

Registered as ClearBridge Wealth Management, Inc.



**7000 Central Parkway, Suite 1650
Atlanta, GA 30328**

**Firm Contact:
J. Preston Byers Chief Compliance Officer**

<https://www.clearbridgewealth.com>

March 04, 2023

This brochure provides information about the qualifications and business practices of ClearBridge Wealth Management, Inc. If you have any questions about the contents of this brochure, please contact us by telephone at 770-350-9605 or email pbyers@clearbridgewealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any State Securities Authority.

Additional information about ClearBridge Wealth Management, Inc. is also available on the SEC's website at www.adviserinfo.sec.gov. Please note that the use of the term "registered investment adviser" and description of ClearBridge Wealth Management, Inc. and/or our associates as "registered" does not imply a certain level of skill or training. You are encouraged to review this Brochure and Brochure Supplements for our firm's associates who advise you for more information on the qualifications of our firm and our employees.

Item 2 – Material Changes

ClearBridge Wealth Management, Inc. is required to advise you of any material changes to the Firm Brochure (“Brochure”) from our last annual update.

There are no material changes to disclose since the most recent filing of January 31, 2022.

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Item 4 – Advisory Business

ClearBridge Wealth Management, Inc. specializes in the following types of services: Comprehensive Portfolio Management, Financial Planning & Consulting as well as Pension Consulting. The firm is dedicated to providing individuals and other types of clients with a wide array of investment advisory services.

- Incorporated in the State of Georgia.
- In business as an investment adviser since 2013.
- Wholly owned by Mr. J. Preston Byers.

Comprehensive Portfolio Management

Our Comprehensive Portfolio Management service encompasses asset management as well as providing financial planning/financial consulting to clients. It is designed to assist clients in meeting their financial goals through the use of financial investments. ClearBridge Wealth Management, Inc. conduct at least one, but sometimes more than one meeting (in person if possible, otherwise via telephone conference) with clients in order to understand their current financial situation, existing resources, financial goals, and tolerance for risk. Based on what we learn, we propose an investment approach to the client. ClearBridge Wealth Management, Inc. may propose an investment portfolio, consisting of exchange traded funds (“ETFs”), mutual funds, individual stocks or bonds, or other securities. Upon the client’s agreement to the proposed investment plan, we work with the client to establish or transfer investment accounts so that we can manage the client’s portfolio. Once the relevant accounts are under our management, we review such accounts on a regular basis and at least quarterly. ClearBridge Wealth Management, Inc. will periodically rebalance or adjust client accounts under our management. If the client experiences any significant changes to his/her financial or personal circumstances, the client must notify us so that we can consider such information in managing the client’s investments.

Each client has the opportunity to place reasonable restrictions on the types of investments to be held in the portfolio. Restrictions on investments in certain securities or types of securities may not be possible due to the level of difficulty this would entail in managing the account. Restrictions would be limited to our Comprehensive Portfolio Management service.

Strategic Wealth Management (SWM)

Strategic Wealth Management (SWM) is a comprehensive, open-architecture, fee-based investment platform created by LPL Financial (LPL) to allow independent investment advisers such as ClearBridge Wealth Management, Inc. to offer clients customized advice and service. The platform provides a foundation to develop long-term financial goals and provide potential solutions. With this platform, multiple investments can be in a single account with one consolidated statement.

ClearBridge Wealth Management, Inc. offers SWWM II as a wrap fee program where ClearBridge Wealth Management, Inc. acts as the sponsor and portfolio manager. A wrap fee program includes the transaction fees and investment advisory fees as a single fee. Please see Appendix 1 –Wrap Fee Program Brochure, which is included as a supplement to this Disclosure Brochure for additional details.

LPL Sponsored Wrap Fee Programs

ClearBridge Wealth Management, Inc. also offers a wrap fee program where LPL serves as the sponsor and portfolio manager. While LPL serves as the wrap fee sponsor and portfolio manager, ClearBridge Wealth Management, Inc. manages the allocation funds between the different portfolios and available fund selections.

