golden, Chairman, Financial Accounting Standards Board; James Kroeker, Vice Chairman, Financial Accounting Standards Board; and Dave Vaudt, Chairman, Governmental Accounting Standards Board
- 6:30 pm Speaking Engagement at the Financial Accounting Foundation Annual Board of Trustees Dinner

#### Wednesday, May 21, 2014

- 9:30 am Meeting with staff
- 10:00 am Closed Commission Meeting
- 10:30 am Phone call with Commissioner
- 11:30 am Meeting with staff
- 12:00 pm Meeting with job applicant
- 12:30 pm Meeting with staff
- 1:00 pm Meeting with Federated Investors, Inc.: J. Christopher Donahue, President and Chief Executive Officer; and John W. McGonigle, Vice Chair and Chief Legal Officer
- 2:00 pm Meeting with staff
- 2:30 pm Phone call with Michael Martin, Dean, Fordham Law School
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff
- 4:00 pm Meeting with Commissioner
- 5:15 pm Meeting with staff

#### Thursday, May 22, 2014

- 8:00 am Speaking Engagement at the Investment Company Institute 56<sup>th</sup> General Membership Meeting

#### Friday, May 23, 2014

Out of office

Monday, May 26, 2014

Memorial Day – Federal Holiday

Tuesday, May 27, 2014

11:00 am Meeting with Commissioner  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
6:00 pm Speaking Engagement at the Federal Reserve Board

Wednesday, May 28, 2014

9:00 am Meeting with staff  
10:30 am Meeting with Commissioner  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Meeting with Commissioner  
4:45 pm Meeting with Jacob Lew, Secretary, Department of the Treasury

Thursday, May 29, 2014

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with Melvin Watt, Director, Federal Housing Finance Agency  
1:30 pm Meeting with Richard Ketchum, Chairman and Chief Executive Officer, Financial Industry Regulatory Authority; Robert Colby, Chief Legal Officer, Financial Industry Regulatory Authority; and Commissioner Gallagher  
2:30 pm Phone call with Richard Cordray, Director, Consumer Financial Protection Bureau  
3:00 pm Closed Commission Meeting

Friday, May 30, 2014

10:30 am Meeting with staff  
11:00 am Meeting with Institutional Shareholder Services (ISS): Gary Retelny, President; Martha Carter, Global Head of Research; Steven Friedman, General Counsel; and Mari-Anne Pisarri, Partner, Pickard and Djinis LLP  
12:00 pm Meeting with staff  
1:00 pm Meeting with NASDAQ: Robert Greifeld, Chief Executive Officer; and Edward Knight, Executive Vice President

Monday, June 2, 2014

10:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Tuesday, June 3, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
1:30 pm Meeting with the United Kingdom Financial Conduct Authority: Martin Wheatley, Chief Executive; and David Lawton, Director of Markets  
4:00 pm Meeting with staff  
4:45 pm Meeting with Commissioner  
5:45 pm Meeting with the Mexican Comisión Nacional Bancaria y de Valores: Jaime Gonzalez, President; and José Loyola Trujillo, General Director of International Affairs  
6:30 pm Meeting with staff

Wednesday, June 4, 2014

9:10 am Meeting with Masamichi Kono, Vice Commissioner, Japanese Financial Services Agency  
11:00 am Closed Commission Meeting  
11:30 am Meeting with staff  
1:30 pm Meeting with Senator Mark Warner  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Thursday, June 5, 2014

12:30 pm Speaking Engagement at the 2014 Global Exchange and Brokerage Conference

Friday, June 6, 2014

10:30 am Meeting with staff  
11:30 am Meeting with staff

12:30 pm Meeting with Timothy Massad, Chairman, Commodity Futures Trading Commission

Monday, June 9, 2014

Out of office

Tuesday, June 10, 2014

7:30 am Speaking Engagement at the Financial Services Leadership Forum  
1:00 pm Meeting with Mark Carney, Governor, Bank of England  
1:30 pm Meeting with staff  
2:45 pm Phone call with Commissioner

Wednesday, June 11, 2014

6:30 am IOSCO Board Meeting Lunch  
7:00 am Meeting with Gerard Rameix, President, L'Autorité des Marchés Financiers  
7:30 am Meeting with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission  
8:00 am IOSCO Board Meeting  
12:15 pm IOSCO Board Meeting  
12:30 pm IOSCO Board Meeting Dinner  
1:30 pm Meeting with Elvira Rodriguez, Chair, Comisión Nacional del Mercado de Valores of Spain

Thursday, June 12, 2014

1:30 am Meeting with Howard Wetston, Chair, Ontario Securities Commission  
3:00 am IOSCO Board Meeting  
6:30 am IOSCO Board Meeting Lunch  
7:30 am Meeting with Ranjit Singh, Chairman, Malaysian Securities Commission  
8:00 am IOSCO Board Meeting

Friday, June 13, 2014

1:45 am Meeting with Greg Medcraft, Chairman, Australian Securities and Investment Commission  
3:00 am Monitoring Board Meeting  
8:00 am OTC Derivatives Regulators Group Principals Meeting

Monday, June 16, 2014

1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
8:00 pm Phone call with Masamichi Kono, Vice Commissioner, Japanese Financial Services Agency

Tuesday, June 17, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with the Governmental Accounting Standards Board: David Vaudt, Chairman; and Teresa Polley, President and Chief Executive Officer  
11:30 am Meeting with job applicant  
12:00 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Wednesday, June 18, 2014

