



**Brochure
Form ADV Part 2A**

Item 1 - Cover Page

Antares Wealth Management, LLC

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March 30, 2023

This Brochure provides information about the qualifications and business practices of Antares Wealth Management, LLC. If you have any questions about the contents of this Brochure, please contact us at (404) 231-3414 or travis.mckinney@antareswealth.com. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

Antares Wealth Management, LLC is an investment advisory firm registered with the appropriate regulatory authority. Registration does not imply a certain level of skill or training. Additional information about Antares Wealth Management, LLC also is available on the SEC's website at www.AdviserInfo.sec.gov.

Item 2 - Material Changes

On 5/31/2022, our parent company, Oakbridge Partners, was acquired by HB Wealth Management, LLC ("HB or Homrich Berg"). HB now owns a majority interest in Antares Wealth Management, LLC.

W. Travis McKinney replaced Chris Casdia as Chief Compliance Officer. References to the name of the Chief Compliance Officer in Item 2 and in Item 15 have been revised to reflect this change.

Discussion of Risks was added to Item 8 which include: the risk of investing in securities. Also, added to Item 8 is a discussion of cybersecurity risk and COVID-19 risk.

Currently, a full copy of our Brochure may be requested by contacting April Jones, at 404-264-1400 or jones@homrichberg.com.

Additional information about Antares Wealth Management, LLC is also available via the SEC's website: www.adviserinfo.sec.gov. The SEC's website also provides information about any Antares Wealth Management employees who are registered as investment adviser representatives of Antares Wealth Management.

Item 3 - Table of Contents

Page

Item 1 - Cover Page	1
Item 2 - Material Changes	2
Item 3 - Table of Contents	3
Item 4 - Advisory Business	4
Item 5 - Fees and Compensation	7
Item 6 - Performance-Based Fees and Side-By-Side Management	8
Item 7 - Types of Clients	8
Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss	8
Item 9 - Disciplinary Information	11
Item 10 - Other Financial Industry Activities and Affiliations	11
Item 11 - Code of Ethics	11
Item 12 - Brokerage Practices	13
Item 13 - Review of Accounts	15
Item 14 - Client Referrals and Other Compensation	15
Item 15 - Custody	16
Item 16 - Investment Discretion	16
Item 17 - Voting Client Securities	16
Item 18 - Financial Information	17

Item 4 - Advisory Business

About the Firm

Antares Wealth Management, LLC ("Antares Wealth") was formed in 2019, provides investment management and wealth management services to our clients.

The principal owners of Antares Wealth are HB Wealth Management, LLC ("HB or Homrich Berg") and Seven Bridges Road, LLC.

As of December 31, 2022, Antares managed \$201,501,966 of client assets on a discretionary basis and managed \$0 of non-discretionary assets.

Investment Management Services

Antares Wealth primarily provides wealth management services. At the outset of each prospective client relationship, before developing an investment strategy, we make a detailed assessment of your current financial circumstances. We spend time with you, discussing your investment experience, available resources, income needs and tolerance for investment risk, and broadly identifying your objectives and goals. This background information assists us in developing a recommended investment plan that is designed to fit your specific needs.

Based on the information initially gathered from you, we generally develop for presentation:

- a Financial Review which provides a current financial snapshot for you, together with financial analysis and projections (the "Financial Review"). These may include short-term (5 years or less), intermediate term (5 - 10 years) and/or long term (10 years or more) projections; and
- a Portfolio Strategy or an Investment Policy Statement which sets forth a recommended portfolio allocation for you, together with appropriate risk/return expectations (the "Investment Plan").

The Financial Review is a reflection of your current financial picture and a look to your future goals and expectations. The Investment Plan outlines the types of investments we would make on your behalf, if engaged by you, in an effort to help meet those goals and expectations.

Once an Investment Plan is implemented on your behalf, the Investment Plan will be monitored and updated periodically as determined to be necessary or advisable based on our experience, changes in market conditions, changes in your financial position and/or other circumstances. Modifications to the initial Investment Plan, while a topic for ongoing communications with you, will not necessarily be reflected in a written document.

