

Corporate Consulting Group, Inc.

Firm Brochure ADV Part 2A

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This Brochure provides information about the qualifications and business practices of Financial Solutions, Inc. Please contact Gary W. Gould at (918) 585-5858 with questions about the contents of this Brochure. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (SEC) or any other securities regulatory authority.

Corporate Consulting, Inc. (hereinafter referred to as “CCG” or the “Firm”) is registered as an investment adviser with the SEC. Registration does not imply that either the Firm or its representatives have any certain level of skill or training. Oral and written adviser communications should be used to evaluate an adviser for client suitability.

Additional information about Corporate Consulting Group is available on the website of the SEC at www.adviserinfo.sec.gov. You may search this site by a unique identifying number known as a CRD number. The CRD number for CCG is 123761.

Item 2 - Material Changes

Corporate Consulting Group, Inc. (“CCG”) has changed the date of this Brochure to the current date. The information for CCG in this Brochure has not changed materially since the Firm last updated its Form ADV and Brochure in March 2021.

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Item 4. Advisory Business

Description of the Firm

CCG was formed as a corporation under the laws of the State of Oklahoma on December 31, 1985. It maintains its principal office in Tulsa, Oklahoma and shares space and facilities with its sister company, Financial Solutions, Inc., a registered investment adviser that provides investment supervisory services to Clients. CCG became registered as an investment adviser with the SEC on March 6, 2004 and has been conducting its current business since that date. Gary W. Gould is the President, Chief Compliance Officer and sole shareholder of the Firm.

Types of Services

CCG offers consulting services to Clients. Consulting services may involve providing advice to a Client about the specific investment needs of the Client or about general information on investing, such as information about different types of securities or strategies, the markets, asset allocation or economic trends. CCG also provides pension consulting to pension plans and sponsors. CCG does not provide financial planning services.

Tailoring Services to Needs of Clients

The services of CCG are individualized for each of its Clients. CCG enters into a unique agreement with each Client that describes the particular services to be provided. CCG tailors all of its recommendations to the specific needs of each Client after reviewing the assets held by a Client, the Client's financial goals and objectives, risk tolerance, financial requirements, any specific or extraordinary needs of the Client and special requests by the Client.

Wrap Fee Programs

CCG does not offer wrap fee programs.

Managing Assets

CCG does not manage assets for Clients.

Item 5. Fees and Compensation

Fees Charged

All arrangements between CCG and each of its Clients are documented by a written contract that is separately negotiated with each Client. The amount of fees paid to CCG under any given contract will depend on the types of services CCG will provide for the Client, the intended duration of the contract and the experience and expertise required to carry out the engagement. Fees may also be negotiable based on the length of time CCG has been providing services to the Client. All arrangements are negotiated based on a fixed fee agreed upon in advance for longer-term projects or on an hourly basis ranging from \$150 to \$250 per hour for shorter-term projects.

Payment of Fees

Hourly fees are billed at the end of a project for projects completed within one month or less. Hourly fees for longer projects will be billed and collected at the end of each month or each quarter, depending on the duration of the project. Fixed fees are billed and collected at the end of each quarter for work performed during the preceding quarter.

Other Fees Paid by Clients

The services of CCG do not involve management of assets for Clients. If the services relate to the purchase or sale of a security or portfolio of securities, the Client is responsible for selecting broker-dealers to execute the transactions, for directing the execution and for all fees and expenses relating to any transactions. Under limited circumstances, a Client may contract with Financial Solutions, Inc., the investment adviser affiliate of CCG, to manage assets for the Client.

Under some of its contracts with Clients, CCG may be entitled to reimbursement for expenses it incurs in providing its services to the Client. Under those agreements, reimbursement for expenses is usually payable within 30 days following the date on which the expenses are billed, which is usually the end of each quarter.

Advance Payments and Refunds

Corporate Consulting Group generally collects payment for its services at the end of a period or at termination of the contract. In no event will Corporate Consulting Group collect fees in advance.

Compensation for Sales of Securities

Neither Corporate Consulting Group nor its President accepts compensation for the sale of securities or other investment products.

Item 6. Performance-Based Fees and Side-By-Side Management

Corporate Consulting Group does not manage assets for Clients and therefore, neither CCG nor any person supervised by CCG charges fees for management of assets that are based on a share of capital gains on, or capital appreciation of the assets of a Client (*performance-based fees*).