11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Meeting with staff  
2:15 pm Meeting with TD Ameritrade: Fred Tomczyk, President and Chief Executive Officer; Steve Quirk, Senior Vice President, TD Ameritrade's Trader Group; Paul Jiganti, Managing Director, Market Structure and Client Advocacy; Ellen Koplow, General Counsel; John Markle, Deputy General Counsel; Joel Oswald, Principal, Williams and Jensen; and David Franasiak, Principal, Williams and Jensen  
3:00 pm Meeting with National Treasury Employees Union: Greg Gilman, President, Chapter 293; and Ralph Talarico, Assistant Counsel  
3:30 pm Meeting with the Business Roundtable: Alexander Cutler, Chairman and Chief Executive Officer, Eaton Corporation, and Chairman, Business Roundtable Corporate Governance Committee; Michael J. Ryan, Jr., Vice President, Business Roundtable; Barry Doggett, Vice President, Public and Community Affairs at Eaton Corporation; and Amy Goodman, Partner, Gibson Dunn  
5:00 pm Meeting with Senator Al Franken



Thursday, June 19, 2014

8:45 am Meeting with staff  
9:00 am Meeting with Commissioner  
10:00 am Meeting with the Financial Accounting Foundation: Teresa Polley, President and Chief Executive Officer; Russell Golden, Chairman; and John Dugan, Trustee  
10:45 am Meeting with staff  
12:00 pm Meeting with James Doty, Chairman, Public Company Accounting Oversight Board  
1:30 pm Meeting with staff  
2:00 pm Closed Commission Meeting

Friday, June 20, 2014

11:30 am Speaking Engagement at the Economic Club of New York  
3:45 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission

Saturday, June 21, 2014

9:00 am Phone call with Janet Yellen, Chair, Federal Reserve Board

Monday, June 23, 2014

4:30 pm Meeting with staff  
5:50 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission  
9:45 pm Speaking Engagement at the 20<sup>th</sup> Annual Stanford Director's College

Tuesday, June 24, 2014

10:45 am Meeting with staff  
11:45 am Meeting with staff  
12:15 pm Meeting with staff  
12:45 pm Meeting with Commissioner  
2:00 pm Financial Stability Oversight Council Principals Meeting  
5:00 pm Phone call with Commissioner  
6:00 pm Meeting with staff  
6:30 pm Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation  
7:00 pm Meeting with staff

Wednesday, June 25, 2014

10:00 am Open Commission Meeting  
12:30 pm Speaking Engagement at the Congressional Black Caucus  
1:30 pm Meeting with staff  
3:00 pm Meeting with Senators Benjamin Cardin, Patrick Leahy, Carl Levin and Edward Markey  
4:00 pm Meeting with Jacob Lew, Secretary, Department of the Treasury; Janet Yellen, Chair, Federal Reserve Board; William Dudley, President, Federal Reserve Bank of New York; Martin Gruenberg, Chairman, Federal Deposit Insurance Company; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Thursday, June 26, 2014

9:45 am Meeting with staff  
10:00 am Meeting with DTCC: Robert Druskin, Chairman; Michael Bodson, President and Chief Executive Officer; Donna Milrod, Chief Administrative Officer; Larry Thompson, General Counsel; and Bari Jane Wolfe, Managing Director of Regulatory Relations  
11:30 am Meeting with Senator Carl Levin  
12:30 pm Meeting with staff  
1:00 pm Meeting with The Committee for the Financial Standard: Ron A. Rhoades, Steering Group Member; Clark M. Blackman II, Steering Group Member; Kathleen McBride, Steering Group Member; Patricia P. Houlihan, President and Owner of Houlihan Financial Resource Group; and W. Scott Simon, Principal at Prudent Investor Advisors, LLC  
2:00 pm Closed Commission Meeting

Friday, June 27, 2014

9:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Phone call with Mark Carney, Governor, Bank of England  
4:45 pm Phone call with Daniel Tarullo, Governor, Federal Reserve Board; and Marisa Lago, Assistant Secretary for the International Markets and Development, Department of the Treasury

Sunday, June 29, 2014

10:00 am Meeting with Masamichi Kono, Vice Commissioner, Japanese Financial Services Agency  
10:30 am OTC Derivatives Regulators Group Meeting

Monday, June 30, 2014

2:00 am Financial Stability Board Steering Committee Meeting

Tuesday, July 1, 2014

10:00 pm Meeting with staff

Wednesday, July 2, 2014

9:00 am Meeting with staff

10:00 am Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency

10:30 am Meeting with staff

11:00 am Meeting with Drew Breakspear, Commissioner, Florida Office of Financial Regulation

1:00 pm Meeting with staff

2:00 pm Meeting with staff

2:30 pm Meeting with staff

Thursday, July 3, 2014

Out of office

Friday, July 4, 2014

Independence Day – Federal Holiday

Monday, July 7, 2014

12:30 pm Meeting with staff

1:30 pm Phone call with Joseph Grundfest, Professor, Stanford Law School

2:00 pm Meeting with staff

3:30 pm Meeting with staff

Tuesday, July 8, 2014

9:00 am Meeting with staff

10:00 am Meeting with staff

10:30 am	Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council
12:30 pm	Phone call with Richard Cordray, Director, Consumer Financial Protection Bureau
1:00 pm	Meeting with job applicant
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with FMR: Abigail Johnson, President; Jonathan Chiel, Executive Vice President and General Counsel; and J.J. Johnson, Executive Vice President, Public Affairs and Policy Group
3:00 pm	Meeting with staff
4:45 pm	Meeting with Commissioner