All investment management and financial planning services clients retain Antares Wealth by entering into a written agreement. This agreement may be terminated within five (5) days of the executing date of the agreement or by either party with a written notice thirty (30) days

prior to termination as agreed upon. Fees will be prorated to the date of the termination as specified in the notice and any unearned portion of prepaid fees will be refunded to the client.

Portfolio Management

We will manage your investment portfolio on a discretionary basis. As a discretionary investment adviser, we will have the authority to supervise and direct your portfolio without prior consultation with you. The only non-discretionary assets supervised by us are those few accounts managed by sub-advisers selected by you. Notwithstanding the foregoing, you may impose certain written restrictions on us in the management of your investment portfolio, such as prohibiting the inclusion of certain types of investments or prohibiting the sale of certain investments held in the account at the commencement of the relationship. You should note, however, that restrictions imposed by you may adversely affect the composition and performance of your investment portfolio.

Your investment portfolio is treated individually, with consideration given to each trade for your account. For these and other reasons, performance of your investment portfolio within the same investment objectives, goals and/or risk tolerance may differ, and you should not expect that the composition or performance of your investment portfolios would necessarily be consistent with similar clients of ours.

Investment Strategies in Portfolio Management

The allocation of a client's assets among equities, fixed income and alternative investments is made pursuant to two basic methodologies: strategic and tactical.

The **strategic asset allocation** process involves determining your investment objectives and the time horizon over which these objectives are targeted to be reached. Taking into account these factors and the historical return patterns for different asset classes, we allocate assets among selected asset classes to help reach your financial goals over time. However, past market performance is not indicative of future market performance. The **tactical asset allocation** process also takes into account historical market data but in a different manner. It involves the periodic movement among asset classes to change the risk characteristics of a portfolio based on current economic and market conditions. Again, historical market performance is not indicative of future market performance.

We utilize computer models and our financial planning services to determine the appropriate initial asset allocation for you. Tactical allocations, including increasing or decreasing equity exposure, are made periodically as economic and market conditions warrant.

Fixed income investments may be used as a strategic investment, as an instrument to fulfill liquidity or income needs in a portfolio, or to add a component of capital preservation.

We use both active and passive investment strategies. For active strategies we use our investment experience and other research services to identify our choice for investment managers and funds available in today's marketplace. We look for investment managers and

funds that meet selected criteria for investment sector exposure, expense ratios, manager tenure, asset size, investment discipline.

We utilize investment research, investment related services and tools from a number of sources, including: (a) asset simulation and/or performance evaluation software; (b) research on mutual funds, ETFs and separate account managers; and (c) economic commentary from a number non-affiliated third parties.

Financial Planning

The wealth management services we offer include financial planning. These services generally are included in conjunction with ongoing portfolio management; however, in certain circumstances, financial planning may be provided on a stand-alone basis.

Depending on your specific situation, financial planning and other wealth management services may include some or all the following:

- Gathering factual information concerning your personal and financial situation;
- Assisting you in establishing financial goals and objectives;
- Analyzing your present situation and anticipated future activities in light of your financial goals and objectives;
- Identifying problems foreseen in the accomplishment of these financial goals and objectives and offering alternative solutions to the problems;
- Making recommendations to help achieve retirement plan goals and objectives;
- Designing an investment portfolio to help meet the your goals and objectives;
- Providing tax and estate planning;
- Assessing risk and reviewing basic health, life and disability insurance needs; or
- Reviewing goals and objectives and measuring progress toward these goals.

If financial planning advice is provided on a fee basis, you may choose to engage us to manage the investment portfolio on an ongoing basis; however, you are under no obligation to act upon any of the recommendations made by us under a financial planning engagement and/or engage the services of any recommended investment professional.

Antares Wealth is a fiduciary under the Employee Retirement Income Security Act of 1974, as amended (“ERISA”), with respect to investment management services and investment advice provided to ERISA plan clients, including ERISA plan participants. Antares Wealth is also a fiduciary under the Internal Revenue Code (the “IRC”) with respect to investment management services and investment advice provided to ERISA plans, ERISA plan participants, IRAs and IRA owners (collectively, “Retirement Account Clients”). As such, Antares Wealth is subject to specific duties and obligations under ERISA and the IRC that include, among other things, prohibited transaction rules which are intended to prohibit fiduciaries from acting on conflicts of interest. When a fiduciary gives advice in which it has a conflict of interest, the fiduciary

must either avoid or eliminate the conflict or rely upon a prohibited transaction exemption (a “PTE).