Manager Access Select (MAS)

Manager Access Select provides clients access to the investment advisory services of professional portfolio management firms for the individual management of client accounts. ClearBridge Wealth Management, Inc. will assist client in identifying a third-party portfolio manager (Portfolio Manager) from a list of Portfolio Managers made available by LPL Financial. The Portfolio Manager manages client's assets on a discretionary basis. ClearBridge Wealth Management, Inc. will provide initial and ongoing assistance regarding the Portfolio Manager selection process. A minimum account value of \$100,000 is required for Manager Access Select, however, in certain instances, the minimum account size may be lower or higher.

Financial Planning & Consulting

ClearBridge Wealth Management, Inc. provide a variety of financial planning and consulting services to individuals, families and other clients regarding the management of their financial resources based upon an analysis of the client's current situation, goals, and objectives.

Generally, such financial planning services will involve preparing a financial plan or rendering a financial consultation for clients based on the client's financial goals and objectives. This planning or consulting may encompass one or more of the following areas:

Investment Planning	Corporate Structure
Retirement Planning	Real Estate Analysis
Estate Planning	Mortgage/Debt Analysis
Charitable Planning	Insurance Analysis
Education Planning	Lines of Credit Evaluation
Corporate and Personal Tax Planning	Business and Personal Financial Planning
Cost Segregation Study	

Our written financial plans or financial consultations rendered to clients usually include general recommendations for a course of activity or specific actions to be taken by the clients. For example, recommendations may be made that the clients begin or revise investment programs, create or revise wills or trusts, obtain or revise insurance coverage, commence or alter retirement savings, or establish education or charitable giving programs. It should also be noted that we refer clients to an accountant, attorney or other specialist, as necessary for non-advisory related services. For written financial planning engagements, we provide our clients with a written summary of their financial situation, observations, and recommendations. For financial consulting engagements, we usually do not provide our clients with a written summary of our observations and recommendations as the process is less formal than our planning service. Plans or consultations are typically completed within six (6) months of the client signing a contract with us, assuming that all the information and documents we request from the client are provided

to us promptly. Implementation of the recommendations will be at the discretion of the client.

Pension Consulting

ClearBridge Wealth Management, Inc. provide pension consulting services to employer plan sponsors on a one-time or ongoing basis. Generally, such pension consulting services consist of assisting employer plan sponsors in establishing, monitoring and reviewing their company's participant-directed retirement plan. As the needs of the plan sponsor dictate, areas of advising could include: investment options, plan structure and participant education.

All pension consulting services shall be in compliance with the applicable state law(s) regulating pension consulting services. This applies to client accounts that are pension or other employee benefit plans ("Plan") governed by the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). If the client accounts are part of a Plan, and we accept appointments to provide our services to such accounts, we acknowledge that we are a fiduciary within the meaning of Section 3(21) of ERISA (but only with respect to the provision of services described in section 1 of the Pension Consulting Agreement).

Regulatory Assets Under Management

As of December 31, 2022, the firm had approximately:

Assets Under Management	
Discretionary	\$275,233,000
Non-Discretionary	\$0.00

Item 5 – Fees and compensation

Fees are billed on a pro-rata annualized basis quarterly in advance based on the value of your account on the last day of the previous quarter.

Assets Under Management	Annual Percentage
Any Assets	Up to 2.50%

Fees are generally not negotiable. Fees are automatically deducted from your managed account. As part of this process, you understand and acknowledge the following:

- LPL Financial as your custodian sends statements at least quarterly showing all disbursements, including the amount of the advisory fees paid;
- You provide authorization permitting LPL Financial to deduct these fees;
- LPL Financial calculates the advisory fees for all flat fee schedules and deducts them from your account.
- LPL Financial calculates all tiered advisory fee accounts. LPL Financial will deduct advisory fees from your account.
- In rare cases, we will agree to direct bill clients.

Financial Planning & Consulting

ClearBridge Wealth Management, Inc. can charge on an hourly or flat fee basis for financial planning and consulting services. The total estimated fee, as well as the ultimate fee that we charge you, is based on the scope and complexity of our engagement with you. Our hourly fees range from \$100 to \$500. Our flat fees generally range from \$750 to \$10,000. These fees are negotiable.

ClearBridge Wealth Management, Inc. requires a retainer of fifty-percent (50%) of the ultimate financial planning or consulting fee with the remainder of the fee directly billed to you and due to us within thirty (30) days of your financial plan being delivered or consultation rendered to you. In all cases, we will not require a retainer exceeding \$1,200 when services cannot be rendered within 6 (six) months.

Pension Consulting

ClearBridge Wealth Management, Inc. charges on an hourly or flat fee basis for pension consulting services. The total estimated fee, as well as the ultimate fee that we charge you, is based on the scope and complexity of our engagement with you. Our hourly fee ranges from \$100 to \$500. Our flat fees generally range from \$750 to \$10,000. Flat fees will be charged annually for ongoing pension consulting services. These fees are negotiable.

The fee-paying arrangements for pension consulting service will be determined on a case-by-case basis and will be detailed in the signed Pension Consulting Agreement. The client will be invoiced directly for the fees.

LPL Sponsored Advisory Programs

The account fee charged to the client for each LPL advisory program is negotiable, subject to the following maximum account fees:

Assets Under Management	Annual Percentage
MAS	Up to 3.0%

LPL Financial has a separate billing process which we have no control over. In general, they will directly bill you and describe how this works in their separate written disclosure documents.

Cash Holdings

Cash balances invested in LPL's multi-bank insured cash account (ICA) program are invested in Federal Deposit Insurance Corporation (FDIC) insured deposit accounts at one or more bank or other participating depository institutions. However, clients receive the same interest rate across all ICA deposit accounts taken in the aggregate based on a percentage of the average daily deposit balance. LPL receives a fee from the institutions participating in the ICA program based on the value of advisory assets held in the ICA program. This fee could be higher than the interest rate received by clients and/or could reduce the rate a client could receive elsewhere.

Other Fees

Non-Wrap fee Clients will incur transaction charges for trades executed in their accounts. These transaction fees are separate from our fees and will be disclosed by the firm that the trades are executed through. Also, clients will pay the following separately incurred expenses, which we do not receive any part of: charges imposed directly by a mutual fund, index fund, or exchange traded fund which shall be disclosed in the fund's prospectus (i.e., fund management fees and other fund expenses).

Wrap fee clients will not incur transaction costs for trades. More information about this is disclosed in our separate Wrap Fee Program Brochure.

Refunds Following Termination

ClearBridge Wealth Management, Inc. charge our advisory fees quarterly in advance. In the event that you wish to terminate our services, we will refund the unearned portion of our advisory fee to you. You need to contact us in writing and state that you wish to terminate our services. Upon receipt of your letter of termination, we will proceed to close out your account and process a pro-rata refund of unearned advisory fees.

Commissionable Securities Sales

In order to sell securities for a commission, our supervised persons are registered representatives of LPL Financial, LLC ("LPL"), member FINRA/SIPC. Our supervised persons may accept compensation for the sale of securities or other investment products, including distribution or service ("trail") fees from the sale of mutual funds. You should be aware that the practice of accepting commissions for the sale of securities:

1. Presents a conflict of interest and gives our firm and/or our supervised persons an incentive to recommend investment products based on the compensation received, rather than on your needs. ClearBridge Wealth Management, Inc. generally address commissionable sales conflicts that arise:
 - a. when explaining to clients that commissionable securities sales creates an incentive to recommend products based on the compensation we and/or our supervised persons may earn and may not necessarily be in the best interests of the client;
 - b. when recommending commissionable mutual funds, explaining that "no-load" funds are also available.

Clients are not restricted from purchasing investment products recommended by us through other brokers or agents which are not affiliated with us.

Item 6 – Performance Based Fees & Side by Side Management

ClearBridge Wealth Management, Inc. do not accept performance-based fees.

Item 7 – Types of Clients & Account Requirements

ClearBridge Wealth Management, Inc. have the following types of clients:

- Individuals and High Net Worth Individuals;
- Trusts, Estates or Charitable Organizations;
- Pension and Profit-Sharing Plans;
- Corporations, Limited Liability Companies and/or Other Business Types.

ClearBridge Wealth Management, Inc. do not have requirements for opening and maintaining accounts or otherwise engaging us.

