



Form ADV Part 2B – Individual Disclosure Brochure

Kevin G. Albrecht

Investment Adviser Representative

CRD #1446140

Novem Group
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This document provides information about Kevin G. Albrecht that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Kevin Albrecht is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Kevin G. Albrecht

Born: 1962

Education: Ramapo College
Bachelor of Science, Management Information Systems - 1985

Business Background (past 5 years)

5/2022 – Present	Investment Adviser Representative Novem Group
4/2022 – Present	Registered Representative American Portfolios Financial Services, Inc.
7/2021 – 4/2022	Registered Representative LPL Financial, LLC
12/2020 – 12/2021	Owner KAS Association Corporation
6/2020 – 7/2021	Associated Person/Insurance Agent Waddell & Reed, Inc
1/2014 – 6/2020	Investment Adviser Representative Cetera Investment Advisers, LLC

Certifications

SIE	Securities Industry Essentials Examination
Series 7	General Securities Representative Examination
Series 63	Uniform Agent State Law Examination
Series 65	Uniform Investment Adviser Law Examination
Series 66	Uniform Combined State Law Exam

Other Business Activities

Kevin G. Albrecht is a Registered Representative of American Portfolios Financial Services, Inc., and a licensed Insurance Agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed Insurance Agent.

Additional Compensation

Kevin G. Albrecht does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Kevin G. Albrecht works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Kevin Albrecht adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

Reporting Source:	Individual
Firm Name:	LPL Financial, LLC
Termination Type:	Discharged
Termination Date:	6/28/2022
Allegations:	Represented himself as clients on calls to annuity company to initiate contract distributions and revise distribution amount, with clients' authorization.
Product Type:	Annuity-Variable