As a fiduciary, we have duties of care and of loyalty to you and are subject to obligations imposed on us by the federal and state securities laws. As a result, you have certain rights that you cannot waive or limit by contract. Nothing in our agreement with you should be interpreted as a limitation of our obligations under the federal or state securities laws or as a waiver of any unwaivable rights you possess.

Fees for Wealth Management Services

Financial Planning Fees

Financial planning may be provided as a stand-alone service. Fees for a separate financial plan are negotiated at the time of the engagement for such services and are normally based on an hourly fee.

Portfolio Management Fees

The current annual fee schedule, based on a percentage of assets under management, is as follows:

- 1.00% of the first \$5,000,000
- 0.75% of the next \$5,000,000
- 0.50% of the Amount Over \$10,000,000

The minimum portfolio value is generally set at \$500,000 and the minimum annual fee charged is \$5,000. In limited cases smaller accounts may be accepted. We may, at our discretion, make exceptions to the fee schedule above as all fees are negotiable.

Portfolio management fees are payable quarterly in advance. If management begins after the start of a quarter, fees will be prorated accordingly based upon the ending market value of that quarter. With your authorization and unless other arrangements are made, fees are normally debited directly from your account(s).

Either you or Antares Wealth may terminate your Investment Management Agreement at any time, subject to any written notice requirements in the agreement. In the event of termination, any paid but unearned fees will be promptly refunded to you based on the number of days that the account was managed, and any fees due to us from you will be invoiced or deducted from your account prior to termination.

Fees paid to Antares Wealth are exclusive of all of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. Clients may incur certain charges imposed by custodians, brokers, third party investment managers and other third parties such as margin interest, trade away fees, management fees, custodial fees,

deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, other fees and taxes on brokerage accounts and securities transactions, and separate custody fees for maintaining Alternative Investments in taxable or retirement accounts. Mutual funds and exchange traded funds also charge internal management fees, which are disclosed in a fund's prospectus. We advise each client to read the appropriate prospectus for mutual funds & ETF's in addition to the Operating Agreement and Offering Memorandum of Private Investment Partnerships for a list of expenses. Such charges, fees and commissions are exclusive of and in addition to Antares Wealth's fee, and Antares Wealth shall not receive any portion of these commissions, fees, and costs. Item 12 further describes the factors that Antares Wealth considers in selecting or recommending brokers for client transactions and determining the reasonableness of their compensation (*e.g.*, commissions).

Item 6 - Performance-Based Fees and Side-By-Side Management

We do not have any performance-based fee arrangements. "Side-by-Side Management" refers to a situation in which the same firm manages accounts that are billed based on a percentage of assets under management and at the same time manages other accounts for which fees are assessed on a performance fee basis. Because we have no performance-based fee accounts, we have no side-by-side management.

Item 7 - Types of Clients

We serve individuals, pension and profit-sharing plans, trusts, estates, corporations, endowments and charitable organizations.

Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

In implementing your Investment Plan, we generally utilize no-load equity, fixed income and alternative mutual funds and exchange traded funds ("ETFs"). From time to time, we may also incorporate individual stocks, closed-end funds, master limited partnerships ("MLPs") and/or individual bonds in your account.

Mutual funds and ETFs are generally evaluated and selected based on a variety of factors, including, without limitation, past performance, fee structure, portfolio manager tenure, fund sponsor, overall ratings for safety and returns, and other factors.

In selecting individual stocks for an account, we generally rely on traditional fundamental analysis including, without limitation, the following factors:

- Financial strength ratios;
- Price-to-earnings ratios;
- Dividend yields; and
- Growth rate-to-price earnings ratios.

We may incorporate other methods of analysis, such as Charting Analysis and Technical Analysis:

Charting Analysis involves gathering and processing price and volume information for a particular security. Our charting analysis may include, without limitation:

- mathematical analysis;
- graphing charts; and
- estimations of future price movements based on perceived patterns and trends.

Technical Analysis involves studying past price patterns and trends in the financial markets to predict the direction of both the overall market and specific asset classes and securities.

Fixed income investments may be used as a strategic investment, as an instrument to fulfill liquidity or income needs in a portfolio, or to add a component of capital preservation. We will generally evaluate and select individual bonds or bond funds based on a number of factors including, without limitation, rating, yield and duration.

Investment Strategies

The Investment Strategies that we employ are detailed in **Item 4 - Advisory Business** of this Brochure.

Risks of Investing in Securities

Investing in securities involves the risk of loss that clients should be prepared to bear. All investment programs have certain risks that are borne by the investor. Investment risks that investors face include the following:

Interest Rate Risk: Fluctuations in interest rates can cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.

Market Risk: The price of a security, bond, or mutual fund can drop in reaction to tangible and intangible events and conditions. This type of risk is caused by external factors independent of a security's particular underlying circumstances. For example, political, economic and social conditions can trigger market events.

Inflation Risk: When any type of inflation is present, a dollar's value today can vary compared to the value of a dollar next year, as purchasing power usually erodes at the same rate as inflation.
Currency Risk: Overseas investments are subject to fluctuations in the value of the dollar against the currency of the investment's originating country. This is also referred to as exchange rate risk.

Reinvestment Risk: This is the risk that future proceeds from investments are reinvested at potentially lower rate of return (i.e., interest rate). This risk primarily relates to fixed income securities.

Business Risk: These risks are associated with a particular industry or a particular company within an industry. For example, oil-drilling companies depend on finding oil and then refining it, which is a lengthy process, before they can generate a profit. They carry a higher risk of profitability than an electric company, which generates its income from a steady stream of customers who buy electricity no matter what the economic environment is like.

Liquidity Risk: Liquidity is the ability to readily convert an investment into cash. Generally, assets are more liquid if many traders are interested in a standardized product. For example, Treasury Bills are highly liquid, while real estate properties are not.

Cash Withdrawals Risk: The need to sell the portfolio's holdings in order to meet a client's cash withdrawal requests could cause loss when selling securities if the requests are unusually large or frequent or occur in times of overall market turmoil or declining prices, or when the securities the portfolio manager wishes to sell or is required to sell are illiquid.

Financial Risk: Excessive borrowing to finance a business's operations increases the risk of profitability, because the company must meet the terms of its obligations in good times and bad. During periods of financial stress, the inability to meet loan obligations can result in bankruptcy and/or a declining market value.

Management Risk: The risk is whether the investment strategy process and risk analyses applied by HB will produce the desired results and that legislative and regulatory developments can affect the investment techniques available to HB and the individual portfolio manager in connection with managing the portfolio. There is no guarantee that the investment objective of the portfolio will be achieved.

Cybersecurity Risk

The computer systems, networks and devices used by HB and service providers to us and our clients to carry out routine business operations employ a variety of protections designed to prevent damage or interruption from computer viruses, network failures, computer and telecommunication failures, infiltration by unauthorized persons, and security breaches. Despite the various protections utilized, these systems, networks, and devices potentially can be breached. A client could be negatively impacted as a result of a cybersecurity breach. Cybersecurity breaches can include unauthorized access to systems, networks, or devices; infection from computer viruses or other malicious software code; and attacks that shut down, disable, slow, or otherwise disrupt operations, business processes, or website access or functionality. Cybersecurity breaches can cause disruptions and impact business operations, potentially resulting in financial losses to a client; impediments to trading; the inability by us and other service providers to transact business; violations of applicable privacy and other laws; regulatory fines, penalties, reputational damage, reimbursement or other compensation costs,

or additional compliance costs; as well as the inadvertent release of confidential information. Similar adverse consequences can result from cybersecurity breaches affecting issuers of securities in which a client invests; governmental and other regulatory authorities; exchange and other financial market operators, banks, brokers, dealers, and other financial institutions; and other parties. In addition, substantial costs can be incurred by these entities in order to prevent or mitigate the risk of cybersecurity breaches in the future.

COVID-19 Risk

The transmission of COVID and efforts to contain its spread have resulted in border closings and other travel restrictions and disruptions, market volatility, disruptions to business operations, supply chains and customer activity and quarantines. With widespread availability of vaccines, the U.S. Centers for Disease Control and Prevention has revised its guidance, travel restrictions have started to lift, and businesses have reopened. However, the COVID pandemic continues to evolve and the extent to which our investment strategies will be impacted will depend on various factors beyond our control, including the extent and duration of the impact on economies around the world and on the global securities and commodities markets. Volatility in the U.S. and global financial markets caused by the COVID pandemic may continue and could impact our firm's investment strategies. Although currently there has been no significant impact, the COVID outbreak, and future pandemics, could negatively affect vendors on which our firm and clients rely and could disrupt the ability of such vendors to perform essential tasks.

Item 9 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Antares Wealth or the integrity of our management. Antares Wealth has no disciplinary events to report.

Item 10 - Other Financial Industry Activities and Affiliations

We are affiliated with another Registered Investment Adviser, Homrich Berg. The firms share office space and personnel, and Homrich Berg is the majority owner of Antares Wealth.

The other owner of Antares Wealth, Seven Bridges Road, LLC, is under common control with an accounting firm called Antares Group, Inc. Antares Group, Inc. refers clients to Antares Wealth, but no referral fees are paid.

Item 11 - Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics and Personal Trading

We have adopted a Code of Ethics ("the Code"), the full text of which is available to you upon request. Our Code has several goals. First, the Code is designed to assist us in complying with applicable laws and regulations governing our investment advisory business. Under the Investment Advisers Act of 1940, Antares Wealth owes fiduciary duties to its clients. Pursuant to these fiduciary duties, the Code requires persons associated with us (managers, officers and

employees) to act with honesty, good faith and fair dealing in working with clients. In addition, the Code prohibits such associated persons from trading or otherwise acting on insider information.

Next, the Code sets forth guidelines for professional standards for our associated persons. Under the Code's Professional Standards, we expect our associated persons to put the interests of our clients first, ahead of personal interests. In this regard, our associated persons are not to take inappropriate advantage of their positions in relation to our clients.

Third, the Code sets forth policies and procedures to monitor and review the personal trading activities of associated persons. From time to time, our associated persons may invest in the same securities recommended to you. Under its Code, we have adopted procedures designed to reduce or eliminate conflicts of interest that this could potentially cause. The Code's personal trading policies include procedures for limitations on personal securities transactions of associated persons, reporting and review of such trading and pre-clearance of some types of personal trading activities. These policies are designed to discourage and prohibit personal trading that would disadvantage clients. The Code also provides for disciplinary action as appropriate for violations.

Participation or Interest in Client Transactions

Because client accounts are invested almost exclusively in open-end mutual funds and ETFs, there is little opportunity for a conflict of interest between personal trades by our associated persons and trades in client accounts, even when such accounts invest in the same securities. However, in the event of other identified potential trading conflicts of interest, our goal is to place client interests first.

Consistent with the foregoing, we maintain policies regarding participation in initial public offerings ("IPOs") and private placements to comply with applicable laws and avoid conflicts with client transactions. If our associated person wishes to participate in an IPO or invest in a private placement, they must submit a pre-clearance request and obtain the approval of the Chief Compliance Officer.

Finally, if associated persons trade with client accounts (i.e., in a bundled or aggregated trade), and the trade is not filled in its entirety, the associated person's shares will be removed from the block, and the balance of shares will be allocated among client accounts in accordance with our written policy.

AWM's clients or prospective clients may request a copy of the firm's Code of Ethics by contacting W. Travis McKinney at 404-264-1400 or travis.mckinney@antareswealth.com.

Item 12 - Brokerage Practices

Best Execution and Benefits of Brokerage Selection

When given discretion to select the brokerage firm that will execute orders in client accounts, we seek “best execution” for client trades, which is a combination of a number of factors, including, without limitation, quality of execution, services provided and commission rates. Therefore, we may use or recommend the use of brokers who do not charge the lowest available commission in the recognition of research and securities transaction services, or quality of execution. Research services received with transactions may include proprietary or third party research (or any combination), and may be used in servicing any or all of our clients. Therefore, research services received may not be used for the account for which the particular transaction was effected.

We recommend that you establish a brokerage account with Charles Schwab & Co., Inc. (“Schwab”), a FINRA registered broker-dealer, member SIPC, as the qualified custodian to maintain custody of your assets. We may also effect trades for your accounts at Schwab, or may in some instances, consistent with our duty of best execution and specific agreement with you, elect to execute trades elsewhere. Although we may recommend that you establish an account at Schwab, it is ultimately your decision to custody assets with Schwab. Antares Wealth is independently owned and operated and is not affiliated with Schwab.

