



Form ADV Part 2B – Individual Disclosure Brochure

for

**Ram Kolluri
Chairman, Global Wealth Management, LLC**

Effective: March 03, 2023

This Brochure Supplement provides information about the background and qualifications of Ram Kolluri (CRD# 707814) in addition to the information contained in the Global Wealth Management LLC (“GWM”) Brochure. If you have not received a copy of this Brochure or if you any questions about the contents of the GWM Brochure or this Brochure Supplement, please contact us at (609) 452-2929.

Additional information about Ram Kolluri is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

**Global Wealth Management, LLC
CRD No: 153677
SEC File No: 801-71368
4428 Route 27, Bldg. C, Suite 2, PO Box 522
Kingston, NJ 08528
Phone: (609) 452-2929 ☐ Fax: (609) 452-0048
www.Globalwealthllc.com**

Item 2 – Educational Background and Business Experience

RAM KOLLURI

Born: 1949

Education:

Andhra University
1964 through 1967
BSc in Mathematics

Pace University
1977 through 1979
Masters Business Administration

Chartered Accountant
Institute of Chartered Accountants (India)

Certified Financial Planner (CFP)

CFP® professionals must pass the comprehensive CFP® Certification Examination, pass CFP Board's *Fitness Standards for Candidates* and agree to abide by CFP Board's *Code of Ethics and Professional Responsibility* and *Rules of Conduct* which put clients' interests first and comply with the *Financial Planning Practice Standards*.

Business Background:

Chairman	
Global Wealth Management LLC	2017 to Present
President, Chief Investment Officer,	
Global Wealth Management LLC	2010 to 2016
Director, Chief Investment Officer	
ICICI Group-Global Private Clients	2008 to 2010
President, Chief Investment Officer	
Global Investment Management, Inc.	1996 to 2008

Item 3 – Disciplinary Information

GWM and its advisory personnel value the trust you place in us. As we advise all clients, we encourage you to perform the requisite due diligence on anyone providing services to you.

There are no legal or disciplinary events to disclose regarding Ram Kolluri.

However, we do encourage you to independently view the background of Ram Kolluri on the Investment Adviser Public Disclosure website at <http://adviserinfo.sec.gov>. Select the option for Investment Adviser Representative and enter **707814** in the field labeled "Individual CRD Number:"

Item 4 – Other Business Activities

Mr. Kolluri is not involved in any other business activities outside of GWM.

Item 5 – Additional Compensation

Mr. Kolluri does not receive any additional compensation or economic benefit from any unaffiliated person, company or organization in connection with the services provided to Clients of GWM.

Item 6 – Supervision

Mr. Kolluri serves as Chairman of GWM. Mr. Kolluri's contact information is included on the cover of this Brochure Supplement. Ram Kolluri, along with Sripat Pandey is a member of the GWM Investment Committee. Ram and Sripat have general oversight of all investment decisions at GWM.

GWM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of GWM. Further, GWM is subject to regulatory oversight by various agencies. These agencies require registration by GWM and its employees. As a registered entity, GWM is subject to examinations by regulators, which may announce or unannounced. GWM is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets.



Form ADV Part 2B – Individual Disclosure Brochure

for

**Sripat Pandey
President & CIO**

Effective: March 03, 2023

This Brochure Supplement provides information about the background and qualifications of Sripat Pandey (CRD# 4250947) in addition to the information contained in the Global Wealth Management LLC (“GWM”) Brochure. If you have not received a copy of this Brochure or if you have any questions about the contents of the GWM Brochure or this Brochure Supplement please contact us at (609) 452-2929.

Additional information about Sripat Pandey is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

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Item 2 – Educational Background and Business Experience

Sripat Pandey

Born: 1966

Education:

Bachelor of Technology – Indian Institute of Technology, Kanpur (India)
Graduated in 1988

Master's in Business Administration (Finance) – Indian Institute of Management, Bangalore (India)
Graduated in 1994

Business Background:

President & CIO Global Wealth Management LLC	11/14 – Current
Fidelis Sports Investment Fund, Dubai - Principal	03/14 – 10/14
Duff & Phelps, New York – Director, Investment Banking	08/12 – 11/13
HBI, New York, Managing Director	10/11 – 07/12
3i Infotech, New Jersey – Head of Mergers and Acquisitions	05/06 – 09/11
ICICI Securities, New York, President	06/99 – 08/06
Indian Opportunities Fund (IOF), Mumbai – Equity Analyst	08/94 – 05/99

Item 3 – Disciplinary Information

GWM and its advisory personnel value the trust you place in us. As we advise all clients, we encourage you to perform the requisite due diligence on anyone providing services to you.

There are no legal or disciplinary events to disclose regarding Sripat Pandey

However, we do encourage you to independently view the background of **Sripat Pandey** on the Investment Adviser Public Disclosure website at <http://adviserinfo.sec.gov>. Select the option for Investment Adviser Representative and enter 4250947 in the field labeled “Individual CRD Number:”

Item 4 – Other Business Activities

Sripat Pandey is not involved in any other business activities outside of GWM.

