



Form ADV Part 2A – Disclosure Brochure

Effective: 03/13/2023

Retirement plans that are uniquely designed for your company, flat fees based on an upfront scope, enhanced fiduciary risk protection, and advisors who are genuine partners. These are the advantages you gain when you choose to work with Alliant Retirement Consulting (ARC).

ARC offers a customized experience and in-depth retirement benefit knowledge to help employers meet the increasingly complex compliance issues and fiduciary risks associated with selecting and managing a retirement plan. The guidance we offer includes the following:

- Retirement plan design
- Vendor review, search, and placement
- Employee education and engagement services
- Fiduciary services
- Investment selection and review as co-fiduciary
- Human resources and finance team support

About Alliant Retirement Services, LLC

(Alliant Retirement Consulting is the marketing name) With over 600 retirement plans and over \$13.6 billion under advisement, Alliant Retirement Consulting (ARC) serves a broad range of clients – from start-ups to Fortune 500 companies. It is also listed among the top-rated 401(k) teams, according to Plan Sponsor publications.

This Disclosure Brochure provides information about the qualifications and business practices of Alliant Retirement Services, LLC (Alliant Retirement Consulting - ARC). If you have any questions about the contents of this Disclosure Brochure, please contact Wendy Conner, Chief Compliance Officer, at (713) 470-4208 or by email at wconner@alliant.com.

Alliant Retirement Services, LLC, is a Registered Investment Advisor with the U.S. Securities and Exchange Commission. The information in this Disclosure Brochure has not been approved or verified by the U.S. Securities and Exchange Commission ("SEC") or by any state securities authority.

Registration as an investment advisor does not imply any specific level of skill or training. This Disclosure Brochure provides information about ARC to assist you in determining whether to retain the Advisor. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC, a Registered Investment Advisor.

Additional information about ARC and its advisory persons is available on the SEC's website at www.adviserinfo.sec.gov.

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Item 2 – Material Changes

Since our last annual filing on February 17th, 2022, we have made no material changes.

Form ADV 2 is divided into two parts: *Part 2A (the "Disclosure Brochure")* and *Part 2B (the "Brochure Supplement")*. The Disclosure Brochure provides information about various topics relating to an Advisor's business practices and conflicts of interest. The Brochure Supplement provides information about the advisory personnel of ARC.

ARC believes that communication and transparency are the foundation of our relationship and continually strives to provide our Clients with complete and accurate information at all times. We encourage all current and prospective Clients to read this Disclosure Brochure and discuss any questions you may have with us. And, of course, we always welcome your feedback.

Future Changes

From time to time, we may amend this Disclosure Brochure to reflect changes in our business practices, changes in regulations, and routine annual updates as required by the securities regulators. This complete Disclosure Brochure or a Summary of Material Changes shall be provided to each Client annually and if a material change occurs in the business practices of ARC.

At any time, you may view the current Disclosure Brochure online at the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

To review the firm information for ARC:

Click Investment Advisor Search in the left navigation menu.

Select the option for Investment Advisor Firm and enter 167970 (our firm's CRD number) in the field labeled "Firm IARD/CRD Number."

This will provide access to the latest Form ADV, and Part 2 Brochures Item 11 of the ADV Part 1 lists legal and disciplinary questions regarding the Advisor.

In the left navigation menu, Form ADV Part 2 is located near the bottom.

You may also request a copy of this Disclosure Brochure at any time by contacting us at (713) 470-4208 or by email at wconner@alliant.com.

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Item 4 – Advisory Services

Firm Information

Alliant Retirement Services, LLC (“ARC” or the “Advisor”) is a Registered Investment Advisor with the U.S. Securities and Exchange Commission, which is organized as a Limited Liability Company (LLC) under the laws of the State of California.

This Disclosure Brochure provides information regarding the qualifications, business practices, and the advisory services provided by ARC.

Advisory Services Offered

ARC offers Retirement Plan Consulting and Customized Retirement Plan Services to Pension and Profit Sharing Plans in California and other states (each referred to as a “Client”). These advisory services are in the form of ERISA 3(38) or 3(21) fiduciary service to employers or plan sponsors for the benefit of its employee retirement plan. Unless specifically excluded, we are fiduciaries for all of our Client Services, which may include some of the following, in addition to services expressed in the Retirement Consulting Services Agreement (CSA) between ARC and the Client.

Retirement Plan Consulting (“Employers Fiduciary Liability Program”)

ARC provides customized retirement plan consulting services to its plan sponsor Clients. ARC focuses on delivering services to help meet the specific needs of each Client by carefully listening to the client to fully understand their organization’s particular issues, challenges, and goals. Based on each Client’s needs, the Employers Fiduciary Liability Program can include assistance with the following:

- Investment Policy Statement development, including performance measurement standards;
- Analysis of current investment options;
- One-on-One Participant Education;
- M&A Retirement Plan Due Diligence on Target Companies;
- Vendors and Recordkeeping agreements and services;
- Benchmarking and peer group analysis;
- Formation of an Investment Committee
- Monitoring investment results for compliance with the Investment Policy Statement;
- Selecting new investment alternatives;
- Correcting plan failures and getting plan administration in compliance with plan documents; and,
- Keeping Clients up to date on new legislation and regulatory requirements.

Prior to rendering any recommendations, ARC will ascertain, in conjunction with the Client, the specific issues, needs, and objectives of the Client’s retirement plan and its employee participants.

ARC will provide consulting services but will not provide securities custodial or other administrative services as part of the RIA. At no time will ARC accept or maintain custody of a Client’s funds or securities. All Client assets will be managed within their designated brokerage account or pension account, pursuant to the Client’s Consulting Service Agreement (“CSA”).

Customized Retirement Plan Services

ARC offers customized, project-based consulting services for plan sponsors. These services can include:

- Request for Proposal (“RFP”)
- Fiduciary Risk Due Diligence
- Plan Reviews
- Investment Reviews
- Other Plan-related Reviews

Client Account Management

Prior to engaging ARC to provide Consulting Services, each Client is required to enter into a CSA with the Advisor that defines the terms, conditions, authority, and responsibilities of the Advisor and the Client.

These services may include:

- Serving as co-fiduciary for Client's plan
- Developing an Investment Policy Statement, including performance measurement standards
- Facilitating participant education
- Analysis of current investment options
- Benchmarking and peer group analysis
- Assisting in the formation of an Investment Committee
- Monitoring investment results for compliance with the Investment Policy Statement
- Assisting in selecting new investment alternatives
- Assisting in correcting plan failures and getting plan administration in compliance with plan documents
- Keeping Clients up to date on new legislation and regulatory requirements

Wrap Fee Programs

ARC does not manage or place Client assets into a wrap fee program.

Assets under Advisement

Assets under advisement shall be reported following the Advisor's December 31, 2023 fiscal year end. Clients may request more current information at any time by contacting the Advisor.

Item 5 – Fees and Compensation

The following paragraphs detail the fee structure and compensation methodology for investment advisement clients.

Each Client shall sign a CSA that details the responsibilities of ARC and the Client.

Fees for Advisory Services

Consulting Services Fees are paid in accordance with the terms of the CSA.

We provide flexibility in how fees are assessed on a plan based on the Client's CSA.

Fees will be based on one or more of the following:

- A percentage of assets under advisement
- Fixed quarterly fees
- Number of plan participants
- Complexity of services

Consulting Services Fees typically fall into the following ranges, depending on the size and complexity of the Client relationship.

Percentage of assets under advisement	0.05% to 0.50% based on plan size
Fixed annual fees billed quarterly	\$5,000 to \$150,000 based on services provided
Number of plan participants	\$35-\$50 per participant, based on plan size and assets

Relationships with multiple objectives, specific reporting requirements, portfolio restrictions, and other complexities may be charged a higher fee which will be reflected in the CSA. Smaller plans may be charged a combination of flat fees and asset-based fees, but the limits are generally reflected above as a total fee.

Consulting Services Fees in the first quarter of service are prorated from the inception date of the account to the end of the first quarter. Fees may be negotiable at the discretion of the Investment Advisor Representative (IAR). The Client's fees will consider the aggregate assets under advisement with Advisor. The designated Custodian will independently value all securities held in accounts under advisement by ARC. ARC will not have the authority or responsibility to value portfolio securities.

There may be more than one agreement per client, depending on the services provided to the plan. However, all fees will be disclosed, covering all plans at least annually.

The Client may be able to attain similar services for a lower fee from other service providers.

Retirement Plan Services

ARC offers customized retirement plan consulting services on a fixed fee basis ranging from \$1,000 to \$150,000, which is negotiable depending on the nature and complexity of each Client's circumstances.

The Advisor's fee is exclusive of, and in addition to, brokerage fees, transaction fees, and other related costs and expenses which the Client may incur. However, the Advisor shall not receive any portion of these commissions, fees, and costs. The fixed fees are determined after considering many factors, such as the level and scope of the services.

Fee Billing

Consulting Services Fees will be invoiced according to the CSA, which can be automatically deducted from the Client Account by the Custodian or paid by the Client.

Clients will be provided with a statement, at least annually, reflecting the deduction of the Consulting Services Fee or the amount due from the Client. In addition, the Advisor will provide the Client a report at least annually itemizing the fee, including the calculation period covered by the fee, the account value, and the methodology used to calculate the fee. It is the Client's responsibility to verify the accuracy of these fees as listed on the custodian's brokerage statement, as the Custodian does not assume this responsibility. Clients provide written authorization permitting ARC to be paid directly from their accounts held by the Custodian as part of the CSA and separate account forms provided by the Custodian under the applicable fee arrangement.

