



La Ferla Group LLC., a Registered Investment Adviser
1050 Franklin Avenue, Suite 400 – Garden City, NY 11530
Office Phone Number 516-280-8410
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Brochure Supplement Date: March 30, 2023

This Brochure Supplement provides information about certain advisory personnel that supplements the La Ferla Group Form ADV, Part 2A Brochure. You should have received a copy of that Brochure. Please contact the Supervisor(s) listed below, if you did not receive La Ferla Group's Brochure or if you have any questions about the contents of this supplement.

Additional information about your IAR is available on the SEC's website at www.adviserinfo.sec.gov.

This Brochure Supplement has not been approved by the SEC or any state securities authority. The terms "registered investment adviser" and "investment adviser representative" do not mean that any particular training level or skill has been reached by the entity or person using the term. Those terms only mean that entity or person is registered with the SEC or a state securities authority.

PRINCIPALS

- **JOSEPH A. LA FERLA, JR., Managing Principal** (Born 1949)

Educational Background

Embry-Riddle Aeronautical University – B.S., Aeronautics - 1974

Business Experience

La Ferla Group LLC - Managing Principal - May 2015 – Present

Purshe Kaplan Sterling Investments, Inc. - Registered Representative - May 2015 - November 2016

UBS Financial Services Inc. - Managing Director - Wealth Management - March 1982 - May 2015

Disciplinary Information

Mr. La Ferla has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. La Ferla or of La Ferla Group.

Other Business Activities

La Ferla Group is required to disclose information regarding any investment-related business or occupation in which Joseph A. La Ferla, Jr. is actively engaged. La Ferla Group has no information to disclose in relation to this Item.

Name of Outside Business Activity, Nature of Outside Business Activity

None

Additional Compensation

La Ferla Group is required to disclose information regarding any arrangement under which Joseph A. La Ferla, Jr. receives an economic benefit from someone other than a client for providing investment advisory services. La Ferla Group has no information to disclose in relation to this Item.

Supervisory Information

Your IAR's conduct and activities with public customers are supervised by a supervisory representative of La Ferla Group at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring; the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: Courtney Glaser, CCO
Supervisor's Telephone Number: 516-280-8410

- **JOHN G. MACRI, Managing Principal (Born 1961)**

Educational Background

Adelphi University – M.B.A., Finance/Business – 1994

Pace University – B.B.A., Business Administration - 1983

Business Experience

La Ferla Group LLC - Managing Principal - May 2015 – Present

Purshe Kaplan Sterling Investments, Inc. - Registered Representative - May 2015 - November 2016

UBS Financial Services Inc. – Financial Advisor – June 1985 - May 2015

Professional Designations

John G. Macri holds the professional designation of CERTIFIED FINANCIAL PLANNER™ ("CFP®").

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the "CFP Board") to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor's degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

Disciplinary Information

Mr. Macri has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Macri or of La Ferla Group.

Other Business Activities

La Ferla Group is required to disclose information regarding any investment-related business or occupation in which John G. Macri is actively engaged. La Ferla Group has no information to disclose in relation to this Item.

Name of Outside Business Activity, Nature of Outside Business Activity

None

Additional Compensation

La Ferla Group is required to disclose information regarding any arrangement under which John G. Macri receives an economic benefit from someone other than a client for providing investment advisory services. La Ferla Group has no information to disclose in relation to this Item.

Supervisory Information

Your IAR's conduct and activities with public customers are supervised by a supervisory representative of La Ferla Group at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring; the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: Courtney Glaser, CCO
Supervisor's Telephone Number: 516-280-8410

- **THOMAS D. CORDOVANO, Managing Principal** (Born 1963)

Educational Background

New York Institute of Technology, B.S., Finance, 1985

Business Experience

La Ferla Group LLC - Managing Principal - May 2015 – Present

Purshe Kaplan Sterling Investments, Inc. - Registered Representative - May 2015 - Present

UBS Financial Services Inc. – Financial Advisor – June 1986 - May 2015

Professional Designations

Thomas D. Cordovano holds the professional designation of CERTIFIED FINANCIAL PLANNER™ ("CFP®").

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the "CFP Board") to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor's degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

Disciplinary Information

Mr. Cordovano has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Cordovano or of La Ferla Group.

Other Business Activities

Mr. Cordovano is a registered representative ("RR") of Purshe Kaplan Sterling Investments, Inc., ("PKS"). In his capacity as an RR, Mr. Cordovano is authorized to offer you certain products issued or offered by companies other than PKS ("non-PKS products").

As an RR, Mr. Cordovano also may service your securities or insurance products on behalf of the company issuing the product.

Depending on his capacity, he is compensated by PKS, for sale, renewal and servicing of certain authorized non-PKS products. This compensation includes base commissions and other forms of compensation that may vary from product to product. You should be aware that Mr. Cordovano, depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he will receive. This potential conflict of interest is addressed by the firm through communications to, and training and supervision of, its IARs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel overseeing your IAR's activities.

Name of Outside Business Activity, Nature of Outside Business Activity

Purshe Kaplan Sterling Investments, Inc
Registered Representative
Commission-based investment products & services

Additional Compensation

La Ferla Group is required to disclose information regarding any arrangement under which Thomas D. Cordovano receives an economic benefit from someone other than a client for providing investment advisory services. La Ferla Group has no information to disclose in relation to this Item.

Supervisory Information

Your IAR's conduct and activities with public customers are supervised by a supervisory representative of La Ferla Group at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring; the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: Courtney Glaser, CCO
Supervisor's Telephone Number: 516-280-8410

- **REGIS R. DILLON, Managing Principal & Chief Investment Officer** (Born 1988)

Educational Background

SUNY Cortland – B.S., Business Economics - 2010

Business Experience

La Ferla Group LLC – Managing Principal – January 2021 – Present

La Ferla Group LLC – Chief Investment Officer - May 2015 – Present

Purshe Kaplan Sterling Investments, Inc. - Registered Representative - May 2015 - Present

UBS Financial Services Inc. – Wealth Strategy Associate – July 2011 - May 2015

Professional Designations

Regis R. Dillon holds the professional designations of Certified Financial Analyst (CFA®), CERTIFIED FINANCIAL PLANNER™ ("CFP®") and Certified Investment Management Analyst (CIMA).

The mission of CFA Institute is to promote the highest standards of ethics, education, and professional excellence in the global investment industry. It aspires to serve financial professionals by providing education, professional development, and networking opportunities. It also aims to be a global leader in investment ethics, industry practice, and capital market integrity. The CFA designation requires candidates pass three successive examinations that cover ethical and professional standards, quantitative methods, economics, financial reporting, corporate finance, equity, fixed income, derivatives, alternative investments, and portfolio management. Chartered Financial Analysts must also have a minimum amount of industry experience before receiving the designation.

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the "CFP Board") to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor's degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

The CIMA certification is an asset management credential administered through the Investment Management Consultants Association ("IMCA") to individuals who meet its experience, ethical, education and examination requirements. Prerequisites for the CIMA designation include three years of financial services experience and an acceptable regulatory history. In order to obtain the CIMA certification, candidates must successfully complete a one-week classroom education program at an accredited university business school and pass an online certification examination. CIMA designees are further required to adhere to the IMCA's Code of Professional Responsibility and Standards of Practice on an ongoing basis. CIMA designees must also report 40 hours of continuing education credits on a biannual basis in order to maintain the designation.

Disciplinary Information

Mr. Dillon has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Dillon or of La Ferla Group.

Other Business Activities

Mr. Dillon is a registered representative ("RR") of Purshe Kaplan Sterling Investments, Inc., ("PKS"). In his capacity as an RR, Mr. Dillon is authorized to offer you certain products issued or offered by companies other than PKS ("non-PKS products").

As an RR, Mr. Dillon also may service your securities or insurance products on behalf of the company issuing the product.

Depending on his capacity, he is compensated by PKS, for sale, renewal and servicing of certain authorized non-PKS products. This compensation includes base commissions and other forms of compensation that may vary from product to product. You should be aware that Mr. Dillon, depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he will receive. This potential conflict of interest is addressed by the firm through communications to, and training and supervision of, its IARs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel overseeing your IAR's activities.

Name of Outside Business Activity, Nature of Outside Business Activity

Purshe Kaplan Sterling Investments, Inc
Registered Representative

Commission-based investment products & services

Additional Compensation

La Ferla Group is required to disclose information regarding any arrangement under which Regis R. Dillon receives an economic benefit from someone other than a client for providing investment advisory services. La Ferla Group has no information to disclose in relation to this Item.

Supervisory Information

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Supervisor's Telephone Number: 516-280-8410

- **MICHAEL CIABATTONI, Investment Advisor** (Born 1988)

Educational Background

West Chester University of Pennsylvania – B.S., Finance - 2010

Business Experience

La Ferla Group LLC – Investment Advisor - May 2020 – Present

Mach Financial Consulting LLC – Principal – September 2019 – Present

Delta Airlines – Pilot – September 2016 – Present

PSA Airlines – Pilot – July 2011 – September 2016

Disciplinary Information

Mr. Ciabattone has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Ciabattone or of La Ferla Group.

Other Business Activities

Mr. Ciabattone is employed as a Pilot by Delta Airlines.

Name of Outside Business Activity, Nature of Outside Business Activity

Delta Airlines

Pilot

Fly approximately 72 hours a month at various times of the day/night

Additional Compensation

Michael Ciabattone is a salaried employee with Delta Airlines.

Supervisory Information

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Supervisor's Name, Title: Courtney Glaser, CCO
Supervisor's Telephone Number: 516-280-8410

SEAN P. CALLAGHAN, Investment Advisor (Born 1999)

Educational Background

Fairfield University – B.S., Finance - 2022

Business Experience

La Ferla Group LLC – Investment Advisor - June 2022 – Present

La Ferla Group LLC – Intern – June 2021 – August 2021

Disciplinary Information

Mr. Callaghan has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Callaghan or of La Ferla Group.

Other Business Activities

La Ferla Group is required to disclose information regarding any investment-related business or occupation in which Sean P. Callaghan is actively engaged. La Ferla Group has no information to disclose in relation to this Item.

Name of Outside Business Activity, Nature of Outside Business Activity

None

Additional Compensation

La Ferla Group is required to disclose information regarding any arrangement under which Sean P. Callaghan receives an economic benefit from someone other than a client for providing investment advisory services. La Ferla Group has no information to disclose in relation to this Item.

Supervisory Information

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Supervisor's Name, Title: Courtney Glaser, CCO
Supervisor's Telephone Number: 516-280-8410

DEFINITIONS and CRITERIA for DESIGNATIONS

Certified Financial Planner (CFP®)

An experienced financial planner who has completed courses of study and passed examinations in areas such as insurance, securities and taxes. Additionally, CFP's are required to disclose ethical standing and comply with the CFP Board Code of Ethics. The designation is awarded by the Certified Financial Planner Board of Standards.

Certified Financial Analyst (CFA®)

The mission of CFA Institute is to promote the highest standards of ethics, education, and professional excellence in the global investment industry. It aspires to serve financial professionals by providing education, professional development, and networking opportunities. It also aims to be a global leader in investment ethics, industry practice, and capital market integrity. The CFA designation requires candidates pass three successive examinations that cover ethical and professional standards, quantitative methods, economics, financial reporting, corporate finance, equity, fixed income, derivatives, alternative investments, and portfolio management. Chartered Financial Analysts must also have a minimum amount of industry experience before receiving the designation.

Certified Investment Management Analyst (CIMA®)

The Certified Investment Management Analyst (CIMA®) Certification is the only credential of its kind designed specifically for financial professionals seeking to distinguish themselves as advanced investment consultants. It requires successful completion of the educational component followed by a passing score on the CIMA® Certification Exam.

Registered Investment Adviser (RIA)

An entity that, for compensation, engages in the business of advising others as to the value of securities or the advisability of purchasing or selling securities. Investment advisory practices are required to register with the Securities and Exchange Commission.