#### Wednesday, July 9, 2014

10:00 am	Phone call with Debbie Matz, Chairman, National Credit Union Administration
11:00 am	Meeting with Congressman Robert Goodlatte
2:00 pm	Meeting with Investor Advisory Committee Officers: Kurt Schacht, Chairman; Craig Goettsch, Vice Chairman; J. Robert Brown, Jr., Secretary; Anne Sheehan, Chairman, Investor as Owner Subcommittee; Barbara Roper, Chairman, Investor as Purchaser Subcommittee; Roger Ganser, Chairman, Investor Education Subcommittee; and Steven Wallman, Chairman, Market Structure Subcommittee
3:00 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board
4:00 pm	Phone call with Melvin Watt, Director, Federal Housing Finance Agency
4:30 pm	Meeting with staff

#### Thursday, July 10, 2014

10:00 am	Investor Advisory Committee Meeting
11:00 am	Meeting with staff
11:30 am	Meeting with staff
2:00 pm	Closed Commission Meeting
3:30 pm	Meeting with staff
4:00 pm	Meeting with Commissioner

#### Friday, July 11, 2014

10:00 am	Phone call with James Cole, Deputy Attorney General, Department of Justice
10:30 am	Meeting with staff

#### Monday, July 14, 2014

12:00 pm	Meeting with job applicant
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Tuesday, July 15, 2014

9:30 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
2:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:45 pm	Meeting with Commissioner

Wednesday, July 16, 2014

9:45 am	Meeting with staff
10:15 am	Meeting with staff
11:00 am	Meeting with staff
12:00 am	Meeting with staff
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with Commissioner

Thursday, July 17, 2014

10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with staff

Friday, July 18, 2014

10:00 am	Meeting with Congressman Patrick McHenry
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with George Washington Business School Fellows

Monday, July 21, 2014

11:30 am Meeting with staff  
2:30 pm Meeting with Jacob Lew, Secretary, Department of the Treasury  
3:00 pm Meeting with Jacob Lew, Secretary, Department of the Treasury; Shaun Donovan, Secretary, Department of Housing and Urban Development; Janet Yellen, Chair, Federal Reserve Board; Daniel Tarullo, Governor, Federal Reserve Board; Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation; Thomas Curry, Comptroller, Office of the Comptroller of the Currency; and Melvin Watt, Director, Federal Housing Finance Agency  
4:45 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission

Tuesday, July 22, 2014

10:00 am Meeting with staff  
11:15 am Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council  
11:30 am Meeting with staff  
3:00 pm Phone call with Senator Patrick Toomey  
3:30 pm Meeting with staff  
4:00 pm Meeting with Daniel Tarullo, Governor, Federal Reserve Board  
5:00 pm Meeting with Commissioner

Wednesday, July 23, 2014

10:00 am Open Commission Meeting  
12:00 pm Phone call with Senator Patrick Toomey  
1:00 pm Closed Commission Meeting  
2:00 pm Meeting with staff  
3:15 pm Commemoration of Four-Year Anniversary of Dodd-Frank at the Rayburn House Office Building  
4:00 pm Meeting with staff

Thursday, July 24, 2014

11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with Knight Capital Group: Daniel B. Coleman, Chief Executive Officer; John McCarthy, General Counsel; Mitchell Feuer, Principal, Rich Feuer Anderson; and John Anderson, Principal, Rich Feuer Anderson  
2:00 pm Closed Commission Meeting

3:00 pm Meeting with Commissioner  
5:00 pm Meeting with staff  
6:00 pm Meeting with Timothy Massad, Chairman, Commodity Futures Trading  
Commission

Friday, July 25, 2014

10:00 am Oral Argument before the Commission  
11:30 am Meeting with staff  
12:00 pm Meeting with Bart Chilton, Senior Policy Advisor, DLA Piper  
12:30 pm Meeting with staff

Monday, July 28, 2014

10:30 am Phone call with Richard Ketchum, Chairman and Chief Executive Officer, Financial  
Industry Regulatory Authority  
11:00 am Meeting with staff  
2:30 pm Meeting with Timothy Massad, Chairman, Commodity Futures Trading  
Commission; and Roy Woodall, Independent Member with Insurance Expertise,  
Financial Stability Oversight Council  
5:00 pm Meeting with Commissioner  
6:15 pm Phone call with Thomas Perez, Secretary, Department of Labor

Tuesday, July 29, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:40 am Phone call with Jacob Lew, Secretary, Department of the Treasury  
12:30 pm Meeting with staff  
1:00 pm Meeting with staff

Wednesday, July 30, 2014

10:00 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading  
Commission  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner

Thursday, July 31, 2014

7:00 am Financial Stability Board Steering Committee Call  
9:00 am Meeting with staff  
11:30 am Meeting with staff  
1:30 pm Financial Stability Oversight Council Principals Meeting  
4:00 pm Meeting with staff

Friday, August 1, 2014

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board

Monday, August 4, 2014

11:30 am Meeting with staff  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, August 5, 2014

9:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with MarketAxess: Richard McVey, Chief Executive Officer; Scott Pintoff, General Counsel; Ron Steinfeld, Chief Compliance Officer; Graham Harper, Partner, Delta Strategy Group; and Hope Jarkowski, Partner, Delta Strategy Group  
2:00 pm Meeting with Commissioner

Wednesday, August 6, 2014

10:00 am Meeting with the White House National Economic Council: Jeffrey Zients, Director, National Economic Council and Assistant to the President for Economic Policy; Seth Wheeler, Special Assistant to the President for Economic Policy; and Lily Batchelder, Deputy Director  
11:00 am Meeting with the Center for Executive Compensation: Charles G. Tharp, Chief Executive Officer, Center On Executive Compensation; Timothy J. Bartl, President, Center On Executive Compensation; Michele A. Carlin, Executive Vice President, Center On Executive Compensation; Catherine Hall, Director,



Executive Compensation, IBM Corporation; Meredith W. Singer, Governmental Programs Executive, IBM Corporation; Noreen Farrell, Vice President, Total Rewards COE, Tyco; and Elizabeth Shea, Senior Director, Executive Compensation, Tyco

12:00 pm Meeting with Arunma Oteh, Director General, Nigerian Securities and Exchange Commission  
2:00 pm Meeting with staff  
4:00 pm Meeting with Commissioner

Thursday, August 7, 2014

12:30 pm Meeting with staff  
1:00 pm Meeting with staff  
1:30 pm Phone call with Sonia Sotomayor, Associate Justice, Supreme Court  
2:00 pm Closed Commission Meeting  
3:00 pm Phone call with Commissioner  
4:00 pm Meeting with Commissioner

Friday, August 8, 2014

10:00 am Meeting with staff  
10:45 am Meeting with staff  
12:00 pm Meeting with staff  
2:30 pm Meeting with staff

Monday, August 11, 2014

Jury duty

Tuesday, August 12, 2014

1:00 pm Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency

Wednesday, August 13, 2014

Out of office

Thursday, August 14, 2014

Out of office

Friday, August 15, 2014

Out of office

Monday, August 18, 2014

11:00 am Meeting with staff  
11:30 am Phone call with James Doty, Chairman, Public Company Accounting Oversight Board  
8:30 pm Meeting with staff

Tuesday, August 19, 2014

9:45 am Speaking Engagement at the 2014 Southwest Securities Conference

Wednesday, August 20, 2014

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
1:30 pm Phone call with Leonardo Pereira, Chairman, Comissão de Valores Mobiliários  
3:00 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission  
4:30 pm Meeting with staff  
5:30 pm Meeting with staff  
7:00 pm Meeting with Mary Miller, Under Secretary for Domestic Finance, Department of the Treasury

Thursday, August 21, 2014

10:00 am Meeting with staff  
10:30 am Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency  
11:30 am Meeting with Jacob Lew, Secretary, Department of the Treasury  
2:30 pm Closed Commission Meeting  
3:00 pm Phone call with Commissioner  
4:00 pm Meeting with Commissioner  
5:00 pm Meeting with staff  
7:00 pm Meeting with Neil Eggleston, Counsel, White House

Friday, August 22, 2014

11:00 am Meeting with Shaun Donovan, Director, Office of Management and Budget  
1:00 pm Meeting with Norah O'Donnell, Co-Anchor, CBS This Morning

Monday, August 25, 2014

1:00 pm Phone call with Edith Ramirez, Chairwoman, Federal Trade Commission  
2:00 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, August 26, 2014

10:00 am Meeting with staff  
1:15 pm Meeting with the Department of Labor: Thomas Perez, Secretary; Timothy Hauser, Deputy Assistant Secretary; Judy Mares, Deputy Assistant Secretary; and Phyllis Borzi, Assistant Secretary for the Employee Benefits Security Administration  
2:15 pm Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council  
3:00 pm Meeting with staff  
5:00 pm Meeting with Commissioner

Wednesday, August 27, 2014

10:00 am Open Commission Meeting  
11:45 am Meeting with staff  
4:45 pm Meeting with staff

Thursday, August 28, 2014

Out of office

Friday, August 29, 2014

Out of office

Monday, September 1, 2014

Labor Day – Federal Holiday

Tuesday, September 2, 2014

Out of office

Wednesday, September 3, 2014

9:30 am	Meeting with staff
10:15 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
3:00 pm	Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission
3:30 pm	Meeting with Masamichi Kono, Vice Minister for International Affairs, Japanese Financial Services Agency; Yoko Hato, Section Chief, Japanese Financial Services Agency; Toshinori Doi, Minister, Japanese Embassy; Hiroshi Okada, Counselor, Japanese Embassy
4:00 pm	Meeting with Steven Maijoor, Chair of European Securities Markets Authority
5:30 pm	Meeting with staff
6:15 pm	Phone call with Mary Miller, Under Secretary for Domestic Finance, Department of the Treasury

Thursday, September 4, 2014

8:45 am	Volcker Principals Meeting
10:00 am	Financial Stability Oversight Council Principals Meeting
2:00 pm	Closed Commission Meeting
2:30 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner
5:00 pm	Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency
5:05 pm	Meeting with Commissioner

Friday, September 5, 2014

10:00 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff

Monday, September 8, 2014

10:30 am Meeting with staff  
11:30 am Meeting with staff

Tuesday, September 9, 2014

10:00 am Hearing before the Senate Committee on Banking, Housing and Urban Affairs  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff  
4:30pm Meeting with International Accounting Standards Board: Hans Hoogevorst, Chairman; and Mary Tokar, Member  
5:00pm Meeting with Commissioner

Wednesday, September 10, 2014

9:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with Commissioner  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Secretary's Honor Award Ceremony for Mary Miller, Under Secretary for Domestic Finance, Department of the Treasury

Thursday, September 11, 2014

10:00 am Speaking Engagement at the Systemic Risk Council  
11:30 am Phone call with Daniel Tarullo, Governor, Federal Reserve Board  
12:30 pm Meeting with staff  
1:00 pm Meeting with Jack Inglis, Chief Executive Officer Alternative Investment Management Association; Jiri Krol, Deputy Chief Executive Officer, Alternative Investment Management Association; Daniel F. C. Crowley, Partner, K&L Gates; Adam Jacobs, Director, Head of Markets Regulation, Alternative Investment Management Association  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner  
4:00 pm Meeting with Commissioner  
5:00 pm Phone call with Thomas Curry, Comptroller, Office of the Comptroller of the Currency

Friday, September 12, 2014

9:00 am Meeting with staff  
9:30 am Phone call with Martin Gruenberg, Chairman, Federal Deposit Insurance Corporation  
10:00 am Meeting with staff