Item 7. Types of Clients

CCG provides its services to various individuals, including medium and high net worth individuals, trusts, and all types of organizations, including banking or thrift institutions, pension and profit sharing plans, other pooled investment vehicles, and charitable organizations.

Item 8. Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis and Investment Strategies

In formulating investment advice, CCG employs fundamental, technical, and cyclical securities analysis methods. The Firm sources most of its information from financial newspapers and magazines, research materials prepared by others, annual reports, prospectuses, filings with the Securities and Exchange

Commission, and company press releases. Although CCG will utilize information, reports and data from various external sources, its investment advice is based primarily upon internal research and analysis.

Clients should be aware that any investment in securities involves a risk of loss which they should be prepared to bear. The past performance of any investment adviser or security does not represent the future performance of that adviser or security.

Risks of Strategies Used

Corporate Consulting Group does not manage assets for Clients and therefore, it does not use any particular strategies in managing client accounts.

Risks of Securities

Corporate Consulting Group does not manage assets for Clients and therefore, it does not use any particular securities in managing client accounts.

Item 9. Disciplinary Information

Neither CCG nor any person having the power to exercise a controlling influence, directly or indirectly, over the management of CCG (*Management Person*) has been involved in any legal or disciplinary proceedings that should be evaluated by Clients or prospective Clients. Regulatory authorities consider the following types of matters to be material proceedings:

Criminal or Civil Actions

Neither Corporate Consulting Group nor any Management Person has been:

1. convicted of, pled guilty to, pled nolo contendere to or no contest to, any criminal action;
2. named in a criminal action that is currently pending;
3. found in any action to have violated any investment-related statute or regulation; or
4. the subject of any order, judgment or decree permanently or temporarily enjoining, or otherwise limiting, Corporate Consulting Group or any Management Person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

Administrative Proceedings

Neither CCG nor any Management Person has been involved in any administrative proceeding before the U.S. Securities and Exchange Commission, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority in which any of such parties was:

1. found to have caused an investment-related business to lose its authorization to do business; or
2. found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
 - a. denying, suspending, or revoking the authorization of CCG or any Management Person to act in an investment-related business;
 - b. barring or suspending CCG or any Management Person from association with an investment-related business;
 - c. otherwise significantly limiting CCG or any Management Person's investment-related activities; or

- d. imposing a civil money penalty of more than \$2,500 on CCG or any Management Person.

Action by Self-Regulatory Organizations

Neither CCG nor any Management Person has been involved in any proceeding before any self-regulatory organization, such as the Financial Industry Regulatory Authority, in which CCG or any Management Person was:

1. found to have caused an investment-related business to lose its authorization to do business; or
2. found to have been involved in a violation of the organization's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; or (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Additional Information

To confirm the above statements and to review any public information about disciplinary actions involving Corporate Consulting Group and its Management Persons or information about any other investment adviser and its associated persons, please review the information available on the website of the SEC at www.adviserinfo.sec.gov.

Item 10. Other Financial Industry Activities and Affiliations

Broker-Dealer Registration

Neither Corporate Consulting Group nor any Management Person is registered, or has applied to be registered, as a broker-dealer or registered representative of a broker-dealer.

Futures and Commodities Registration

Neither Corporate Consulting Group nor any Management Person is registered or has applied to be registered as a futures commission merchant commodity pool operator, a commodity trading advisor, or an associated person of any of those entities.

Arrangements with Related Persons

Gary Gould, the President and sole shareholder of Corporate Consulting Group, is also the President, Managing Principal and primary shareholder of Financial Solutions, Inc. Financial Solutions, Inc. is registered as an investment adviser with the United States Securities and Exchange Commission and provides ongoing asset management services for Clients. Clients of CCG who decide they want ongoing asset management may be referred to Financial Solutions, Inc. for such management. Clients would not be charged duplicate fees, but would be serviced either through CCG or through Financial Solutions, Inc.

Financial Solutions, Inc. currently provides investment advice to a closed end fund that is registered as a management investment company with the United States Securities and Exchange Commission. Clients of CCG may be offered the opportunity to invest in that fund. Clients who elect to invest in the fund would be charged the same fees as any other investor in the fund.

