

*This brochure supplement provides information about Lynn Sandt that supplements the Lear Investment Management brochure. You should have received a copy of that brochure. Please contact Frederick Lear if you did not receive Lear Investment Management's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Lynn Sandt is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Lear Investment Management**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Lynn Sandt**

Personal CRD Number: 3159428

Investment Adviser Representative

3102 Oak Lawn Avenue, Suite 750  
Dallas, Texas 75219

214-445-5900  
[Lynn@LearIM.com](mailto:Lynn@LearIM.com)

UPDATED: March 2023

## Item 2: Educational Background and Business Experience

**Name:** Lynn Sandt

**Born:** 1965

### **Educational Background:**

BA in Spanish, Montclair State College - 1987

MBA in Investment Management, Pace University - 1999

### **Business Background:**

Lear Investment Management	04/2020 – Present
Phlox Capital Management	06/2016 – 05/2018
Westwood Management Corp.	05/2014 – 02/2015
Self Employed- Marketing Consultant to Various Investment Services Firms	2013 – 2019
RBC International Wealth Management	06/2011 – 04/2013
Morgan Stanley Alternative Investment Partners	06/2010 – 04/2011
HSBC Global Asset Management	01/2007 – 07/2008
Credit Suisse Asset Management	05/2022 – 12/2006

## Item 3: Disciplinary Information

Lynn Sandt has no disciplinary or legal events to disclose.

## Item 4: Other Business Activities

Lynn Sandt is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Lynn Sandt does not receive any economic benefit from any person, company, or organization, other than Lear Investment Management in exchange for providing clients advisory services through Lear Investment Management.

### **Item 6: Supervision**

Lynn Sandt is supervised by Frederick Lear, Managing Partner, who can be reached at 214-445-5900. Lynn Sandt adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### **Item 7: Requirements for State-Registered Advisers**

Lynn Sandt has not been found liable in an arbitration claim, nor has he been found liable in a civil, self-regulatory organization, or administrative proceeding.

Lynn Sandt has not been the subject of a bankruptcy petition.