

**Acting Chairman Michael S. Piwowar**  
**Public Calendar**  
**January 20, 2017 to May 4, 2017**

Friday, January 20, 2017

Inauguration Day – Holiday for Washington Capital Region

Monday, January 23, 2017

11:00 am Meeting with staff

Tuesday, January 24, 2017

10:00 am Meeting with staff

4:00 pm Meeting with staff

Wednesday, January 25, 2017

11:00 am Meeting with staff

3:30 pm Meeting with David Leibowitz, Member of Board of Directors, Compliance and Audit Committees, Nomura Securities International;  
Mark Schuermann, Managing Director and Head of Public Affairs, Americas, Nomura Securities International;  
Randy Ross, Executive Director for Public Affairs, Americas, Nomura Securities International

Thursday, January 26, 2017

07:00 am Meeting with Chris Giancarlo, Acting Chairman, Commodity Futures Trading Commission

09:00 am Meeting with staff

10:00 am Meeting with staff

10:15 am Phone call with Larry Glosten, Columbia University

11:00 am Meeting with staff

11:30 am Phone call with Jeff Harris, American University

2:50 pm Meeting with staff

Friday, January 27, 2017

10:00 am Meeting with staff

10:30 am Meeting with staff  
11:30 am Meeting with staff  
2:30 pm Meeting with Military Judge Advocate candidates  
3:00 pm Phone call with staff

Monday, January 30, 2017

10:00 am Meeting with staff  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Phone call with Chris Giancarlo, Acting Chairman, Commodity Futures Trading Commission  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, January 31, 2017

9:30 am Meeting with staff  
10:00 am Meeting with staff  
11:45 am Meeting with staff  
1:30 pm Meeting with Craig Donohue, Executive Chairman & CEO, and Julie Bauer, Senior VP, Government Relations, Options Clearing Corp.  
2:00 pm Meeting with Karen Barr, President/CEO, Investment Adviser Association; Bob Grohowski, General Counsel, Investment Adviser Association; and Rick Roberts, Principal, RR&G, LLC  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Wednesday, February 1, 2017

1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Phone call with job applicant

Thursday, February 2, 2017

10:00 am Meeting with Ari Burstein, Head of US Regulatory Affairs, Kreab; Joe Wald, CEO, Clearpool; Brian Schaeffer, President, Clearpool; Ray Ross, Chief Technology Officer, Clearpool; Shelley Eleby, Chief Marketing Officer, Clearpool

11:00 am Interview with Ben Bain, Bloomberg News

2:00 pm Closed Commission Meeting

2:30 pm Meeting with staff

4:30 pm Meeting with Deirdre Somers, Chief Executive, Irish Stock Exchange Board; Aileen O'Donoghue, Director of Policy, Irish Stock Exchange Board; Ben Brown, Managing Director, Patomak Global Partners

5:30 pm Attend farewell reception for CFTC Chairman Timothy Massad

Friday, February 3, 2017

10:00 am Meeting with staff

10:30 am Meeting with Brad Katsuyama, Chief Executive Officer, IEX Group; John Ramsay, Chief Market Policy Officer, IEX Group

11:30 am Meeting with staff

1:30 pm Meeting with Colgate University students

2:30 pm Meeting with staff

3:30 pm Meeting with staff

Monday, February 6, 2017

4:00 pm Meeting with staff

Tuesday, February 7, 2017

8:30 am Meeting with staff

9:00 am Video Conference with the Johannesburg Stock Exchange

10:15 am Meeting with staff

10:30 am Meeting with staff

4:00 pm Meeting with staff

4:30 pm Meeting with staff

Wednesday, February 8, 2017

10:00 am Meeting with staff

11:10 am Meeting with Tom Price, SIFMA; representatives of SIFMA member firms

11:30 am Meeting with Tom Quadman, Executive VP, U.S. Chamber of Commerce Center for Capital Markets Competitiveness; Brian O'Shea, Director, U.S. Chamber of Commerce Center for Capital Markets Competitiveness; Doug Nappi, O'Rourke & Nappi, LLP

2:00 pm Meeting with staff  
4:00 pm Meeting with staff

Thursday, February 9, 2017

11:00 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Friday, February 10, 2017