Monday, September 15, 2014

11:00 am Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with Investor Advisory Committee Members: Ann Yerger and Anne Sheehan  
4:00 pm Meeting with staff  
6:00 pm Farewell reception for Mary Miller, Under Secretary for Domestic Finance, Department of the Treasury  
7:00 pm Meeting with Thomas Wheeler, Chairman, Federal Communications Commission; Edith Ramirez, Chairwoman, Federal Trade Commission; and Timothy Massad, Chairman, Commodity Futures Trading Commission

Tuesday, September 16, 2014

9:00 am Speaking Engagement at the SAIS Global Conference on Women in the Boardroom  
11:00 am Speaking Engagement at the SRO Outreach Conference  
12:30 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with Commissioner  
3:00 pm Meeting with Howard Wetston, Chair, Ontario Securities Commission  
4:30 pm Meeting with Senator Sheldon Whitehouse  
5:30 pm Portrait Unveiling of the Honorable Spencer Bachus

Wednesday, September 17, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with National Treasury Employees Union: Greg Gilman, President, Chapter 293; Ralph Talarico, Assistant Counsel  
11:30 am Meeting with staff  
12:00 pm Phone call with Commissioner  
1:00 pm Meeting with staff

2:30 pm	Meeting with the Federal Reserve Bank of New York: William Dudley, President; and Michael Nelson, Senior Vice President
3:30 pm	Meeting with staff
5:00 pm	Meeting with staff

#### Thursday, September 18, 2014

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with Kenneth Bentsen Jr, SIFMA; Ananias Blocker, SIFMA; Curt Bradbury, Stephens; Mary Burnes, Edward Jones; Daniel Coleman, KCG; Ira Hammerman, SIFMA; Jason Jarcho, Stephens Inc.; TR Lazo, SIFMA; Jose Marques, Deutsche Bank; Michael Masone, Citi; Dave Oxner, SIFMA; Randy Snook, SIFMA; Doug Woodcock, DA Davidson
11:30 am	Meeting with staff
12:00 pm	Meeting with Marcy Gordon, AP; Dave Michaels, Bloomberg; Sarah Lynch, Reuters; Andrew Ackerman, Wall Street Journal; Patrick Temple-West, Politico; Gina Chon, Financial Times
2:00 pm	Closed Commission Meeting
3:00 pm	Meeting with Commissioner
4:00 pm	Meeting with Commissioner
6:00 pm	Speaking Engagement at the Financial Industry Regulatory Authority

#### Friday, September 19, 2014

8:00 am	Meeting with the Congressional Caucus on CPAs and Accountants
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
11:30 am	Meeting with staff
1:30 pm	Phone call with Daniel Tarullo, Governor, Federal Reserve Board

#### Monday, September 22, 2014

11:00 am	Meeting with staff
4:00 pm	Speaking Engagement at Investiture Ceremony for Judge Cheryl Krause

#### Tuesday, September 23, 2014

1:00 pm	Meeting with staff
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Wednesday, September 24, 2014

10:00 am Closed Commission Meeting  
11:25 am Meeting with staff  
11:45 am Meeting with staff  
12:05 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff

Thursday, September 25, 2014

7:00 am Phone call with Greg Medcraft, Chairman, Australian Securities and Investment Commission  
9:00 am Speaking Engagement at the Audit Committee Leadership Network  
10:00 am Phone call with Commissioner  
12:30 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission  
2:00 pm Phone call with Commissioner

Friday, September 26, 2014

10:00 am Meeting with staff

Monday, September 29, 2014

12:30 pm IOSCO Board Meeting  
4:00 pm IOSCO Board Meeting

Tuesday, September 30, 2014

6:15 am Meeting with Howard Wetston, Chair, Ontario Securities Commission  
7:00 am IOSCO Board Meeting  
11:30 am Meeting with Merel van Vroonhoven, Chair, Netherlands Authority for Financial Markets  
12:30 pm IOSCO Board Meeting  
6:00 pm Meeting with Shmuel Hauser, Chairman, Israel Securities Authority  
7:30 pm Meeting with Masayuki Yoshida, Chairman, Japanese Securities and Exchange Surveillance Commission



Wednesday, October 1, 2014

7:30 am IOSCO President's Committee Meeting  
9:30 am IOSCO President's Committee Meeting  
11:30 am Meeting with H.E. Mohammed Al-Sheikh, Chairman, Saudi Arabia Capital Market Authority  
2:45 pm Speaking Engagement at IOSCO Conference

Thursday, October 2, 2014

Out of office

Friday, October 3, 2014

10:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff

Monday, October 6, 2014

10:30 am Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council  
11:30 am Regulators Roundtable at White House  
2:00 pm Financial Stability Oversight Council Principals Meeting  
4:00 pm US-UK Principals Level Exercise on Cross-Border Resolution Coordination Meeting

Tuesday, October 7, 2014

9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
1:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:00 pm Meeting with the North American Securities Administrators Association: William Beatty, President; Judith Shaw, Securities Administrator, Maine Office of Securities; and Joseph Brady, Acting Executive Director and General Counsel  
4:00 pm Meeting with staff  
5:00 pm Meeting with Commissioner