Item 5 – Additional Compensation

Sripat Pandey does not receive any additional compensation or economic benefit from any unaffiliated person, company or organization in connection with the services provided to Clients of GWM.

Item 6 – Supervision

Sripat Pandey serves as the President of GWM and is a member on the Investment Committee. He is responsible for supervision and implementation of the committee's ongoing investment decisions.

GWM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of GWM. Further, GWM is subject to regulatory oversight by various agencies. These agencies require registration by GWM and its employees. As a registered entity, GWM is subject to examinations by regulators, which may be announced or unannounced. GWM is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets.



Form ADV Part 2B – Individual Disclosure Brochure

for

Edward A. Graham
Wealth Manager
Global Wealth Management, LLC

Effective: March 03, 2023

This Brochure Supplement provides information about the background and qualifications of Edward A. Graham. (CRD#2473720) in addition to the information contained in the Global Wealth Management LLC (“GWM”) Brochure. If you have not received a copy of this Brochure or if you any questions about the contents of the GWM Brochure or this Brochure Supplement, please contact us at (609) 452-2929.

Additional information about Edward A. Graham is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

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Item 2 – Educational Background and Business Experience

Edward A. Graham

Born: 1969

Education:

BS, Finance – University of Tampa, FL 1992

Business Experience:

Global Wealth Management, LLC - Portfolio Manager	2018 – Current
Princeton International Properties, Inc. - Property Manager and IT Infrastructure Manager	2010 – Current
Graham Capital Management, Inc. - President	1995 - Current

Item 3 – Disciplinary Information

GWM and its advisory personnel value the trust you place in us. As we advise all clients, we encourage you to perform the requisite due diligence on anyone providing services to you.

There are no legal or disciplinary events to disclose regarding Edward A. Graham.

However, we do encourage you to independently view the background of Edward A. Graham on the Investment Adviser Public Disclosure website at: <https://www.investor.gov/> Select the option for Investment Adviser Representative and enter 2473720 in the field labeled “Individual CRD Number:”

Item 4 – Other Business Activities

Edward A. Graham is Property Manager and Manager of IT Infrastructure at Princeton International Properties, Inc., a real estate investment firm. Ed receives an annual salary for property management and IT infrastructure management.

Item 5 – Additional Compensation

Edward A. Graham does not receive any additional compensation or economic benefit from any unaffiliated person, company or organization in connection with the services provided to Clients of GWM.

Item 6 – Supervision

Edward A. Graham serves as Wealth Manager at GWM and is actively involved in making business management decisions relating to GWM. He is also a member of the GWM Investment Committee.

GWM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of GWM. Further, GWM is subject to regulatory oversight by various agencies. These agencies require registration by GWM and its employees.

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Form ADV Part 2B – Individual Disclosure Brochure

for

Ronica Sethi
Relationship Manager
Global Wealth Management, LLC

Effective: March 03, 2023

This Brochure Supplement provides information about the background and qualifications of Ronica Sethi. (CRD#7380657) in addition to the information contained in the Global Wealth Management LLC (“GWM”) Brochure. If you have not received a copy of this Brochure or if you any questions about the contents of the GWM Brochure or this Brochure Supplement, please contact us at (609) 452-2929.

Additional information about Ronica Sethi is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov.

Global Wealth Management, LLC CRD No: 153677

SEC File No: 801-71368

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Kingston, NJ 08528

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Item 2 – Educational Background and Business Experience

Ronica Sethi

Born: 1966

Education:

BS, Finance – Indiana University, Bloomington

MBA Finance – New York University, Stern School of Business

Business Experience:

Global Wealth Management LLC – Relationship Manager	2021-Current
CFO Strategies LLC – Director	2020-2021
Princeton University Center for Career Development – Coordinator	2017-2020
Princeton Internships in Civic Service – Coordinator	2015-2016
Princeton Education Foundation – Chair Grant Program	2012-2016
John Witherspoon Parent Teacher Organization – President	2011-2013
Mythic Paint Princeton – Owner	2007-2010
JP Morgan Investment Management – Vice President	1995-2000

Item 3 – Disciplinary Information

GWM and its advisory personnel value the trust you place in us. As we advise all clients, we encourage you to perform the requisite due diligence on anyone providing services to you.

There are no legal or disciplinary events to disclose regarding Ronica Sethi.

However, we do encourage you to independently view the background of Ronica Sethi on the Investment Adviser Public Disclosure website at: <https://www.investor.gov/> Select the option for Investment Adviser Representative and enter 7380657 in the field labeled “Individual CRD Number:”

Item 4 – Other Business Activities

Item 5 – Additional Compensation

Ronica Sethi does not receive any additional compensation or economic benefit from any unaffiliated person, company or organization in connection with the services provided to Clients of GWM.

Item 6 – Supervision

Ronica Sethi serves as Relationship Manager at GWM and is actively involved in making business management decisions relating to GWM. She is also a member of the GWM Investment Committee.

GWM has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of GWM. Further, GWM is subject to regulatory oversight by various agencies. These agencies require registration by GWM and its employees.

As a registered entity, GWM is subject to examinations by regulators which may be announced or unannounced. GWM is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets.