

Acting Chair Allison Herren Lee
Public Calendar
February 1, 2021 to February 28, 2021

Monday, February 1, 2021

10:00 am	Meeting with staff
10:15 am	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Phone call with Brett Redfearn, former Director, Division of Trading and Markets, U.S. Securities and Exchange Commission (SEC)
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Interview with Ailsa Chang, National Public Radio (NPR)
5:30 pm	Meeting with staff

Tuesday, February 2, 2021

8:00 am	Speaking engagement, World Economic Forum
10:00 am	Phone call with Willkie Farr & Gallagher LLP, including: Justin Browder, Partner; and Barry Barbash, Senior Counsel
10:30 am	Meeting with staff
11:15 am	Phone call with Bruce Freed, President, Center for Political Accountability; and Karl Sandstrom, Senior Counsel, Perkins Coie LLP
12:00 pm	Meeting with staff
1:30 pm	Meeting with staff
3:30 pm	Meeting with staff
4:15 pm	Commission Briefing
5:30 pm	Meeting with staff

Wednesday, February 3, 2021

9:45 am	Meeting with staff
10:00 am	Meeting with staff
12:00 pm	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
3:00 pm	Phone call with Saba Capital Management, including: Boaz Weinstein, Founder; Pierre Weinstein, Partner; Paul Kazarian, Portfolio Manager; Michael D'Angelo, Chief Operating Officer; and John Mahon, Partner, Schulte, Roth & Zabel LLP
5:30 pm	Meeting with staff

Thursday, February 4, 2021

8:00 am Phone call with Ryoza Himino, Commissioner, Japanese Financial Services Agency (JFSA)
8:45 am Meeting with staff
11:00 am Phone call with Janet Yellen, Secretary, U.S. Department of the Treasury; Jay Powell, Chairman, Federal Reserve Board (FRB); John Williams, President, Federal Reserve Bank of New York (FRBNY); and Rostin Behnam, Acting Chairman, Commodity Futures Trading Commission (CFTC)
12:30 pm Meeting with staff
4:00 pm Meeting with staff
5:30 pm Meeting with staff
6:00 pm Phone call with Ashley Alder, Chief Executive Officer, Hong Kong Securities and Futures Commission (SFC)
7:00 pm Speaking engagement, New York City Bar Association, Committee on Investment Management Regulation

Friday, February 5, 2021

8:45 am Meeting with staff
10:00 am Phone call with Greg Gilman, President, National Treasury Employees Union (NTEU), Chapter 293
10:20 am Meeting with staff
10:30 am Meeting with staff
11:00 am Meeting with staff
11:30 am Meeting with staff
12:00 pm Phone call with Lynelle Cameron, Vice President of Sustainability, Autodesk Foundation
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with Commissioner
4:00 pm Meeting with staff
4:30 pm Meeting with staff
5:00 pm Meeting with staff
5:30 pm Meeting with staff

Monday, February 8, 2021

8:45 am Meeting with staff
10:00 am Meeting with staff
10:20 am Meeting with staff
11:30 am Meeting with staff
12:30 pm Meeting with staff
1:30 pm Meeting with staff

2:00 pm	Phone call with Congresswoman Maxine Waters
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:15 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Tuesday, February 9, 2021

8:45 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Phone call with Salman Banaei, Executive Director, IHS Markit
12:30 pm	Phone call with Mary Schapiro, former SEC Chairman
12:45 pm	Phone call with Luis Aguilar, former SEC Commissioner
1:00 pm	Speaking engagement, Fireside chat, National Entrepreneurship Week
2:00 pm	Meeting with staff
2:30 pm	Phone call with Wes Bricker, Vice Chair and Assurance Leader, PwC
4:00 pm	Phone call with Janet Yellen, Secretary, U.S. Department of the Treasury; Jay Powell, Chairman, FRB; Randal Quarles, Vice Chair for Supervision, FRB; Rostin Behnam, Acting Chairman, CFTC; and Jelena McWilliams, Chairman, Federal Deposit Insurance Corporation (FDIC)
5:30 pm	Meeting with staff

Wednesday, February 10, 2021

8:45 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with Commissioner
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:00 pm	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
2:00 pm	Phone call with the Investment Company Institute (ICI), including: Eric Pan, President; Annette Capretta, Deputy Managing Director for the Independent Directors Council; Dorothy Donohue, Deputy General Counsel; and Monique Curtis, Administrative Assistant
2:30 pm	Meeting with staff
3:00 pm	Phone call with job applicant
3:30 pm	Meeting with Commissioner
4:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Thursday, February 11, 2021

8:30 am Phone call with De Nederlandsche Bank (DNB), including: Klaas Knot, President; and Maarten Willemen, Senior Policy Advisor

9:15 am Meeting with staff

10:00 am Phone call with the American Securities Association (ASA), including: Chris Iacovella, Chief Executive Officer; Kelli McMorrow, Head of ASA Government Affairs; Chad Abraham, Chief Executive Officer, Piper Sandler Companies; Dan Arnold, President, LPL Financial; Steve Booth, President, Robert W. Baird & Co.; Curt Bradbury, Chief Operating Officer, Stephens, Inc.; Joseph Culley, Head of Capital Markets Group, Janney Montgomery Scott; John Ettelson, Chairman, William Blair & Company; Estee Faranda, Chief Executive Officer, PFS Investments; James Kerr, President, D.A. Davidson & Co.; Ronald Kruszewski, Chairman, Stifel, Nicolaus & Company; Mark Lehmann, Chief Executive Officer, JMP Securities; Douglas Preiser, Co-Chief Operating Officer, KeyBanc Capital Markets; Paul Reilly, Chief Executive Officer, Raymond James Financial; Jeffrey Solomon, Chief Executive Officer, Cowen Inc.; Joseph Sweeney, President of Advice & Wealth Management, Products, & Service Delivery, Ameriprise Financial; and M. Bradley Wings, President, Hilltop Securities

