



Form ADV Part 2B – Individual Disclosure Brochure

Thomas C. Burke

Investment Adviser Representative
CRD #1217162

Novem Group
350 Linden Oaks
Suite 140
Rochester, NY 14625
(585) 419-2312
tburke@novemgroup.com

Updated: 10/12/2022

This document provides information about Thomas C. Burke that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Thomas C. Burke is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Thomas C. Burke

Born: 1961

Education: Cornell University
Bachelor of Science in Applied Economics, 1983

Business Background

6/2016 – Present Investment Adviser Representative
Novem Group

12/2014 – Present Non-Registered Assistant
American Portfolios Financial Services, Inc.

6/2015 – 6/2016 VP of New Business Development
APFS Wealth Management, Inc.

7/2009 – 12/2014 Self-employed

1/2003 – 7/2009 Registered Representative
American Portfolios Financial Services, Inc.

2/1995 – 12/2002 Registered Representative
Nathan & Lewis Securities, Inc.

12/1994 – 12/2001 Representative
Aetna Investment Services, LLC

10/1993 – 3/1994 Representative
Aetna Investment Services, Inc.

12/1983 – 10/1993 Agent
Aetna Life Insurance & Annuity Co.

Certifications

Series 65 Uniform Investment Adviser Law Examination

Other Business Activities

Thomas C. Burke is affiliated with American Portfolios Financial Services, Inc. as a Non-Registered Assistant and is a licensed insurance agent with APFS Rochester, Inc. From time to time, the representative may offer clients advice or products from the latter activity. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a registered representative or licensed insurance agent.

Additional Compensation

Thomas C. Burke does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Thomas C. Burke works closely with their supervisor and all advice provided to clients and account-related transactions is reviewed by their supervisor. Thomas C. Burke adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

Reporting Source:	Individual
Firm Name:	American Portfolios Financial Services,
Inc. Product Type:	No Product
Termination Type:	Voluntary Resignation
Termination Date:	7/20/2009
Details:	The voluntary resignation was accepted while the representative's files were under review for alleged discrepancies in client signatures