

**Chair Gary Gensler**  
**Public Calendar**  
**October 1, 2022 to October 31, 2022**

Saturday, October 1, 2022

2:34 pm      Phone call with Staff

Sunday, October 2, 2022

1:31 pm      Phone call with Staff

2:01 pm      Phone call with Staff

Monday, October 3, 2022

8:00 am      Interview with Andrew Ross Sorkin and Becky Quick, CNBC Squawk Box

8:30 am      Meeting with Staff

9:00 am      Meeting with Staff

9:30 am      Phone call with Staff

9:30 am      Meeting with Staff

10:00 am      Interview with David Yaffe-Bellany, Reporter, The New York Times

11:45 am      Meeting with Staff

2:00 pm      Financial Stability Oversight Council meeting

4:30 pm      Interview with Emilie Ikeda, Correspondent, Today Show

6:34 pm      Phone call with Staff

Tuesday, October 4, 2022

8:30 am      Meeting with Staff

9:00 am      Meeting with Staff

9:30 am      Meeting with Staff

10:30 am      Meeting with Staff

11:00 am      Meeting with Staff

11:53 am      Phone call with Staff

12:16 pm      Phone call with Staff

12:30 pm      Meeting with Staff

1:00 pm      Meeting with Staff

2:00 pm      Meeting with Staff

3:10 pm      Phone call with Staff

3:15 pm      Meeting with Commissioner

4:00 pm      Meeting with Staff

5:14 pm      Phone call with Staff

Thursday, October 6, 2022

8:15 am Meeting with Bank of England: Jon Cunliffe, Deputy Governor; Sarah Breeden, Executive Director for UK Deposit Takers Supervision  
9:00 am Meeting with Staff  
9:50 am Phone call with Staff  
9:57 am Phone call with Staff  
10:30 am Meeting with Americans for Financial Reform (AFR): Lisa Donner, Executive Director; Sarah Pray, Managing Director of Policy; Alex Martin, Senior Policy Analyst for Climate Finance; Jessica Garcia, Policy Analyst for Climate Finance  
11:00 am Meeting with Staff  
11:45 am Meeting with Staff  
12:00 pm Meeting with Staff  
1:00 pm Meeting with Staff  
1:30 pm Meeting with Staff  
2:30 pm Meeting with Staff  
3:00 pm Meeting with Commissioner  
3:55 pm Phone call with Staff  
4:00 pm Speaking engagement, Commodity Futures Trading Commission (CFTC) and SEC Virtual Joint Career Forum  
4:30 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:30 pm Meeting with Staff  
6:04 pm Phone call with Staff

Friday, October 7, 2022

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
10:30 am Meeting with Boston Asset Managers Association: Jim Segel, President, Boston Asset Management Association; Bob Reynolds, President & Chief Executive Officer, Putnam Investments; Cathy Saunders, Head of Public Policy, Putnam Investments; Paul Castergine, Head of Internal Audit, Putnam Investments; Steve Tate, General Counsel & Chief Legal Officer, Putnam Investments; Katherine Collins, Head of Sustainable Investing, Putnam Investments; Jackie VanderBrug, Head of Sustainable Strategy, Putnam Investments; Tom Samoluk, Head of External Affairs and Investigative Services, John Hancock; Christopher Sechler, Vice President and Deputy Chief Counsel, John Hancock; Jim Febeo, Senior Vice President and Head of Government Relations, Fidelity Investments; Kristy Croushore, Vice President of Government Relations, Fidelity Investments; Roberto Braceras, Deputy General Counsel, Fidelity Investments; Daniel Barry, Vice President, Associate General Counsel, Fidelity Investments; Bob Holcomb, Vice President of Legislative and Regulatory Affairs, Empower Retirement; Keith Mancini, Assistant Vice President of Government Affairs, Empower Retirement; Ryan Logsdon, Deputy General Counsel, Empower Retirement; Ozzie Palomo,

