

Chair Gary Gensler
Public Calendar
November 1, 2021 to November 30, 2021

Monday, November 1, 2021

8:30 am	Meeting with Staff
9:15 am	Meeting with Staff
10:00 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
12:30 pm	Meeting with Lisa Monaco, Deputy Attorney General, U.S. Department of Justice
1:00 pm	Meeting with Staff
2:15 pm	Meeting with Gregory Gilman, National Treasury Employees Union (NTEU), Chapter 293 President
3:30 pm	Meeting with Staff
3:45 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:45 pm	Meeting with Staff

Tuesday, November 2, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:30 am	Speaking Engagement, Securities Industry and Financial Markets Association (SIFMA) Annual Meeting
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Commissioner
1:00 pm	Meeting with Coinbase: Brian Armstrong, President and Chief Executive Officer; Emilie Choi, President and Chief Operating Officer; Paul Grewal, Chief Legal Officer; Faryar Shirzad, Chief Policy Officer
2:15 pm	Meeting with Staff
3:30 pm	Meeting with Commissioner
4:30 pm	Meeting with Financial Stability Board (FSB) Standing Committee on the Assessment of Vulnerabilities (SCAV) Principals
5:00 pm	Meeting with Staff
5:45 pm	Phone call with Commissioner
8:00 pm	Phone call with Commissioner

Wednesday, November 3, 2021

8:00 am	Meeting with FSB SCAV
10:00 am	Speaking Engagement, Asset Management Advisory Committee

10:30 am	Meeting with Staff
12:45 pm	Meeting with Staff
1:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
3:00 pm	Meeting with Staff
3:45 pm	Meeting with Staff
4:30 pm	Meeting with Commissioner
5:00 pm	Meeting with Staff

Thursday, November 4, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:30 am	Meeting with Job Candidate
10:15 am	Meeting with Staff
11:00 am	Meeting with Staff
1:00 pm	Speaking Engagement, Securities Enforcement Forum 2021
1:30 pm	Meeting with Staff
2:00 pm	Closed Commission Meeting
4:15 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Friday, November 5, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Phone call with Job Candidate
10:30 am	Phone call with Job Candidate
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Staff
1:30 pm	Phone call with Job Candidate
1:45 pm	Meeting with Staff
1:55 pm	Meeting with Staff
2:50 pm	Meeting with Staff
3:30 pm	Meeting with Job Candidate
4:00 pm	Meeting with Staff
4:45 pm	Phone call with Job Candidate
5:00 pm	Meeting with Staff
5:15 pm	Meeting with Job Candidate

Saturday, November 6, 2021

10:00 am	Meeting with Job Candidate
11:00 am	Meeting with Job Candidate
4:00 pm	Meeting with Staff

Sunday, November 7, 2021

7:00 pm Meeting with Staff

Monday, November 8, 2021

8:30 am Meeting with Staff

9:00 am Meeting with Staff

10:00 am Meeting with Thorsten Pötzsch, Chief Executive Director of Securities Supervision, Federal Financial Supervisory Authority (BaFin)

10:45 am Meeting with Staff

11:30 am Meeting with Staff

1:00 pm Meeting with Staff

2:00 pm Meeting with Commissioner

2:30 pm Meeting with Insured Retirement Institute (IRI): Wayne Chopus, President and Chief Executive Officer; Jason Berkowitz, Chief Legal & Regulatory Affairs Officer; Emily Micale, Director, Federal Regulatory Affairs

3:00 pm Meeting with Staff

3:30 pm Meeting with Staff

4:00 pm Meeting with Staff

5:00 pm Meeting with Staff

6:00 pm Meeting with Staff

Tuesday, November 9, 2021

8:30 am Meeting with Staff

9:00 am Meeting with Staff

10:30 am Speaking Engagement, Interagency Convening on Equitable Economic Growth

11:30 am Meeting with Staff

11:45 am Meeting with Staff

12:30 pm Meeting with Staff

1:00 pm Meeting with Staff

1:30 pm Closed Commission Meeting

2:30 pm Meeting with Staff

3:45 pm Meeting with Staff

5:15 pm Meeting with Staff

Wednesday, November 10, 2021

9:00 am Meeting with Staff

11:30 am Speaking Engagement, Institutional Limited Partners Association (ILPA) Summit 2021

1:00 pm Meeting with Staff

2:00 pm Meeting with Staff

3:00 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Friday, November 12, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
10:00 am	Meeting with Staff
11:15 am	Meeting with Staff
12:30 pm	Meeting with Staff
1:30 pm	Meeting with Staff
1:45 pm	Meeting with Staff
2:45 pm	Meeting with Staff