Item 8 – Methods of Analysis, Investment Strategies & Risk of Loss

Our investment philosophy is built on the basic beliefs that investors should be adequately compensated for the risks they take, should be positioned to have a high probability of reaching their goals. Our investment analysis and portfolio construction process seeks to balance the investor's risk tolerance and current resources capacity with their quest for reasonable investment returns. Therefore, our investment strategies vary depending upon each client's specific financial situation. As such we determine investments and allocations based upon your predefined objectives, risk tolerance, time horizon, financial horizon, financial information, liquidity needs and other various suitability factors. Your restrictions and guidelines may affect the composition of your portfolio.

ClearBridge Wealth Management, Inc. may use one or more of the following methods of analysis or investment strategies when providing investment advice:

- Fundamental Analysis
- Technical Analysis
- Long Term Purchases (securities held at least a year)
- Short Term Purchases (securities held less than one year)
- Trading (Securities sold within 30 days)
- Margin Transactions
- Option Writing, including Covered Options,
- Uncovered options or Spreading Strategies

Our investment strategies and analysis are developed and conducted by our Investment Professionals. ClearBridge Wealth Management, Inc. utilize quantitative analysis which may include analysis of management expertise, industry and market cycles, strength of research and development as well as fundamental analysis and research which includes reviewing financial analysts' reports to gain insight on the future performance of a security to guide our investment allocation decisions. ClearBridge Wealth Management, Inc. first consider the development of long-term capital market assumptions. ClearBridge Wealth Management, Inc. then work with the client to develop strategic asset allocations designed to address risk and reward over a given time period.

Other considerations are used to provide guidance on shorter-term decisions in investment management. These considerations include the timing of rebalancing, investing cash, making

distributions, and tactical over or under-weights in the previously developed strategic allocation. These methods include technical analysis (charting current trends and trend reversals), quantitative analysis (reviewing market and economic trends), and global macro analysis of overall economic and political views of various countries.

ClearBridge Wealth Management, Inc. may use margin transactions or option writing when managing your account(s) although these types of transactions are not a fundamental part of our overall investment strategy. ClearBridge Wealth Management, Inc. may use these transactions occasionally when we determine that it is suitable given your restated investment objectives and tolerance for risk.

ClearBridge Wealth Management, Inc. considers multiple time horizons, including long, medium, and short term, when determining strategies. Depending on the client's needs, we may employ various risk-management strategies.

Please Note: Investing in securities involves risk of loss that clients should be prepared to bear. While the stock market may increase and your account(s) could enjoy a gain, it is also possible that the stock market may decrease, and your account(s) could suffer a loss. It is important that you understand the risks associated with investing in the stock market, are appropriately diversified in your investments, and ask us any questions you may have.

Item 9 – Disciplinary Information

There are no legal or disciplinary events material to the evaluation of our advisory business or the integrity of our management.

Item 10 – Other Financial Industry Activities & Affiliations

Representatives of our firm are registered representatives of LPL, a FINRA/SIPC member broker/dealer. They can offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest arises as these commissionable securities sales create an incentive to recommend products based on the compensation they earn.

Representatives of our firm are insurance agents/brokers. They can offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest arises as these insurance sales create an incentive to recommend products based on the compensation adviser and/or our supervised persons earn and not necessarily be in the best interests of the client.

These conflicts of interest are mitigated by our fiduciary duty to act in a client's best interest.

Item 11 – Code of Ethics, Participation or Interests in Client Transaction & Personal Trading

ClearBridge Wealth Management, Inc. recognizes that the personal investment transactions of members and employees of our firm demand the application of a high Code of Ethics and require that all such transactions be carried out in a way that does not endanger the interest of any client. At the same time, we believe that if investment goals are similar for clients and for members and employees of our firm, it is logical and even desirable that there be common ownership of some securities.

Therefore, in order to mitigate conflicts of interest, we have in place a set of procedures with

respect to transactions effected by our members, officers and employees for their personal accounts. In order to monitor compliance with our personal trading policy, we have a quarterly securities transaction reporting system for all of our associates.

Furthermore, our firm has established a Code of Ethics which applies to all of our associated persons. An investment adviser is considered a fiduciary. As a fiduciary, it is an investment adviser's responsibility to provide fair and full disclosure of all material facts and to act solely in the best interest of each of our clients at all times. ClearBridge Wealth Management, Inc. has a fiduciary duty to all clients. Our fiduciary duty is considered the core underlying principle for our Code of Ethics which also includes Insider Trading and Personal Securities Transactions Policies and Procedures. ClearBridge Wealth Management, Inc. require all of our supervised persons to conduct business with the highest level of ethical standards and to comply with all federal and state securities laws at all times. Upon employment or affiliation and at least annually thereafter, all supervised persons will sign an acknowledgement that they have read, understand, and agree to comply with our Code of Ethics. Our firm and supervised persons must conduct business in an honest, ethical, and fair manner and avoid all circumstances that might negatively affect or appear to affect our duty of complete loyalty to all clients. This disclosure is provided to give all clients a summary of our Code of Ethics. However, if a client or a potential client wishes to review our Code of Ethics in its entirety, a copy will be provided promptly upon request.

Neither our firm nor a related person recommends to clients, or buys or sells for client accounts, securities in which our firm or a related person has a material financial interest.

Related persons of our firm may buy or sell securities and other investments that are also recommended to clients. In order to minimize this conflict of interest, our related persons will place client interests ahead of their own interests and adhere to our firm's Code of Ethics, a copy of which is available upon request.

Related persons of our firm may buy or sell securities for themselves at or about the same time they buy or sell the same securities for client accounts. In order to minimize this conflict of interest, our related persons will place client interests ahead of their own interests and adhere to our firm's Code of Ethics, a copy of which is available upon request. Further, our related persons will refrain from buying or selling the same securities within 24 hours prior to buying or selling for our clients. If related persons' accounts are included in a block trade, our related persons will always trade personal accounts last.

Item 12 – Brokerage Practices

ClearBridge Wealth Management, Inc. recommends LPL for securities transactions.

- ClearBridge Wealth Management, Inc. does not maintain discretionary authority in determining the broker/dealer with whom orders for the purchase and sale of securities are placed for execution.
- ClearBridge Wealth Management, Inc. does not have discretion regarding the commission rates at which such transactions are effected.

Each asset management client of ClearBridge Wealth Management, Inc. will be required to establish an account with LPL. All trades executed by ClearBridge Wealth Management, Inc. must be executed through LPL.

Best Execution

In seeking best execution, the determinative factor is not the lowest possible cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of a broker-dealer's services, including the value of research provided, execution capability, commission rates, and responsiveness. Accordingly, although ClearBridge Wealth Management, Inc. will seek competitive rates, for the benefit of all clients, ClearBridge Wealth Management, Inc. may not necessarily obtain the lowest possible commission rates for specific client account transactions. Recommendations to our clients are based on our clients' interests in receiving best execution and the level of competitive, professional services.

Trade Aggregation

ClearBridge Wealth Management, Inc. manages each portfolio on an individual basis but trades are aggregated by the custodian. If trades are not aggregated, clients may receive a less favorable price than other clients. This means that this practice of not aggregating may cost clients more money.

Soft Dollar

ClearBridge Wealth Management, Inc. receives support services and/or products from LPL, many of which assist the firm to better monitor and service program accounts maintained at LPL; however, some of the services and products benefit only ClearBridge Wealth Management, Inc. and not clients.

These support services and/or products may be received without cost, at a discount, and/or at a negotiated rate, and may include the following:

- investment-related research
- pricing information and market data
- software and other technology that provide access to client account data
- compliance and/or practice management-related publications
- consulting services
- attendance at conferences, meetings, and other educational and/or social events
- marketing support
- computer hardware and/or software
- other products and services used by [Advisor] in furtherance of its investment advisory business operations

LPL may provide these services and products directly or may arrange for third party vendors to provide the services or products to Advisor. In the case of third-party vendors, LPL may pay for some or all of the third party's fees.

These support services are provided based on the overall relationship with LPL. It is not the result of soft dollar arrangements or any other express arrangements with LPL that involves the execution of client transactions as a condition to the receipt of services. ClearBridge Wealth Management, Inc. will continue to receive the services regardless of the volume of client transactions executed with LPL. Clients do not pay more for services as a result of this arrangement. There is no corresponding commitment to invest any specific amount or percentage

of client assets in any specific securities as a result of the arrangement. However, this arrangement creates a conflict of interest and an incentive to recommend LPL's custodial platform rather than another custodian's platform. This conflict of interest is mitigated by a fiduciary duty to act in a client's best interest and acting accordingly.