Schwab Advisor Services provides us with access to its institutional trading, custody, reporting and related services, which are typically not available to Schwab retail investors. Schwab also make available various support services. Some of those services help us manage or administer our clients’ accounts while others help us manage and grow our business. These services generally are available to independent investment advisors on an unsolicited basis, at no charge to them. These services are not soft dollar arrangements, but are part of the institutional platform offered by Schwab. Schwab’s brokerage services include the execution of securities transactions, custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment.

For our client accounts maintained in its custody, Schwab generally does not charge separately for custody services but is compensated by account holders through commissions or other fees on trades that it executes or that settle into your Schwab account. Certain trades may not incur Schwab commissions or transaction fees. Schwab is also compensated by earning interest on the uninvested cash in your account. Schwab Advisor Services also makes available to Antares Wealth other products and services that benefit us but may not directly benefit its clients’ accounts. Many of these products and services may be used to service all or some substantial number of our accounts, including accounts not maintained at Schwab.

Schwab’s products and services that assist us in managing and administering clients’ accounts include software and other technology that (i) provide access to client account data (such as

trade confirmations and account statements); (ii) facilitate trade execution and allocate aggregated trade orders for multiple client accounts; (iii) provide pricing and other market data; (iv) facilitate payment of Antares Wealth's fees from its clients' accounts; and (v) assist with back-office functions, recordkeeping and client reporting.

Schwab Advisor Services also offers other services intended to help us manage and further develop our business enterprise. These services may include: (i) technology, compliance, legal and business consulting; (ii) publications and conferences on practice management and business succession; and (iii) access to employee benefits providers, human capital consultants and insurance providers. Schwab may make available, arrange and/or pay third-party vendors for the types of services rendered to us. Schwab Advisor Services may discount or waive fees it would otherwise charge for some of these services or pay all or a part of the fees of a third-party providing these services to us. Schwab Advisor Services may also provide other benefits such as educational events or occasional business entertainment of our personnel. In evaluating whether to recommend that clients custody their assets at Schwab, we may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors we consider and not solely on the nature, cost or quality of custody and brokerage services provided by Schwab, which may create a potential conflict of interest.

Aggregated Trades

We may enter trades as a block where possible and when advantageous to clients whose accounts have a need to buy or sell shares of the same security. This method permits the trading of aggregate blocks of securities composed of assets from multiple client accounts. It allows us to execute trades in a timely, equitable manner, and may reduce overall costs to clients.

We will only aggregate transactions when we believe that aggregation is consistent with our duty to seek best execution (which includes the duty to seek best price) for our clients, and is consistent with the terms of our Investment Advisory Agreement with each client for which trades are being aggregated. No advisory client will be favored over any other client; each client that participates in an aggregated order will participate at the average share price for all Antares Wealth's transactions in a given security included in the block. Transaction fees for participating accounts will be assessed at the custodian's rate applicable to each account; therefore, transaction costs may vary among accounts. Accounts may be excluded from a block due to tax considerations, client direction or other factors making the account's participation ineligible or impractical.

We will prepare, before entering an aggregated order, a written statement ("Allocation Statement") specifying the participating client accounts and how it intends to allocate the order among those clients. If the aggregated order is filled in its entirety, it will be allocated among clients in accordance with the Allocation Statement. If the order is partially filled, it will generally be allocated pro-rata, based on the Allocation Statement, or randomly in certain

circumstances. Notwithstanding the foregoing, the order may be allocated on a basis different from than specified in the Allocation Statement if all client accounts receive fair and equitable treatment, and the reason for different allocation is explained in writing and is approved by an appropriate individual/officer of Antares Wealth. Our books and records will separately reflect, for each client account included in a block trade, the securities held by and bought and sold for that account. Funds and securities of clients whose orders are aggregated will be deposited with the account custodian, and neither the clients' cash nor their securities will be held collectively any longer than is necessary to settle the transaction on a delivery versus payment basis; cash or securities held collectively for clients will be delivered out to the account custodian as soon as practicable following the settlement, and we will receive no additional compensation or remuneration of any kind as a result of the proposed aggregation.