Wednesday, October 8, 2014

10:00 am Meeting with staff  
10:30 am Meeting with Commissioner  
11:30 am Meeting with staff  
1:00 pm Meeting with Chris Gibson-Smith, Chairman, London Stock Exchange Group; Susan Milligan, Head of US Public Affairs, London Stock Exchange Group; Sarah Baker, Senior Manager, Government Relations and Public Policy, London Stock Exchange Group; and Peter Rich, Rich Feuer Anderson  
1:30 pm Meeting with staff  
3:00 pm Meeting with SEC Investor Advisory Committee Officers: Craig Goettsch, Vice Chairman; Jay Brown, Secretary; Jean Setzfand, Assistant Secretary; Barbara Roper, Investor as Purchaser Subcommittee Chair; Steven Wallman, Market Structure Subcommittee Chair; Roger Ganser, Investor Education Subcommittee Chair; and Ann Yerger, Attending on behalf of Anne Sheehan - Investor as Owner Subcommittee Chair  
5:00 pm Meeting with Commissioner

Thursday, October 9, 2014

9:15 am Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission  
10:00 am Investor Advisory Committee meeting  
12:30 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner

Friday, October 10, 2014

9:30 am Meeting with staff  
10:45 am Meeting with Morgan Stanley: James Gorman, Chairman and Chief Executive Officer; and Eric Grossman, Chief Legal Officer  
11:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:00 pm Meeting with Jonathan Faull, Director General for Internal Market and Services, European Commission  
1:30 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission

Sunday, October 12, 2014

3:30 pm Regulatory Roundtable

Monday, October 13, 2014

Columbus Day – Federal Holiday

- 7:30 am Meeting with Martin Wheatley, Chief Executive Officer, United Kingdom Financial Conduct Authority; Minouche Shafik, Deputy Governor, Bank of England
- 8:30 am Principal-Level Event on G-SIB Resolution

Tuesday, October 14, 2014

- 9:00 am Meeting with staff
- 12:00 pm Meeting with Timothy Massad, Chairman, Commodity Futures Trading Commission
- 3:00 pm Meeting with Luiz Pereira, Deputy Central Bank Governor of Brazil
- 4:00 pm Meeting with Ambassador Alexander Karrer, Deputy Swiss State Secretary for International Financial Matters
- 4:30 pm Meeting with staff
- 5:00 pm Meeting with Commissioner

Wednesday, October 15, 2014

- 7:00 am OTC Derivatives Regulators Group Principals Call
- 9:30 am Meeting with staff
- 10:00 am Meeting with Richard Robbins, General Counsel and Corporate Secretary, Morningstar, Inc.; Scott Schilling, Global Chief Compliance Officer, Morningstar, Inc.; Vickie Tillman, President of Morningstar Credit Ratings, LLC; Dana Eddis, Designated Compliance Officer for Morningstar Credit Ratings, LLC; and Jamie Brigagliano, Sidley Austin LLP
- 11:00 am Meeting with Jeffrey Sprecher, Chairman and Chief Executive Officer, IntercontinentalExchange; Elizabeth King, General Counsel, NYSE Group; and Brendon Weiss, Vice President Government Affairs, NYSE Group
- 12:00 pm Meeting with staff
- 1:00 pm Meeting with staff
- 1:30 pm Meeting with staff
- 2:00 pm Meeting with staff
- 3:00 pm Meeting with staff
- 3:45 pm Meeting with Commissioner
- 5:00 pm Meeting with Commissioner

Thursday, October 16, 2014

1:00 pm Speaking Engagement at the Ontario Securities Commission Dialogue

Friday, October 17, 2014

Out of office

Monday, October 20, 2014

9:45 am Public Company Accounting Oversight Board Investor Advisory Group Meeting  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Tuesday, October 21, 2014

9:00 am Meeting with staff  
11:00 am Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
5:00 pm Meeting with staff

Wednesday, October 22, 2014

10:00 am Open Commission Meeting  
2:00 pm Meeting with Jeanette Franzel, Public Company Accounting Oversight Board  
4:00 pm Meeting with Jay Hanson, Public Company Accounting Oversight Board

Thursday, October 23, 2014

10:00 am Meeting with Steve Harris, Board Member, Public Company Accounting Oversight Board  
11:00 am Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with Commissioner

Friday, October 24, 2014

10:00 am Meeting with Lewis Ferguson, Public Company Accounting Oversight Board  
12:15 pm Meeting with Maria Contreras-Sweet, Administrator, Small Business Administration  
1:30 pm Meeting with staff

Monday, October 27, 2014

10:30 am Meeting with staff  
11:00 am Meeting with Aditya Narain, IMF Mission Chief; Simon Gray, IMF Deputy Mission Chief; and Jay Surti, IOSCO  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
5:00 pm Meeting with staff  
5:15 pm Meeting with staff

Tuesday, October 28, 2014

8:00 am Financial Stability Board Steering Committee Call  
9:00 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Phone call with Howard Wetston, Chair, Ontario Securities Commission  
1:00 pm Meeting with Commissioner  
2:00 pm Meeting with staff  
3:00 pm Meeting with John Griffith-Jones, Chairman, United Kingdom Financial Conduct Authority  
4:00 pm Meeting with Commissioner

Wednesday, October 29, 2014

9:45 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with James Doty, Chairman, Public Company Accounting Oversight Board  
1:30 pm Closed Commission Meeting  
2:30 pm Phone call with Commissioner  
4:00 pm Meeting with Commissioner

Thursday, October 30, 2014

10:00 am Speaking Engagement at Municipal Securities Rulemaking Board Meeting  
11:30 am Meeting with staff

Friday, October 31, 2014

Out of office

Monday, November 3, 2014

11:00 am Meeting with Commissioner  
12:00 pm Meeting with staff  
1:00 pm Phone call with job applicant  
3:00 pm Financial Stability Oversight Council Hearing  
5:00 pm Financial Stability Oversight Council Principals Meeting

Tuesday, November 4, 2014

11:00 am Meeting with Financial Industry Regulatory Authority: Richard Ketchum, Chairman and Chief Executive Officer; Robert Colby, Chief Legal Officer; and Susan Axelrod, Executive Vice President, Regulatory Operations  
1:00 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff

Wednesday, November 5, 2014

7:00 am Phone call with Masamichi Kono, Vice Minister of International Affairs, Japanese Financial Services Agency  
9:00 am Meeting with staff  
10:15 am Phone call with Daniel Tarullo, Governor, Federal Reserve Board  
11:00 am Meeting with Stephen Harbeck, Chief Executive Officer and President, Securities Investor Protection Corporation  
1:00 pm Meeting with staff  
2:00 pm Meeting with Commissioner  
3:00 pm Meeting with staff  
4:30 pm Phone call with Allison Macfarlane, Chairman, Nuclear Regulatory Commission

Thursday, November 6, 2014

7:30 am	Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission
11:00 am	Phone call with Thomas Perez, Secretary, Department of Labor
11:30 am	Meeting with staff
2:00 pm	Closed Commission Meeting
3:00 pm	Phone call with Commissioner
4:00 pm	Meeting with Commissioner

#### Friday, November 7, 2014

7:30 am	Phone call with Martin Wheatley, Chief Executive Officer, United Kingdom Financial Conduct Authority
9:30 am	Phone call with Jerome Powell, Governor, Federal Reserve Board
10:00 am	Meeting with staff
10:30 am	Phone call with Gerard Rameix, Chairman, L'Autorité des Marchés Financiers
11:00 am	Meeting with staff
12:30 pm	Meeting with staff

#### Monday, November 10, 2014

8:40 am	Speaking Engagement at SIFMA Annual Meeting
4:00 pm	Meeting with staff

#### Tuesday, November 11, 2014

Veterans Day – Federal Holiday

#### Wednesday, November 12, 2014

11:30 am	Phone call with Michael Martin, Dean, Fordham Law School
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
3:00 pm	Meeting with staff

#### Thursday, November 13, 2014

7:00 am	IOSCO Board Meeting Call
9:00 am	Meeting with staff
10:00 am	Meeting with Government Accountability Office: James Dalkin, Director; Kristen Kociolek, Assistant Director; Meafelia Gusukuma, Auditor in Charge; Angela Bell, Senior IT Security Specialist; and Tony Wang, IT Auditor
11:00 am	Meeting with staff

2:00 pm Closed Commission Meeting  
4:00 pm Meeting with Commissioner  
5:00 pm Meeting with staff  
5:30 pm Reception for Senate Banking Chairman Tim Johnson

Friday, November 14, 2014

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:15 am Meeting with Commissioner  
12:00 pm Meeting with Kroll Bond Rating Agency: Jules Kroll, Chairman and Chief Executive Officer; and James Nadler, President and Chief Operating Officer

Monday, November 17, 2014

4:30 pm Phone call with Commissioner

Tuesday, November 18, 2014

10:30 am Meeting with staff  
6:30 pm Speaking Engagement at Fordham University School of Law, Fordham Stein Prize

Wednesday, November 19, 2014

9:00 am Phone call with Shaun Donovan, Director, Office of Management and Budget  
10:00 am Open Commission Meeting  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
2:15 pm Meeting with staff  
3:00 pm Meeting with Catherine Weatherford, President and Chief Executive Officer, Insured Retirement Institute; Stephen E. Roth, Partner, Sutherland Asbill and Brennan LLP; William Benjamin, Chief Executive Officer, U.S. Bank Corp. Investments and Insurance Services; Greg Cicotte, President, Jackson National Life Distributors; Robert DeChellis, President and Chief Executive Officer, Allianz Life Financial; Nick Lane, Head of US Life and Retirement, AXA; Paula Nelson, Head of Annuity Distribution, Forethought Financial Group; Shane Daly, Lead Director and Associate General Counsel, AXA; Nicole Petrosino, Head of Federal Government Relations, LPL Financial; Lynn Stone, Vice President, Corporate Counsel, Prudential Financial; Lee Covington, Senior Vice President and General Counsel, Insured Retirement Institute; Richard Choi, Shareholder, Carlton Fields Jordan Burt; and Dodie Kent, Partner, Sutherland Asbill and Brennan, Counsel, Committee of Annuity Insurers



4:30 pm Financial Sector Assessment Program (FSAP) Principals Meeting

Thursday, November 20, 2014

9:00 am Speaking Engagement at SEC Small Business Forum  
9:45 am Meeting with staff  
10:30 am Meeting with Adam Hamm, State Insurance Commissioner FSOC Designee  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
2:45 pm Meeting with staff  
3:00 pm Phone call with Congressman Ander Crenshaw  
3:45 pm Interview on CNBC Closing Bell  
4:45 pm Phone call with Neil Eggleston, Counsel, White House  
5:00 pm Meeting with staff  
6:00 pm Speaking Engagement at Columbia Law School Association of Washington, D.C.  
Distinguished Alumni Award

Friday, November 21, 2014

9:00 am Meeting with Christine Cornejo, Thomson Reuters; David Emery, Goldman Sachs; Ellen Greene, SIFMA; Manisha Kimmel, FIF; T.R. Lazo, SIFMA; Judy McDonald, Susquehanna International Group; Maura Miller, Credit Suisse; Deborah Mittelman, UBS; Jim Toes, Security Traders Association; and Ron Veith, JP Morgan  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with Richard Breeden, former SEC Chairman

Monday, November 24, 2014

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with Credit Suisse: Robert Shafir, Chief Executive Officer, Credit Suisse Americas; Lewis Wirshba, Chief Operating Officer, Credit Suisse Americas; Joseph Seidel, Credit Suisse Head of Public Policy; and Timothy O'Hara, Chief Executive Officer, Credit Suisse Securities  
12:00 pm Meeting with staff  
12:30 pm Phone call with Congresswoman Nita Lowey  
1:30 pm Federal Housing Finance Oversight Board Meeting  
3:05 pm Meeting with staff  
3:30 pm Meeting with staff