Recommendation of Investment Advisers

As noted above, CCG may offer Financial Solutions, Inc. to provide management services for Clients of Corporate Consulting Group and it may offer the purchase of shares in the fund sponsored by Financial Solutions, Inc. Neither Corporate Consulting Group nor any Associated Person of Corporate Consulting Group receives any compensation for referrals to Financial Solutions, Inc. or offers to invest in a fund sponsored by Financial Solutions, Inc.

Item 11. Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics

Corporate Consulting Group has adopted a Code of Ethics intended to prevent insider trading and to avoid conflicts with the interests of its Clients or the Advisory Clients of those Clients. Under the Code, CCG prohibits its Associated Persons from having any personal interest in a securities transaction if the transaction would cause a conflict or if the transaction appears to be based on inside information. CCG maintains a list of securities that are prohibited or restricted for trading by Associated Persons and transactions by Associated Persons in those securities are prohibited without the consent of the Chief Compliance Officer. A copy of the Code is available to clients and prospective clients upon request to the Firm at the address listed on the cover of this Brochure.

Conflicts in Holdings of Securities

Corporate Consulting Group does not manage assets for Clients and therefore, CCG does not recommend securities, buy securities or sell securities for Clients, in which the Firm has an interest. Mr. Gould, the President of Corporate Consulting Group may hold, directly, or through accounts in which Mr. Gould has a beneficial interest, securities recommended by Financial Solutions, Inc. to Clients of CCG who are referred to Financial Solutions, Inc.. Accordingly, under those circumstances, Mr. Gould may hold securities in which Clients of CCG may invest, creating a potential conflict of interest. Financial Solutions, Inc. has adopted a Code of Ethics that is intended to prevent conflicts of interest in those circumstances, by restricting the trading by Mr. Gould for his personal accounts that would create a conflict with transactions by Clients.

Conflicts in Investments in Securities

Corporate Consulting Group does not invest in securities that the Firm recommends to Clients or in securities related to such securities. Mr. Gould, the President of Corporate Consulting Group may invest, directly, or through accounts in which he has a beneficial interest, in securities recommended by Financial Solutions, Inc. or in a fund managed by Financial Solutions, Inc. Accordingly, under those circumstances, Mr. Gould may invest in securities in which Clients of CCG may also invest. As disclosed above, Financial Solutions, Inc. has adopted a Code of Ethics that is intended to prevent conflicts of interest in those circumstances, by restricting the trading by Mr. Gould for his personal accounts that would create a conflict with transactions by Clients.

Conflicts in Contemporaneous Transactions in Securities

Corporate Consulting Group does not effect transactions in securities for its own account that would occur at the same time or within a few days of transactions in securities that CCG would recommend for its

Clients. As disclosed above, Mr. Gould may invest personally in securities in which Clients referred by CCG to Financial Solutions, Inc. may invest. The Code of Ethics adopted by Financial Solutions, Inc. restricts the ability of Mr. Gould to execute simultaneous transactions in the same securities to prevent conflicts of interest under those circumstances.

Item 12. Brokerage Practices

Corporate Consulting Group does not manage assets for clients and therefore it does not direct any transactions to broker-dealers. None of the issues related to brokerage apply to the Firm or any related person.

Item 13. Review of Accounts

Corporate Consulting Group does not manage accounts for clients and therefore, it does not review client accounts.

Item 14. Client Referrals and Other Compensation

Economic Benefits for Providing Advice

No third party provides any economic benefit to the Firm for providing investment advice or other advisory services to the Firm's Clients.

Payment for Referrals

Neither the Firm nor any related person pays any third party to refer business to the Firm.

Item 15. Custody

Corporate Consulting Group does not maintain custody of Client assets or securities.

Item 16. Investment Discretion

Corporate Consulting Group does not exercise discretion over any Client accounts.

Item 17. Voting Client Securities

Corporate Consulting Group does not vote Client proxies.

Item 18. Financial Information

Corporate Consulting Group does not require or solicit prepayment of more than \$1200 in fees per client, six or more months in advance. Accordingly, we are not required to provide a balance sheet to clients.

Registered investment advisers are required to provide clients with certain financial information or disclosures about Adviser's financial condition. We have no financial obligations that impair our ability to service clients.

CCG has not been the subject of a bankruptcy proceeding.