Directed Brokerage

We do not generally allow directed brokerage accounts.

Item 13 - Review of Accounts

Managed portfolios are reviewed at least quarterly, but may be reviewed more often at any time such review is deemed necessary or advisable by us. These factors generally include but are not limited to, the following: change in your general circumstances (marriage, divorce, retirement); or economic, political or market conditions. All portfolios are reviewed by one or more of the following Antares Wealth representatives: William J. Cohen, a Principal of Antares Wealth, Jennifer I. Storey, a Principal, Portfolio Manager and Financial Planner with Antares Wealth, Eric B. Toole, a Principal, Portfolio Manager and Financial Planner with Antares Wealth, C. Brandon Downs, a Principal and Portfolio Manager with Antares Wealth, and Sean Lennox, an Associate with Antares Wealth. For those clients to whom we provide separate financial planning services, reviews are conducted on an as needed or agreed upon basis. Such reviews are conducted by William J. Cohen, J.D., Jennifer I. Storey, CFP®, Eric B. Toole, CFP® and/or C. Brandon Downs, CFP®.

Account custodians are responsible for providing monthly or quarterly account statements which reflect the positions (and current pricing) in each account as well as transactions in each account, including fees paid from an account. Account custodians also provide prompt confirmation of all trading activity, and year-end tax statements, such as 1099 forms. In addition, we provide a written quarterly report for each managed portfolio. This report includes a summary of portfolio holdings and portfolio performance results compared to applicable benchmarks. Additional reports are available at your request.

Item 14 - Client Referrals and Other Compensation

As noted above, we receive an economic benefit from Schwab in the form of support products and services it makes available to Antares Wealth and other independent investment advisors whose clients maintain accounts at Schwab. These products and services, how they benefit our firm, and the related conflicts of interest are described in ***Item 12 - Brokerage Practices***. The

availability of Schwab's products and services to us is based solely on our participation in the programs and not on the provision of any particular investment advice. Neither Schwab nor any other party is paid to refer clients to us.

Item 15 - Custody

Schwab is the custodian of nearly all client accounts at Antares Wealth. From time to time however, you may select an alternate broker to hold accounts in custody. In any case, it is the custodian's responsibility to provide you with confirmations of trading activity, tax forms and at least quarterly account statements. You are advised to review this information carefully, and to notify us of any questions or concerns. You are also asked to promptly notify us if the custodian fails to provide statements on each account held.

From time to time and in accordance with our agreement with you, we will provide additional reports. The account balances reflected on these reports should be compared to the balances shown on the brokerage statements to ensure accuracy. There may at times be small differences due to the timing of dividend reporting, pending trades, and the accrual of dividends and interest payments in the value of securities.

Item 16 - Investment Discretion

As described in ***Item 4 - Advisory Business***, we will accept clients on either a discretionary or non-discretionary basis. For a *discretionary account*, a Limited Power of Attorney ("LPOA") is executed by you, giving us the authority to carry out various activities in your account, generally including the following: trade execution; the ability to request checks on your behalf; and, the withdrawal of advisory fees directly from your account. We then direct investment of your portfolio using our discretionary authority. You may limit the terms of the LPOA to the extent consistent with your investment advisory agreement with us and the requirements of your custodian.

For a *non-discretionary account*, you also generally execute an LPOA, which allows us to carry out trade recommendations and approved actions in your portfolio. However, in accordance with the investment advisory agreement between you and Antares Wealth, we do not implement trading recommendations or other actions in your account unless and until you have approved the recommendation or action. As with discretionary accounts, you may limit the terms of the LPOA, subject to our agreement with you and the requirements of your custodian.

Item 17 - Voting Client Securities

As a policy and in accordance with our client agreement, we do not vote proxies related to securities held in client accounts. The custodian of the account will normally provide proxy materials directly to you. You may contact us with questions relating to proxy procedures and proposals; however, we generally do not research particular proxy proposals.

Item 18 - Financial Information

Antares Wealth has no financial condition that is reasonably likely to impair its ability to meet contractual commitments to its clients. We do not require nor solicit prepayment of more than \$1,200 in fees per client, six months or more in advance, and therefore have no disclosure required for this item.