Other Fees and Expenses

Clients may incur certain fees or charges imposed by third parties, other than ARC, in connection with investments made on behalf of the Client's account[s]. The Client is responsible for all custodial and securities execution fees charged by the custodian and executing broker-dealer. The Consulting Services Fee charged by ARC is separate and distinct from these custodian and execution fees.

In addition, all fees paid to ARC for Consulting Services are separate and distinct from the expenses charged by mutual funds and exchange-traded funds to their shareholders, if applicable. These fees and expenses are described in each fund's prospectus. These fees and expenses will generally be used to pay management fees for the funds, other fund expenses, account administration (e.g., custody, brokerage, and account reporting), and a possible distribution fee. The Client could invest in these products directly, without the services of ARC, but would not receive the services provided by ARC, which are designed, among other things, to assist the Client in determining which products or services are most appropriate to each Client's financial situation and objectives.

Accordingly, the Client should review both the fees charged by the fund[s] and the fees charged by ARC to understand the total fees to be paid fully.

Advance Payment of Fees and Termination

ARC is generally compensated for its services quarterly. Clients may request to terminate their CSA with ARC, in whole or in part, by providing advance written notice. The Client shall be responsible for Consulting Services Fees up to and including the effective termination date.

The Client's CSA with the Advisor is non-transferable without the Client's written approval.

Customized Retirement Plan Services

The CSA will provide guidance under which the contract may be canceled under mutually agreed terms. In the event that a Client should wish to cancel the consulting service agreement under which any plan is being created, the Client shall be billed based on a percentage of work completed. Any surplus paid in advance will be returned to the Client as soon as administratively possible.

Either party may terminate a planning or consulting agreement at any time by providing written notice to the other party. In addition, the Client may terminate the agreement within five (5) days of signing the Advisor's consulting agreement at no cost to the Client. After the five-day period, the Client will incur charges for bona fide advisory services rendered to the point of termination, and such fees will be due and payable by the Client. Refunds will be given on a pro-rata basis. The CSA will supersede any terms here, as this is an expression of general terms but not intended to replace any negotiated terms.

Compensation for Sales of Securities

ARC does not buy or sell individual securities and does not receive any compensation for securities transactions in any Client account. However, we may serve as a 3(38) fiduciary with discretionary authority over investment options.

Item 6 – Performance-Based Fees and Side-by-Side Management

ARC does not charge performance-based fees for its Consulting Services.

ARC does not manage any proprietary investment funds or limited partnerships (for example, a mutual fund or a hedge fund) and has no financial incentive to recommend any particular investment options to its Clients.

Item 7 – Types of Clients

ARC provides Consulting Services to Pension and Profit Sharing Plans (Retirement plan sponsors or company plans).

The relative percentage for each type of Client is available on ARC's Form ADV Part 1. Currently, 100% of our clients are employer-sponsored retirement plans. These percentages may change over time. ARC generally does not impose a minimum account size for establishing a relationship unless specified in the CSA.

Item 8 – Methods of Analysis, Investment Strategies, and Risk of Loss

Methods of Analysis

ARC primarily employs fundamental analysis methods in developing investment strategies for its Clients. Research and analysis from ARC are derived from numerous sources, including financial media companies, third-party research materials, Internet sources, and reviews of company activities, including annual reports, prospectuses, press releases and research prepared by others.

Risk of Loss

Investing in securities involves certain investment risks. Securities may fluctuate in value or lose value. Clients should be prepared to bear the potential risk of loss. ARC works with Retirement Plans to minimize risk through diversification and risk measurements. Individual investment choices are participant-directed, being mindful of 404(c) safe harbor protections if available.

Our fundamental analysis utilizes economic and business indicators as investment selection criteria. These criteria are generally ratios and trends that may indicate the overall strength and financial viability of the analyzed entity. Assets are deemed suitable if they meet certain criteria generally guided by an Investment Policy Statement to indicate that they are acceptable investments for a retirement plan.

While this type of analysis helps the Advisor evaluate a potential investment, it does not guarantee that the investment will increase in value. Assets meeting the investment criteria utilized in the fundamental analysis may lose value and may have negative investment performance. The Advisor monitors these economic indicators to determine if adjustments to strategic allocations are appropriate. More details on the Advisor's review process are included in Item 13.

Each Client engagement will entail a due diligence review of the Client's goals and plan expenses. Client participation in this process, including full and accurate disclosure of requested information, is essential for analyzing a Client's account. The Advisor shall rely on the financial and other information provided by the Client or their designees without the duty or obligation to validate the accuracy and completeness of the provided information. It is the Client's responsibility to inform the Advisor of any changes in financial condition, goals, or other factors that may affect this analysis.

ARC does not employ options strategies to hedge or gain additional exposure to a particular asset class or sector.

Past performance is not a guarantee of future returns. Investing in securities and other investments involves a risk of loss that each Client should understand and be willing to bear. Clients are reminded to discuss these risks with the Advisor. For more information on our investment management services, please contact us at (713) 470-4208 or via email at wconner@alliant.com.

Item 9 – Disciplinary Information

There are no material legal, regulatory or disciplinary events involving ARC. Please see the ADV Part 2B individual disclosure for any disciplinary events involving employees of ARC. ARC and its advisory personnel value the trust you place in us. As we advise all Clients, we encourage you to perform the requisite due diligence on any advisor or service provider with which you partner. However, because we work employer-sponsored plans, there may be pending litigation at any given time, which may result in legal repercussions and will be reported accordingly.

Our backgrounds are on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. To review the firm information contained in ADV Part 1, select the option for Investment Adviser Firm and enter "167970" in the field labeled "Firm IARD/CRD Number." This will provide access to Form ADV Parts 1 and 2. Item 11 of the ADV Part 1 lists legal and disciplinary questions. You may also research the background of Wendy Conner by selecting the Investment Adviser Representative and entering Ms.

Conner's Individual CRD 4169248 in the field labeled "Individual CRD Number."

Item 10 – Other Financial Industry Activities and Affiliations

Broker-Dealer Affiliation

Certain representatives of ARC may also be registered representatives of Cetera Advisor Networks, LLC. Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In their separate capacity as registered representatives, they will typically receive commissions to implement recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by these representatives. The Advisor will not earn Consulting Services fees in connection with any services implemented in their separate capacity as a registered representative where commissions are earned.

Generally, recommendations made under the ARC Consulting Agreement are implemented by the advisor as an Investment Advisor Representative, not as a Registered Representative. As such, Clients of ARC pay only advisory fees as described above. ARC will not earn an advisory fee and a commission on the same retirement plan held at the same vendor. In the event that the advisor earns a commission on an investment, the advisory fee will be waived by ARC. There may be circumstances that a Client's retirement plan has assets at two vendors, and one pays the commission, and the other is covered under a CSA. These circumstances are generally limited and disclosed to the client.

Insurance Agency Affiliations

Certain representatives of ARC may serve as sales agents for Alliant Insurance Services, the Advisor's parent company. This activity is done separately and apart from their role with ARC. As insurance agents, they may receive customary commissions and other related revenues from the insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies.

Clients are not obligated to implement any recommendations by these representatives or the Advisor.

At times, certain advisors may participate in sales contests originating with Alliant Insurance Services for the purpose of cross-selling insurance products to the advisors' clients. The advisor becomes eligible for the cross-selling Program by referring the account(s) to another producer for the new insurance business, including employee benefits and property-casualty insurance. These activities do not adversely affect the pricing or are a required stipulation of any contract written with advisory clients.

Third Party Administrator Affiliations

Certain representatives of ARC may also serve as a third-party administrator (TPA) for the retirement plans as a division of Alliant Insurance Services, the Advisor's parent company. This activity may overlap with the work of ARC but not more than an overlap would exist with a TPA outside of Alliant. This does represent a conflict of interest in that there is an economic benefit to a group of Advisors under ARC in writing this type of business that is similar to insurance sales. Clients are not obligated to implement any recommendations made by these representatives or the Advisor, and the Alliant TPA is not the exclusive TPA for ARC Clients.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics

ARC has implemented a Code of Ethics that defines our fiduciary commitment to each Client. This Code of Ethics applies to all persons associated with ARC. The Code of Ethics was developed to provide general ethical guidelines and specific instructions regarding our duties to you, our Client. ARC and its personnel owe a duty of loyalty, fairness, and good faith toward each Client. It is the obligation of ARC associates to adhere not only to the specific provisions of the Code, but also to the general principles that guide the Code. The Code of Ethics covers a range of topics that may include; general ethical principles, reporting

personal securities trading, reportable securities, initial public offerings, and private placements, reporting ethical violations, distribution of the Code of Ethics, review and enforcement processes, amendments to Form ADV and supervisory procedures.

Personal Trading with Material Interest

ARC allows our employees to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. ARC does not act as principal in any transactions. In addition, the Advisor does not act as the general partner of a fund or advise an investment company. ARC has no material interest in any securities traded in Client accounts.