Co-Founder & Managing Partner, Chartwell Strategy Group; Megan Greeley, Director, Chartwell Strategy Group; Cindy Clemson, Co-Director of Municipal Investments, Eaton Vance

11:00 am Meeting with Bank of America: Sarah Gordon, Senior Vice President, Enterprise Regulatory Relationships; Laura Chepucavage, Managing Director and Head of Trading, Global Funding; Soofian Zuberi, Managing Director and Head of Equities, Americas Equity Management; Ashok Krishnan, Managing Director and Head of Trading, Americas Equity Management; Antonio White, Senior Vice President, Executive Branch Engagement; Keith Douglas, Chief Financial Officer for Fixed Income, Currency and Commodities; Adam Elias, Senior Vice President, Federal Government Relations; Kavi Gupta, Managing Director

11:14 am Phone call with Staff

11:45 am Meeting with Securities Investor Protection Corporation (SIPC) Board: Claudia Slacik, Chair; Glen S. Fukushima, Vice Chair; William Brodsky, Director, SIPC, and Chairman, Cedar Street Asset Management, LLC, and Options Solutions, LLC; Gregory S. Karawan, Director, SIPC, and Senior Vice President & General Counsel, Insurance & Wealth Management, and Global Chief Litigation Counsel, Genworth Financial; Daniel M. Covitz, Director, SIPC, and Deputy Director, Division of Research and Statistics, Federal Reserve Board of Governors; W. Moses Kim, Director, SIPC, and Director, Office of Financial Institutions Policy, U.S. Department of the Treasury; Josephine Wang, President & Chief Executive Officer; Karen Saperstein, Vice President, Operations; Charles Glover, Vice President, Finance; Abraham Wons, Risk Manager; Christopher LaRosa, Senior Associate General Counsel

12:27 pm Phone call with Staff

12:30 pm Meeting with Staff

1:29 pm Phone call with Staff

1:30 pm Meeting with Staff

2:00 pm Meeting with Staff

3:00 pm Meeting with Congressman Brad Sherman

3:33 pm Phone call with Staff

3:34 pm Meeting with Job Candidate

4:30 pm Meeting with Job Candidate

5:20 pm Phone call with Staff

Monday, October 10, 2022

3:39 pm Phone call with Staff

4:14 pm Phone call with Staff

5:00 pm Phone call with Staff

Tuesday, October 11, 2022

8:30 am Meeting with Staff

9:00 am Meeting with Staff

9:28 am Phone call with Staff

9:30 am	Meeting with Staff
10:00 am	Interview with Jon Stewart, Host, The Problem with Jon Stewart
11:24 am	Phone call with Staff
11:28 am	Phone call with Staff
11:30 am	Phone call with Staff
11:45 am	Meeting with Staff
12:57 pm	Phone call with Staff
1:00 pm	Meeting with Staff
1:49 pm	Phone call with Staff
2:00 pm	Meeting with Staff
3:30 pm	Meeting with Goldman Sachs: John Rogers, Executive Vice President, Chief of Staff and Secretary to the Board; Brian Lee, Chief Risk Officer; Cyril Goddeeris, Global Co-Head of Prime Services; Alicia Crighton, Head of Prime Services Clearing, Futures Clearing and Cleared Swaps; Mike Macchio, Vice President, Credit Risk; Ryan Jachym, Managing Director, Office of Government Affairs; Kyle Russ, Managing Director, Office of Government Affairs
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff
6:29 pm	Phone call with Staff