3:45 pm Meeting with staff  
4:30 pm Phone call with Jeffrey Zients, Director, White House National Economic Council  
and Assistant to the President for Economic Policy

Tuesday, November 25, 2014

10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff

Wednesday, November 26, 2014

Out of office

Thursday, November 27, 2014

Thanksgiving – Federal Holiday

Friday, November 28, 2014

Out of office

Monday, December 1, 2014

2:30 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, December 2, 2014

Out of office

Wednesday, December 3, 2014

10:30 am Phone call with Thomas Perez, Secretary, Department of Labor  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Phone call with Senator Al Franken  
12:30 pm Meeting with staff  
2:00 pm Meeting with Commissioner  
3:00 pm Meeting with staff  
4:30 pm Meeting with staff

5:00 pm Meeting with staff  
5:30 pm Meeting with staff

Thursday, December 4, 2014

9:00 am Meeting with staff  
9:15 am Phone call with Shaun Donovan, Director, Office of Management and Budget  
10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with job applicant  
1:15 pm Meeting with Commissioner  
2:00 pm Closed Commission Meeting  
3:00 pm Phone call with Commissioner  
4:00 pm Meeting with Commissioner  
5:00 pm Meeting with staff

Friday, December 5, 2014

9:30 am Meeting with Eisenhower School faculty and students  
10:30 am Meeting with Commissioner  
11:00 am Meeting with DTCC: Robert Druskin, Chairman; Michael Bodson, President and Chief Executive Officer; Donna Milrod, Chief Administrative Officer; Larry Thompson, General Counsel; and Bari Jane Wolfe, Managing Director, Regulatory Relations  
1:15 pm Meeting with staff

Monday, December 8, 2014

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff  
12:30 pm Meeting with staff  
2:00 pm Financial and Banking Information and Infrastructure Committee (FBIIC) Principals Meeting

Tuesday, December 9, 2014

12:00 pm Speaking Engagement at University Club of New York  
3:30 pm Meeting with staff  
4:30 pm Phone call with Senator Jon Tester

5:15 pm Meeting with staff

Wednesday, December 10, 2014

7:00 am IOSCO Board Conference Call  
12:00 pm Phone call with the National Treasury Employees Union: Greg Gilman, President of Chapter 293; Ralph Talarico, Assistant Counsel  
2:30 pm Meeting with staff  
4:30 pm Meeting with staff

Thursday, December 11, 2014

8:30 am Speaking Engagement at New York Times DealBook Conference  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with staff

Friday, December 12, 2014

9:00 am Meeting with staff  
9:30 am Meeting with L'Autorité des Marchés Financiers: Gerard Rameix, Chairman; Francoise Buisson, International Department; and Philippe Bouyoux, Head of the Regional Department of Economic Affairs, French Embassy  
10:15 am Meeting with staff  
11:30 am Meeting with staff  
12:00 pm Meeting with staff

Monday, December 15, 2014

11:30 am Meeting with Thomas Farley, President, NYSE Group; Elizabeth King, General Counsel, NYSE Group; and Brendon Weiss, Vice President Government Affairs, NYSE Group  
12:30 pm Meeting with Lael Brainard, Governor, Federal Reserve Board  
2:00 pm Meeting with staff  
3:00 pm Meeting with Jim McCaughan, Chief Executive Officer, Principal Global Investors; Andrew Donohue, Director, Compliance, Principal Global Investors; Jeffrey Hiller, Chief Compliance Officer, Principal Global Investors  
4:00 pm Meeting with staff  
4:30 pm Phone call with Timothy Massad, Chairman, Commodity Futures Trading Commission  
5:00 pm Meeting with staff

Tuesday, December 16, 2014

9:00 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with staff

Wednesday, December 17, 2014

9:30 am	Advisory Committee on Small and Emerging Companies Meeting
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
12:00 pm	Meeting with Debbie Matz, Chairman, National Credit Union Administration
1:15 pm	Meeting with staff
1:45 pm	Meeting with staff
2:30 pm	Meeting with Jerome Powell, Governor, Federal Reserve Board
3:30 pm	Phone call with Roy Woodall, Independent Member with Insurance Expertise, Financial Stability Oversight Council
4:00 pm	Meeting with Commissioner

Thursday, December 18, 2014

8:30 am	Meeting with staff
10:00 am	Financial Stability Oversight Council Principals Meeting
1:00 pm	Meeting with Karen L. Barr, President and Chief Executive Officer, Investment Adviser Association; Neil Simon, Vice President of Government Relations, Investment Adviser Association; Mary Keefe, Director of Regulatory Affairs, Nuveen Asset Management; Nancy Morris, Chief Compliance Officer, Wellington Management Co.; and Kathy Ireland, Acting General Counsel, Investment Adviser Association
2:00 pm	Closed Commission Meeting
2:30 pm	Phone call with Commissioner
2:45 pm	Meeting with staff
4:00 pm	Meeting with Commissioner

Friday, December 19, 2014

9:45 am Phone call with Congressman Ander Crenshaw

Monday, December 22, 2014

11:30 am Meeting with staff

Tuesday, December 23, 2014

1:30 pm Meeting with staff

Wednesday, December 24, 2014

Out of office

Thursday, December 25, 2014

Christmas – Federal Holiday

Friday, December 26, 2014

Out of office

Monday, December 29, 2014

12:00 pm Meeting with staff

Tuesday, December 30, 2014

Out of office

Wednesday, December 31, 2014

Out of office