Personal Trading in Same Securities as Clients

ARC allows our employees to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. Owning the same securities we recommend (purchase or sell) to you presents a potential conflict of interest that, as fiduciaries, we must disclose to you and mitigate through policies and procedures. As noted above, we have adopted, consistent with Section 204A of the Investment Advisers Act of 1940, a Code of Ethics, which addresses insider trading (material non-public information controls) and personal securities reporting procedures. We have also adopted written policies and procedures to detect the misuse of material, non-public information. We may have an interest or position in certain securities, which may also be recommended to you.

In addition, the Code of Ethics governs Gifts and Entertainment given by and provided to the Advisor, outside employment activities of employees, Employee reporting, sanctions for violations of the Code of Ethics, and records retention requirements for various aspects of the Code of Ethics.

Personal Trading at the Same Time as Client

ARC does not place trades for clients.

At no time will ARC or any associated person of ARC transact in any security to the detriment of any Client.

Item 12 – Brokerage Practices

Recommendation of Custodian[s]

ARC does not have discretionary authority to select the broker-dealer/custodian for custodial and execution services or the administrator for defined contribution accounts. The Client will select the broker-dealer or custodian (herein the "custodian") to safeguard Client assets and authorize ARC to direct trades to this custodian as agreed in the Consulting Services Agreement. Further, ARC does not have the discretionary authority to negotiate commissions on behalf of our Clients on a trade-by-trade basis.

Where ARC does not exercise discretion over the custodian selection, it may recommend the custodian[s] to Clients for execution and/or custodial services. Clients are not obligated to use the recommended custodian and will not incur any extra fee or cost associated with using a broker not recommended by ARC. ARC may recommend a custodian based on criteria such as, but not limited to, the reasonableness of commissions charged to the Client, services made available to the Client, and the location of the custodian's offices. ARC does not receive research services, other products, or compensation as a result of recommending a particular broker that may result in the Client paying higher commissions than those obtainable through other brokers.

Following are additional details regarding the brokerage practices of the Advisor:

Soft Dollars

Soft dollars are revenue programs offered by broker-dealers whereby an advisor enters into an agreement to place security trades with the broker in exchange for research and other services. ARC does not participate in soft dollar programs sponsored or offered by any broker-dealer.

Brokerage Referrals

ARC does not receive any compensation from any third party in connection with the recommendation for establishing a brokerage account.

Aggregating and Allocating Trades -

ARC does not have trading discretion and does not place trades for its Clients.

Item 13– Review of Accounts

Frequency of Reviews

Accounts are monitored on a regular and continuous basis. Formal reviews are generally conducted quarterly, at least annually, or more or less frequently depending on the needs of the Client.

Causes for Reviews

Each Client account shall be reviewed at least annually by ARC. Reviews may be conducted more or less frequently at the Client's request. Reviews may be triggered by significant changes in the market, client situation, or any other factor that may have a significant bearing on the overall investment portfolio.

Review Reports

All Clients are given quarterly reviews of their asset allocation, demographics, investment performance, and benchmarks. These reports may also include regulatory and industry updates, fund manager reports, and other qualitative information.

The Client will receive brokerage statements no less than quarterly from the trustee or custodian. These brokerage statements are sent directly from the custodian to the Client. The Client may also establish electronic access to the custodian's website so that the Client may view these reports and their account activity. Client brokerage statements will include all positions, transactions, and fees relating to the Client's account[s]. The Advisor may also provide Clients with periodic reports regarding their holdings, allocations, and performance.

Quarterly invoices provided serve as a regular disclosure of fees for flat fee clients. Other disclosures are provided by the recordkeepers and at least annually during investment committee meetings.

Item 14 - Client Referrals and Other Compensation

Compensation Received by ARC

As disclosed under the Fees and Compensation section in this brochure, persons providing investment advice on behalf of our firm are licensed insurance agents and may be registered representatives with Cetera Advisors Network, a securities broker-dealer, and a member of the Financial Industry Regulatory Authority and the Securities Investor Protection Corporation. For information on the conflicts of interest this presents, and how we address these conflicts, refer to the Fees and Compensation section.

Some Investment Advisor Representatives (Advisors) of the ARC may receive compensation for referrals of business outside of the scope of services described in the CSA but will fully disclose any payments to the clients not disclosed in the CSA as a conflict of interest. These referrals have no impact on our CSAs or pricing. This creates a conflict of interest; however, you are not obligated to retain any recommended firm for services.

Client Referrals from Solicitors

ARC directly compensates non-employee (strategic partners with shared ownership and outside of ARC control) consultants, individuals, and/or entities (Solicitors) for client referrals. In order to receive a cash referral fee from our firm, Solicitors must comply with the requirements of the jurisdictions in which they operate. If you were referred to our firm by a Solicitor, you should have received a copy of this brochure along with the Solicitor's disclosure statement at the time of the referral. If you become a client, the Solicitor that referred you to our firm will receive a flat fee or a percentage of the advisory fee you pay our firm for as long as you are a client with our firm or until such time as our agreement with the Solicitor expires. You will not pay additional fees because of this referral arrangement. Referral fees paid to a Solicitor are contingent upon your entering into an advisory agreement with our firm. Therefore, a Solicitor has a financial incentive to recommend our firm to you for advisory services. This creates a conflict of interest; however, you are not obligated to retain our firm for advisory services. Comparable services and/or lower fees may be available through other firms.

Solicitors that refer business to more than one investment adviser may have a financial incentive to recommend advisers with more favorable compensation arrangements. We request that our Solicitors disclose to you whether multiple referral relationships exist and that comparable services may be available from other advisers for lower fees and/or where the Solicitor's compensation is less favorable.

The Solicitation fees paid have no bearing on the fees paid to us by our clients. Fees are based on the complexity of the plan, amount of assets, number of employees, and services offered only.

Item 15 – Custody

ARC does not accept or maintain custody of any Client accounts. All Clients must place their assets with a qualified custodian. Clients are required to select their own custodian to retain their funds and securities and direct ARC to utilize that custodian for the Client's security transactions. ARC encourages Clients to review statements provided by the account custodian. For more information about custodians and brokerage practices, see Item 10 - Brokerage Practices.

Item 16 – Investment Discretion

ARC can have discretion over the selection and amount of securities to be bought or sold in Client accounts in its capacity as a 3(38). There is an Investment Committee made up of Investment Advisor Representatives (IAR) of ARC. All 3(38) potential investments are reviewed quarterly and in accordance with an Investment Policy Statement which is available to the Client by written request or via email. ARC has the authority to change these investments for the Client through the process established with the record-keeper. The client is required to distribute all necessary employee communications with participants in the retirement plan. The IAR will communicate the changes to the plan in a timely manner to ensure delivery by the client, but does not require the Client to make those changes if the record-keeper allows the IAR to do so. The details of this arrangement are available in the record-keeper's service agreement or the CSA.

Item 17 – Voting Client Securities

ARC does not accept proxy-voting responsibility for any Client unless delegated to ARC by Client or CSA. Clients will receive proxy statements directly from the Custodian. The Advisor will assist in answering questions relating to proxies, however, the Client retains the sole responsibility for proxy decisions and voting.

Item 18 – Financial Information

Neither ARC, nor its management has any adverse financial situations that would reasonably impair the ability of ARC to meet all obligations to its Clients. Neither ARC, nor any of its advisory persons, has been subject to bankruptcy or financial compromise. ARC is not required to deliver a balance sheet along with this Brochure as the firm does not collect advance fees for services to be performed six months or more in advance.

Privacy Policy

Effective February 17th, 2022

Our Commitment to You

Alliant Retirement Consulting (“ARC”) is committed to safeguarding the use of your personal information that we have as your Investment Advisor. ARC (also referred to as "we", "our" and "us" throughout this notice) protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything we can to maintain that trust.

ARC does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and proper business purposes in connection with the servicing and management of our relationship with you as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Privacy Policy.

Why you need to know?

Registered Investment Advisors (“RIA’s”) share some of your personal information. Federal and State laws give you the right to limit some of this sharing. Federal and State laws require RIA’s to disclose how we collect, share, and protect your personal information.

What information do we collect from you?

Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number(s)	Income and expenses
E-mail address	Investment activity
Account information (including other institutions)	Investment experience and goals

What sources do we collect information from in addition to you?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information with us or others	Other information needed to service account

How we share your information?

RIAs do need to share personal information regarding its clients to effectively implement the RIA’s services. In the section below, we list some reasons we may share your personal information.

Basis for sharing	Sharing	Limitations
<p>Servicing our Clients We may share non-public personal information with non-affiliated third parties (such as brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed services to you consistent with applicable law, including but not limited to:</p> <ul style="list-style-type: none"> • Processing transactions; • General account maintenance; • Responding to regulators or legal investigations; and • Credit reporting, etc. 	Advisors may share this information.	Clients cannot limit the Advisors ability to share.
<p>Administrators We may disclose your non-public personal information to companies we hire to help administrate our business.</p> <p>Companies we hire to provide services of this kind are not allowed to use your personal information for their own purposes and are contractually obligated to maintain strict confidentiality. We limit their use of your personal information to the performance of the specific service we have requested.</p>	Advisors may share this information.	Clients cannot limit the Advisors ability to share.
<p>Marketing Purposes ARC does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where ARC or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.</p>	Advisors do not share personal information.	Clients cannot limit the Advisors ability to share.
<p>Authorized Users In addition, your non-public personal information may also be disclosed to you and persons we believe to be your authorized agent or representative.</p>	Advisors do share personal information.	Clients can limit the Advisors ability to share within the terms of the CSA
<p>Information About Former Clients ARC does not disclose, and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer our clients.</p>	Advisors do not share personal information regarding former clients	Clients can limit the Advisors ability to share within the terms of the CSA

How do we protect your information?