Wednesday, October 12, 2022
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7:36 am	Phone call with Staff
7:58 am	Phone call with Staff
8:15 am	Phone call with Staff
8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Meeting with Staff
10:00 am	Open Commission Meeting
12:00 pm	Meeting with Commissioner
12:45 pm	Meeting with Staff
1:04 pm	Phone call with Staff
1:30 pm	Meeting with Staff
2:00 pm	Meeting with Reena Aggarwal, Robert E. McDonough Professor of Finance and Director, Georgetown Center for Financial Markets and Policy, Georgetown University
2:15 pm	Phone call with Staff
2:20 pm	Phone call with Staff
2:30 pm	Meeting with Robinhood: Vlad Tenev, Chief Executive Officer; Dan Gallagher, Chief Legal Officer; Steve Quirk, Chief Brokerage Officer; Jim Swartwout, President & Chief Operating Officer; Lucas Moskowitz, Deputy General Counsel; John Markle, Deputy General Counsel; Ed Hosty, Director of Execution Services; Ben Brown, Co-Founder and Principal, Blue Ridge Law & Policy
3:33 pm	Phone call with Staff
4:15 pm	Meeting with Staff
5:00 pm	Meeting with Staff

6:00 pm Meeting with Senator Pat Toomey

Thursday, October 13, 2022

8:30 am Meeting with Staff

10:00 am Remarks, SEC Small Business Capital Formation Advisory Committee (SBCFAC) Meeting

10:30 am Meeting with Staff

11:00 am Meeting with Staff

12:00 pm Meeting with Mindy Lubber, Chief Executive Officer & President, Ceres; Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets; Randi Mail, Director of Campaigns, Ceres Accelerator for Sustainable Capital Markets; Jim Coburn, Senior Manager, Ceres Accelerator for Sustainable Capital Markets; Thomas Riesenber, Senior Advisor, Ceres; Vladimir Proaño, Financial Regulation Associate, Ceres; Marcie Frost, Chief Executive Officer, California Public Employees' Retirement System (CalPERS); Kirsty Jenkinson, Investment Director, California State Teachers' Retirement System (CalSTRS); Piers Hugh Smith, ESG Senior Lead, Franklin Templeton; Betty Yee, California State Controller; Mike Garland, NYC Comptroller, Assistant Comptroller, Corporate Governance and Responsible Investment, New York City Comptroller's Office; Bob Litterman, Economic Analyst, Kepos Capital; Tony Davis, Chief Executive Officer & Chief Information Officer, Inherent Group; Barney Schauble, Chairman, Nephila Labs; Ion Yadigaroglu, Partner, Capricorn Investment Group; Vien Truong, Senior Director of Engagement, Nike; Janet Ranganathan, Managing Director, World Resources Institute (WRI); Alicia Ann Seiger, Managing Director, Stanford Sustainable Finance Initiative, Ceres; Kristen Lang, Deputy Director, ESG Investing, Boston Trust Walden; Chad Reed, Vice President of Strategic Initiatives and ESG, Hannon Armstrong; Brendan Herron, Strategic Advisor, Hannon Armstrong; Lindsay Apple, Proxy Voting and Engagement Lead, Mirova; Gordon Eng, General Counsel and Chief Compliance Officer, Sky Harbor Capital Management; Kate Monahan, Director of Shareholder Advocacy, Trillium Asset Management; Alisa Gravitz, President & Chief Executive Officer, Green America; Peter Rosenblum, Partner, Foley Hoag LLP; Charly Bastard, Conseiller, Enjeux Climatique & Investissement Durable at Caisse de dépôt et placement du Québec (CDPQ); Tedd Saunders, President, EcoLogical Solutions Inc. & Chief Sustainability Officer, The Saunders Hotel Group; Georges Benjamin, Executive Director, American Public Health Association; Veronica Eady, Senior Deputy Executive Officer of Policy & Equity, Bay Area Air Quality Management District

1:15 pm Meeting with Healthy Markets Association (HMA): Tyler Gellasch, President & Chief Executive Officer; Chris Nagy, Research Director; Mike Canning, Principal, LXR Group

2:15 pm Meeting with Staff

3:00 pm Meeting with Global Financial Markets Association: Kenneth Bentsen, President & Chief Executive Officer, Securities Industry and Financial Markets Association (SIFMA); Jennie Jacoby, Managing Director and Associate General Counsel,

SIFMA; Adam Farkas, Chief Executive Officer, Association for Financial Markets Europe (AFME); Alice Law, Chief Executive Officer, Asia Securities Industry and Financial Markets Association (ASIFMA)

3:53 pm Phone call with Staff  
4:00 pm Meeting with Staff  
4:30 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:40 pm Meeting with Staff  
7:19 pm Phone call with Staff  
7:24 pm Phone call with Staff  
7:36 pm Phone call with Staff  
8:40 pm Phone call with Staff

#### Friday, October 14, 2022

8:19 am Phone call with Staff  
8:32 am Phone call with Staff  
8:45 am Speaking engagement, Georgetown University Psaros Center for Financial Markets and Policy's annual Financial Markets Quality Conference  
9:30 am Phone call with Staff  
10:00 am International Regulatory Roundtable with Janet Yellen, Secretary, U.S. Department of the Treasury  
12:30 pm Meeting with Verena Ross, Chair, European Securities and Markets Authority (ESMA)  
1:00 pm Meeting with Jon Cunliffe, Deputy Governor, Bank of England  
1:48 pm Phone call with Staff  
1:54 pm Phone call with Staff  
2:15 pm Meeting with Christine Lagarde, President, European Central Bank  
3:30 pm Interview with Matthew Miele, Documentary Filmmaker  
3:38 pm Phone call with Staff  
3:47 pm Phone call with Staff

#### Sunday, October 16, 2022

3:01 pm Phone call with Staff  
6:57 pm Phone call with Staff

#### Monday, October 17, 2022

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
10:00 am Meeting with Staff  
10:30 am Meeting with Staff  
11:30 am Meeting with Staff  
12:30 pm Phone call with Staff

12:30 pm	International Organization of Securities Commissions (IOSCO) Financial Stability Engagement Group (FSEG) Meeting
1:40 pm	Phone call with Staff
2:00 pm	Meeting with Barclays: C.S. Venkatakrishnan, Global Chief Executive Officer; Brendan Reilly, Managing Director and Head of Regulatory Affairs & Public Policy, Americas
2:47 pm	Phone call with Staff
3:00 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Tuesday, October 18, 2022
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8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:30 am	Financial Stability Board (FSB) Standing Committee on Regulatory and Supervisory Cooperation (SRC) U.S. Government Principals Meeting
10:00 am	Meeting with Jeffrey Sprecher, Chair & Chief Executive Officer, Intercontinental Exchange (ICE); Michael Blaugrund, Chief Operating Officer, New York Stock Exchange (NYSE) Group; Hope Jarkowski, General Counsel, NYSE
11:27 am	Phone call with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
3:15 pm	Meeting with Commissioner
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:45 pm	Phone call with Henry Paulson, Founder & Chairman, Paulson Institute, and former Secretary, U.S. Department of the Treasury

Wednesday, October 19, 2022
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7:00 am	FSB SRC meeting
9:00 am	Meeting with Staff
9:45 am	Meeting with Staff
11:00 am	Meeting with Staff
12:00 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Jerome Powell, Chair, Federal Reserve Board (FRB)
2:30 pm	Phone call with Staff
2:43 pm	Phone call with Staff
3:00 pm	Meeting with Erica Williams, Chair, Public Company Accounting Oversight Board (PCAOB)
4:00 pm	Meeting with Staff

4:30 pm Meeting with Staff  
5:00 pm Meeting with Staff  
6:00 pm Meeting with Staff

Thursday, October 20, 2022

8:26 am Phone call with Staff  
8:30 am Meeting with Staff  
8:56 am Phone call with Staff  
9:00 am Meeting with Staff  
9:30 am Meeting with Ari Burstein, President, Capital Markets Strategies; Adam Conn, Head of Trading, Baillie Gifford; Brian Magee, Head of EMEA Trading, GIC; Dan Riches, Head of Equities Dealing, M&G; Alan Brown, Equity Trader, MFS Investment Management; Eric Boess, Global Head of Trading, Allianz; Christoph Hock, Head of Multi-Asset Trading, Union Investment; Cathy Gibson, Global Head of Trading, Ninety One  
10:30 am Interview with Lydia Beyoud, Reporter, Bloomberg  
12:30 pm Meeting with Gina Raimondo, Secretary, U.S. Department of Commerce  
1:48 pm Phone call with Staff  
2:00 pm Closed Commission Meeting  
2:46 pm Phone call with Staff  
3:00 pm Interview with Alice Fulwood, Co-Host, The Economist's Money Talks podcast  
4:00 pm Meeting with Staff  
5:00 pm Meeting with Staff  
5:46 pm Phone call with Staff  
6:13 pm Phone call with Staff

Friday, October 21, 2022

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:45 am Meeting with Staff  
10:45 am Meeting with Staff  
11:15 am Meeting with Staff  
12:00 pm Meeting with Staff  
1:00 pm Meeting with Commissioner  
2:00 pm Meeting with Staff  
3:00 pm Meeting with Staff

Monday, October 24, 2022

8:30 am Meeting with Staff  
9:00 am Meeting with Staff  
9:43 am Phone call with Staff  
10:30 am Meeting with Staff



11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
11:43 am	Phone call with Staff
12:31 pm	Phone call with Staff
12:34 pm	Phone call with Staff
12:46 pm	Phone call with Staff
12:50 pm	Speaking engagement, SIFMA Annual Meeting
1:32 pm	Phone call with Staff
2:15 pm	Meeting with Staff
3:00 pm	Meeting with Staff
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:45 pm	Meeting with Staff
6:18 pm	Phone call with Staff
8:02 pm	Phone call with Staff

Tuesday, October 25, 2022
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8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:06 am	Phone call with Staff
10:15 am	Meeting with Federal Home Loan Banks (FHLB) Office of Finance Board: Timothy J. Barrett, President, Federal Home Loan Bank of Boston; Sanjay Bhasin, President, Federal Home Loan Bank of Dallas; Michael A. Ericson, President, Federal Home Loan Bank of Chicago; José R. González, President, Federal Home Loan Bank of New York; Andrew S. Howell, President, Federal Home Loan Bank of Cincinnati; Cindy L. Konich, President, Federal Home Loan Bank of Indianapolis; Winthrop Watson, President, Federal Home Loan Bank of Pittsburgh; Kristina K. Williams, President, Federal Home Loan Bank of Des Moines; Matt Koupal, General Counsel & Corporate Secretary, Federal Home Loan Bank of Topeka; Michael C. Bodson, Independent Director, FHLB Office of Finance; Janice C. Eberly, Independent Director, FHLB Office of Finance; Joel W. Motley, Independent Director, FHLB Office of Finance; Patricia A. Oelrich, Independent Director, FHLB Office of Finance; Jonathan A. Scott, Independent Director, FHLB Office of Finance; Randolph C. Snook, Chief Executive Officer, FHLB Office of Finance; Xiaodong Yi, General Counsel & Chief Compliance Officer, FHLB Office of Finance; John Gerli, Chief Capital Markets Officer, FHLB Office of Finance; Steve Tamburo, Chief Financial Officer, FHLB Office of Finance; Louis Scalza, Senior Associate Director, Federal Housing Finance Agency (FHFA); Stephen T. Murphy, Examiner-in-Charge, FHFA
11:00 am	Meeting with Staff
12:00 pm	Meeting with Long-Term Stock Exchange: Zoran Perkov, Chief Executive Officer; Gary Goldsholle, Chief Regulatory Counsel; Nawreen Sattar, Deputy Chief Regulatory Officer and Associate General Counsel; Alex Merle-Huet, Chief of Staff and Senior Advisor