Special Considerations for ERISA Clients

A retirement or ERISA plan client may direct all or part of portfolio transactions for its account through a specific broker or dealer in order to obtain goods or services on behalf of the plan. Such direction is permitted provided that the goods and services provided are reasonable expenses of the plan incurred in the ordinary course of its business for which it otherwise would be obligated and empowered to pay. ERISA prohibits directed brokerage arrangements when the goods or services purchased are not for the exclusive benefit of the plan. Consequently, we will request that plan sponsors who direct plan brokerage provide us with a letter documenting that this arrangement will be for the exclusive benefit of the plan.

Item 13 – Review of Accounts

ClearBridge Wealth Management, Inc. review accounts on at least a quarterly basis for our clients subscribing to our Comprehensive Portfolio Management service. The nature of these reviews is to learn whether clients' accounts are in line with their investment objectives, appropriately positioned based on market conditions, and investment policies, if applicable. Only our Financial Advisors or Portfolio Managers will conduct reviews.

ClearBridge Wealth Management, Inc. do not provide written reports to clients, unless asked to do so. Verbal reports to clients take place on at least an annual basis when we contact clients who subscribe to our Comprehensive Portfolio Management service.

Pension Consulting clients receive reviews of their pension plans for the duration of the pension consulting service. ClearBridge Wealth Management, Inc. also provide ongoing services to Pension Consulting clients where we meet with such clients upon their request to discuss updates to their plans, changes in their circumstances, etc. Pension Consulting clients do not receive written or verbal updated reports regarding their pension plans unless they choose to contract with us for ongoing Pension Consulting services.

Financial Planning clients do not receive reviews of their written plans unless they take action to schedule a financial consultation with us. ClearBridge Wealth Management, Inc. do not provide ongoing services to financial planning clients, but are willing to meet with such clients upon their request to discuss updates to their plans, changes in their circumstances, etc. Financial Planning clients do not receive written or verbal updated reports regarding their financial plans unless they separately contract with us for a post-financial plan meeting or update to their initial written financial plan.

ClearBridge Wealth Management, Inc. may review client accounts more frequently than described above. Among the factors which may trigger an off-cycle review are major market or economic events, the client's life events, requests by the client, etc.

Item 14 – Client Referrals & Other compensation

ClearBridge Wealth Management, Inc. may receive an occasional economic benefit from a product sponsor or the custodian that is not based on advisory services. Compensation may include promotional gifts valued at less than \$100 annually, an occasional dinner or ticket to a sporting event, or reimbursement in connection with educational meetings, workshops or marketing event.

Referral Fees

ClearBridge Wealth Management, Inc. does not directly or indirectly compensate any person who is not a supervised person for client referrals.

Item 15 – Custody

ClearBridge Wealth Management, Inc. do not have custody of client funds or securities. All of our clients receive at least quarterly account statements directly from their custodians. Upon opening an account with a qualified custodian on a client's behalf, we promptly notify the client in writing of the qualified custodian's contact information. If we decide to also send account statements to clients, such notice and account statements include a legend that recommends that the client compare the account statements received from the qualified custodian with those received from our firm.

ClearBridge Wealth Management, Inc. encourage our clients to raise any questions with us about the custody, safety or security of their assets. The custodians we do business with will send you independent account statements listing your account balance(s), transaction history and any fee debits or other fees taken out of your account.

Item 16 – Investment Discretion

Clients have the option of providing our firm with investment discretion on their behalf, pursuant to an executed investment advisory client agreement. By granting investment discretion, we are authorized to execute securities transactions, which securities are bought and sold, the total amount to be bought and sold, and the costs at which the transactions will be effected. Limitations may be imposed by the client in the form of specific constraints on any of these areas of discretion with our firm's written acknowledgement.

Item 17 – Voting Client Securities

ClearBridge Wealth Management, Inc. does not and will not accept the proxy authority to vote client securities. Clients will receive proxies or other solicitations directly from their custodian or a transfer agent. In the event that proxies are sent to our firm, we will forward them on to you and ask the party who sent them to mail them directly to you in the future. Clients may call, write or email us to discuss questions they may have about particular proxy votes or other solicitations.

Item 18 – Financial Information

ClearBridge Wealth Management, Inc. is not required to provide financial information in this Brochure because we do not require the prepayment of more than \$1,200 in fees and six or more months in advance, we do not take custody of client funds or securities and we do not have a financial condition or commitment that impairs our ability to meet contractual and fiduciary obligations to clients. ClearBridge Wealth Management, Inc. has never been the subject of a bankruptcy proceeding.

Registered as ClearBridge Wealth Management, Inc.



Appendix 1 – Wrap Fee Program Brochure

**7000 Central Parkway, Suite 1650
Atlanta, GA 30328**

**Firm Contact:
J. Preston Byers Chief Compliance Officer
www.ClearBridgeWealth.com**

March 04, 2023

This Form ADV2A - Appendix 1 (“Wrap Fee Brochure”) provides information about the qualifications and business practices for ClearBridge Wealth Management, Inc. (“Advisor”) services when offering services pursuant to a wrap program. This Wrap Fee Brochure shall always be accompanied by the ClearBridge Wealth Management, Inc. Disclosure Brochure, which provides complete details on the business practices of the Advisor. If you did not receive the complete ClearBridge Wealth Management, Inc. Disclosure Brochure or you have any questions about the contents of this Wrap Fee Brochure or the ClearBridge Wealth Management, Inc. Disclosure Brochure, please contact us at 770-350-9605 or email pbyers@clearbridgewealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about ClearBridge Wealth Management, Inc. and its advisory persons are available on the SEC’s website at www.adviserinfo.sec.gov by searching for our firm name or by our CRD No. 169169.

Registration does not imply a certain level of skill or training

Item 2 - Material Changes

If the firm amends this *brochure* so that it contains material changes from the last annual update, the changes will be identified in this item.

There are no material changes to disclose since the previous filing of January 31, 2022.

Clients will receive, at no charge, a summary of any material changes within 120 days of December 31st and promptly (generally within 30 days) after any material changes throughout the year.

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Item 4 – Services, Fees and compensation

ClearBridge Wealth Management, Inc. provides investment advisory services where the asset management fee and ticket charges are “wrapped” into a single fee. This Wrap Fee Program Brochure is provided as a supplement to the ClearBridge Wealth Management, Inc. Disclosure Brochure (Form ADV 2A) to provide further details of the business practices and fee structure.

This Wrap Fee Program Brochure references back to the ClearBridge Wealth Management, Inc. Form ADV 2A in which this Wrap Fee Program Brochure serves as an Appendix. Please see Item 4 – Advisory Services of the Form ADV 2A for details on ClearBridge Wealth Management, Inc.’s investment philosophy and related services.

ClearBridge Wealth Management, Inc. is the sponsor and portfolio manager of this Wrap Fee Program, receives investment advisory fees paid by clients and pays the Custodian for the costs associated with the normal trading activity.

Investment Amount	Fee
Any Amount	Up to 2.5%

Participation in this wrap fee program may cost more or less than purchasing such services separately.

Item 5 – Account Requirements and Types of Clients

Please see Item 7 – Types of Clients in the Form ADV 2A Disclosure Brochure.

Item 6 - Portfolio Manager Selection and Evaluation

ClearBridge Wealth Management, Inc. serves as sponsor and portfolio manager for the services under this Wrap Fee Program. ClearBridge Wealth Management, Inc. does not charge performance-based fees.

ClearBridge Wealth Management, Inc. does not accept proxy-voting responsibility. Clients will receive proxy statements directly from the Custodian. ClearBridge Wealth Management, Inc. can assist in answering questions relating to proxies, however, the Client retains the sole responsibility for proxy decisions and voting.

Item 7 – Client Information Provided to Portfolio Managers

ClearBridge Wealth Management, Inc. is the sponsor and sole portfolio manager for the Program. There is no other portfolio manager where client information can be shared.

Item 8 – Client Contact with Portfolio Managers

ClearBridge Wealth Management, Inc. is a full-service investment management advisory firm. Clients always have direct access to the Portfolio Managers at ClearBridge Wealth Management, Inc.

Item 9 – Additional Information

Our backgrounds, disciplinary information (none) and other financial industry activities and affiliations are available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching for our firm name or by our CRD No. 169169 as well as Items 10 and 14 of the Form ADV Part 2A.

Please also see Item 9 of the ClearBridge Wealth Management, Inc. Disclosure Brochure as well as Item 3 of each Investment Advisor Representatives Form ADV 2B Brochure Supplement (included with this Wrap Fee Program Brochure) for additional information on how to research the background information.

ClearBridge Wealth Management, Inc. has implemented a Code of Ethics that defines our fiduciary commitment to each Client. The details of the ClearBridge Wealth Management, Inc. Code of Ethics can be found under Item 11 – Code of Ethics, Participation in Client Transactions and Personal Trading in the Disclosure Brochure (included with this Wrap Fee Program Brochure).

Client accounts are monitored on a regular and continuous basis by ClearBridge Wealth Management, Inc. under the supervision of the Chief Compliance Officer (“CCO”). Details of the review policies and practices are provided in Item 13 of the Form ADV Part 2A – Disclosure Brochure.

Please see Item 14 – Other Compensation in the Form ADV Part 2A – Disclosure Brochure (included with this Wrap Fee Brochure) for details on additional compensation that may be received by ClearBridge Wealth Management, Inc. or its Investment Advisor Representatives. Each Advisory Person’s Form ADV 2B Brochure Supplement provides details on any outside business activities and the associated compensation.

ClearBridge Wealth Management, Inc. does not pay a referral fee for the introduction of clients.

Financial information is available in Item 18 of the Form ADV Part 2A – Disclosure Brochure.

Privacy Policy

Effective: February 27, 2023

Our Commitment to You

ClearBridge Wealth Management, Inc. (the “Advisor”) is committed to safeguarding the use of personal information of our Clients (also referred to as “you” and “your”) that we obtain as your Investment Advisor, as described here in our Privacy Policy (“Policy”). ClearBridge Wealth Management, Inc. does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you, as discussed below. ClearBridge Wealth Management, Inc. does not share non-public personal information with non-affiliated third parties unless a client specifically consents to the disclosure. Any such sharing would require an "opt-in" to obtain a signed statement making an affirmative declaration of permission to disclose such information. Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Policy.

Why you need to know?

Registered Investment Advisors (“RIAs”) must share some of your personal information in the course of servicing your account. Federal and State laws give you the right to limit some of this sharing and require RIAs to disclose how we collect, share, and protect your personal information.

What information do we collect from you?

Driver’s license number	Date of birth
Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number(s)	Income and expenses
E-mail address(es)	Investment activity
Account information (including other institutions)	Investment experience and goals

What Information do we collect from other sources?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information with us or others	Other information needed to service acc

How do we protect your information?

To safeguard your personal information from unauthorized access and use we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect Client’s personal information. We require third parties that assist in providing our services to you to protect the personal information they receive from us.

How do we share your information?

An RIA shares Client personal information to effectively implement its services. In the section below, we list some reasons we may share your personal information.

Basis For Sharing	Do we share?	Can you limit?
Servicing our Clients - We share information with technology vendors and third-party service providers to manage and support operations and regulatory compliance (such as administrators, brokers, custodians, regulators, credit agencies, consultants and other financial institutions) as necessary for us to provide agreed upon services to you, consistent with applicable law, including but not limited to: processing transactions; general account maintenance; responding to regulators or legal investigations; and credit reporting.	Yes	No
Marketing Purposes - ClearBridge Wealth Management, Inc. does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where ClearBridge Wealth Management, Inc. or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.	No	Not Shared
Authorized Users - Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent(s) or representative(s).	Yes	Yes
Information About Former Clients - ClearBridge Wealth Management, Inc. does not disclose and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer our Clients.	No	Not Shared

Other Important Information
<p>Information for California, North Dakota, and Vermont Customers: In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.</p> <p>Massachusetts In response to a Massachusetts law, clients must “opt-in” to share non-public personal information with non-affiliated third parties before any personal information is disclosed. We may disclose non-public personal information to other financial institutions with whom we have joint business arrangements for proper business purposes in connection with the management or servicing of your account.</p>

Changes to our Privacy Policy

We will send you a copy of this Policy annually for as long as you maintain an ongoing relationship with us. Periodically we may revise this Policy and will provide you with a revised policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

Any Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us at 770-350-9605 or email pbyers@clearbridgewealth.com.