To safeguard your personal information from unauthorized access and use, we maintain physical, procedural and electronic safeguards. These include computer safeguards such as passwords, secured files and buildings.

Our employees are advised about ARC's need to respect the confidentiality of each client's non-public personal information. We train our employees on their responsibilities.

We require third parties that assist in providing our services to you to protect the personal information they receive. This includes contractual language in our third party agreements.

Changes to our Privacy Policy.

We will send you notice of our Privacy Policy annually for as long as you maintain an ongoing relationship with us.

Periodically we may revise our Privacy Policy, and will provide you with a revised policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

State specific regulations

California

In response to a California law, to be conservative, we assume accounts with California addresses do not want us to disclose personal information about you to non-affiliated third parties except as permitted by California law. We also limit the sharing of personal information about you with our affiliates to ensure compliance with California privacy laws.

Questions: You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting Wendy Conner, ARC Chief Compliance Officer, at (713) 470-4208 or via email at wconner@alliant.com.

BENJAMIN SITHENS
FIRST VICE PRESIDENT
INVESTMENT CONSULTANT

Item 1 – COVER PAGE

Benjamin Sithens
1120 Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
770.325.6686

This Brochure Supplement provides information about the background and qualifications of Benjamin A Sithens (CRD 4186714) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Benjamin Sithens is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Benjamin A. Sithens
Born in 1976

Educational Background

Mr. Sithens earned a Bachelor of Science in Economics from Auburn University in 1999. Additional information regarding Mr. Sithens’ employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Vice President, Investment Consultant 04/2010 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
ING Retirement Services, Registered Representative, 04/2007 to 04/2010
LPL Financial, Registered Representative, 04/2010 to 06/2017

Professional Designations, Securities, and Insurance

Licenses

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker or General Securities Representative.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser Requirements include passing a 130 multiple choice question exam.

CRPS® - Chartered Retirement Plans Specialist
Requirements include passing an 80 multiple choice question examination within 180 minutes. This program provides experienced advisors focused on retirement plans with the knowledge to recommend implementation techniques that can be executed into well-structured, company-appropriate retirement plans.

Item 3 – Disciplinary Information

There are no legal, civil, or disciplinary events to disclose regarding Mr. Sithens.

Mr. Sithens has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Sithens.

However, we do encourage you to independently view the background of Mr. Sithens on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4186714** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

If Mr. Sithens earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Sithens may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC. As an insurance agent, Mr. Sithens may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Mr. Sithens or the Advisor.

Item 5 – Additional Compensation

Mr. Sithens has no additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Sithens is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

R. BRAD KNOWLES
VICE PRESIDENT
ADVISOR**Item 1 – COVER PAGE**

Robert “Brad” Bradley Knowles, MBA, CBFA
3000 West Memorial Rd. Suite 212
Oklahoma City, OK 73120

This Brochure Supplement provides information about the background and qualifications of Mr. Knowles (CRD 4398143) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC (Alliant Retirement Consulting), a Registered Investment Advisor.

Additional information about Mr. Knowles is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

R. BRAD KNOWLES
Born in 1973

Educational Background

Mr. Knowles graduated from the University of Oklahoma with a Bachelor of Art & Sciences degree in Sports Sciences and from the University of Oklahoma, Price College of Business in 2002, with a Master of Business Administration degree in Finance. Additional information regarding Brad’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Advisor/Vice President
11/2022 to Present

Argent Retirement Plan Advisors, LLC.
Managing Director/Advisor
10/2012 to 11/2022

RBK Capital, LLC.
Managing Partner
02/2009 to 10/2012

Professional Designations, Securities, and Insurance Licenses

Series 66 — the NASAA Uniform Combined State Law Examination — is an exam and license that is meant to qualify individuals as investment advisor representatives (IARs) or securities agents. The Series 66 covers topics relevant to providing investment advice and effecting securities transactions for clients.

A CBFA™ - Certified Behavioral Finance Analyst. A candidate must 1) Complete an Application for Certification, 2) Pass a 100 question (90 minute) open book examination, 3) Agree to abide by the CBFA™ Code of Ethics, and 4) Meet the 24 hour continuing education requirements.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Knowles.

Mr. Knowles has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Knowles.

However, we do encourage you to independently view the background of Mr. Knowles on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities**Broker-Dealer Affiliation**

If Mr. Knowles is not affiliated with any broker-dealer.

Insurance Agency Affiliations

Mr. Knowles may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC with the exception that she may receive compensation for referrals to



other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Knowles may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Knowles or the Advisor.

Item 5 – Additional Compensation

Effective: March 13th, 2023
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Item 6 – Supervision

Mr. Knowles is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

CARA REYNOLDS
FIRST VICE PRESIDENT
ACCOUNT EXECUTIVE**Item 1 – COVER PAGE**

Cara Reynolds
5444 Westheimer Road, Suite 900
Houston, TX 77056

This Brochure Supplement provides information about the background and qualifications of Ms. Reynolds (CRD # 4441486) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Cara Cappetto Reynolds
Born in 1973

Educational Background

Ms. Reynolds went to Northern Arizona University and obtained a Bachelor’s of Science in Criminal Justice. Additional information regarding Ms. Reynolds’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Account Executive 10/2011 to Present
Cetera Advisors Network, Registered Admin, 06/2017 to Present
LPL Financial, Registered Admin, 02/2011 to 06/2017

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice question exam.

SIE - Securities Industry Essentials Examination

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Reynolds.

Ms. Reynolds has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Reynolds.

However, we do encourage you to independently view the background of Ms. Reynolds on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities**Broker-Dealer Affiliation**

Ms. Reynolds is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD

No. 13572), member of FINRA, SIPC. In her separate capacity as a registered representative, Ms. Reynolds will typically receive commissions for implementing recommendations for commissionable transactions. Clients are not obligated to implement any recommendations provided by Ms. Reynolds.

Generally, recommendations made by ARC to its Clients are managed by Ms. Reynolds in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Reynolds earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Reynolds may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC with the exception that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Ms. Reynolds may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending

certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Reynolds or the Advisor.

Item 5 – Additional Compensation

Ms. Reynolds, also owns and operates a private business unrelated to any advisory business or activities. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Reynolds is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 1 – COVER PAGE

Deborah Sharp
101 Park Avenue, 12th Floor
New York, NY 10178

This Brochure Supplement provides information about the background and qualifications of Deborah Sharp (CRD 7402401) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Deborah Sharp
Born in 1995

Educational Background

Ms. Sharp went to the University of Texas and obtained a Bachelor’s Degree in Mathematics and a minor in Russian. Additional information regarding Ms. Sharp’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Account Executive 6/2021 to Present
Cetera Advisors Network, Registered Admin, 02/2022 to Present
Willis Towers Watson, Actuarial Associate, 4/2019 to 05/2021
Fidelity Investments, Benefits Consulting Pension Specialist, 1/2018 to 3/2019.

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice question exam.

SIE - Securities Industry Essentials Examination

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Sharp.

Ms. Sharp has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Sharp.

However, we do encourage you to independently view the background of Ms. Sharp on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities**Broker-Dealer Affiliation**

Ms. Sharp is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member of FINRA, SIPC. In her separate capacity as a registered representative, Ms. Sharp will typically receive commissions for implementing recommendations for commissionable transactions. Clients are not obligated to implement any recommendations provided by Ms. Sharp.

Generally, recommendations made by ARC to its Clients are managed by Ms. Sharp in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Sharp earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Sharp may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC, except that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Ms. Sharp may receive customary commissions and other related revenues from the

various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Ms. Sharp or the Advisor.

Item 5 – Additional Compensation**Item 6 – Supervision**

Ms. Sharp is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

DREW WHITNEY, MBA
ADVISOR**Item 1 – COVER PAGE**

Drew Whitney, MBA
3600 North Capital of Texas Highway, Bldg. B, St. 200
Austin, TX 78746
(512) 306-9300
Drew.Whitney@alliant.com

This Brochure Supplement provides information about the background and qualifications of Drew P. Whitney (CRD 5918906) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Whitney is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Drew Whitney
Born in 1987

Educational Background

Mr. Whitney earned a Bachelor of Art Economics from Principia College in 2009 and a Master’s in Business Administration in 2016 from Baker University. Additional information regarding Mr. Whitney’s employment history is included below.

Business Experience

Alliant Retirement Services, Account Executive, 7/2018 to Present
CLSRS, LLC d/b/a CLS Partners Retirement Services, Investment Advisor Representative and Account Manager, 09/2016 to 7/2018.
Great West Financial, Business Process Analyst, 09/2014 - 06/2016

J.P. Morgan, Retirement Education Specialist, 04/2011 -

08/2014
Ignite Inc., Sales Associate, 01/2010 - 01/2014
Huntington Learning Center, Teacher, 08/2009 - 05/2010
Unemployed, 06/2009 to 08/2009
Principia College, Student, 08/2005 to 06/2009
The Principia, Assistant Mechanic, 03/2008 - 03/2008
League City Outdoor Power Equipment, Assistant Mechanic, 07/2007 - 08/2007
Macy's, Sales Associate, 11/2006 - 12/2006

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple-choice question exam.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Whitney.

Mr. Whitney has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Whitney.

However, we do encourage you to independently view the background of Mr. Whitney on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **5918906** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Mr. Whitney is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5 – Additional Compensation

Mr. Whitney does not receive any economic benefit from any person, company, or organization, other than Alliant Retirement Consulting in exchange for providing clients advisory services.



Item 6 – Supervision

Mr. Whitney is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is

subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

ERIC KAUFMAN
SENIOR VICE PRESIDENT
RETIREMENT CONSULTANT

Item 1 – COVER PAGE

Eric Kaufman
333 South Hope St, Suite 3750
Los Angeles, CA 90071

This Brochure Supplement provides information about the background and qualifications of Eric Kaufman (CRD 2536051) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 1573336) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Eric Douglas Kaufman
Born in 1963

Educational Background

Mr. Kaufman attended the University of Southern California and obtained a Bachelor’s Degree in Business and a Master’s in Business Administration from USC Marshall School of Business. Additional information regarding Mr. Kaufman’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services, Producer, 8/2022 to Present
Lockton Retirement Services, Senior Vice President, 1/2004 to 6/2022

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, A stockbroker or General Securities Representative.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice question exam.

SIE - Securities Industry Essentials Examination

Certified 401(k) Professional (C(k)P®) is designed to be a comprehensive designation created specifically for retirement plan professionals, offering a curriculum designed to systematically move the candidate from the early stages of acquiring knowledge to the application of that knowledge, with over 70 courses which cover everything from basic topics such as plan design, industry mechanics, fiduciary considerations, due diligence, industry trends, sales & marketing strategies to advanced topics, such as leadership, team building, management and business skills, advanced behavioral finance theory &

techniques and best practices of successful financial advisors in the defined contribution industry.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Kaufman.

Mr. Kaufman has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Kaufman.

However, we do encourage you to independently view the background of Mr. Kaufman on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Kaufman is not currently affiliated with any broker-dealer.

Insurance Agency Affiliations

Mr. Kaufman may serve as a sales agent for various insurance companies. This activity is done separately

Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

and apart from his role with ARC, except that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Kaufman may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations by Mr. Kaufman or the Advisor.

Item 5 – Additional Compensation

Item 6 – Supervision

Mr. Kaufman is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

Heather Brandt
Assistant Vice President
Account Executive

Item 1 – COVER PAGE

Heather Brandt
1420 5TH Ave, Suite 1500
Seattle, WA 98101206 204 9176

This Brochure Supplement provides information about the background and qualifications of Heather Brandt (CRD 3012660) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Heather Brandt
Born in 1970

Educational Background

Heather went to Drake University and obtained a Bachelor of Science in Business Administration. Additional information regarding Heather’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Account Executive 07/2021 to Present
Cetera Advisors Network, Registered Representative,
10/2021 to Present
Merrill Lynch 12/2019-7/2021
Principal Financial Group 8/1991-2/2020

Professional Designations, Securities, and Insurance Licenses

Series 7 – General Securities Representative
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 66 – Uniform Combined State Law.
Candidates of the Series 66 must score 73% on 100 questions in order to pass. The exam actually has 110 questions.

SIE - Securities Industry Essentials Examination

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Brandt

Ms. Brandt has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Brandt.

However, we do encourage you to independently view the background of Ms. Brandt on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation



If Ms. Brandt earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Brandt may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC with the exception that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Ms. Brandt may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Brandt or the Advisor.

Item 5 – Additional Compensation

Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Ms. Brandt, also owns and operates a private business that is not related to any advisory business or activities. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Brandt is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

JASON FEILKE
VICE PRESIDENT
RETIREMENT CONSULTANT**Item 1 – COVER PAGE**

Jason Feilke
310 W. Dickson St, Suite 205
Fayetteville, AR 72701

This Brochure Supplement provides information about the background and qualifications of Eric Feilke (CRD 4702636) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 1573336) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

David Jason Feilke
Born in 1979

Educational Background

Mr. Feilke attended the University of Arkansas and obtained a Bachelor’s Degree in Finance and a Master’s in Business Administration. Additional information regarding Mr. Feilke’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services, Producer, 8/2022 to Present
Meridian Investment Advisors, Director of Retirement Plan Services, 7/2012 to 9/2022

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice question exam.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Feilke.

Mr. Feilke has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Feilke.

However, we do encourage you to independently view the background of Mr. Feilke on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities**Broker-Dealer Affiliation**

Mr. Feilke is not currently affiliated with any broker-dealer.

Insurance Agency Affiliations

Mr. Feilke may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC, except that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Feilke may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations by Mr. Feilke or the Advisor.



Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Item 5 – Additional Compensation

Item 6 – Supervision

Mr. Feilke is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their

fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

JAY LASCHINGER
EXECUTIVE VICE PRESIDENT
NATIONAL PRACTICE LEADER

Item 1 – COVER PAGE

Jay Laschinger, AIF®, C(k)P® 1125
Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
770.35.1114

This Brochure Supplement provides information about the background and qualifications of James A. Laschinger (CRD 2960596) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about James Laschinger is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

James A. Laschinger
Born in 1974

Educational Background

Mr. Laschinger earned a Bachelor of Arts in Finance from the University of Georgia in 1997. Additional information regarding Mr. Laschinger’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Senior Vice President, National Practice Leader, 12/2007 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
Mercer, Registered Representative, 02/2003 to 12/2007
LPL Financial, Registered Representative, 12/2009 to 06/2017

Professional Designations, Securities, and Insurance Licenses

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3 hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser:
Requirements include passing a 130 multiple choice questions

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Certified 401(k) Professional (C(k)P® is designed to be a comprehensive designation created specifically for retirement plan professionals, offering a curriculum designed to systematically move the candidate from the early stages of acquiring knowledge to the application of

that knowledge, with over 70 courses which cover everything from basic topics such as plan design, industry mechanics, fiduciary considerations, due diligence, industry trends, sales & marketing strategies to advanced topics, such as leadership, team building, management and business skills, advanced behavioral finance theory & techniques and best practices of successful financial advisors in the defined contribution industry.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Laschinger.

Mr. Laschinger has never been involved in any regulatory, civil or criminal action. There have been no

Broker-Dealer Affiliation

If Mr. Laschinger earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Laschinger, Senior Vice President of ARC, may serve as sales agent for various insurance companies and Alliant Insurance Services. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Laschinger may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Laschinger or the Advisor.

Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Laschinger.

However, we do encourage you to independently view the background of Mr. Laschinger on the Investment Adviser Public Disclosure website at

www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 2960596 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Item 5 – Additional Compensation

Mr. Laschinger has additional business activities were compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Laschinger is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

JOHN CUNNINGHAM
EXECUTIVE VICE PRESIDENT
ADVISOR

Item 1 – COVER PAGE

John Cunningham, AIF™
101 Park Avenue, 12th floor
New York, NY 10178
212. 603.0284

This Brochure Supplement provides information about the background and qualifications of John A. Cunningham (CRD 2359267) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Cunningham is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

John A. Cunningham
Born in 1970

Educational Background

Mr. Cunningham earned a Bachelor of Science in Marketing/Finance from St. John’s University, New York, in 1992. Additional information regarding Mr. Cunningham’s employment history is included below.

Business Experience

Alliant Insurance Services, Executive Vice President, 1/2012 to Present
Vicis Capital, Inc., Advisor, 9/2013 to Present
Cetera Advisor Networks LLC, Registered Rep/Investment Adviser Rep, 9/3/2013 to Present
Thesco Retirement Planning Services, President, 12/1/2001 to present
Assistant Vice President, 01/2013 to Present
Walnut Street Securities, Inc. Registered Rep, 8/2003 to 8/2013

Professional Designations, Securities, and Insurance Licenses

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 26 - the investment company products/variable contracts limited principal (series 26) qualifies an individual who will function as a principal for the solicitation, purchase, and/or sale of redeemable securities of companies registered pursuant to the investment company act of 1940; securities of closed-end companies registered pursuant to the investment company act of 1940 during the period of original distribution only; and variable contracts and insurance premium funding programs and other contracts issued by an insurance company.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser: Requirements include passing a 100 multiple choice questions.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Cunningham.

Mr. Cunningham has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Cunningham.

However, we do encourage you to independently view the background of Mr. Cunningham on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2359267** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Cunningham is also a registered representative of Cetera Advisor Networks, LLC a registered broker-dealer (CRD No. 13572), member FINRA, SIPC. In his separate capacity as a registered representative, Mr. Cunningham will typically receive commissions for implementing recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Cunningham. Neither the Advisor nor Mr. Cunningham will earn Consulting Services fees in connection with any services implemented in Mr. Cunningham’s separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are implemented by Mr. Cunningham in his role as an Investment Advisor Representative of ARC, not in his role as a Registered Representative. As such, Clients of ARC only pay only advisory fees as described above. In no circumstances will ARC earn an advisory fee and a

commission on the same investment. In the event that Mr. Cunningham earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Cunningham, Assistant Vice President of ARC, may serve as a sales agent for various insurance companies and Alliant Insurance Services. This activity is done separately and apart from his role with ARC, except that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. This activity is done separately and apart from his role with the ARC. As an insurance agent, Mr. Cunningham may receive customary commissions and related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Cunningham or the Advisor.

Item 5 – Additional Compensation

Mr. Cunningham has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Cunningham serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



Effective: July 15th, 2017
Form ADV Part 2B – Individual Disclosure Brochure

JONATHAN TAPORCO, CFA
VICE PRESIDENT
SENIOR INVESTMENT CONSULTANT**Item 1 – COVER PAGE**

Jonathan Taporco, CFA
3600 North Capital of Texas Highway, Bldg. B-200
Austin, TX 78746
737 236 5134
Jonathan.Taporco@alliant.com

This Brochure Supplement provides information about the background and qualifications of John A. Taporco (CRD 2359267) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about John Taporco is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Jonathan Taporco
Born in 1983

Educational Background

Mr. Taporco earned a Bachelor of Science in Finance at Sacred Heart University in 2014. Additional information regarding Mr. Taporco’s employment history is included below.

Business Experience

Alliant Insurance Services, Lead Account Manager, 7/2018 to Present
CLSRs, LLC d/b/a CLS Partners Retirement Services, Investment Advisor Representative, 04/2018 to 7/2018.
NFP, Plan Consultant, 10/2013 - 03/2018
Barnum Financial Group, Financial Analyst, 06/2012 - 05/2013
First Allied Securities, Financial Analyst, 02/2011 - 08/2011
Chase Investment Services Corp, Personal Banker, 03/2010 -

01/2011
AXA Advisor, Financial Advisor, 07/2009 - 04/2010
Ameriprise Financial, Financial Advisor, 06/2006 - 05/2009
Morgan Stanley Financial Advisor, 09/2005 - 04/2006

Professional Designations, Securities, and Insurance Licenses

Series 66 – Uniform Investment Adviser: Requirements include passing a 100 multiple-choice questions.

CFA Charter holder – The Chartered Financial Analyst (CFA) designation is a globally-recognized professional designation given by the CFA Institute that measures and certifies the competence and integrity of financial analysts. Candidates must pass three levels of exams covering areas such as accounting, economics, ethics, money management, and security analysis. The CFA charter is one of the most respected designations in finance and is widely considered to be the gold standard in the field of investment analysis. To become a charter holder, candidates must pass three difficult exams, have a bachelor’s degree, and have at least four years of relevant professional experience. Passing the CFA Program exams requires strong discipline and an extensive amount of studying.

Item 3 – Disciplinary Information

There are no legal, civil, or disciplinary events to disclose regarding Mr. Taporco.

Mr. Taporco has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Taporco.

However, we do encourage you to independently view the background of Mr. Taporco on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4857239** in the field labeled “Individual CRD Number.”

Item 4 – Other Business Activities



Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Mr. Taporco is not engaged in any investment-related business or occupation (other than this advisory firm).

Mr. Taporco spends one to two weekends per year consulting for the CFA Institute as part of the CFA Council of Examiners. His activities do not represent a conflict of interest, but are investment related.

Item 5 – Additional Compensation

Mr. Taporco Jonathan Taporco does not receive any economic benefit from any person, company, or organization other than Alliant Retirement Consulting in exchange for providing clients advisory services.

Item 6 – Supervision

Mr. Taporco is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

KATHY AICHER
VICE PRESIDENT
RETIREMENT CONSULTANT

Item 1 – COVER PAGE

Kathy Aicher
333 South Hope St, Suite 3750
Los Angeles, CA 90071

This Brochure Supplement provides information about the background and qualifications of Kathy Aicher (CRD 7402401) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 1573336) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Heather Brandt is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Kathleen Ruth Aicher
Born in 1962

Educational Background

Ms. Aicher went to the University of Southern California and obtained a Bachelor’s Degree in Communications. Additional information regarding Ms. Aicher’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Account Executive 7/2021 to Present
Lockton Retirement Services, Senior Retirement Consultant/Partner, 6/2017 to 6/2022
M Advisory Group, Managing Director, 1/2013 to 6/2017.

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, A stockbroker or General Securities Representative.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice question exam.

SIE - Securities Industry Essentials Examination

Certified 401(k) Professional (C(k)P®) is designed to be a comprehensive designation created specifically for retirement plan professionals, offering a curriculum designed to systematically move the candidate from the early stages of acquiring knowledge to the application of that knowledge, with over 70 courses which cover everything from basic topics such as plan design, industry mechanics, fiduciary considerations, due diligence, industry trends, sales & marketing strategies to advanced topics, such as leadership, team building, management and business skills, advanced behavioral finance theory &

techniques and best practices of successful financial advisors in the defined contribution industry.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Aicher.

Ms. Aicher has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Aicher.

However, we do encourage you to independently view the background of Ms. Aicher on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Aicher is not currently affiliated with any broker-dealer.

Insurance Agency Affiliations

Ms. Aicher may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC, except that she may

Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Ms. Aicher may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations by Ms. Aicher or the Advisor.

Item 5 – Additional Compensation

Item 6 – Supervision

Ms. Aicher is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

MARK MEYER
FIRST VICE PRESIDENT
COMPLIANCE SPECIALIST

Item 1 – COVER PAGE

Mark Meyer
1120 Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
678.867.6100

This Brochure Supplement provides information about the background and qualifications of Mark Meyer in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Mark Meyer is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Mark Meyer
Born in 1967

Educational Background

Mr. Meyer earned a Bachelor of Science in Finance from Virginia Tech in 1989. Additional information regarding Mr. Meyer’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
First Vice President, Compliance Specialist, 4/2013 to Present
White Horse Advisors, LLC, 2006 to 2012
White Horse Advisors, LLC, Registered Representative, 6/2010 to 9/2012
Securian Financial Services, Inc., Registered Representative, 12/2006 to 6/2010
Odyssey One Source, Trustee and Department Manager, 2002 to 2006

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser Requirements include passing 130 multiple-choice questions

Certified Plan Fiduciary Advisor (CPFA™)-The CPFA exam covers various topics, including fiduciary roles and responsibilities, fiduciary oversight, plan investment management, and plan management. To achieve a CPFA certification, candidates must take the course modules, study, and then pass the NAPA CPFA 70-question exam. NAPA is the National Association of Plan Advisors and certifies this designation.

Item 3 – Disciplinary Information

There are no legal, civil, or disciplinary events to disclose regarding Mr. Meyer.

Mr. Meyer has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. Meyer.

However, we do encourage you to independently view the background of Mr. Meyer on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. He is currently working on getting his licenses reestablished.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2052797** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Meyer does not have any insurance agency affiliations.

Item 5 – Additional Compensation

Mr. Meyer has no additional business activities were compensation is received.

Mr. Meyer has no outside business activities and does not earn any outside commissions or revenues from outside entities.

Item 6 – Supervision

Mr. Meyer is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

MAX DENLER
ASSISTANT VICE PRESIDENT
ADVISOR

Item 1 – COVER PAGE

Max Denler
320 West 57th Street 3rd Floor
New York, NY 10019
646 832 6241

This Brochure Supplement provides information about the background and qualifications of Andrew Maxwell Denler (CRD 2960596) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Andrew Maxwell Denler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Andrew Maxwell Denler
Born in 1981

Educational Background

Mr. Denler earned a Bachelor of Arts in Music from the University of Rochester in 2003. Additional information regarding Mr. Denler’s employment history is included below.

Business Experience

Alliant Retirement Services, LLC, Advisor, 4/2013 to Present
Cetera Advisor Networks LLC, Registered Rep/Investment Adviser Rep, 9/3/2013 to Present
Walnut Street Securities, Inc. Registered Rep, 6/2013 to 8/2013
Transamerica Investors Securities Corporation, Registered Rep, 10/2011 to 4/2013
Paychex Inc., 3/2007 to 6/2011
HSBC Bank, 10/2003 to 3/2007

Professional Designations, Securities, and Insurance

Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser Requirements include passing a 130 multiple choice question exam.

SIE - Securities Industry Essentials Examination

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Denler.

Mr. Denler has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Denler.

However, we do encourage you to independently view the background of Mr. Denler on the Investment Adviser Public Disclosure website at

www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **6001478** in the field labeled "Individual CRD Number".

ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

If Mr. Denler earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Denler may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC. As an insurance agent, Mr. Denler may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Denler or the Advisor.

Item 5 – Additional Compensation

Mr. Denler has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Denler is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced.

MICHAEL H. WEST
ACCOUNT EXECUTIVE**Item 1 – COVER PAGE**

Michael H. West
101 Park Avenue, 12th Floor
New York, NY 10178
212 603 0116

This Brochure Supplement provides information about the background and qualifications of Andrew Maxwell West (CRD 2960596) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Andrew Maxwell West is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Michael H. West
Born in 1973.

Educational Background

Mr. West earned a Bachelor of Business Administration in Accounting from the Zicklin School of Business, Baruch College in 2000. Additional information regarding Mr. West’s employment history is included below.

Business Experience

Alliant Retirement Services, LLC, Account Executive, 05/2016 to Present.
Cetera Advisor Networks LLC, Registered Rep/Investment Adviser Rep, 5/2016 to Present
Morgan Stanley, Registered Rep, Financial Advisor, 12/2008 to 4/2016
Merrill Lynch, Pierce, Fenner & Smith Inc., Registered Client Associate, 01/2001 to 12/2008.

