



Form ADV, Part 3
CRS (Customer Relationship Summary)
June 2023

Wimmer Associates is registered with the Securities and Exchange Commission (SEC) as an Investment Adviser. Investment advisory and brokerage services and fees differ. It is important for you to understand these differences. Free and simple tools are available to research our firm and other firms and financial professionals at **Investor.gov/CRS**, which also provides educational materials about broker/dealers, investment advisers, and investing.

Relationships and Services – What investment services and advice can you provide me?

Wimmer Associates provides investment supervisory and management services to retail investors, including individuals, trusts and estates, family groups, and other types of entities that need ongoing investment advice.

We review our client's accounts continuously and monitor the cash balances and all actively traded stocks held in their portfolios daily.

We manage investment portfolios primarily on a discretionary basis which gives us authority to decide which securities to purchase or sell for your portfolio. However, you may impose restrictions on our authority to invest in a particular asset class, sector, industry, individual security, or types of securities. When a client does not grant Wimmer Associates investment discretion, we will make investment recommendations to the client and the client makes the ultimate decision regarding the purchase and/or sale of their investments.

We generally require a minimum amount of \$750,000 of assets under management. Wimmer Associates, in its discretion, may waive the minimum.

For additional information, please see our Form ADV, Part 2A brochure Items 4, 7, 13, and 16.

Ask your financial professional:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Fees and Costs – What fees will I pay?

In addition to our management fees, you may incur brokerage commissions, transaction fees, and administrative charges imposed by custodians and broker-dealers. Also, we may occasionally use mutual funds or exchange-traded funds to fill specific portfolio needs. If we use these funds, you will incur the fund's management and administrative fees.

We do not charge performance-based fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see our Form ADV, Part 2A brochure Items 5 and 6.

Ask your financial professional:

- Help me understand how these fees and costs might affect my investments?
- If I give you \$750,000 to invest, how much will go to fees and costs, and how much will be invested for me?



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Standard of Conduct and Conflicts of Interest. *What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?*

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

As an example: a potential conflict of interest between us might arise if you seek our advice about purchasing a home using money from the portfolio we are managing. It is in our financial interest to maintain the value of your portfolio as that is how our compensation is determined. We do strive to answer these types of questions objectively, but it is important for us to disclose these conflicts and for you to be aware of the potential conflicts.

Our only source of revenue is from our work as an investment advisor. We do not receive commissions or invest in commission-based products such as mutual funds with sales loads, annuities, insurance products, etc.

How do your financial professionals make money?

Our financial professionals are paid salaries and have the opportunity to earn bonuses based on their contributions and the profitability of the firm. We do not receive any commissions in connection with providing investment advice to clients. Wimmer Associates does not directly or indirectly compensate any person, employee or otherwise, for client referrals.

Ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

Disciplinary History – *Do your financial professionals have legal or disciplinary history?*

Neither Wimmer Associates, nor its employees, have been subject to any legal or disciplinary events. You can verify this by going to **[Investor.gov/CRS](https://investor.gov/CRS)**.

Additional Information. *We encourage you to seek additional information.*

If you would like additional, up to date information or a copy of this disclosure, please call our office at 626-683-3150 or, see our Form ADV brochure at <https://adviserinfo.sec.gov/firm/brochure/125243>

Ask your financial professional:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?