10:00 am Meeting with staff  
10:30 am Meeting with staff  
3:00 pm Meeting with staff

Monday, February 13, 2017

11:30 am Meeting with staff  
12:30 pm Meeting with staff  
1:30 pm Meeting with Press: Patrick Temple-West – Politico; Sarah Lynch – Reuters; Andrew Ramonas – Bloomberg BNA; Ryan Rainey – Morning Consult  
3:30 pm Phone call with Karen Barr, Pres. & CEO, Investment Adviser Association  
4:00 pm Meeting with Meredith Coffey, Exec. VP Research and Analysis, Loan Syndications and Trading Association; Elliot Ganz, Exec. VP & GC, Loan Syndications and Trading Association; Doug Nappi, O'Rourke & Nappi, LLP  
5:00 pm Interview with Andrew Ackerman, Wall Street Journal; Dave Michaels, Wall Street Journal

Tuesday, February 14, 2017

9:45 am Meeting with staff  
10:00 am Meeting with Staff  
1:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:30 pm Meeting with Doug Peterson, Pres. & CEO, S&P Global; Justin Daly, Daly Consulting  
4:00 pm Meeting with Tom Jen-Hsun, Senior Officer, Taiwan Financial Supervisory Commission; Foina Hui-Fen Su, Director, New York Office, Taiwan Financial Supervisory Commission

4:30 pm Meeting with Sara Hicks, Co-Chair, Advisory Committee on Small and Emerging Companies  
5:00 pm Meeting with staff  
5:30 pm Meeting with staff

Wednesday, February 15, 2017

8:30 am Meeting with Mike Pieciak, Deputy Commissioner of the Vermont Securities Division  
9:30 am Attend quarterly meeting of the Advisory Committee on Small and Emerging Companies  
11:30 am Meeting with staff  
2:30 pm Meeting with Congressman Bill Huizenga  
4:00 pm Meeting with Congresswoman Ann Wagner

Thursday, February 16, 2017

1:00 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
5:30 pm Meeting with staff

Friday, February 17, 2017

10:00 am Signing ceremony with North American Securities Administrators Association  
11:30 am Meeting with staff  
12:30 pm Meeting with Chris Iacovella, CEO, Equity Dealers of America  
2:00 pm Meeting with John Griffith-Jones, Chair, Global Affairs, UK Financial Conduct Authority; Charles Brukner, Global Affairs, UK Financial Conduct Authority  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:30 pm Meeting with Andrew Olmem, Partner, Venable LLP

Monday, February 20, 2017

Presidents' Day - Federal Holiday

Tuesday, February 21, 2017

9:30 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with Levin Holle, Director General of the Financial Markets Policy Department, German Federal Ministry of Finance  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Wednesday, February 22, 2017

12:00 pm Meeting with Roy Woodall, Financial Stability Oversight Council; Charles Klingman, Financial Stability Oversight Council  
3:00 pm Meeting with Cromwell Coulson, Pres. & CEO, OTC Markets; Dan Zinn, General Counsel, OTC Markets  
3:30 pm Meeting with Harvey Pitt, CEO, Kalorama Partners, LLC; Roel Campos, Partner, Hughes Hubbard & Reed LLP

Thursday, February 23, 2017

11:00 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Meeting with staff  
2:45 pm Meeting with staff  
3:00 pm Meeting with Tom Price, Managing Director, SIFMA; Joshua Alexander, VP, Bank of America; Kevin Gronberg, VP, JP Morgan Chase; Gregory Rattray, Managing Director, JP Morgan Chase; Kristin Royster, VP, Bank of America; Michael Reineck, VP, Robert W. Baird & Co.; Jamison Scheeres, Senior VP, Bank of America; Thomas Wagner, Managing Director, SIFMA  
4:00 pm Meeting with staff

Friday, February 24, 2017

9:15 am Remarks, SEC Speaks conference  
11:45 am Phone call with Xavier Rolet, CEO, London Stock Exchange Group  
1:00 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with Richard Breeden, Chairman, Breeden Capital Management LLC  
6:00 pm Attend Association of Securities and Exchange Commission Alumni reception and dinner

Monday, February 27, 2017

- 1:30 pm Phone call with Harvey Pitt, CEO, Kalorama Partners, LLC; Roel Campos, Partner, Hughes Hubbard & Reed LLP
- 2:00 pm Meeting with staff
- 3:00 pm Meeting with Rob Creamer, CEO, Geneva Trading; Richard Gorelick, CEO, RGM Advisors; Chris Zuehlke, Director of Front Office Technology, DRW Trading Group; Pat Hickey, Head of Market Structure, Optiver; Scott Parsons, Managing Partner, Delta Strategy Group; Graham Harper, Delta Strategy Group; Jim Overdahl, Delta Strategy Group; others from Delta Strategy Group
- 4:00 pm Meeting with staff
- 5:00 pm Meeting with staff

Tuesday, February 28, 2017

- 9:15 am Opening remarks, SEC-NYU Dialogue on Securities Market Regulation
- 9:45 am Meeting with staff
- 10:00 am Meeting with staff
- 10:45 am Meeting with staff
- 11:30 am Meeting with staff
- 1:30 pm Lunch with NYU Dialogue panelists
- 2:30 pm Meeting with staff
- 3:00 pm Phone call with Karen Barr, Pres. & CEO, Investment Adviser Association, and Association members

Wednesday, March 1, 2017

- 10:00 am Open Commission Meeting
- 2:00 pm Meeting with staff
- 2:30 pm Phone call with Heather Wingate, MetLife
- 3:00 pm Meeting with staff
- 4:00 pm Meeting with Quentin Riegel, VP & Deputy GC, National Assn. of Manufacturers; Ken Monahan, Director of International Trade Policy, National Assn. of Manufacturers; Jon Cohn, Partner, Sidley & Austin; Tom Quaadman, Exec. VP, U.S. Chamber of Commerce Center for Capital Markets Competitiveness; Brian O'Shea, Senior Director, U.S. Chamber of Commerce Center for Capital Markets Competitiveness; Maria Ghazal, General Counsel, Business Roundtable
- 4:30 pm Phone call with Chris Giancarlo, Acting Chairman, Commodity Futures Trading Commission

Thursday, March 2, 2017

9:00 am Opening remarks, Investor Adviser Association's Compliance Conference  
11:00 am Closed Commission Meeting  
1:00 pm Financial Stability Oversight Council meeting  
4:30 pm Meeting with staff  
5:00 pm Meeting with staff

Friday, March 3, 2017

9:30 am Meeting with Adena Friedman, CEO, NASDAQ; Ed Knight, GC, NASDAQ  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Meeting with staff

Monday, March 6, 2017

10:00 am Meeting with staff  
11:00 am Meeting with staff  
12:30 pm Meeting with Steve Patterson, Vice President, State Street  
2:15 pm Meeting with staff  
2:30 pm Meeting with Lynnette Kelly, Exec. Dir., MSRB; Michael Post, Gen. Counsel, MSRB; Bob Fippinger, Chief Legal Officer, MSRB  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, March 7, 2017

9:45 am Meeting with staff  
10:00 am Meeting with staff  
10:45 am Meeting with staff  
3:00 pm Meeting with Shawn Maher, Dir. of Trading and Market Strategies, Wellington Mgmt. Co.; Craig Hurl, Dir. of Global Equity Trading, Ontario Teachers' Pension Plan; Mett Kinak, Head of Global Equity Market Structure and Electronic Trading, T. Rowe Price; Carlos Oliveira, Electronic Trading Solutions, Brandes Investment Partners; Matt Lyons, Sr. Vice Pres., Global Trading, Capital Group; Mike Buek, Principal and Portfolio Mgr., Vanguard; Brad Katsuama, CEO, IEX Group Inc.; Greg Mills, Global Head of Equities, Royal Bank of Canada Capital Markets; Bobby Grubert, Head of U.S. and European Equities, Royal Bank of Canada Capital Markets; Rich Steiner, Electronic Trading Strategist, RBC Capital Markets  
3:30 pm Phone call with Kevin Hassett, Dir. of Research for Domestic Policy, American Enterprise Institute  
4:30 pm Meeting with staff  
5:15 pm Meeting with staff



Wednesday, March 8, 2017

10:00 am Meeting with the executive members of the Investor Advisory Committee  
11:30 am Meeting with staff  
2:00 pm Phone call with Dan Gallagher, President, Potomak Global Partners LLC; Ralph Walkling, Stratakis Chairman and Founder, Drexal LeBow Center of Corporate Governance  
2:30 pm Meeting with Staff  
3:00 pm Meeting with Staff  
4:00 pm Meeting with Staff  
4:30 pm Meeting with Staff

Thurs, March 9, 2017

9:00 am Meeting with Investor Advisory Committee members, Jerry Solomon and Reagan Anderson  
9:30 am Attended the Investor Advisory Committee meeting  
2:00 pm Closed Commission Meeting  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00pm Meeting with staff

Friday, March 10, 2017

9:30 am Open Remarks, Evidence Summit on Disclosure Effectiveness  
10:30 am Meeting with staff  
11:30 am Meeting with Xavier Rolet, CEO, London Stock Exchange Group, Other London Stock Exchange Group members  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Monday, March 13, 2017

2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with Barbara Novick, Vice Chairman, BlackRock; Kate Fulton, Managing Director, BlackRock  
4:00 pm Meeting with staff

Tuesday, March 14, 2017

1:00 pm Meeting with Martin Gruenberg, Chairman of the FDIC

Wednesday, March 15, 2017

10:00 am Meeting with Nicolas Duhamel, Head of Public Affairs, BPCE Group; Jean-Jacques Santini, Head of Group Public and Regulatory Affairs, BNP Paribas; Benoit de La Chapelle-Bizot, Deputy CEO, French Banking Federation; Benjamin Quatre, Director, Europe, International, and Export Finance; French Banking Federation

3:00 pm Meeting with George Rogers, CEO, Wexler I Walker; Mike Starr, VP for Governmental & Regulatory Affairs, Workiva

4:00 pm Meeting with staff

4:45 pm Meeting with staff

7:00 pm Meeting with Congressman Sean Duffy

Thursday, March 16, 2017

2:00 pm Closed Commission Meeting

3:00 pm Meeting with staff

4:00 pm Meeting with Greg Carmichael, Pres. & CEO, Fifth Third Bank, Other Senior Officers of Fifth Third Bank

4:30 pm Meeting with staff

Friday, March 17, 2017

10:30 am Meeting with Burt Porter, Senior Economist, Counsel of Economic Advisors

11:00 am Meeting with Steve Braun, Director of Macroeconomic Forecasting, Counsel of Economic Advisors

12:00 pm Meeting with Andrew Olmem, Special Assistant, White House National Economic Council

12:30 pm Meeting with Mark Calabria, Chief Economist, Office of the Vice President; Andrew Olmem, Special Assistant, White House National Economic Council

Monday, March 20, 2017

10:30 am Meeting with Gary Cohn, Director, White House National Economic Council

2:00 pm Meeting with staff

3:00 pm Meeting with staff

4:00 pm Meeting with staff

Tuesday, March 21, 2017

9:45 am Meeting with staff

10:00 am Meeting with staff  
11:30 am Meeting with staff

Wednesday, March 22, 2017

10:00 am Open Commission Meeting  
12:30 pm Opening remarks at the Brown Bag Luncheon with Milken Institute  
1:45 pm Meeting with staff  
2:30 pm Meeting with Hal Scott, Harvard Law School, Director, Committee on Capital Markets Regulation  
3:00 pm Meeting with Pat Halter, COO, Principle Global Investors; Chris Payne, VP Government Relations, Principle Global Investors  
3:30 pm Meeting with staff  
5:00 pm Meeting with staff

Thursday, March 23, 2017

11:00 am Closed Commission Meeting  
3:00 pm Keynote address at Columbia Law School

Friday, March 24, 2017

Out of Office

Monday, March 27, 2017

9:00 am Opening remarks; 27<sup>th</sup> Annual International Institute for Securities Market Growth and Development  
10:00 am Meeting with staff  
10:50 am Meeting with staff  
11:00 am Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
3:00 pm Meeting with Clare McCrory, CFO/CCO, Atairos; John Aye, Partner, Ropes & Gray LLP; Joel Wattenbarger, Partner, Ropes & Gray LLP  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Tuesday, March 28, 2017

