

*This brochure supplement provides information about Jordan Micah Pfister that supplements the Pathway Financial Advisers, LLC brochure. You should have received a copy of that brochure. Please contact David Tracey if you did not receive Pathway Financial Advisers, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Jordan Micah Pfister is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Pathway Financial Advisers, LLC Form ADV Part 2B –

### Individual Disclosure Brochure

*for*

### **Jordan Micah Pfister**

Personal CRD Number: #7488138

Investment Adviser Representative

Pathway Financial Advisers, LLC  
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Auburn, IN 46706  
(260) 925-2887  
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UPDATED: 03/28/2023

## Item 2: Educational Background and Business Experience

**Name:** Jordan Micah Pfister

**Born:** 1991

### **Educational Background and Professional Designations:**

#### **Education:**

2014 Bachelor of Science in Accounting and Finance  
Indiana University Indianapolis, USA

#### **Designations:**

##### **CPA - Certified Public Accountant**

- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

#### **Business Background:**

11/2021- PRESENT, Investment Advisor, Pathway Financial Advisers, LLC Auburn , Indiana

10/2020 - PRESENT, Owner, Post and Miller Inc Auburn , Indiana United States

05/2015- PRESENT, Owner, Pfister Investments, LLC, Auburn, Indiana United States

05/2015 - 09/2021, Owner, Heartland Castings, Inc. Waterloo , Indiana United States

07/2011 - 12/2019, Owner, Pfister Tree Service, LLC Auburn, Indiana United States

10/2014- 04/2015 Staff Accountant, KSM Business Services, Inc., Indianapolis, Indiana United States

01/2013-12/2014 - Staff Accountant, 11th Hour Staffing, Inc. Indianapolis, Indiana United States

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Jordan Pfister is an accounting and tax professional with Post and Miller, Inc. Jordan Pfister is 50% Partner and started in October of 2020. Jordan Pfister dedicates about 200 hours monthly, and his duties include bookkeeping, accounting, and tax return preparation.

Jordan Pfister is also 100% Owner and sole member of Pfister Investments, LLC, a commercial real estate property since May 2015. Jordan Pfister dedicated about 10 hours per month to his LLC, and his duties include bookkeeping and managing tenants.

### **Item 5: Additional Compensation**

Other than salary, Jordan Pfister does not receive any economic benefit from any person, company or organization, in exchange for providing clients advisory services through Pathway Financial Advisers, LLC.

### **Item 6: Supervision**

As a representative of Pathway Financial Advisers, LLC, Jordan Pfister works closely with supervisor David R. Tracey, the firm's Chief Compliance Officer, and all advice provided to clients is reviewed by this supervisor prior to implementation. David R. Tracey can be reached at (260) 925-2887. Jordan Pfister adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.