11:00 am Phone call with the Financial Accounting Foundation (FAF), including: Kathy Casey, Chair; Mary Barth, Vice Chair; John Auchincloss, Executive Director; and Rich Jones, Chair, Financial Accounting Standards Board (FASB)

11:30 am Interview with Ben Bain, Bloomberg

12:00 pm Meeting with staff

2:00 pm Closed Commission Meeting

4:00 pm Meeting with staff

4:30 pm Meeting with staff

5:30 pm Meeting with staff

Friday, February 12, 2021

7:20 am Speaking engagement (virtual), University of Navarra (Pamplona, Spain)

8:45 am Meeting with staff

10:30 am Meeting with staff

11:00 am Phone call with job applicant

11:15 am Meeting with staff

11:30 am Meeting with staff

1:00 pm Meeting with staff

Monday, February 15, 2021

Presidents Day – Federal Holiday

11:30 am Meeting with staff

4:00 pm Meeting with staff

Tuesday, February 16, 2021

8:45 am Meeting with staff
10:00 am Meeting with staff
10:30 am Meeting with staff
11:15 am Meeting with staff
12:45 pm Speaking engagement, ICI ESG Webinar
2:30 am Meeting with staff
3:00 pm Meeting with staff
3:30 pm Meeting with staff
4:00 pm Meeting with staff
5:30 pm Meeting with staff

Wednesday, February 17, 2021

8:45 am Meeting with staff
10:00 am Phone call with Chatham House, including: Lesley McKenna, Senior Manager of Conference Production and Marketing, and External Relations
10:30 am Meeting with staff
10:45 am Meeting with staff
11:15 am Meeting with staff
12:30 pm SEC African-American History Month event with Professor Christopher James Brummer, Georgetown Law
1:30 pm Meeting with staff
2:30 pm Meeting with staff
3:00 pm Meeting with Commissioner
3:30 pm Meeting with staff
4:00 pm Meeting with staff
5:30 pm Meeting with staff

Thursday, February 18, 2021

8:45 am Meeting with staff
10:00 am Meeting with staff
11:00 am Meeting with staff
2:00 pm Closed Commission Meeting
4:30 pm Meeting with staff
5:30 pm Meeting with staff
7:15 pm Meeting with staff

Friday, February 19, 2021

8:45 am Meeting with staff
10:30 am Meeting with staff

10:45 am	Meeting with staff
12:00 pm	Speaking engagement, CERAWeek Executive Conference
1:30 pm	Meeting with staff
2:30 pm	Meeting with staff
3:30 pm	Phone call with job applicant
4:00 pm	Meeting with staff
5:30 pm	Meeting with staff
6:00 pm	Meeting with staff

Sunday, February 21, 2021

12:00 pm	Phone call with staff
12:30 pm	Phone call with staff

Monday, February 22, 2021

8:00 am	Phone call with Erik Thedeen, Director General, Swedish Financial Supervisory Authority
8:45 am	Meeting with staff
10:00 am	Speaking engagement, Chatham House Responsible Business: In conversation with the U.S. Securities and Exchange Commission
11:45 am	Meeting with staff
12:00 pm	Meeting with staff
12:45 am	Meeting with staff
1:00 pm	Meeting with staff
2:30 pm	Intergovernmental cybersecurity call
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Tuesday, February 23, 2021

8:30 am	Phone call with Nikhil Rathi, Chief Executive Officer, UK Financial Conduct Authority (FCA)
9:30 am	Meeting with staff
10:00 am	Meeting with staff
10:30 am	Meeting with staff
11:15 am	Meeting with staff
11:30 am	Meeting with staff
1:00 pm	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff

2:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Meeting with Commissioner
5:30 pm	Meeting with staff

Wednesday, February 24, 2021

7:00 pm	International Organization of Securities Commissions (IOSCO) meeting
12:00 pm	Meeting with staff
2:00 pm	Phone call with Senator Jon Ossoff
3:00 pm	Phone call with the National Association of Securities Professionals (NASP), including: Ron Parker, President, NASP; Gennell Jefferson, Managing Director of Private Equity & Business Development, State Street Global Advisors; Guy Logan, Managing Director of Municipal Securities Division, Citigroup Global Markets; and Marshay Hall, Director of Communications and Programs, NASP
3:30 pm	Meeting with staff
4:30 pm	Meeting with staff
5:30 pm	Meeting with staff
6:00 pm	Meeting with staff
8:45 pm	Phone call with staff

Thursday, February 25, 2021

8:45 am	Meeting with staff
11:00 pm	Speaking engagement, Second SEC Investor Advocacy Clinic Summit
11:30 am	Phone call with Rebecca Slaughter, Acting Chair, Federal Trade Commission
12:00 pm	Meeting with staff
2:30 pm	Closed Commission Meeting
4:00 pm	Meeting with staff
5:30 pm	Meeting with staff

Friday, February 26, 2021

8:45 am	Meeting with staff
10:30 am	Meeting with Commissioner
11:00 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with staff
2:30 pm	Meeting with staff

Saturday, February 27, 2021

12:00 pm	Phone call with staff
1:00 pm	Phone call with staff

2:00 pm Phone call with job applicant

Sunday, February 28, 2021

10:00 am Phone call with staff

7:15 pm Phone call with staff