9:45 am Meeting with staff  
10:00 am Meeting with staff

2:00 pm Meeting with staff  
3:30 pm Meet and greet with attendees of the 27<sup>th</sup> Annual International Institute for Securities Market Growth and Development  
4:30 pm Meeting with staff  
5:00 pm Meeting with staff

Wednesday, March 29, 2017

12:30 pm Meeting with Michael Loesch, Partner, Norton Rose Fulbright US LLP  
2:00 pm Meeting with staff  
3:30 pm Meeting with staff

Thursday, March 30, 2017

11:00 am Closed Commission Meeting  
1:30 pm Financial and Banking Information Infrastructure Committee Meeting  
4:30 pm Meeting with staff

Friday, March 31, 2017

9:00 am Meeting with staff  
9:30 am Meeting with staff  
10:00 am Meeting with Chris Jones, Exec. VP of Investment Management & Chief Investment Officer, Financial Engines; David Weiskopf, Vice President of Corporate Communications, Financial Engines; Dan Crowley, Partner, K&L Gates LLP  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Meeting with Joe Ucuzoglu, Chairman and CEO, Deloitte & Touche LLP; Greg Durant, Deputy CEO, Deloitte & Touche LLP  
4:30 pm Meeting with staff

Monday, April 3, 2017

10:00 am Meeting with staff  
11:00 am Meeting with City of London Corporation: Mark Boleat, Policy Chairman; Catherine McGuinness, Deputy Policy Chairman; Damien Nussbaum, Director of Economic Development; Duncan Richardson, Senior International Regulation Advisor; Henry Tanner, Head of Media  
12:00 pm Meeting with staff

2:00 pm Meeting with staff  
2:15 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Tuesday, April 4, 2017

9:50 am Fireside Chat with Dan Gallagher - Drexel University LeBow College of Business  
Center for Corporate Governance's Ninth Annual Directors Dialogue  
6:30 pm Directors Dialogue Dinner

Wednesday, April 5, 2017

9:30 am Attended Equity Market Structure Advisory Committee meeting  
3:00 pm Meeting with staff  
4:00 pm Meeting with staff

Thursday, April 6, 2017

9:30 am Meeting with State Street Corporation: Jim Ross, Executive Vice President; Steve Patterson, Vice President  
10:00 am Meeting with Doug Doerfler, President & CEO, MaxCyte; Kenneth Moch, President & CEO, Cognition Therapeutics; Bill Newell, CEO, Sutro Biopharma; B.J. Lehmann, President & COO, Athersys; John Blake, Vice President of Finance, aTyr Pharma; Mark Johnson, Senior Director of Investor Relations, aTyr Pharma; Charles Crain, Director of Tax & Financial Services Policy, Biotechnology Innovation Organization; David Lachmann, Senior Director of Federal Government Relations, Biotechnology Innovation Organization; Shelly Mui-Lipnik, Vice President of Tax & Financial Services Policy, Biotechnology Innovation Organization  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:15 pm Meeting with staff  
2:00 pm Closed Commission Meeting  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
5:15 pm Meeting with staff

Friday, April 7, 2017

10:00 am Meeting with staff  
10:30 am Meeting with staff  
12:00 pm Meeting with Richard Berner, Director, Office of Financial Research, U.S.  
Department of the Treasury  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff

Monday, April 10, 2017

Out of Office

Tuesday, April 11, 2017

Out of Office

Wednesday, April 12, 2017

Out of Office

Thursday, April 13, 2017

Out of Office

Friday, April 14, 2017

Out of Office

Monday, April 17, 2017

3:00 pm Phone call with Joseph Grundfest, Stanford Law School  
4:30 pm Meeting with staff

Tuesday, April 18, 2017

10:30 am Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with staff  
4:30 pm Meeting with staff

Wednesday, April 19, 2017

12:00 pm Meeting with Abby Johnson, Chairman and CEO, Fidelity  
12:15 pm Meeting with Fidelity leadership, legal representatives, public affairs and policy group  
1:45 pm Meeting with staff  
4:30 pm Meeting with Hal Scott, Harvard Law School  
5:00 pm Guest Lecture, Series on International Finance, Harvard Law School

Thursday, April 20, 2017

8:00 am Attended Commodity and Futures Trading Commission (CFTC) meeting  
10:30 am Meeting with staff  
11:00 am Meeting with Valdis Dombrovskis, Vice President, European Commission  
11:30 am Meeting with staff  
2:00 pm Closed Commission Meeting  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with G. Mahalingam, Whole Time Member (Commissioner Equivalent), Securities and Exchange Board of India  
4:30 pm Meeting with Levin Holle, Director General of the Financial Markets Policy Department, Germany's Federal Ministry of Finance  
5:30 pm Meeting with staff

Friday, April 21, 2017

9:00 am Fireside Chat, Mutual Fund Directors Forum  
10:30 am Meeting with Jean-Yves Fillion, CEO, BNP Paribas and Group General Representative for North America  
11:00 am Meeting with Ashley Alder, CEO, Hong Kong Securities and Futures Commission/Chairman IOSCO Board; Paul Andrews, Secretary General, IOSCO Board  
1:00 pm 2017 World Bank Group/IMF Regulatory Roundtable  
2:45 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with Mark Grinblatt, Distinguished Professor, UCLA Anderson School of Management  
4:00 pm Meeting with staff

Monday, April 24, 2017

10:30 am Meeting with staff  
11:00 am Meeting with staff  
1:00 pm Meeting with staff

1:30 pm Meeting with staff  
2:00 pm Meeting with staff  
2:15 pm Meeting with staff  
2:45 pm Meeting with staff  
4:00 pm Phone call with Chris Giancarlo, Acting Chairman, CFTC

Tuesday, April 25, 2017

9:00 am Meeting at the New York Stock Exchange

Wednesday, April 26, 2017

9:30 am Meeting with staff  
10:00 am Phone call with Charlyce Bozzello, Program Officer, The Manhattan Institute for Policy Research  
10:30 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Meeting with Ken Fisher, CEO, Fisher Investments; Jason Rosenstock, Partner, Thorn Run Partners  
3:00 pm Municipal Securities Rulemaking Board, Board of Directors Meeting  
4:30 pm Meeting with staff  
5:30 pm Meeting with staff

Thursday, April 27, 2017

9:30 am Meeting with staff  
10:00 am Meeting with Sy Lorne, Vice Chairman & Chief Legal Officer, Millennium Management LLC  
10:45 am Meeting with Anat Admati, George C. Parker Professor of Finance and Economics, Stanford University  
11:15 am Phone call with Jamie Selway, Board Member, National Organization of Investment Professionals  
11:45 am Meeting with staff  
2:00 pm Closed Commission Meeting  
4:30 pm Phone call with Congressman Trey Hollingsworth  
6:30 pm Speaking Engagement at Georgetown University Chapter of the Adam Smith Society MBA Program

Friday, April 28, 2017



8:30 am Speaking Engagement at National Organization of Investment Professionals  
Spring 2017 Conference  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff  
3:00 pm Meeting with staff

Monday, May 1, 2017

9:30 am Phone call with Craig Phillips, Counselor, U.S. Department of the Treasury  
10:00 am Meeting with staff  
4:30 pm Meeting with staff

Tuesday, May 2, 2017

9:00 am Speaking Engagement at SEC's 2<sup>nd</sup> Annual Quant Congress  
11:00 am Meeting with Erik Thedéen, Director General, Swedish Financial Supervisory  
Authority  
11:30 am Meeting with Chris Donahue, CEO, Federated Investors, Inc.  
12:30 pm Remarks at Treasury Department's Borrowing Advisory Committee meeting  
2:30 pm Meeting with staff  
4:00 pm Meeting with staff

Wednesday, May 3, 2017

10:00 am Phone call with Bimal Patel, Deputy Secretary, U.S. Department of the Treasury  
10:30 am Meeting with staff  
2:00 pm Meeting with Steve Mnuchin, Secretary, U.S. Department of the Treasury; Chris  
Giancarlo, Acting Chairman, CFTC  
3:30 pm Meeting with staff

Thursday, May 4, 2017

10:00 am Phone call with Phil Rothenberg, General Counsel, Tesla Motors  
1:00 pm Closed Commission Meeting