

Chair Gary Gensler
Public Calendar
October 1, 2021 to October 31, 2021

Friday, October 1, 2021

8:30 am Meeting with Staff
11:30 am Meeting with Congressman Patrick McHenry
12:00 pm Meeting with Congressman Al Green
12:15 pm Meeting with Congressman Brad Sherman
1:00 pm Meeting with Staff
2:00 pm Meeting with Staff
2:45 pm Photoshoot with The Wall Street Journal: Justin Gellerson, Photographer; Catie Leonard, Photographer
3:30 pm Meeting with Staff
4:45 pm Meeting with Staff
5:15 pm Meeting with Staff
5:45 pm Meeting with Elisha Tuku, Chief Counsel, U.S. Senate Banking Committee

Saturday, October 2, 2021

10:00 am Meeting with Job Candidate
11:00 am Meeting with Job Candidate
1:00 pm Meeting with Staff
2:00 pm Meeting with Job Candidate
3:00 pm Meeting with Job Candidate
4:15 pm Meeting with Job Candidate

Sunday, October 3, 2021

11:00 am Meeting with Job Candidate
12:00 pm Meeting with Job Candidate

Monday, October 4, 2021

7:00 am Meeting with International Organization of Securities Commissions (IOSCO) Financial Stability Engagement Group (FSEG)
8:30 am Meeting with Staff
10:00 am Meeting with Morningstar: Kunal Kapoor, Chief Executive Officer; Jon Hale, Head of Sustainability Research for the Americas; Simon McMahon, Executive Vice President of Research Products; Banu Ozlem Unal, Global Regulatory Counsel; James Downing, Global Chief Compliance Officer; Aron Szapiro, Head of Retirement Studies and Public Policy
11:30 am Meeting with Congressman Blaine Luetkemeyer
12:30 pm Meeting with Staff

1:00 pm Meeting with Staff
2:00 pm Meeting with Congressman Emanuel Cleaver
2:30 pm Meeting with Staff
3:00 pm Meeting with Staff
4:00 pm Meeting with Congressman Gregory Meeks
4:30 pm Meeting with Chairperson Lina Khan, Federal Trade Commission (FTC); Don Graves, Deputy Secretary, U.S. Department of Commerce
5:00 pm Meeting with Staff

Tuesday, October 5, 2021

8:30 am Meeting with Staff
9:00 am Meeting with Elizabeth Hammack, Global Treasurer and Chief Executive Officer, Goldman Sachs Bank USA; Philip Berlinski, Treasurer and Chief Operating Officer of Global Equities, Goldman Sachs; C. Kyle Russ, Managing Director of Government Affairs, Goldman Sachs; Andrei Magasiner, Treasurer, Bank of America Merrill Lynch; John Slyconish, Executive Vice President and Treasurer, State Street; John Ryan, Global Treasurer, Morgan Stanley; Matthew Cherwin, Treasurer and Chief Investment Officer, JP Morgan Chase & Co.; Michael Verdeschi, Treasurer, Citigroup Inc.; Neal Blinde, Treasurer, Wells Fargo; Scott Freidenrich, Executive Vice President and Global Treasurer, BNY Mellon
10:00 am Meeting with Staff
10:30 am Meeting with Staff
11:00 am Meeting with Staff
12:00 pm Testimony, U.S. House Committee on Financial Services
4:30 pm Meeting with Staff
5:00 pm Meeting with Staff

Wednesday, October 6, 2021

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:15 am Interview with Stephanie Ruhle, MSNBC
10:00 am Meeting with Staff
11:00 am Meeting with Federated Hermes: Saker Nusseibeh, Chief Executive Officer of International Business; Peter Germain, Chief Legal Officer; Kary Moore, Senior Corporate Counsel; Robert Sitkoff, Professor of Law, Harvard Law School
11:30 pm Meeting with Staff
12:30 pm Interview with Benjamin Bain, Bloomberg
1:15 pm Meeting with Staff
2:00 pm Remarks, SEC Town Hall
2:30 pm Meeting with Staff
3:00 pm Meeting with Staff
3:35 pm Meeting with Staff
4:30 pm Meeting with Commissioner
5:00 pm Meeting with Staff

5:30 pm Meeting with Staff
6:00 pm Meeting with Staff
6:30 pm Meeting with Staff

Thursday, October 7, 2021

7:00 am Phone call with Sean Berrigan, Director General, European Commission's Directorate General for Financial Stability
8:00 am Meeting with Minister John Glen, UK Economic Secretary to the Treasury
8:30 am Meeting with Staff
1:15 pm Meeting with Staff
6:50 pm Phone call with Job Candidate

Friday, October 8, 2021

8:30 am Meeting with Staff
9:30 am Meeting with Jeffrey Sprecher, Chief Executive Officer, Intercontinental Exchange (ICE); Michael Blaugrund, Chief Operating Officer, New York Stock Exchange (NYSE) Group; Elizabeth King, General Counsel, NYSE Group
10:00 am Meeting with Staff
11:00 am Meeting with Staff
12:30 pm Remarks, SEC's Office of Investor Education and Advocacy's "Invest in Your Future: A Conversation on Financial Empowerment"
1:30 pm Meeting with Staff
2:30 pm Meeting with Staff
3:30 pm Meeting with Staff
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff

Sunday, October 10, 2021

7:30 pm Meeting with Christine Lagarde, President, European Central Bank

Monday, October 11, 2021

7:00 am Meeting with Financial Stability Board Steering Committee on Non-Bank Financial Intermediation (FSB SCN)
9:30 am Meeting with Job Candidate
10:15 am Meeting with Job Candidate
10:45 am Meeting with Staff
1:30 pm Meeting with Commissioner
4:00 pm Meeting with Staff

Tuesday, October 12, 2021

8:00 am Meeting with Andrew Bailey, Governor, Bank of England

8:30 am Meeting with Staff

8:45 am Remarks, The Practising Law Institute's The SEC Speaks in 2021

10:45 am Meeting with Ivan Frishberg, Senior Vice President and Chief Sustainability Officer, Amalgamated Bank; Moira Birss, Climate and Finance Director, Amazon Watch; Lisa Donner, Executive Director, Americans for Financial Reform (AFR); Adam Kanzer, Head of Stewardship for Americas, BNP Paribas Asset Management (BNPP AM); Anne Simpson, Managing Investment Director for Board Governance & Sustainability, CalPERS; Aeisha Mastagni, Portfolio Manager, California State Teachers' Retirement System (CalSTRS); Andres Vinelli, Vice President for Economic Policy, Center for American Progress; Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets; Ilmi Granoff, Senior Director of Sustainable Finance, ClimateWorks Foundation; Kyle Seeley, Head of Proxy Voting, New York State Common Retirement Fund; David Arkush, Managing Director, Public Citizen; Renaye Manley, Deputy Director of Strategic Initiatives Department, Service Employees International Union (SEIU); Colleen Orr, Senior Policy Analyst, Principles for Responsible Investment (PRI)

12:30 pm Meeting with Staff

1:00 pm Meeting with Staff

2:15 pm Meeting with Fidelity: Abby Johnson, President and Chief Executive Officer; Jonathan Chiel, General Counsel; Cynthia Lo Bessette, Deputy General Counsel; Bart Grenier, Head of Asset Management; Pamela Holding, Co-Head of Equities, Asset Management; James Johnson, Chief Communications Officer; James Febeo, Head of Federal Government Affairs; Kristy Croushore, Vice President of Federal Government Affairs

3:00 pm Meeting with Commissioner

4:00 pm Meeting with Staff

5:00 pm Meeting with Governor Klaas Knot, Vice Chair of FSB, Chair of Standing Committee on the Assessment of Vulnerabilities (SCAV)

5:30 pm Meeting with Staff

6:30 pm Meeting with Francois Villeroy de Galhau, Governor, Bank of France

Wednesday, October 13, 2021

8:30 am Meeting with Staff

10:15 am Meeting with Staff

11:00 am Meeting with Staff

12:30 pm Meeting with Staff

1:00 pm Meeting with Staff

2:15 pm Meeting with Staff

3:00 pm Meeting with Jon Cunliffe, Deputy Governor for Financial Stability, Bank of England

3:45 pm Meeting with Staff

4:15 pm Meeting with Staff

5:00 pm Meeting with Staff

5:30 pm Meeting with Commissioner
7:00 pm Interview with Gillian Tett, Financial Times

Thursday, October 14, 2021

8:00 am Meeting with Staff
8:30 am Meeting with Staff
9:00 am Meeting with Nathan Fabian, Chairperson, European Commission Platform on Sustainable Finance: Gregory Hershman, Head of US Policy, PRI; Colleen Orr, Senior Policy Analyst, PRI; Margarita Pirovska, Director of Policy, PRI; Susanne Draeger, Senior Specialist, PRI; Edward Baker, Technical Head, Climate Change & Energy Transition, PRI; Elise Attal, Head of EU and UK Policy, PRI
9:45 am Meeting with The FACT Coalition: Ian Gary, Executive Director, The FACT Coalition; Erica Hanichak, Government Affairs Director, The FACT Coalition; Ryan Gurule, Policy Director, The FACT Coalition; Gary Kalman, Director of the U.S. Office, Transparency International; Didier Jacobs, Senior Policy Advisor, Oxfam America; John Keenan, Corporate Governance Analyst, American Federation of State, County and Municipal Employees (AFSCME); Susan Harley, Managing Director of Congress Watch Division, Public Citizen; Robert Stewart, Tax and Disclosure Advocate, Public Citizen; Thomas Oppel, Executive Vice President, American Sustainable Business Council; Ali-Reza Vahabzadeh, Vice President of Membership and Chief of Staff, American Sustainable Business Council; Elise Bean, Former Staff Director and Chief Counsel, U.S. Senate Permanent Subcommittee on Investigations; Robert Wilson, Research Analyst, MFS Investment Management
10:30 am Meeting with U.S. Chamber of Commerce Center for Capital Markets Competitiveness (CCMC): David Hirschmann, President & Chief Executive Officer; Thomas Quaadman, Executive Vice President; William Hulse, Vice President; Kristen Malinconico, Director; Evan Williams, Director
11:00 am Meeting with Apex Fintech Solutions: Jason Wallach, Head of Capital Markets; William Capuzzi, Chief Executive Officer; Rajeev Khurana, Chief Legal Officer; Zachary Zweihorn, Partner, Davis Polk & Wardwell LLP
11:30 am Meeting with Staff
12:00 pm Phone call with Commissioner
12:30 pm Meeting with Mastercard: Michael Miebach, Chief Executive Officer; Ajay Banga, Chairman; Thomas Gannon, Head of Federal Government Affairs
2:00 pm Closed Commission Meeting
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff
5:30 pm Meeting with Mark Carney, Vice Chair and Head of Impact Investing, Brookfield Asset Management

Friday, October 15, 2021

8:30 am Meeting with Staff

- 9:30 am Meeting with National Federation of Municipal Analysts (NFMA): Anne Ross, Principal Consultant, Muni Credit & Compliance Advisors, LLC; Rachel Barkley, Senior Vice President, Loop Capital Markets; Nicole Byrd, Senior Investment Professional, Nationwide Insurance; Ronald Mintz, Principal and Senior Municipal Investment Analyst, The Vanguard Group; Geoffrey Buswick, Managing Director, S&P Global Ratings; Neene Jenkins, Executive Director, JP Morgan Chase Asset Management; Erin Ortiz, Managing Director, Janney Montgomery Scott LLC; Lisa Good, Executive Director, NFMA
- 10:30 am Meeting with Staff
- 11:00 am Meeting with U.S. Department of Treasury's Regulatory Roundtable
- 1:30 pm Meeting with Grayscale Investments LLC: Michael Sonnenshein, Chief Executive Officer; David LaValle, Global Head of Exchange Traded Funds; Hugh Ross, Chief Operating Officer; Edward McGee, Vice President of Finance; Craig Salm, Vice President of Legal; Joseph Hall, Partner, Davis Polk & Wardwell LLP; Jai Massari, Partner, Davis Polk & Wardwell; Zachary Zweihorn, Partner, Davis Polk & Wardwell LLP
- 2:00 pm Speaking Engagement, Financial Agencies Rotation (FAR) Program
- 3:00 pm Meeting with Cowen Inc.: Jeffrey Solomon, Chief Executive Officer; Owen Littman, General Counsel
- 3:30 pm Meeting with Commissioner
- 4:00 pm Meeting with Staff
- 5:00 pm Meeting with Staff
- 6:00 pm Meeting with Staff

Monday, October 18, 2021

- 7:15 am Meeting with FSB Plenary
- 9:45 am Meeting with Staff
- 10:15 am Meeting with Staff
- 1:45 pm Phone call with Secretary Janet Yellen, U.S. Department of Treasury
- 2:30 pm Meeting with Staff
- 2:15 pm Meeting with Staff
- 3:00 pm Interview with Michael Kaplan, CBS Producer
- 3:45 pm Meeting with Staff
- 4:30 pm Meeting with Staff

Tuesday, October 19, 2021

- 8:40 am Meeting with Staff
- 9:00 am Meeting with Staff
- 9:40 am Interview with CNBC: Jim Cramer, Host, Mad Money; Carl Quintanilla, Co-host, Squawk on the Street and Squawk Alley; David Faber Co-Host, Squawk on the Street
- 10:00 am Meeting with Staff
- 10:45 am Meeting with Staff

11:30 am Meeting with FTX: Samuel Bankman-Fried, Chief Executive Officer; Brett Harrison, U.S. President; Ryne Miller, General Counsel; Christopher Giancarlo, Partner, Willkie Farr & Gallagher LLP

12:30 pm Meeting with Commissioner

1:00 pm Meeting with Staff

1:15 pm Meeting with Staff

2:00 pm Meeting with BNY Mellon: Todd Gibbons, Chief Executive Officer; Jennifer Xi, Global Head of Public Policy and Government Affairs; Denise Rosenhaft, Senior Managing Counsel

2:30 pm Meeting with Staff

3:15 pm Meeting with Andria Weil, Managing Director and Director of Sustainable Investment Policy, Wellington Management Co.; Nicole Morle, Vice President of ESG & Sustainability, Acadian Asset Management; Timothy Dunn, Chief Investment Officer, Terra Alpha Investments; Robert Wilson, Research Analyst, MFS Investment Management; Jonathan Bailey, Managing Director and Head of ESG Investing, Neuberger Berman Group LLC; Gavin Power, Executive Vice President and Chief of Sustainable Development and International Affairs, PIMCO; Scott Mather, Chief Investment Officer of U.S. Core and Sustainable Investments, PIMCO; Robert Fernandez, Director of ESG Research, Breckinridge Capital Advisors; Jessica Ground, Global Head of ESG, Capital Group; Alessia Falsarone, Managing Director, Head of Sustainable Investing and Senior Portfolio and Risk Strategist, PineBridge Investments LLC; Martin Jarzebowski, Director of Responsible Investing, Federated Hermes; Steven Heim, Managing Director, Boston Common Asset Management; Hardik Shah, ESG Practice Lead, GMO LLC; Vincent DeLucia, Chief Investment Officer, New England Asset Management; Elizabeth Griper, Managing Director, MacKay Shields LLC; Charles Chipchase, Principal and Assistant General Counsel, Riverstone Holdings LLC; Guillaume Mascotto, Head of ESG Strategy, Jennison Associates; Robert Smith, President and Chief Investment Officer, Sage Advisory Services; Jon Samuels, Partner, The Vistria Group

4:15 pm Meeting with Staff

4:30 pm Meeting with Chair Jay Powell, Federal Reserve

5:00 pm Meeting with Staff

5:30 pm Fireside Chat with Robert Jackson, Professor, New York University School of Law

Wednesday, October 20, 2021

8:30 am Meeting with Staff

9:30 am Meeting with Staff

10:00 am Meeting with Staff

10:30 am Meeting with Staff

12:00 pm Meeting with Richard Clark, Chief Accounting Officer, Accenture; Melissa Burgum, Assistant Corporate Controller, Accenture; Rudolph Bless, Chief Accounting Officer, Bank of America; Lawrence DiRita, Greater Washington DC Market President, Bank of America; Pierre Breber, Chief Financial Officer,

Chevron Corp.; Thomas Sweet, Chief Financial Officer, Dell; Joseph Stegbauer, Senior Vice President and General Counsel, Procter & Gamble; Ashna Zaheer, Assistant Corporate Secretary and Senior Managing Counsel of Securities, The Coca-Cola Company; Emily Bayley, Project Lead, ESG, Future of Investing, World Economic Forum (WEF); Gabriele Liotta, Global Leadership Fellow, WEF; Olivier Schwab, Head of Technology, WEF

- 1:00 pm Meeting with Staff
- 2:00 pm Meeting with Staff
- 3:15 pm Meeting with Securities Industry and Financial Markets Association (SIFMA): Sara Rosner, Director of Environmental Research and Engagement, AllianceBernstein; John Taft, Vice Chairman, Robert W. Baird & Co.; Rudolf Bless, Chief Accounting Officer, Bank of America; Alexandra Liftman, Global Environmental Executive, Bank of America; Caroline Pham, Deputy Head of Global Regulatory Affairs, Citigroup; Kara Mangone, Managing Director and Global Head of Climate Strategy, Goldman Sachs; Linda French, Executive Director of ESG Policy and Regulation, JP Morgan Chase & Co.; Kenneth Bentsen Jr., Chief Executive Officer, SIFMA; Joseph Seidel, Chief Operating Officer, SIFMA; Melissa MacGregor, Managing Director and Associate General Counsel, SIFMA; Lindsey Keljo, Managing Director for the Asset Management Group and Associate General Counsel, SIFMA; Amena Ross, Managing Director, Federal Government Affairs, SIFMA
- 3:45 pm Meeting with Staff
- 4:00 pm Meeting with Staff
- 4:30 pm Meeting with Commissioner
- 6:00 pm Meeting with Staff

Thursday, October 21, 2021

- 8:10 am Remarks, DC Fintech Week
- 9:00 am Meeting with Staff
- 10:00 am Meeting with Investment Company Institute (ICI): Eric Pan, Chief Executive Officer; Susan Olson, General Counsel; Dorothy Donohue, Deputy General Counsel; Sarah Bessin, Associate General Counsel; Annette Capretta, Associate General Counsel
- 10:30 am Meeting with Staff
- 11:15 am Interview with Michael Lewis, Author and Financial Journalist
- 12:00 pm Meeting with Staff
- 1:00 pm Meeting with Staff
- 1:30 pm Closed Commission Meeting
- 3:30 pm Meeting with Staff
- 4:00 pm Meeting with Andreessen Horowitz (a16z): Anthony Albanese, Chief Operating Officer; Katherine Haun, General Partner
- 4:30 pm Meeting with Financial Stability Oversight Council (FSOC)

Friday, October 22, 2021

8:30 am Meeting with Staff
 9:00 am Meeting with Staff
 11:00 am Meeting with Staff
 12:30 pm Meeting with Staff
 1:45 pm Meeting with Staff
 3:00 pm Meeting with Staff
 3:45 pm Meeting with Staff
 4:00 pm Meeting with Bank Policy Institute (BPI): Brian Moynihan, Chief Executive Officer, Bank of America; Jane Fraser, Chief Executive Officer, Citigroup; David Solomon, Chief Executive Officer, Goldman Sachs; Jamie Dimon, Chief Executive Officer and Chairman, JP Morgan Chase & Co.; William Demchak, Chief Executive Officer, PNC Financial Services; William Rogers, Chief Executive Officer, SunTrust Banks; Gregory Baer, President and Chief Executive Officer, BPI; Lauren Anderson, Senior Vice President and Associate General Counsel, BPI; Patrick Parkinson, Senior Vice President and Special Advisor, BPI
 5:15 pm Meeting with Elisha Tuku, Chief Counsel, U.S. Senate Banking Committee

Monday, October 25, 2021

8:30 am Meeting with Staff
 8:50 am Interview with Brian Cheung, Yahoo Finance
 9:30 am Meeting with Alternative Investment Management Association (AIMA): Darcy Bradbury, Managing Director, D.E. Shaw & Co., LP; Simon Lorne, Vice Chairman and Chief Legal Officer, Millennium Management; Jack Inglis, Chief Executive Officer, AIMA; Jiří Król, Deputy Chief Executive Officer, Global Head of Government Affairs, AIMA; Jason Mitchell, Co-Head of Responsible Investment, Man Group; Matthew Siano, Managing Director and General Counsel, Two Sigma Investments LP; Karl Wachter, General Counsel, Magnetar Capital LLC
 10:15 am Meeting with Staff
 11:00 am Meeting with Staff
 11:30 pm Meeting with Staff
 1:00 pm Meeting with Staff
 2:00 pm Meeting with Commissioner
 3:00 pm Meeting with Staff
 3:30 pm Interview with David Rubenstein, Host, The David Rubenstein Show: Peer to Peer Conversations
 4:15 pm Meeting with Staff
 5:00 pm Meeting with Staff
 5:30 pm Meeting with Staff

Tuesday, October 26, 2021

8:30 am Meeting with Staff
 9:00 am Meeting with Staff
 9:30 am Meeting with Staff

10:00 am Meeting with Staff
11:30 am Meeting with Staff
12:15 pm Meeting with Staff
1:30 pm Meeting with Staff
2:15 pm Meeting with Commissioner
4:15 pm Interview with Matthew Goldstein and Ephrat Livni, The New York Times
5:00 pm Meeting with Staff

Wednesday, October 27, 2021

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Association of Asian American Investment Managers (AAAIM):
James Park, Chief Executive Officer; Ginger Lew, Co-Founder; Brenda Chia, Co-
Chair
10:30 am Meeting with Staff
11:00 am Meeting with American Bankers Association (ABA): Robert Nichols, President
and Chief Executive Officer; Laena Fallon, Senior Advisor; Naomi Camper,
Chief Policy Officer; Hugh Carney, Senior Vice President of Prudential
Regulation and Asset Management; Sayee Srinivasan, Chief Economist and Head
of Research; Phoebe Papageorgiou, Vice President of Trust Policy; Alison
Touhey, Vice President of Bank Funding Policy
11:30 am Meeting with Staff
12:00 pm Meeting with Commissioner
12:30 pm Meeting with Staff
1:00 pm Meeting with Staff
1:30 pm Meeting with Staff
2:00 pm SEC Town Hall
3:15 pm Interview with Declan Harty, Fortune
3:45 pm Meeting with Staff
4:30 pm Meeting with Commissioner
5:00 pm Meeting with Staff
5:30 pm Meeting with Staff

Thursday, October 28, 2021

8:00 am Meeting with Samuel Woods, Deputy Governor for Prudential Regulation, Bank
of England
8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:30 am Meeting with Staff
10:30 am Meeting with Staff
12:30 am Meeting with BlackRock: Lawrence Fink, Chair and Chief Executive Officer;
Sarah Schaffer, Chief of Staff; Kathryn Fulton, Managing Director of Global
Public Policy Group; Salim Ramji, Global Head of ETFs and Index Investments
1:15 pm Meeting with Staff

2:00 pm Closed Commission Meeting
4:00 pm Meeting with Staff
5:00 pm Meeting with Staff
6:00 pm Meeting with Staff

Friday, October 29, 2021

8:30 am Meeting with Staff
9:00 am Meeting with Staff
9:45 am Meeting with Staff
10:30 am Meeting with IEX Exchange: Brad Katsuyama, Chief Executive Officer; Rachel Barnett, Chief Legal Officer; Claudia Crowley, Chief Regulatory Officer
11:10 am Meeting with Staff
12:00 pm Meeting with Staff
12:30 pm Meeting with Staff
1:30 pm Meeting with Commissioner
2:00 pm Phone call with Commissioner
2:30 pm Meeting with Staff
3:00 pm Meeting with Staff
4:00 pm Meeting with Job Candidate
4:30 pm Meeting with Staff
5:15 pm Meeting with Staff

Saturday, October 30, 2021

10:00 am Meeting with Andre Damian Williams, United States Attorney for the Southern District of New York
10:45 am Meeting with Job Candidate
12:00 pm Meeting with Job Candidate
1:15 pm Meeting with Job Candidate
2:30 pm Meeting with Job Candidate
3:30 pm Meeting with Staff

Sunday, October 31, 2021

12:00 pm Meeting with Staff