Monday, November 15, 2021

7:00 am	Meeting with International Organization of Securities Commissions (IOSCO) Board
10:30 am	Meeting with Staff
11:00 am	Meeting with Apex Fintech Solutions: Jason Wallach, Head of Capital Markets; William Capuzzi, Chief Executive Officer; Rajeev Khurana, Chief Legal Officer; Zachary Zweihorn, Partner, Davis Polk & Wardwell LLP
11:00 am	Meeting with Staff
12:15 pm	Meeting with Staff
1:00 pm	Meeting with Staff
1:45 pm	Meeting with Staff
3:00 pm	Meeting with Financial Stability Oversight Council (FSOC)
5:00 pm	Meeting with Staff

Tuesday, November 16, 2021

8:30 am	Meeting with Staff
9:15 am	Meeting with Staff
9:50 am	Speaking Engagement, Small Business Capital Formation Advisory Committee Meeting
10:30 am	Meeting with Staff
11:00 am	Meeting with Staff
11:30 am	Meeting with Staff
12:00 pm	Meeting with Staff
12:30 pm	Meeting with Staff
2:00 pm	Meeting with Staff
2:30 pm	Meeting with Staff
3:15 pm	Meeting with Commissioner

4:00 pm Meeting with Staff
 4:30 pm Meeting with Staff
 7:00 pm Meeting with Staff

Wednesday, November 17, 2021

8:00 am Meeting with Adam Conn, Head of Trading, Baillie Gifford; Brian Magee, Head of European Trading, GIC; Carl James, Head of Fixed Income Trading, Pictet Asset Management; Daniel Riches, Head of Equities Dealing, M&G Investments; Edward Wicks, Head of Trading, Legal & General Investment Management; Gregg Dalley, Global Head of Trading, Schroders; Malene Forup, Head of Execution, Nordea AM; Nicholas Greenland, Global Head, Broker/Dealer Relationships, BNY Mellon Investment Management; Adam Mingos, Portfolio Trading, Fidelity Investments; Jeremy Ellis, Head of European Equity Trading, T. Rowe Price; Melissa Tuttle, Senior Portfolio Manager, Vanguard; Richard Worrell, Head of EMEA Equity Trading, Janus Henderson; Simon Steward, Director & European Head of Equity Trading, Capital Group; Ari Burstein, President, Capital Markets Strategies

8:30 am Meeting with Staff

9:00 am Meeting with Staff

10:00 am Speaking Engagement, U.S. Treasury Market Conference

11:00 am Open Commission Meeting

1:00 pm Meeting with Staff

1:15 pm Meeting with Nasdaq: Adena Friedman, Chief Executive Officer; John Zecca, Chief Legal and Regulatory Officer; Tal Cohen, Head of North American Markets

2:30 pm Meeting with Staff

3:00 pm Meeting with Staff

4:00 pm Meeting with American Petroleum Institute (API): Michael Sommers, President and Chief Executive Officer; Amanda Eversole, Executive Vice President and Chief Operating Officer; Frank Macchiarola, Senior Vice President of Policy, Economics, and Regulatory Affairs; Stephen Comstock, Vice President of Corporate Policy; Ryan Meyers, Vice President and Chief Legal Officer; Aaron Padilla, Director of Corporate Policy

4:30 pm Meeting with Commissioner

5:00 pm Meeting with Staff

5:30 pm Meeting with Staff

7:00 pm Meeting with Senator Patrick Toomey

Thursday, November 18, 2021

4:00 am Meeting with FSB Plenary

10:00 am Meeting with American Securities Association Board: Chad Abraham, Chief Executive Officer, Piper Sandler Companies; Daniel Arnold, President and Chief Executive Officer, LPL Financial; Steve Booth, President and Chief Executive Officer, Robert W. Baird & Co.; Curt Bradbury, Jr., Chief Operating Officer, Stephens Inc.; Joseph Culley, Jr., Head of Capital Markets Group, Janney

Montgomery Scott, LLC; John Ettelson, Chairman and Chief Executive Officer, William Blair & Company; Estee Faranda, Chief Executive Officer, PFS Investments, Inc.; James Kerr, President and Chief Executive Officer, D.A. Davidson & Co.; Ronald J. Kruszewski, Chairman, President, and Chief Executive Officer, Stifel Financial Corp.; Douglas W. Preiser, Co-Chief Operating Officer, KeyBanc Capital Markets; Paul Reilly, Chief Executive Officer, Raymond James Financial; Jeffrey Solomon, Chief Executive Officer, Cowen Inc.; Joseph Sweeney, President of Advice and Wealth Management, Ameriprise Financial; M. Bradley Wings, President and Chief Executive Officer, Hilltop-Securities Inc.; Christopher Iacovella, Chief Executive Officer, American Securities Association

11:00 am Meeting with Staff
 12:00 pm Meeting with Staff
 12:30 pm Meeting with Commissioner
 1:00 pm Meeting with Jerome Powell, Chair, Federal Reserve Board (FRB)
 1:30 pm Meeting with Staff
 2:00 pm Closed Commission Meeting
 5:00 pm Meeting with Staff
 6:00 pm Phone call with Lael Brainard, Governor, FRB

Friday, November 19, 2021

7:00 am Meeting with Meeting with IOSCO Financial Stability Engagement Group (FSEG)
 8:30 am Meeting with The Depository Trust & Clearing Corporation (DTCC): Michael Bodson, President and Chief Executive Officer; Murray Pozmanter, Head of Clearing Agency Services and Global Business Operations; Michele Hillery, General Manager of Equity Clearing and DTC Settlement Service; Ann Shuman, General Counsel; Bari Jane Wolfe, Head of Regulatory Relations
 9:00 am Meeting with Staff
 10:00 am Meeting with UBS Group AG: Axel Weber, Chairman; Ralph Hamers, Chief Executive Officer
 10:30 am Meeting with Staff
 11:00 am Meeting with Staff
 12:00 pm Meeting with Staff
 1:00 pm Meeting with Staff
 1:00 pm Meeting with Staff
 2:00 pm Meeting with Staff
 2:30 pm Meeting with SIFMA: Graeme McEvoy, Managing Director and Global Head of ISG Product Operations, Morgan Stanley; Claire Santaniello, Managing Director and Head of Operations, Pershing; Thomas Tremaine, Executive Vice President and Chief Operations Officer, Raymond James Financial, Inc.; Brian Steele, Managing Director, Goldman Sachs; Louis Rosato, III, Managing Director, BlackRock; Robert O'Connor, Managing Director, Morgan Stanley; Kenneth Bentsen, Chief Executive Officer, SIFMA; Joseph Seidel, Chief Operating Officer, SIFMA; Thomas Price, Managing Director of Technology, Operations,

and Business Continuity, SIFMA; Robert Toomey, Managing Director and Associate General Counsel, SIFMA; Amena Ross, Managing Director of Advocacy, SIFMA; Mark Schuermann, Managing Director and Head of Federal Government and International Affairs, SIFMA; Anthony Macchiarulo, Senior Associate, Financial Services Operations, SIFMA

3:30 pm Meeting with Staff

4:00 pm Meeting with Staff

Monday, November 22, 2021

7:00 am Meeting with FSB Steering Committee on Non-Bank Financial Intermediation

8:30 am Meeting with Staff

9:00 am Meeting with Staff

10:00 am Meeting with Staff

10:45 am Meeting with Staff

11:30 am Meeting with Staff

1:00 pm Meeting with Staff

2:00 pm Meeting with Commissioner

2:30 pm Meeting with Sandra D. Grannum, Founder, Buttermilk Club; Aki Akiwowo, Executive Director of Global Litigation, Morgan Stanley; Robin Nunn, Partner, Morgan Lewis; Andre Owen, Partner, WilmerHale

3:15 pm Meeting with Staff

5:00 pm Meeting with Staff

Tuesday, November 23, 2021

8:30 am Meeting with Staff

9:00 am Meeting with Staff

10:15 am Meeting with Staff

11:00 am Meeting with Staff

11:45 am Meeting with Staff

12:45 pm Meeting with Staff

1:45 pm Meeting with Staff

3:00 pm Meeting with Staff

4:45 pm Meeting with Staff

Wednesday, November 24, 2021

8:30 am Meeting with Staff

9:00 am Meeting with Staff

11:00 am Meeting with Job Candidate

11:30 am Meeting with Staff

12:00 pm Meeting with Staff

12:30 pm Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England

1:30 pm	Meeting with Staff
2:00 pm	Meeting with Staff
3:00 pm	Meeting with Staff
5:00 pm	Phone call with Wally Adeyemo, Deputy Secretary, U.S. Department of Treasury

Friday, November 26, 2021

11:00 am	Meeting with Staff
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Monday, November 29, 2021

8:30 am	Meeting with Staff
9:00 am	Meeting with Staff
9:45 am	Meeting with Staff
10:30 am	Meeting with Staff
11:30 am	Meeting with Staff
12:30 pm	Meeting with Staff
1:00 pm	Meeting with Staff
3:30 pm	Meeting with Staff
4:15 pm	Meeting with Staff
5:00 pm	Meeting with Staff

Tuesday, November 30, 2021

8:30 am	Meeting with Staff
9:45 am	Meeting with Gregory Wetstone, President and Chief Executive Officer, American Council on Renewable Energy (ACORE); William Parsons, Chief Operating Officer, ACORE; Lesley Hunter, Vice President for Programs and Content Strategy, ACORE; Maheen Ahmad, Program Manager, ACORE; Charles Melko, Chief Accounting Officer, Hannon Armstrong; Chad Reed, Vice President, Investor Relations, Hannon Armstrong; Timothy Becker, Senior Vice President of Public Policy, Wells Fargo & Company; Kenneth Connolly, Managing Director, Goldman Sachs; Kenneth Locklin, Director, Impax Asset Management; Julie Gorte, Senior Vice President for Sustainable Investing, Impax Asset Management; Tyler Stoff, Director of Regulatory Affairs, ACORE
10:15 am	Meeting with Staff
11:00 am	Meeting with Audit Committee Council and The Center For Audit Quality (CAQ): Anthony Anderson, Director, AAR Corp.; Theodore Bunting, Director, Unum Group; Robert Finocchio, Director, Skyfront; Sandra Helton, Board Member, Covetrus; David Herzog, Director, PCCW Limited; Sara Lewis, Chairman of the Board of Trustees, The Brookings Institution; Katherine Waller, Director, Cadence Bancorporation; Gregory Weaver, Trustee, Goldman Sachs Asset Management; Julie Bell Lindsay, Chief Executive Officer, CAQ; Dennis McGowan, Vice President of Professional Practice, CAQ; Amy O'Connor, Vice

	President of Stakeholder Engagement and Communications, CAQ; Vanessa Teitelbaum, Senior Director of Professional Practice, CAQ
12:00 pm	Meeting with Business Roundtable Corporate Governance Committee: Joanne Crevoiserat, Chief Executive Officer, Tapestry; Carmine Di Sibio, Global Chairman and Chief Executive Officer, EY; Alex Gorsky, Chairman and Chief Executive Officer, Johnson & Johnson; John Hayes, Chairman, President and Chief Executive Officer, Ball Corporation; Brian Moynihan, Chairman of the Board and Chief Executive Officer, Bank of America Corporation; George Oliver, Chairman and Chief Executive Officer, Johnson Controls; Alan Schnitzer, Chairman and Chief Executive Officer, The Travelers Companies, Inc.; Maria Ghazal, Senior Vice President and Counsel, Business Roundtable
12:30 pm	Meeting with Staff
1:30 pm	Meeting with Institutional Limited Partners Association (ILPA): Heather Traeger, General Counsel and Chief Compliance Officer, Teacher Retirement System of Texas (TRS); Gregory Ruiz, Managing Investment Director, Private Equity, CalPERS; Poornima Katz, Senior Counsel, Regents of the University of California; Monte Tarbox, Executive Director, Investments, National Electrical Benefit Fund (NEBF) and Public Advisor to the Investment Committee, Maryland State Retirement and Pension System; Jonathan Grabel, Chief Investment Officer, Los Angeles County Employee Retirement Association (LACERA); Jody Shaw, Deputy Counsel, Maryland State Retirement and Pension System; C. Ndu Ozor, Associate General Counsel, University of Michigan; Christopher Hayes, Senior Policy Counsel, ILPA; Steve Nelson, Chief Executive Officer, ILPA; Jennifer Choi, Managing Director, Industry Affairs, ILPA; Connor Grady, Associate, ILPA
3:15 pm	Meeting with Commissioner
4:00 pm	Meeting with Staff
4:30 pm	Meeting with Staff
5:00 pm	Meeting with Staff
5:30 pm	Meeting with Staff
6:00 pm	Meeting with The Democratic Treasurers Association: Deborah Goldberg, Treasurer, State of Massachusetts; Michael Frerichs, Treasurer, State of Illinois; Elizabeth Pearce, Treasurer, State of Vermont; Bradley Lander, Comptroller-Elect, New York City; David Young, Treasurer, State of Colorado; Fiona Ma, Treasurer, State of California; Henry Beck, Treasurer, State of Maine; Seth Magaziner, Treasurer, State of Rhode Island; Andrew Junkin, Chief Investment Officer, State of Rhode Island; Julie Blaha, Comptroller, State of Minnesota; Colleen Davis, Treasurer, State of Delaware; Michael Pellicciotti, Treasurer, State of Washington; Tobias Read, Treasurer, State of Oregon; Shawn Wooden, Treasurer, State of Connecticut; Christine Shaw, Deputy Treasurer, State of Connecticut; Erik Jimenez, Deputy Treasurer, State of Nevada; Julie Benkoske, Deputy Treasurer, State of Wisconsin; David Wallack, Executive Director, For the Long Term