

# **John Marcelia**

## **M Group Investment Advisor, LLC**

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Winter Garden, FL 34787

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### **FORM ADV PART 2B BROCHURE SUPPLEMENT**

This brochure supplement provides information about John Marcelia that supplements the M Group Investment Advisor, LLC brochure. You should have received a copy of that brochure. Please contact us at 541-708-1922 if you did not receive M Group Investment Advisor, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John Marcelia is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

The Principal of M Group is John A. Marcelia. Mr. Marcelia, born in 1972, is dedicated the advisory services of M Group clients. Mr. Marcelia earned a Bachelor's of Arts from the University of Washington in 1995 with a Business and Finance concentration. Additional information regarding Mr. Marcelia's employment history is included below.

### Employment History:

M Group Advisor Holdings INC	
Principal	07/2018 to Present
M Group Investment Advisor, LLC	
Principal	11/2011 to Present
LPL Financial	
Registered Representative	11/2013 to Present
KMS Financial Services, Inc.,	
Investment Advisor Representative and Registered Representative	03/2001 to 11/2013
Breeze Financial Group, Inc.,	
Member	01/2001 to 11/2013

## Item 3 Disciplinary Information

***There are no legal, civil or disciplinary events to disclose regarding Mr. Marcelia.***

Mr. Marcelia has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Marcelia.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Marcelia.*** However, we do encourage you to independently view the background of Mr. Marcelia on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter **4187580** in the field labeled "Individual CRD Number".

## Item 4 Other Business Activities

Mr. Marcelia has additional business activities that are detailed in Item 10 - Other Financial Activities and Affiliations in Part 2A above and reprinted here:

### **Broker-Dealer Affiliation**

Mr. Marcelia is also a registered representative of LPL Financial Services, Inc. ("LPL"), based in Boston, MA. LPL is a registered broker-dealer (CRD No. 6413), member FINRA, SIPC and a registered investment advisor with the U.S. Securities and Exchange Commission ("SEC"). In his separate capacity as a registered representative, Mr. Marcelia will receive compensation for the implementation and ongoing registered representative services placed through LPL. Clients are not obligated to implement any recommendation provided by Mr. Marcelia, nor are they obligated to establish accounts with LPL. The Advisor will not earn investment advisory fees in connection with any services implemented through LPL. Registered representative activities through LPL represent approximately 30% of the business time of Mr. Marcelia.

As a result of this relationship, LPL Financial may have access to certain confidential information (e.g., financial information, investment objectives, transactions and holdings) about Mr. Marcelia's clients, even if client does not establish any account through LPL. If you would like a copy of the LPL Financial privacy policy, please contact LPL at 800-877-7210.

### **Insurance Agency Affiliations**

Mr. Marcelia, Principal of M Group, is a licensed insurance professional and agent of M Group Investment Advisor LLC, a licensed insurance agency. As an insurance agent, Mr. Marcelia may receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Marcelia or the Advisor. Insurance activities represent less than 5% of the business time of Mr. Marcelia.

### **M Group Advisor Holdings INC**

Mr. Marcelia, Principal of M Group, is CEO of M Group Advisor Holdings Inc. M Group Advisor Holdings Inc owns and operates M Group Investment Advisor LLC.

## **Item 5 Additional Compensation**

Mr. Marcelia has additional business activities where compensation is received. These business activities are detailed in Item 10 - Other Financial Activities and Affiliations in Part 2A above.

In connection with the transition of Mr. Marcellia's clients to the LPL Financial custodial platform and Mr. Marcellia's association as a registered representative of LPL Financial, Mr. Marcellia received financial transition support from LPL Financial in the form of a transition credit. The transition credit received by Mr. Marcellia in November 2013 is in the form of an upfront cash payment. The amount of the upfront cash payment represents a substantial payment.

## **Item 6 Supervision**

Mr. Marcellia serves as the Principal and Chief Compliance Officer of M Group. Mr. Marcellia can be reached at (541) 708-1922.

M Group has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of M Group. Further, M Group is subject to regulatory oversight by various agencies. These agencies require registration by M Group and its employees. As a registered entity, M Group is subject to examinations by regulators, which may be announced or unannounced. M Group is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

M Group Investment Advisor does not engage in the sale of insurance products to advisory clients. However, certain of M Group's representatives, in their individual capacities, are licensed insurance agents, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage certain of M Group's representatives to purchase insurance products on a commission basis. Any activity by your investment adviser representative as an insurance agent is separate from and outside of his or her role on behalf M Group.