Chair Gary Gensler Public Calendar December 1, 2021 to December 31, 2021

Wednesday, Decen	nber 1, 2021
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8:30 am	Meeting with Staff
9:15 am	Fireside Chat with Jay Clayton III, former SEC Chair
10:15 am	Meeting with Staff
10:30 am	Meeting with Staff
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Staff
1:15 pm	Meeting with Staff
2:00 pm	Meeting with Federal Advisory Council (FAC): John R. Ciulla, President and
-	Chief Executive Officer, Webster Bank and Webster Financial Corporation; René
	Jones, Chairman and Chief Executive Officer, M&T Bank Corporation; Jeffrey
	Schweitzer, Chief Executive Officer, Univest Bank and Trust Co.; William
	Demchak, Chairman and Chief Executive Officer, PNC Financial Services; Brian
	Moynihan, Chairman and Chief Executive Officer, Bank of America; Rajinder
	Singh, Chairman and Chief Executive Officer, BankUnited, Inc.; Jeffrey Brown,
	Chief Executive Officer, Ally Financial Inc.; D. Bryan Jordan, Chairman and
	Chief Executive Officer, First Horizon National Corp.; Kevin Riley, President and
	Chief Executive Officer, First Interstate BancSystem, John B. Dicus, President
	and Chief Executive Officer, Capitol Federal Savings Bank and Capitol Federal
	Financial Inc.; David Zalman, Senior Chairman and Chief Executive Officer,
	Prosperity Bank and Prosperity Bancshares, Inc.; Nandita Bakhshi, President and
	Chief Executive Officer, Bank of the West
3:00 pm	Meeting with Municipal Securities Rulemaking Board (MSRB): Mark Kim, Chief
	Executive Officer; Patrick Brett, Chair; Leah Szarek, Chief External Relations
	Officer
3:45 pm	Meeting with Staff
4:30 pm	Meeting with Commissioner
5:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff

Thursday, December 2, 2021

8:30 am	Meeting with Staff
9:15 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	SEC Investor Advisory Committee (IAC) Meeting
11:00 am	Meeting with Audit Committee Leadership Network (ACLN): William Easter,
	Board Member, Delta Air Lines; Gretchen Haggerty, Board Member, Johnson
	Controls; Robert Herz, Board Member, Morgan Stanley; Michele Hooper,
	President and Chief Executive Officer, United Airlines Holdings; Suzanne Nora

Johnson, Board Member, Pfizer; Ann-Marie Petach, Board Member, Jones Lang LaSalle; Peter Porrino, Board Member, American International Group; Thomas Schoewe, Board Member, General Motors Company; Leslie Seidman, Board Member, General Electric; Gerald Smith, Board Member, Eaton Corp.; Robin Washington, Board Member, Salesforce; David Weinberg, Board Member, Coca-Cola Company Meeting with ACLN Board: Barbara Byrne, Board Member, ViacomCBS; Theodore Craver Jr., Board Member, Wells Fargo; Samuel Di Piazza, Board

Theodore Craver Jr., Board Member, Wells Fargo; Samuel Di Piazza, Board Member, AT&T; David Dillon, Board Member, 3M; Akhil Johri, Board Member, Boeing; Michael Losh, Board Member, Aon; Bradley Martin, Board Member, FedEx; Leeny Oberg, Board Member, Adobe; Paula Price, Board Member, Accenture; John Stephens, Board Member, Freeport-McMoRan; James Turley, Board Member, Citigroup; Maggie Wilderotter, Board Member, Hewlett Packard Enterprise

12:00 pm Meeting with Staff

11:45 am

1:00 pm Meeting with Duane DesParte, Acting Chairperson, Public Company Accounting

Oversight Board (PCAOB); Kara Stein, Board Member, PCAOB; Christina Ho,

Board Member, PCAOB

1:30 pm Meeting with Staff

2:00 pm Closed Commission Meeting

4:30 pm Meeting with Jerome Powell, Chair, Federal Reserve Board (FRB)

5:15 pm Meeting with Staff

Friday, December 3, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:15 am	Meeting with Staff
11:30 am	Meeting with Afsaneh Beschloss, Founder and Chief Executive Officer,
	RockCreek; William Dudley, Chair, The Bretton Woods Committee (BWC);
	Elena Tosana, Director of Programs, BWC; Emily Slater, Executive Director,
	BWC
12:00 pm	Meeting with Staff
12:45 pm	Meeting with Staff
2:00 pm	Meeting with Staff
3:00 pm	Meeting with Staff
4:00 pm	Meeting with Robert Raben, President, The Raben Group
4:30 pm	Meeting with Staff
5:30 pm	Meeting with Staff
6:00 pm	Phone call with Jeffrey Sprecher, Chief Executive Officer, Intercontinental
	Exchange (ICE)

Sunday, December 5, 2021

10:00 am Meeting with Staff

Monday, December 6, 2021

8:30 am 9:00 am 10:00 am	Meeting with Staff Meeting with Staff Meeting with Staff
	Meeting with Staff
10:30 am	Meeting with Jeffrey Sprecher, Chief Executive Officer, ICE; Elizabeth King, President, ICE ESG and Chief Regulatory Officer, ICE; Michael Blaugrund,
	Chief Operating Officer, New York Stock Exchange (NYSE); Hope Jarkowski,
	General Counsel, NYSE
11:00 am	Meeting with Staff
12:00 pm	Meeting with Senator Cynthia Lummis
1:30 pm	Meeting with Senator Mark Warner
3:00 pm	Meeting with Staff
3:30 pm	Meeting with Financial and Banking Information Infrastructure Committee
	(FBIIC) Senior Leaders
4:40 pm	Meeting with Staff
5:00 pm	Interview with David Gura, National Public Radio (NPR)
5:30 pm	Meeting with Staff
6:15 pm	Meeting with Staff

Tuesday, December 7, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:30 am	Meeting with Staff
10:25 am	Fireside Chat with The Wall Street Journal (WSJ) CEO Council Summit
11:30 am	Meeting with Staff
12:00 pm	Meeting with Staff
1:00 pm	Meeting with Commissioner
1:45 pm	Phone call with Jerome Powell, Chair, FRB
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Commissioner
3:00 pm	Meeting with Staff
4:00 pm	Meeting with Secretary Janet Yellen, U.S. Department of Treasury
4:45 pm	Meeting with Robert L. Greene, President and Chief Executive Officer, National
	Association of Investment Companies; Carmen Ortiz McGhee, Chief Operating
	Officer, National Association of Investment Companies
5:30 pm	Meeting with Staff

Wednesday, December 8, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Commissioner

11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:15 pm	Meeting with Staff
1:00 pm	Meeting with Staff
1:45 pm	Meeting with Staff
3:00 pm	Meeting with Francis Suarez, Mayor, City of Miami; Jeremy Schwarz, Chief of
	Staff, City of Miami Mayor's Office; Nikolas Pascual, Head of Government
	Relations, City of Miami Mayor's Office
3:30 pm	Town Hall meeting with SEC employees
5:00 pm	Meeting with Staff
5:45 pm	Meeting with Staff
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Thursday, December 9, 2021

8:00 am	Meeting with Staff
8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:10 am	Speaking Engagement, Healthy Markets Association Conference
1:15 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Delta Strategy Group: James Newsome, Founding Partner; Scott
	Parsons, Founding Partner and Managing Partner
5:15 pm	Meeting with Staff

Friday, December 10, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:15 am	Meeting with Staff
10:00 am	Meeting with Commissioner
10:30 am	Meeting with Kurt Campbell, Chairman and Chief Executive Officer, The Asia
	Group
11:00 am	Meeting with Staff
12:30 pm	Meeting with Duane DesParte, Acting Chairperson, PCAOB
1:00 pm	Meeting with Staff
1:30 pm	Phone call with Congressman Joshua Gottheimer
2:00 pm	Meeting with Bryan Corbett, President and Chief Executive Officer, Managed
	Funds Association (MFA); Jennifer Han, Executive Vice President, Chief
	Counsel, and Head of Regulatory Affairs, MFA; Sarah Arnold, Manager, MFA;
	Tasha Ashby, Executive Assistant, MFA; Fahmi Quaidir, Founder and Chief
	Investment Officer, Safkhet Capital; Jim Rowen, Chief Operating Officer,
	Renaissance Technologies; Ricardo Marano, Chief Operating Officer, King Street
	Capital Management LP; Stephen Berger, Managing Director of Government and
	Regulatory Policy, Citadel LLC; Jeffrey Wechselblatt, General Counsel, Lone
	Pine Capital

2:45 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:15 pm	Meeting with Julie Brinn Siegel, Deputy Chief of Staff, U.S. Department of the
	Treasury
6:30 pm	Meeting with Yi Huiman, Chairman, China Securities Regulatory Commission
	(CSRC); Fang Xinghai, Vice Chairman, CSRC; Liao Min, Vice Minister, China's
	Ministry of Finance

Saturday, December 11, 2021

10:00 am Meeting with Jaime Lizarraga, Senior Advisor, Speaker Nancy Pelosi, United States House of Representatives

Sunday, December 12, 2021

10:30 am Meeting with Uzma Wahhab, Senior Adviser, FRB

Monday, December 13, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
11:45 am	Interview with Benjamin Bain, Reporter, Bloomberg; Matthew Robinson,
	Reporter, Bloomberg
12:00 pm	Meeting with Staff
1:15 pm	Meeting with Staff
2:30 pm	Meeting with Rostin Behnam, Acting Chairman, Commodity Futures Trading
	Commission
3:00 pm	Meeting with Staff
3:10 pm	Interview with Paul Kiernan, The Wall Street Journal
3:30 pm	Meeting with Staff
4:00 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:45 pm	Meeting with Staff
7:00 pm	Meeting with Tomoko Amaya, Vice Minister for International Affairs, Japan's
	Financial Services Agency (JFSA)

Tuesday, December 14, 2021

8:30 am	Meeting with Staff
9:30 am	Interview with Jon Stewart, Host, The Problem with Jon Stewart
10:35 am	Meeting with Staff

11:00 am	Meeting with Nikhil Rathi, Chief Executive, United Kingdom's Financial
	Conduct Authority (UK FCA)
11:45 am	Meeting with Andrew Bailey, Governor, Bank of England
12:30 pm	Meeting with Commissioner
1:15 pm	Meeting with Staff
2:15 pm	Meeting with Staff
3:15 pm	Meeting with Commissioner
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:15 pm	Meeting with Staff
5:45 pm	Phone call with Staff

Wednesday, December 15, 2021

	8:30 am	Meeting with Staff
	9:00 am	Meeting with Staff
1	0:00 am	Open Commission Meeting
	1:15 pm	Meeting with Staff
	2:00 pm	Meeting with Options Clearing Corp.: Craig Donohue, Executive Chairman; John
		Davidson, Chief Executive Officer; Scot Warren, Chief Operating Officer; Janet
		Angstadt, General Counsel; Julie Bauer, Chief External Relations Officer
	2:30 pm	Phone call with Gautam Raghavan, Deputy Assistant to the President and Deputy
		Director, White House Presidential Personnel Office
	3:00 pm	Meeting with Staff
	3:05 pm	Meeting with Staff
	3:30 pm	Town Hall meeting with SEC employees
	4:30 pm	Meeting with Commissioner
	5:00 pm	Meeting with Staff

Thursday, December 16, 2021

8:15 am	Meeting with Federal Reserve Bank of New York: John Williams, President and Chief Executive Officer; Lorie Logan, Executive Vice President of Markets; Michael Held, Executive Vice President of Legal
8:45 am	Meeting with Staff
9:30 am	Meeting with Staff
10:30 am	Meeting with Staff
11:00 am	Meeting with Staff
12:30 pm	Meeting with Staff
1:15 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:30 pm	Meeting with Staff
6:00 pm	Holiday Event with Current and Former Commissioners: Paul Atkins, former
-	SEC Commissioner; Richard Breeden, former SEC Chairman; Roel Campos,

former SEC Commissioner; Kathleen Casey, former SEC Commissioner; Jay Clayton, former SEC Chairman; Joseph Grundfest, former SEC Commissioner; Robert Jackson, former SEC Commissioner; Roberta Karmel, former SEC Commissioner; Annette Nazareth, former SEC Commissioner; Troy Paredes, former SEC Commissioner; Harvey Pitt, former SEC Chairman; Mary Schapiro, former SEC Chair; Kara Stein, former SEC Commissioner; Mary Jo White, former SEC Chair

Friday, December 17, 2021

8:30 am 9:00 am	Meeting with Staff Meeting with Staff
10:00 am	Meeting with Financial Stability Oversight Council (FSOC)
12:00 pm	Meeting with Staff
12:45 pm	Meeting with MIAX Exchange Group: Thomas Gallagher, Chairman and Chief
	Executive Officer; Shelly Brown, Executive Vice President of Strategic Planning
	and Business Development; Barbara Comly, General Counsel and Corporate
	Secretary; Edward Deitzel, Chief Regulatory Officer and Chief Compliance
	Officer; Lance Emmons, Chief Financial Officer; Douglas Schafer Jr., Chief
	Information Officer; Joseph Ferraro III, Deputy General Counsel
1:15 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Hal Scott, Professor Emeritus, Harvard Law School; John Gulliver,
	Professor Emeritus, University of Minnesota
4:00 pm	Meeting with Staff