12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:15 pm	Media availability
5:00 pm	Speaking engagement, NYU Institute for Corporate Governance and Finance

### Wednesday, October 26, 2022

8:30 am	Meeting with Andrew Bailey, Governor, Bank of England
9:00 am	Meeting with Staff
9:35 am	Phone call with Commissioner
9:43 am	Phone call with Staff
9:47 am	Phone call with Commissioner
10:00 am	Open Commission Meeting
12:00 pm	Media availability
12:27 pm	Phone call with Staff
12:30 pm	Phone call with Staff
1:00 pm	Meeting with Staff
1:30 pm	Meeting with Michael Hsu, Acting Comptroller, Office of the Comptroller of the Currency (OCC)
2:00 pm	Meeting with Staff
3:00 pm	Meeting with Commissioner
4:01 pm	Phone call with Staff
4:15 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff

### Thursday, October 27, 2022

8:00 am	FSB Plenary Ad Hoc Meeting
8:10 am	Phone call with Staff
8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Interview with Paul Kiernan, Reporter, and Alexander Osipovich, Reporter, The Wall Street Journal
11:15 am	Meeting with Vanguard: Tim Buckley, Chief Executive Officer; Gerry O'Reilly, Principal, Indexed Equity Fund Portfolio Management; George Gilbert, Head of US Regulatory Affairs; Rick Delfin, Principal, Global Head of Regulatory and Public Policy
12:00 pm	Interview with Ephrat Livni, Correspondent, DealBook, The New York Times
1:00 pm	Meeting with Staff
1:30 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting

3:01 pm	Phone call with Staff
3:30 pm	Meeting with Staff
4:30 pm	Meeting with John Berrigan, Director-General, Financial Stability, Financial Services and Capital Markets Union (FISMA), European Commission
5:00 pm	Meeting with Staff
5:33 pm	Phone call with Staff

Friday, October 28, 2022
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8:00 am	Interview with Andrew Ross Sorkin and Becky Quick, CNBC Squawk Box
8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
11:00 am	Meeting with Job Candidate
11:51 am	Phone call with Staff
12:00 pm	Meeting with Job Candidate
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
3:00 pm	Meeting with Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets; Randi Mail, Director of Campaigns, Ceres Accelerator for Sustainable Capital Markets; Jim Coburn, Senior Manager, Disclosure, Ceres Accelerator for Sustainable Capital Markets; Tom Riesenbergs, Senior Regulatory Advisor, Ceres Accelerator for Sustainable Capital Markets; Ava Gulino, Program Assistant, Ceres Accelerator for Sustainable Capital Markets; Dominic Waughray, Senior Advisor to the Chief Executive Officer, World Business Council for Sustainable Development; Amy Senter, Director, North America, World Business Council for Sustainable Development; Juliet Taylor, Manager, Redefining Value & Climate and Energy, World Business Council for Sustainable Development; Jane Thstrup Jagd, Deputy Director, Net Zero Finance, We Mean Business Council; Jenny Ahlen, Director of Net Zero, We Mean Business Council; Aaron Cramer, President & Chief Executive Officer, Business for Social Responsibility; David Wei, Managing Director, Business for Social Responsibility; Maria Troya, Manager, Business for Social Responsibility; Verena Radulovic, Vice President for Business Engagement, Center for Climate & Energy Solutions; Jason Ye, Director for U.S. Policy & Outreach, Center for Climate & Energy Solutions
3:26 pm	Phone call with Staff
4:00 pm	Meeting with Staff
4:15 pm	Phone call with Staff
5:59 pm	Phone call with Staff

Sunday, October 30, 2022
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11:38 am	Meeting with Lev Menand, Associate Professor of Law, Columbia Law School
3:25 pm	Phone call with Staff

Monday, October 31, 2022
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8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
1:00 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff