

**Part 2B of Form ADV: *Brochure Supplement***

Randy King Barkley  
6772 Magnolia Ave  
Riverside, CA 92506  
951-684-7011

TriCord Advisors, Inc.  
6772 Magnolia Ave  
Riverside, CA 92506

03/31/2023

This brochure supplement provides information about Randy King Barkley that supplements the TriCord Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Randy K Barkley 951-684-7011 if you did not receive TriCord Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Randy King Barkley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational Background and Business Experience**

**Full Legal Name:** Randy King Barkley      **Born:** 1952

### **Education**

- South Dakota State University; 1971
- Pillsbury Baptist College; 1973

### **Business Experience**

- TriCord Advisors, Inc.; President/ Shareholder/ Investment Advisor Representative; from 11/2010 to Present
- Liberty Group, LLC; Registered Representative/ Investment Adviser Representative; from 03/2005 to 04/2011
- PrimeVest Financial Services; Registered Representative; from 01/2002 to 04/2005
- Granite Investment Services; Registered Representative; from 07/2000 to 01/2002

### **Designations**

Randy King Barkley has earned the following designation(s) and is in good standing with the granting authority:

- Certified Financial Planner™; Certified Financial Planner Board of Standards, Inc.; 2006

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

## **Item 3 Disciplinary Information**

Randy King Barkley has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. Randy King Barkley is also engaged in the following investment-related activities:

**Independent Insurance agent**

Randy Barkley is licensed as an independent insurance agent with various insurance companies conducting business under unaffiliated various insurance companies. Mr. Barkley can receive customary commissions, bonuses or other compensation for implementing the purchase or sale of securities, insurance, or other investment products for various companies. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

Clients are under no obligation to act upon any recommendations of Mr. Barkley or to affect any transactions through him if they decide to follow the recommendations. Mr. Barkley does not limit his recommendations to products or services offered by the broker dealer/ Insurance companies and ensures that all recommendations are appropriate for a client's specific needs. Clients have the option to purchase investment products recommended through other broker-dealers/ insurance companies not affiliated with TriCord Advisors, Inc.

**Real Estate agent**

Mr. Barkley is a licensed real estate agent. Mr. Barkley may provide real estate consulting services to both clients and non-clients.

Mr. Barkley may invest with and participate in real estate investments with clients and non-clients.

You are under no obligation, contractually or otherwise to utilize Mr. Barkley for real estate consulting services.

The fees for real estate consulting services are separate and in addition to our advisory fees.

**Automobile Wholesaler**

Mr. Barkley is a licensed wholesale automobile dealer. Mr. Barkley may provide automobile consulting services to both clients and non-clients.

You are under no obligation, contractually or otherwise to utilize Mr. Barkley for automobile consulting services.

The compensation for automobile consulting services are separate and in addition to our advisory fees.

**Managing Partner**

Mr. Barkley is the Managing Partner of a Non-Solicited Real Estate Partnership.

The compensation for Managing the Partnership are separate and in addition to our advisory fees.

## **B. Non Investment-Related Activities**

Randy King Barkley is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

### **Item 5 Additional Compensation**

Randy King Barkley does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### **Item 6 Supervision**

**Supervisor:** Christopher M. Marsh

**Title:** CCO

**Phone Number:** 951-684-7011

Christopher Marsh, Chief Compliance Officer, is responsible for supervising Mr. Barkleys' advisory activities. Mr. Marsh monitors email communications and periodically reviews accounts.