Professional Designations, Securities, and Insurance**Licenses**

Series 7 – General Securities Representative
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 31 – Futures Managed Funds

Requirements include passing a 45-question examination within one hour. This exam qualified the individual to limited futures activities on behalf of that NFA/FINRA sponsor to solicit funds, securities or property for participation in a commodity pool, soliciting discretionary accounts to be managed by CTAs or supervising persons who perform these same limited activities

Series 66 – Uniform Combined State Law Examination:

Requirements include passing a 130 multiple-choice questions. This exam qualifies candidates as securities agents within a state: nearly all states require individuals to pass this examination as a condition of state registration. This exam dually qualifies the holder to be registered as an investment advisor representative.

Item 3 – Disciplinary Information

There are no legal, civil, or disciplinary events to disclose regarding Mr. West.

Mr. West has never been involved in regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims, or administrative proceedings against Mr. West.

However, we do encourage you to independently view the background of Mr. West on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4587141** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

If Mr. West earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. West may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC. As an insurance agent, Mr. West may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. West or the Advisor.

Item 5 – Additional Compensation

Mr. West has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. West is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

ROBERT H. NELSON III
ACCOUNT EXECUTIVE

Item 1 – COVER PAGE

Robert Nelson
1125 Sanctuary Parkway, Suite 300
Alpharetta, GA 30009
770.325.6686

This Brochure Supplement provides information about the background and qualifications of Robert Nelson (CRD 2308743) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Robert Nelson is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Robert Henry Nelson
Born in 1968

Educational Background

Mr. Nelson earned a Bachelor of Science in Finance from Auburn University in 1992. Additional information regarding Mr. Nelson’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Vice President, Investment Consultant 02/2022 to Present
Cetera Advisors Network, Registered Representative, 05/2022 to Present
Hauser Retirement Solutions, LLC, AVP, Registered Representative, 01/2021 to 02/2022
PwC, Financial Coach, 11/2019 to 2/2020
Prudential, Registered Representative/VP Mktg, 9/2014 to 7/2019
Diversified, Regional Mgr. Enrollment, Registered Representative, 7/2004 to 9/2014.

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker or General Securities Representative.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing 130 multiple-choice questions

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Nelson.

Mr. Nelson has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Nelson.

However, we do encourage you to independently view the background of Mr. Nelson on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4186714** in the field

labeled "Individual CRD Number".

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Nelson is also a registered representative of Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member of FINRA, SIPC. In his separate capacity as a registered representative, Mr. Nelson will typically receive commissions for implementing recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Nelson.

Generally, recommendations made by ARC to its Clients are managed by Mr. Nelson in his role as an Investment Advisor Representative of ARC, not in his role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Mr. Nelson earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Nelson does not receive commissions for any insurance sales, but he is associated with an

insurance company in respect to ARC's relationship with Alliant Insurance Services.
any recommendations by Mr. Nelson or the Advisor.

Item 5 – Additional Compensation

Mr. Nelson has no additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Nelson is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

RYAN BARNETT
ACCOUNT EXECUTIVE

Item 1 – COVER PAGE

Ryan Christopher Barnett
3000 West Memorial Rd. Suite 212
Oklahoma City, OK 73120

This Brochure Supplement provides information about the background and qualifications of Mr. Barnett (CRD 6231436) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC (Alliant Retirement Consulting), a Registered Investment Advisor.

Additional information about Mr. Barnett is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Ryan Barnett
Born in 1979

Educational Background

Mr. Barnett graduated from the University of Oklahoma with a Bachelor of Business Administration degree from the University of Tulsa, College of Law in 2006. Additional information regarding Ryan’s employment history is included below.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services
Vice President/Client Manager
11/2022 to Present

Argent Retirement Plan Advisors, LLC.
Vice President of Retirement Services
1/2019 to 11/2022

InvesTrust
Director of Retirement Services

01/2013 to 12/2018

Professional Designations, Securities, and Insurance Licenses

Series 65 – Uniform Investment Adviser Requirements include passing a 130 multiple choice questions

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Barnett.

Mr. Barnett has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Barnett.

However, we do encourage you to independently view the background of Mr. Barnett on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 6231436 in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

If Mr. Barnett is not affiliated with any broker-dealer.

Insurance Agency Affiliations

Mr. Barnett may serve as sales agent for various insurance companies. This activity is done separate and apart from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Barnett may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Barnett or the



Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Advisor.

Item 5 – Additional Compensation

Item 6 – Supervision

Mr. Barnett is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal

compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

SARAH KEIBLER
SENIOR VICE PRESIDENT
ADVISOR

Item 1 – COVER PAGE

Sarah Keibler
1420 5th Ave, Suite 1500,
Seattle, WA 98101-2424
770.35.1114

This Brochure Supplement provides information about the background and qualifications of Sarah Keibler (CRD **4420243**) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting.

Additional information about Sarah Keibler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Sarah Keibler
Born in 1974

Educational Background

Ms. Keibler earned a Bachelor of Arts from University of Northern Iowa.

Business Experience

Alliant Retirement Consulting
Alliant Insurance Services, 12/2005 to Present
LPL Financial, Registered Representative, 12/2009 to 07/2017
NRP Financial, Inc., 11/2006 to 11/2010
Northwestern Mutual Investment Services, LLC, 01/2006 to 08/2006
Princor Financial Services Corporation, 07/2001 - 08/2002

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser:
Requirements include passing a 130 multiple-choice questions

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Keibler.

Ms. Keibler has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Keibler. However, we do encourage you to independently view the background of Ms. Keibler on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **2960596** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Keibler is not affiliated with any Broker-Dealer.

Insurance Agency Affiliations

Ms. Keibler may serve as a sales agent for various insurance companies. This activity is done separately and apart from his role with ARC. As an insurance agent, Ms. Keibler may receive customary commissions and related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Ms. Keibler or the Advisor.

Item 5 – Additional Compensation

Ms. Keibler has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Keibler serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

SEAN WAGGONER
EXECUTIVE VICE PRESIDENT
ADVISOR

Item 1 – COVER PAGE

Sean Waggoner
5444 Westheimer Road, Suite 900
Houston, TX 77056
713.470.4262

This Brochure Supplement provides information about the background and qualifications of Sean Waggoner (CRD 2748574) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or have any questions about the contents of this Brochure Supplement or ARC’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Financial Planning and Retirement Plan Consulting is offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor, not affiliated with LPL Financial, LLC.

Additional information about Sean Waggoner is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Sean Paul Waggoner
Born in 1972

Educational Background

Mr. Waggoner earned a Bachelor of Arts in Finance from the University of Texas at Austin in 1996.

Business Experience

Advisor, Alliant Retirement Consulting
Managing Director, Alliant Insurance Services
Executive Vice President, 02/2002 to Present
Cetera Advisors Network, Registered Representative, 06/2017 to Present
LPL Financial, Registered Representative, 12/2009 to 06/2017
NFP Securities, Inc., Registered Representative, 02/2002 to 12/2009

Professional Designations, Securities, and Insurance Licenses

Series 6 – Investment Company and Variable Contracts Products Representative:
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser: Requirements include passing 100 multiple-choice questions.

Item 3 – Disciplinary Information

As it is material to the advisory business, Mr. Waggoner has never been involved in any civil or criminal action. There have been no Client complaints, lawsuits, or administrative proceedings against Mr. Waggoner.

Without admitting or denying the findings, Waggoner consented to the sanctions and to the entry of findings that he participated in private securities transactions without providing prior written notice to his member firm. The findings stated that the purchases, which Waggoner made on his own behalf, were outside the scope of his employment with his firm. The findings also stated that Waggoner failed to provide prior written notice to his firm about personal brokerage accounts. When Waggoner became associated with his firm he disclosed that he had brokerage accounts held at another firm. His broker for the accounts moved to a different firm and Waggoner opened brokerage accounts at that firm. Waggoner signed and submitted annual compliance questionnaires to his firm in which he inaccurately represented that he did not have any undisclosed outside securities accounts.

Resolution: Acceptance, Waiver & Consent (AWC)
Sanctions:

Civil and Administrative Penalties)/Fine(s): Amount
\$10,000.00

Sanctions: Suspension

Registration Capacities Affected: All Capacities

Duration: Two months

Start Date: 2/18/2020

End Date: 4/17/2020

Sanctions

20 hours of continuing education concerning registered representatives' regulatory responsibilities by a provider is not unacceptable to FINRA.

However, we do encourage you to independently view the background of Mr. Waggoner on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 2748574 in the field labeled "Individual CRD Number."

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Waggoner is also a registered representative of Cetera Advisor Networks, LLC a registered broker-dealer (CRD No. 13572), member of FINRA, SIPC. In his separate capacity as a registered representative, Mr. Waggoner will typically receive commissions for implementing recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Waggoner.

Neither the Advisor nor Mr. Waggoner will earn Consulting Services fees in connection with any services implemented in Mr. Waggoner's separate capacity as a registered representative where commissions are earned.

Generally, recommendations made by ARC to its Clients are implemented by Mr. Waggoner in his role as an Investment Advisor Representative of ARC, not in his role as a Registered Representative. As such, Clients of ARC only pay advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Mr. Waggoner earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Mr. Waggoner, Senior Vice President of ARC, may serve as sales agent for various insurance companies and Alliant Insurance Services. This activity is done separate and apart

from his role with ARC with the exception that he may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Mr. Waggoner may receive customary commissions and related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Mr. Waggoner or the Advisor.

Item 5 – Additional Compensation

Mr. Waggoner has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Waggoner serves as the Senior Vice President of ARC and is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to update the information provided to these agencies periodically and to provide various reports regarding the business activities and assets of the Advisor.

TERESSA RAMBO
ACCOUNT EXECUTIVE

Item 1 – COVER PAGE

Teressa Rambo

310 West Dickson St., Suite 205

Fayetteville, AR 72701

This Brochure Supplement provides information about the background and qualifications of Teressa Rambo (CRD 2255734) in addition to the information contained in the Alliant Retirement Consulting ("ARC" or the "Advisor" CRD 2255734) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this Brochure Supplement or ARC's Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Teressa Rambo is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Teressa Rambo

Born in 1959

Educational Background

Teressa Rambo graduated from John Brown University, Bachelor of Science – Organizational Management.

Additional information regarding Teressa Rambo's employment history is included below.

Business Experience

Alliant Retirement Consulting

Alliant Insurance Services

Account Executive 10/2022 to Present

Resources Investment Advisors, LLC – Investment Advisor Representative, 06/01/2021 – 10/2022

Global Retirement Partners, LLC – Investment Advisor Representative, 10/05/2017 – 06/01/2021

LPL Financial, LLC – Registered Representative, 09/25/2017 – 03/02/2018

Kestra Advisory Services, LLC – Investment Adviser Representative, 04/19/2016 – 09/25/2017

Kestra Investment Services, LLC – Registered Representative, 03/16/2015 – 09/25/2017

NFP Advisor Services, LLC – Registered Representative, 01/21/2015 – 09/22/2016

Professional Designations, Securities, and Insurance Licenses

Series 7 – General Securities Representative
Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker, or General Securities Representative.

Series 6 – Investment Company and Variable Contracts Products Representative
Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 63 – Uniform Securities Agent State Law
Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 65 – Uniform Investment Adviser
Requirements include passing a 130 multiple choice questions

PPC™ - Professional Plan Consultant
Issued by Financial Service Standards, a Division of fi360, Inc. Requirements include three of direct financial plan industry sales, service, and/or support experience, 16-hours of classroom/online training, and a 50-question multiple choice exam with six hours of CE every 12 months.

CRPS® - Chartered Retirement Plans Specialist Requirements include passing an 80 multiple choice question examination within 180 minutes. This program provides experienced advisors who are focused on retirement plans with the knowledge to recommend implementation techniques that can be executed into well-structured, company-appropriate retirement plans.

Certified Plan Fiduciary Advisor (CPFA™)-The CPFA exam covers an array of topics including fiduciary roles and responsibilities, fiduciary oversight, plan investment management, and plan management. To achieve a CPFA certification, candidates must take the course modules, study, and then pass the NAPA CPFA 70-question exam. NAPA is National Association of Plan Advisors and certifies this designation.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Teresa Rambo.

Teresa Rambo has never been involved in any regulatory, civil, or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Teresa Rambo.

However, we do encourage you to independently view the background of Teresa Rambo on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter 3012660 in the field labeled "Individual CRD Number".

Effective: March 13th, 2023

Form ADV Part 2B – Individual Disclosure Brochure

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Teresa Rambo does not have an affiliation with a Broker/Dealer.

Insurance Agency Affiliations

Teresa Rambo may serve as sales agent for various insurance companies. This activity is done separate and apart from her role with ARC with the exception that she may receive compensation for referrals to other insurance agents with Alliant Insurance Services. As an insurance agent, Teresa Rambo may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Teresa Rambo or the Advisor.

Item 5 – Additional Compensation

Ms. Rambo does not receive any economic benefits or other compensation in connection with providing advisory services to clients, other than the advisory fees paid to ARC.

Item 6 – Supervision

Teresa Rambo is supervised by Wendy Conner, the Chief Compliance Officer. Wendy Conner can be reached at (713) 470-4208.

ARC has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Wendy Conner, First Vice President
Chief Compliance Officer

Item 1 – COVER PAGE

Wendy Conner, ChFC®, AIF™, CPFA®
1125 Sanctuary Pkwy Suite 300 (Office)
Alpharetta, GA 30009*
713.470.4208

This Brochure Supplement provides information about the background and qualifications of Wendy Conner (CRD **4169248**) in addition to the information contained in the Alliant Retirement Consulting (“ARC” or the “Advisor” CRD 167970) Disclosure Brochure. If you have not received a copy of this Brochure Supplement or if you any questions about the contents of this Brochure Supplement or ARS’s Disclosure Brochure, please contact us at (713) 470-4208 or by email at wconner@alliant.com. Retirement Plan Consulting offered through Alliant Retirement Services, LLC dba Alliant Retirement Consulting, a Registered Investment Advisor.

Additional information about Wendy Conner is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

*Ms. Conner currently telecommutes from home in Casper, WY, but offices out of Newport, CA.

Item 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Wendy M. Conner
Born in 1967

Educational Background

Mitchell Hamline School of Law, J.D. Candidate, 2020 - Present
University of Central Oklahoma, Bachelor of Arts in English from 2000 – 2001
California State University, Stanislaus, Finance, 1991-1993
Selma High School, High School Diploma - 1986

Business Experience

Alliant Retirement Services, LLC,
Chief Compliance Officer, 2012 to Present
Account Executive, 2007 - Present
Cetera Advisors Network, 6/2017 to Present
LPL Financial, Managing OSJ, 8/2009 – 6/2017
NFP Securities, Managing OSJ 2007 - 8/2009
Advisor and OSJ, AIG VALIC, 09/2001 to 10/2005

Professional Designations, Securities, and Insurance Licenses

Chartered Financial Consultant ChFC®

Issuing Organization: The American College

Prerequisites/Experience Required: 3 years of full-time business experience within the five years preceding the awarding of the designation

Educational Requirements: 6 core and 2 elective courses, final proctored exam for each course

Continuing Education: 30 hours every 2 years

Accredited Investment Fiduciary® (AIF®) -The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Series 6 – Investment Company and Variable Contracts Products Representative:

Requirements include passing a 100 multiple choice question examination within 2 hours and 15 minutes. This examination qualifies the holder to trade mutual funds, variable annuities, and some insurance products on behalf of clients.

Series 7 – General Securities Representative:

Requirements include passing a 250 multiple choice question examination within two 3-hour sessions. This examination qualifies the holder to trade all types of securities products with the exception of commodities and futures and act as a Registered Representative, Stockbroker or General Securities Representative.

Series 24 – General Securities Principal: Requirements include passing a 150 multiple choice question examination within 3 hours and 45 minutes. This examination qualifies the holder to supervise and manage branch activities. The Series 7 is a prerequisite.

Series 51- Municipal Fund Securities Limited Principal Requirements is a Municipal Securities Rulemaking Board (MSRB) exam. The exam consists of 60 scored questions. This exam was created by the MSRB to license individuals who have primary responsibility for overseeing the municipal fund securities activities of a broker, dealer or municipal securities dealer with a focus on 529 plans.

Series 63 – Uniform Securities Agent State Law Examination: Requirements include passing a 60 multiple choice question examination within 75 minutes. This examination qualifies candidates as securities agents within a state; nearly all states require individuals to pass this examination as a condition of state registration.

Series 66 – Uniform Combined State Law Examination: Requirements include passing a 130 multiple choice question

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Conner.

Ms. Conner has never been involved in any regulatory, civil or criminal action. There have been no Client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Conner.

However, we do encourage you to independently view the background of Ms. Conner on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

- Select Investment Adviser Search from the left navigation menu.
- Then select the option for Investment Adviser Representative and enter **4169248** in the field labeled “Individual CRD Number”.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Ms. Conner is also a registered representative of Cetera Advisor Networks, LLC. Cetera Advisor Networks, LLC is a registered broker-dealer (CRD No. 13572), member FINRA, SIPC.

In her separate capacity as a registered representative, Ms. Conner will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any

recommendation provided by Ms. Conner. Neither the Advisor nor Ms. Conner will earn Consulting Services fees in connection with any services implemented in Ms. Conner’s separate capacity as a registered representative where commissions are earned or as employee of LPL Financial.

Generally, recommendations made by ARC to its Clients are communicated to the plan sponsor by Ms. Conner in her role as an Investment Advisor Representative of ARC, not in her role as a Registered Representative. As such, Clients of ARC pay only advisory fees as described above. In no circumstances will ARC earn an advisory fee and a commission on the same investment. In the event that Ms. Conner earns a commission on an investment, the advisory fee will be waived by ARC.

Insurance Agency Affiliations

Ms. Conner, Chief Compliance Officer of ARC, may serve as sales agent for various insurance companies. This activity is done separate and apart from her role with ARC. As an insurance agent, Ms. Conner may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Conner or the Advisor.

Item 5 – Additional Compensation

Ms. Conner has additional business activities where compensation is received. These business activities are detailed in Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Conner serves as the Chief Compliance Officer of ARC. Ms. Conner can be reached at (713) 470-4208. ARC has implemented a Code of Ethics and internal compliance guide that each employee must abide by to meet their fiduciary obligations to Clients of ARC. Further, ARC is subject to regulatory oversight by various agencies. These agencies require registration by ARC and its employees. As a registered entity, ARC is subject to examinations by regulators, which may be announced or unannounced. ARC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.