Saturday, December 18, 2021

8:00 pm Meeting with Ashley Alder

Monday, December 20, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Meeting with Commissioner
10:00 am	Meeting with Nasdaq, Inc.: Adena Friedman, Chief Executive Officer; John
	Zecca, Chief Legal and Regulatory Officer; Tal Cohen, Head of North American
	Markets; Phillip Mackintosh, Chief Economist; Jeffrey Davis, North American
	Markets
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
1:00 pm	Meeting with Staff
1:30 pm	Phone call with Gautam Raghavan, Deputy Assistant to the President and Deputy
	Director, White House Presidential Personnel Office
2:00 pm	Meeting with Commissioner

Meeting with Staff
Meeting with Staff
Meeting with National Association of Manufacturers (NAM): Jay Timmons,
President and Chief Executive Officer; Charles Crain, Senior Director of Tax and
Domestic Economic Policy; Linda Kelly, Senior Vice President, General Counsel,
and Corporate Secretary
Meeting with Staff
Meeting with Staff
Meeting with Staff

Tuesday, December 21, 2021

8:30 am 9:00 am	Meeting with Staff Meeting with Staff
9:30 am	Meeting with Staff
10:00 am	Meeting with Karthik Ramanna, Professor of Business and Public Policy,
	Blavatnik School of Government, University of Oxford; Robert Kaplan, Senior
	Fellow and Professor Emeritus, Harvard Business School
11:00 am	Meeting with Staff
1:00 pm	Meeting with Staff
1:30 pm	Meeting with Staff
2:00 pm	Meeting with Consumer Financial Protection Bureau (CFPB): Rohit Chopra,
-	Director; Jan Singelmann, Chief of Staff; Gregory Gelzinis, Advisor to the
	Director; Karen Andre, Associate Director for Consumer Education & External
	Affairs
2:30 pm	Meeting with Commissioner
3:00 pm	Meeting with Congressman French Hill
3:30 pm	Meeting with Staff
4:30 pm	Meeting with Kathleen Kennedy Townsend, Representative for Pensions and
-	Retirement, U.S. Department of Labor
5:00 pm	Meeting with Staff
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Wednesday, December 22, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Meeting with Staff
10:00 am	Meeting with Staff
10:45 am	Meeting with Staff
11:15 am	Meeting with Staff
12:00 pm	Meeting with Jill Fisch, Saul A. Fox Professor of Business Law, University of
	Pennsylvania Law School
2:00 pm	Meeting with Staff
3:00 pm	Meeting with James Cox, Brainerd Currie Professor of Law, Duke University
	Law School
3:45 pm	Meeting with Staff

4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Thursday, December 23, 2021

8:30 am	Meeting with Staff
9:30 am	Meeting with Nikhil Rathi, Chief Executive, UK FCA
10:00 am	Meeting with Stephen Choi, Bernard Petrie Professor of Law and Business, New
	York University School of Law
10:45 am	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of Treasury
11:15 am	Meeting with Job Candidate
1:30 pm	Meeting with Staff
3:00 pm	Meeting with Staff

Monday, December 27, 2021

10:00 am	Meeting with Professor John Coffee, Jr., Adolf A. Berle Professor of Law,
	Columbia University Law School
11:30 am	Meeting with Staff
1:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff

Tuesday, December 28, 2021

9:00 am	Meeting with Stephen Choi, Bernard Petrie Professor of Law and Business, New
	York University School of Law
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
4:00 pm	Meeting with Staff

Wednesday, December 29, 2021

9:00 am	Meeting with Staff
10:15 am	Meeting with Staff
11:30 am	Meeting with Staff
1:00 pm	Meeting with Staff

Thursday, December 30, 2021

10:00 am	Meeting with Staff
11:30 am	Meeting with Staff
1:00 pm	Meeting with Daniel Taylor, Associate Professor of Accounting, The Wharton
	School of the University of Pennsylvania

2:00 pm	Phone call with John Coates, John F. Cogan, Jr. Professor of Law and Economics,
	Harvard Law School
3:00 pm	Meeting with Uzma Wahhab, Senior Adviser, FRB
4:00 pm	Meeting with Staff
4:15 pm	Phone call with Staff